



# Provider Manual - Combined



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# Provider Manual

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The HMO Operations Manual offers Health Net providers access to important plan benefits, limitations and administration processes to make sure members enrolled in the HMO plan receive covered services when needed. The Health Net HMO plan is underwritten by Health Net of California, Inc. and is regulated by the California Department of Managed Health Care (DMHC).

Benefits and policies listed in the HMO Operations Manual apply to all HMO plans, unless specified otherwise in the *Provider Participation Agreement (PPA)*, *Schedule of Benefits* or member's *Evidence of Coverage (EOC)*. Information on the tier one (HMO) of the Point of Service (POS) line of business is also included in the HMO Operations Manual.

The four providers types - Physicians, Participating Physician Groups (PPGs), Hospitals, and Ancillary - are listed at the top of every page. Refer to the *Provider Type* listed at the top of the page to see if the content applies to you.

As a Health Net participating provider, you are required to comply with applicable state laws and regulations and Health Net policies and procedures.

The contents of Health Net's operations manuals are in addition to your *PPA* and its addendums. When the contents of Health Net's operations manuals conflict with the *PPA*, the *PPA* takes precedence.

## Adverse Childhood Experiences (ACEs)

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The following information is intended to provide a general guide to help you implement screening for adverse childhood experiences (ACEs) and better determine the likelihood a patient is at increased health risk due to a toxic stress response. Screening for ACEs helps inform patient treatment and encourage the use of trauma-informed care. For more information, visit [ACEs Aware](#).

Note: While ACE's Aware billing and payment information is specific to Medi-Cal providers, funded by Proposition 56, the ACE's Aware training materials and resources still apply to non Medi-Cal Providers. Non Medi-Cal providers can still get trained and use the workflows and tools. This article outlines how non Medi-Cal providers (that are trained and attest to training) can receive the \$29 payment.

## Prevent

Addressing trauma in primary care pediatrics can help patient remove discomfort for discussion of trauma histories. It can help connect patients and families and provide a way to prevent future trauma experiences from one generation to the next. Click here to learn more on [Preventing Childhood Toxic Stress](#).

### Trauma Informed Care

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ACEs are stressful or traumatic experiences people have by age 18, such as abuse, neglect and household dysfunction. By screening for ACEs, providers can better determine the likelihood a patient is at increased health risk due to a toxic stress response. This is a critical step in advancing to trauma-informed care.

Follow the principles of trauma-informed care. Use these key principles as a guideline:

- Establish the physical and emotional safety of patients and staff.
- Build trust between providers and patients.
- Recognize the signs and symptoms of trauma exposure on physical, psychological and behavioral health.
- Promote patient-centered, evidence-based care.
- Train leadership, providers and staff on trauma-informed care.
- Ensure provider and patient collaboration by bringing patients into the treatment process and discussing mutually agreed-upon goals for treatment.
- Provide care that is sensitive to the racial, ethnic, cultural and gender identity of patients.

## References

For more information, refer to:

- [ACEs Aware](#)
- [Health Care Toolbox](#)

## Toxic Stress

Everyone experiences stress. Stress can show up in our bodies, emotions and behavior in many different ways. Too much of the wrong kind of stress can be unhealthy and, over time, become “toxic” stress and harm physical and mental health. An adult who has experienced significant adversity in the past, especially during the critical years of childhood, may be at higher risk of experiencing health and behavioral problems during times of stress.

## References

For more information, refer to:

- [ACEs Aware](#)
- [California All](#)
- [CFAP](#)
- [Healthy Children](#)

## Positive Parenting and Resilience Building

Parents and caregivers look to providers for reliable resources, information and help to address childhood trauma. Providers can offer help by assessing parental ACE’s, practicing trauma informed care to address childhood trauma and toxic stress and offer the following resources, focused on development and positive parenting skills.

- [ACEs Connection](#): News and information on ACEs and how to become more trauma-informed in practice.
- [The Center for Youth Wellness](#): Led by Nadine Burke-Harris, MD, the Center for Youth Wellness is an international leader in addressing ACEs in practice.
- [Centers for Disease Control and Prevention \(CDC\)](#): Helpful tip sheets for positive parenting at different ages.

The information provided is the most up-to-date as of the date of this publication. For the most up-to-date information, visit [providerlibrary.healthnetcalifornia.com](https://providerlibrary.healthnetcalifornia.com)

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- **ZERO to THREE:** This organization works to ensure that babies and toddlers benefit from the early connections that are critical to their well-being and development.
- **Parenting Beyond Punishment:** No cost parenting webinars for positive discipline in everyday parenting.
- Build resilience to cope with trauma
  - **Mind Yeti:** A research-based digital library designed to help kids and their adults calm their minds, focus their attention, and connect better to the world around them.
  - **Stress Health:** Learn how the stress that humans live with can have adverse effects if there is too much for too long.
  - **American Academy of Pediatrics:** A presentation on Identifying Toxic Stress in Pediatric Practices at the 2015 American Academy of Pediatrics Event.

## Screen for ACEs

Screening for ACEs can help determine if a patient is at increased health risk due to a toxic stress response and provide trauma-informed care. Identifying and treating cases of trauma in children and adults can lower long-term health costs and support the well-being of individuals and families.

The California Department of Health Care Services (DHCS) has identified and approved specific screening tools for children and adults for the 10 categories of ACEs grouped under three sub-categories: abuse, neglect and household dysfunction.

**For children and adolescents, use [PEARLS](#).**

PEARLS is designed and licensed by the Center for Youth Wellness and are available in additional languages. There are three versions of the tool based on age:

- PEARLS for children ages 0–11, to be completed by a caregiver
- PEARLS for teenagers ages 12–19, to be completed by a caregiver
- PEARLS for teenagers ages 12–19, self-reported

**For adults, use the [ACE assessment tool](#).**

The ACE assessment tool is adapted from the work of Kaiser Permanente and the Centers for Disease Control and Prevention (CDC). Other versions of the ACEs questionnaires can be used, but to qualify, questions must contain the 10 categories mentioned above.

### Use of tools

AGES	USE THIS TOOL	TO RECEIVE DIRECTED PAYMENT
0-17	PEARLS	Not given more than once during a 12-month period, per provider, per member

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AGES	USE THIS TOOL	TO RECEIVE DIRECTED PAYMENT
18 or 19	ACEs or PEARLS	Not given more than once during a 12-month period, per provider, per member
20-64	ACEs screening portion of the PEARLS tool (Part 1) can also be used.	<p>Not given more than once during a 12-month period, per provider, per member under age 21.</p> <p>Not given more than once per lifetime, per provider, per member ages 21 and older.</p>

The approved tools are available in two formats:

- **De-identified screening tool:** Patients have the option to choose a de-identified screening, which counts the numbers of experiences from a list without specifying which adverse experience happened.
- **Identified screening tool:** Patients can opt in for an identified screening in which respondents specify the experience(s) that happened to their child or themselves.

Providers are encouraged to use the de-identified format to reduce the fear and anxiety patients may have.

**Administering the screening**

There are several ways to administer the screening. Providers are encouraged to use the tools appropriate for their patient population and clinical workflow. Before administering, providers should consider the following:

- Identify which screening tools and format to use for adults, caregivers of children and adolescents, and adolescents.
- Determine who should administer the tool, and how.
- Determine which patients should be screened.

It is recommended that the screening be conducted at the beginning of an appointment. Providers or office staff will provide an overview of the questionnaire and encourage the patients (adolescent, adults or caregivers) to complete the form themselves in a private space to allow members to disclose their ACEs without having to explain their answers. Patients may take up to five minutes to complete the screening tool.

**References**

For more information, refer to:

- [ACEs Aware screening tools](#)
- [ACE Screening Clinical Workflows and Assessment Algorithm \(PDF\)](#)
- [ACE Screening Tools in Multiple Languages](#)

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## Treat and Heal

The ACE score determines the total reported exposure to the 10 ACE categories indicated in the adult ACE assessment tool or the top box of the pediatric PEARLS tool. ACE scores range from 0 to 10 based on the number of adversities, protective factors and the level of negative experience(s) that have impacted the patient. Providers will obtain a sum total of the number of ACEs reported on the screening tool.

For children and adults, two toxic stress risk assessment algorithms based on the score were developed to determine the level of risk and referral needs. According to the algorithm, risk and scores are determined as follows:

RISK	SCORE	ACTION
Low	0	If a patient is at low risk, providers should offer education on the impact of ACEs, anticipatory guidance on ACEs, toxic stress and buffering factors.
Intermediate	1 – 3	A patient who scores 1–3 has disclosed at least one ACE-associated condition and should be offered educational resources.
High	1 – 3 with associated health conditions, or a score of 4 higher	The higher the score, the more likely the patient has experienced toxic stress during the first 18 years of life and has a greater chance of experiencing mental health conditions, such as depression, post-traumatic disorder, anxiety and engaging in risky behaviors.

## Referral and Resources

As part of the clinical workflow, providers should be prepared with a treatment plan and referral process so patients who have identified behavioral, social or trauma can be connected to trained professionals and resources. Building a strong referral network and conducting warm hand-offs to partners and services are vital

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to the treatment plan. In addition, it is critical to build a follow-up plan to effectively track the patient's process to ensure they get connected to the support needed.

### **ACEs resources**

Free [ACEs resources](#) for providers on screening and clinical response.

### **Behavioral Health Services**

For Health Net:

Health Net members can obtain individual and group mental health evaluation and treatment. Providers can call [Behavioral Health Provider Services](#). It is recommended providers call the member services number on the back of the members ID card with the member to facilitate the referral and obtain member consent for treatment. Crisis support is available 24 hours a day, 7 days a week. Members can call the number on the back of their ID card to talk to someone right away.

### **Case Management**

If your patient is uncertain about next steps or would like to learn more, please refer them to the health plan's behavioral health [Case Management Department](#).

### **Health Net Community Connect**

Health Net Community Connect is powered by [Findhelp](#) formerly known as Aunt Bertha, which is the largest online search and referral platform that provides results customized for the communities you and your health care staff serve or where members live.

To use the tool, Health Net members should go to [healthnet.findhelp.com](http://healthnet.findhelp.com), enter a ZIP code and click Search.

### **myStrength**

For members with ACEs, the myStrength program can provide an additional resource. Providers should call Health Net if a member needs emergent or routine treatment services. To refer a member to the myStrength program, members can visit [myStrength.com](http://myStrength.com) to sign up online or download the myStrength app at Google Play or the Apple Store.

To join online, visit [my Strength](#), then click Sign Up and complete the myStrength sign-up process with a brief wellness assessment and personal profile.

### **Health Education Materials**

You can request materials on many key topics from Health Net's Health Education Department utilizing the form located in the Provider Library under Forms and References.

Consider ordering the below materials to support your ACEs treatment plan:

- Exercise
- Nutrition
- Parenting (stress reduction)
- Lower toxic stress
- Parenting Prevent ACEs
- Understanding ACEs
- Stress Management

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## References

For more information, refer to:

- [ACEs Screening Sample Scripts for Pediatric Clinical Teams](#)
- [ACEs Aware treatment](#)
- [ACEs Screening Clinical Workflows and Assessment Algorithm](#)
- [ACEs Aware resources](#)

## ACE Training and Self-Attestation Requirement for Billing

Effective July 1, 2022, Medi-Cal providers who have completed the two-hour online ACE training and submitted their self-attestation to DHCS can continue or begin billing for ACE screenings. Providers who missed the July 1 deadline can still complete the training, self-attest and begin billing the month of completing the attestation.

You must attest with a valid NPI number, or you will not be eligible to receive payment. Our support teams at [Provider Services](#) and [Provider Relations Department](#) will have the latest DHCS Prop 56 ACEs Provider Training Attestation List and be able to look up the customer/provider to see if DHCS has received their ACEs training attestation online form.

## How to receive payments for ACE screenings

Providers will need to complete the ACEs Aware training and must self-attest to receive payment. To get started, you must:

- Register for the "[Becoming ACEs Aware in California](#)" core training.
- Self-attest. Complete the ACEs [Provider Training Attestation](#) form.
  - Note. The ACEs Aware provider directory is optional for commercial providers.
- Submit claims for ACEs screening with dates of service on or after January 1, 2022, and proof of completion certificate. Claims eligible for payment must be submitted within one year from the date of service.
- Use CPT codes 96160 and 96161 when billing for ACE screenings.
- Claims must also include an ICD-10 code (e.g., T and Z codes around child maltreatment). In California, some ICD-10 codes have been identified as being related to ACEs screening in the state. Examples are:
  - Z59.4: Lack of adequate food or safe drinking water
  - Z63.0: Relationship problem between spouse or partners
  - Z62.819: History of abuse in childhood
  - Z63.5: Family disruption due to divorce or legal separation
  - Z63.32: Absence of family member
  - Z81.9: Family history of mental and behavioral disorder
  - Z63.72: Alcoholism and drug addiction in family
  - Z63.9: Problem related to primary support group
- Providers must document the following information and ensure the documents remain in the member's medical record and available upon request:
  - The screening tool that was used.
  - Date the completed screen was reviewed.
  - Results of the screen.
  - Interpretation of the results.
  - What was discussed with member and/or family.
  - Include any appropriate action taken.

## Existing and future trainings on ACEs

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ACEs Aware offers a variety of trainings on ACEs and Trauma Informed Care. To access and view existing trainings or register for future trainings to support your work with ACEs, visit the [ACEs Aware site](#).

## Benefits

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information.

## Benefits in Alphabetical Order

Select any subject below:

[A](#) | [B](#) | [C](#) | [D](#) | [E](#) | [F](#) | [G](#) | [H](#) | [I](#) | [J](#) | [K](#) | [L](#) | [M](#) | [N](#) | [O](#) | [P](#) | [Q](#) | [R](#) | [S](#) | [T](#) | [U](#) | [V](#) | [W](#) | [X](#) | [Y](#) | [Z](#)

### A

- [Acupuncture](#)
- [AIDS](#)
- [Alcohol and Drug Abuse](#)
- [Allergy Treatment](#)
- [Ambulance](#)
- [Autism Spectrum Disorders](#)

### B

- [Bariatric Surgery](#)
- [Behavioral Health](#)
- [Blood](#)

### C

- [Chemotherapy](#)
- [Chiropractic](#)
- [Clinical Trials](#)
- [Cosmetic and Reconstructive Surgery](#)

### D

- [Dental Services](#)
- [Dialysis](#)
- [Durable Medical Equipment](#)

### E

- [Essential Health Benefits](#)

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## F

- [Family Planning](#)

## G

- [General Benefit Exclusions and Limitations](#)
- [Genetic Testing](#)

## H

- [Hearing](#)
- [Home Health Care](#)
- [Hospice Care](#)
- [Hospital and Skilled Nursing](#)

## I

- [Immunizations](#)
- [Incarcerated Members](#)
- [Injectables](#)

## J

## K

## L

## M

- [Maternity](#)
- [Medical Social Services](#)

## N

- [Nuclear Medicine](#)
- [Nurse Midwife](#)

## O

- [Obesity](#)
- [Outpatient Services](#)

## P

- [Periodic Health Evaluations](#)
- [Physicians Visit](#)



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- Preventive Services
- Prosthesis

Q

R

- Rehabilitation Therapy
- Routine Physical Exam

S

- Second Opinion by a Physician
- Support for Disabled Members
- Surgery, Surgical Supplies and Anesthesia

T

- TMJ
- Transgender Services
- Transplants

U

V

- Vision

W

X

- X-Ray and Laboratory Services

Y

Z

## Acupuncture

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general member benefit information on acupuncture services, including coverage exclusions and limitations.

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Select any subject below:

- [Acupuncture Services](#)
- [Covered Services](#)

## Acupuncture Services

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

The following information applies to HSP, HMO, Ambetter HMO and Ambetter PPO members.

Acupuncture services for treatment or diagnosis of musculoskeletal and related disorders, nausea, and pain are a covered benefit for some members. Refer to the member's Evidence of Coverage (EOC) to confirm if the member is eligible for acupuncture services.

Acupuncture services are administered by the American Specialty Health Plans, Inc. (ASH Plans) network of participating acupuncturists without a referral from the member's primary care physician (PCP) as stated in the EOC.

Refer the member to ASH Plans or the Member Services Department for more information about acupuncture services.

### Coverage Criteria

Acupuncture services for treatment or diagnosis of musculoskeletal and related disorders, nausea, and pain are a covered benefit, subject to medical benefits exclusions, limitations and authorization protocols listed in the EOC. Subsequent visits are authorized by ASH when medically necessary as stated in the EOC.

Additional services in subsequent visits may include:

- Adjunctive therapies or modalities such as acupressure, moxibustion or breathing techniques are covered only when provided during the same course of treatment and in support of acupuncture services.

The following information applies to PPO members.

Acupuncture services for treatment or diagnosis of musculoskeletal and related disorders, nausea, and pain are a covered benefit for some members. Refer to the member's EOC to confirm if the member is eligible for acupuncture services.

### Coverage Criteria

Acupuncture services for treatment or diagnosis of musculoskeletal and related disorders, nausea, and pain are a covered benefit, subject to medical benefits exclusions, limitations and authorization protocols listed in the EOC. Subsequent visits are authorized when medically necessary as stated in the EOC.

Additional services in subsequent visits may include:

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- Adjunctive therapies or modalities such as acupuncture, moxibustion or breathing techniques are covered only when provided during the same course of treatment and in support of acupuncture services.

#### Exclusions and Limitations

- Hypnotherapy, behavior training, sleep therapy, and weight programs.
- Services, examinations and/or treatments for asthma or addiction, such as nicotine addiction.
- Thermography, magnets used for diagnostic or therapeutic use, ion cord devices, manipulation or adjustments of the joints, physical therapy services, iridology, hormone replacement products, acupuncture point or trigger-point injections (including injectable substances), laser/laser BioStim<sup>®</sup>, colorpuncture, nambudripad's allergy elimination techniques (NAET) diagnosis and/or treatment, and direct moxibustion.
- Services and other treatments that are classified as experimental or investigational.
- Radiological X-rays (plain film studies), magnetic resonance imaging, CAT scans, bone scans, nuclear radiology, diagnostic radiology, and laboratory services.
- Transportation costs, including local ambulance charges.
- Education programs, non-medical lifestyle or self-help, or self-help physical exercise training or any related diagnostic testing.
- Air conditioners/purifiers, therapeutic mattresses, supplies or any other similar devices or appliances or durable medical equipment.
- Adjunctive therapy not associated with acupuncture.
- Dietary and nutritional supplements, including vitamins, minerals, herbs, and herbal products, injectable supplements and injection services, or other similar products.
- Massage therapy.
- Services provided by a practitioner of acupuncture services practicing outside of the service area, except for urgent or emergency services.

## Covered Services

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The following are covered acupuncture services when the member's plan includes optional acupuncture coverage under Health Net's arrangement with American Specialty Health Plans, Inc. (ASH Plans).

- Examination - initial examination and re-examinations
- Treatment - acupuncture/office visit, and adjunctive therapy
- X-ray and lab tests are payable in full by ASH Plans when referred by a participating acupuncturist and authorized by ASH Plans. Radiological consultations are a covered benefit when authorized by ASH Plans as medically/clinically necessary services

Acupuncture services under this benefit are obtained through self-referral; however, acupuncture for certain conditions, illnesses or injuries are only covered if the services are provided in conjunction with services from a medical doctor (for example, chronic pain or nausea related to chemotherapy).



Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general member benefit information on AIDS/HIV injectable medications. Refer to [AIDS Definition](#) for additional information.

Select any subject below:

- [AIDS/HIV Injectable Medications](#)
- [Claims Submission](#)

## AIDS/HIV Injectable Medications

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

AIDS/HIV injectable medications are injectable medications that have been approved by the Food and Drug Administration (FDA) and Health Net for the treatment of AIDS/HIV. Refer to the [Health Net Injectable Medication HCPCS/DOFR Crosswalk \(PDF\)](#) for covered AIDS/HIV injectable medications.

## Claims Submission

Participating Physician Groups (PPG)

To be reimbursed for AIDS-related claims through special risk reinsurance, participating physician groups (PPGs) must submit a Health Net Professional Batch form completed as follows:

- "Special Risk Reinsurance" must be written at the top of the form
- Attach CMS-1500 or UB-92 form, as follows:
  - Original copies or a very clear photocopy
  - Itemized bills attached to each inpatient claim
  - Itemized bills attached to each electronic claim
- Attach a copy of the explanation of check, if applicable
- Attach copies of authorization for nuclear medicine claims
- Specify AIDS-related conditions on the form (refer to [Attachment A \(PDF\)](#) for a list of Centers for Disease Control and Prevention (CDC) diagnosis criteria)
- Attach a completed Attachment A for first time claim submissions in place of the member's medical records. Include date member was first diagnosed with AIDS (symptomatic HIV infection). Form must be signed by PPG staff member ensuring records review occurred and case met criteria for special risk reinsurance program
- Attach a completed [Attachment B \(PDF\)](#) for all subsequent claim submissions for the same member
- Do not highlight on the form

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Health Net has modified requirements for submitting requested medical records with respect to member confidentiality concerns. Health Net no longer requires regular submission of the following items, but may request them in individual cases.

- Medical records and lab reports (for example, CD4T - Lymphocyte count and HIV test result only) for members not established in special risk reinsurance to determine whether criteria are met
- Copies of medical records with each subsequent claim submission when diagnosis on claim does not match the criteria matrix
- List of medications prescribed to member. Medications should match the procedures or examination charges

## Claims Processing and Reimbursement

Claims are processed in accordance with Health Net's procedures and the Provider Participation Agreement (PPA). Health Net subtracts from the calculated resource-based relative value scale (RBRVS) payment any copayments allowed for the type of service and any third-party amounts collected by the PPG.

A PPG that believes an error has been made in a claim processing decision should contact Health Net's Claims Unit. PPGs may appeal the final settlement to Health Net management.

PPGs must submit claims to Health Net within the time frame specified in their PPA (generally 120 days). If a PPG submits claims for reimbursement past the timely filing limit specified in their PPA, Health Net denies reimbursement for these claims and the PPG has full responsibility for these services.

## Alcohol and Drug Abuse

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general member benefit information and provider referral information on alcohol and drug abuse services.

Select any subject below:

- [Overview](#)
- [Co-Management Process](#)
- [Referral Process](#)
- [Substance Abuse Facilities](#)
- [Substance Abuse Rehabilitation Services](#)
- [Minor's Consent for Services](#)

## Overview

Provider Type: Participating Physician Groups (PPG) | Ancillary | Hospitals

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Health Net covers acute care (detoxification) services for alcohol and drug abuse based on medical necessity. Services include diagnosis, medical evaluation, treatment, detoxification services, and referrals for further assistance. Coverage for acute care does not have a maximum number of admissions and must be provided even if the problem is determined to be chronic.

Plans also cover alcohol and drug or substance abuse rehabilitation on an outpatient and/or inpatient basis. Outpatient treatment can include partial hospital programs (PHP) day treatment, intensive outpatient (IOP) treatment, or just outpatient sessions. Coverage may include treatment on an inpatient basis in a residential substance abuse facility or on an outpatient basis for day care substance abuse treatment programs. Refer to the member's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#) for specific plan coverage.

## Exclusions and Limitations

For plans that cover acute medical care (detoxification) only, non-medical ancillary services and substance abuse rehabilitation services are not covered. This exclusion does not apply to Individual Family Plan (IFP) Ambetter HMO and Ambetter PPO members.

## Co-Management Process

Provider Type: Participating Physician Groups (PPG) | Ancillary | Hospitals

Contact the Health Net Behavioral Health Services, which transfers the call to a care manager who coordinates care and completes the Medical-Behavioral Co-management Referral Form for alcohol and drug abuse, to include medical comorbidities contributing to or combined with a behavioral health disorder that needs coordination of care with a participating physician group (PPG) or Health Net.

## Referral Process

Provider Type: Participating Physician Groups (PPG) | Ancillary | Hospitals

For referrals, contact [Behavioral Health Provider Services](#).

## Substance Abuse Facilities

Provider Type: Participating Physician Groups (PPG) | Ancillary | Hospitals

Inpatient substance abuse facilities must be certified and provide medical and other services to inpatient residents. On admission to an inpatient substance abuse facility, the member is entitled to coverage for the following services:

- Detoxification, if necessary (days used for detoxification are not deducted from the calendar year maximum for rehabilitation).
- Laboratory tests.

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- Medications, biologicals and solutions dispensed by the facility and used while the patient is in the facility.
- Supplies and use of equipment required for detoxification or rehabilitation.
- Professional and other trained staff and ancillary services provided in the facility that are necessary for patient care and treatment.
- Individual and group therapy or counseling.
- Psychological testing by an individual who is legally qualified to administer and interpret such tests (subject to prior review for medical necessity).
- Family counseling.

## Substance Abuse Facilities - Outpatient

Health Net uses intensive outpatient (IOP) treatment prior to using partial hospital programs (PHP) for substance abuse. IOP can be from 24 to 32 sessions over six to eight weeks.

Health Net defines half-day PHP (HD-PHP) as facilities providing ambulatory care, and having the requisite credentialing to provide up to 20 hours per week, but no more than four hours a day, of skilled treatment interventions. During the course of treatment, the member returns home or to a sober living environment (after each session) in order to facilitate a smooth transition to lower levels of care. These consist of diversified treatment modalities to address the problems of substance abuse. Health Net requires that each staff person, from chemical dependency (CD) counselor to addictionologist, be certified or licensed in their particular level of expertise.

Treatment strategies are diversified, and individually fitted to the needs of the member. HD-PHP may be utilized for substance abuse treatment alone, or as a dual substance abuse/behavioral health program. The duration of the program is not pre-established but individually determined, according to the needs and current status of the member. The HD-PHP may be part of a full-day program where treatment has been adjusted to the member's needs and the structure of the full day is no longer required. The program can be part of a medical setting, or a freestanding facility. If the latter, it must have access to a medical center within a reasonable period of time, to treat any emergencies that may arise.

Outpatient substance abuse facilities must be certified (Medicare-certified for Medicare Advantage plans) and provide medical and other services on a daily basis during designated hours and on certain specified days, usually Monday through Friday, and occasionally half-days on Saturday. Health Net must also approve the facility in order for services to be covered.

Members receiving treatment in a Health Net-approved outpatient facility are entitled to coverage for the following services:

- Professional and other trained staff and ancillary services provided in the facility that are necessary for treatment of the ambulatory patient.
- Individual and group therapy or counseling.
- Family counseling, with each visit by one or more family members of the Health Net member being deducted from the member's outpatient behavioral health consultation benefit for the calendar year.
- Laboratory tests required in connection with the treatment received at the facility.
- Medications, biologicals, solutions, and supplies dispensed by the facility in connection with treatment received at the facility, including medications to be taken home.
- Psychological testing by a person legally qualified to administer and interpret such tests. Where there are no licensure laws, the psychologist must be certified for psychological testing by the appropriate professional body (subject to prior review for medical necessity).

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## Substance Abuse Rehabilitation Services

Provider Type: Participating Physician Groups (PPG) | Ancillary | Hospitals

Refer to the specific plan chart in the [Schedule of Benefits and Summary of Benefits](#) for inpatient or outpatient rehabilitation services for substance abuse. The facility may be an acute care general hospital that provides all of the usual treatments and services as well as a substance abuse rehabilitation center that specializes in providing care for chemical dependency. The facility must be accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO) or Rehabilitation Accreditation Commission. For MA members, the rehabilitation facility must also be Medicare-certified.

## Substance Abuse Rehabilitation Exclusions and Limitations

The following are exclusions and limitations for substance abuse rehabilitation services:

- Personal or convenience items, such as phones, television or services of a hairdresser.
- Health services for disorders other than alcoholism or drug dependence as classified in categories 303.0-304.7 of the Ninth Revision, International Classification of Diseases, adopted for use by the U.S. Department of Health, Education and Welfare.
- Diversional therapy.
- Aversion therapies.

## Minor's Consent for Services

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Minors ages 16 or older may consent to receive medications that use buprenorphine for opioid use disorder as narcotic replacement therapy without parent or guardian consent. Assembly Bill (AB) 816 (2023) revised Family Code Section 6929 and added Family Code Section 6929.1 that expands minor consent to include narcotic replacement therapy only in a detoxification setting. Parent or guardian consent is necessary for maintenance narcotic replacement therapy (NRT).

## Allergy Treatment

Provider Type: Physicians | Participating Physician Groups (PPG)

Allergy testing and allergy immunotherapy (allergy injection services) are covered under all plans when medically necessary for the treatment of members with clinically significant allergic symptoms. Some plans also cover allergy serum. Allergy treatment is subject to scheduled copayments.

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## Ambulance

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general member benefit information on ambulance services.

Select any subject below:

- [Ambulance \(Air or Ground\)](#)
- [Authorization](#)
- [ModivCare](#)
- [Transfer of Members Hospitalized Out of Area](#)

## Ambulance (Air or Ground)

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Non-emergency air and ground ambulance services are covered if ordered and approved by a [participating provider](#). Ambulance services in conjunction with emergency medical treatment provided outside the participating physician group (PPG) or Health Net service area are considered reinsured services. Services originating outside the PPG or Health Net service area and terminating inside the service area are also considered reinsured services. All emergency air and ground ambulance services are covered regardless of whether the services were obtained in or out of the service area. They do not require prior authorization.

## Transfer of Members Hospitalized Out of Area

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Occasionally, a Health Net member is hospitalized at a participating or non-participating out-of-area facility. This type of hospitalization is covered if the member requires emergency care. If an emergency requires admission or long-term care, the member must notify Health Net or the participating physician group (PPG) as soon as possible. Health Net or the PPG monitors the member's treatment and transfers the member, when possible, to a participating facility in the Health Net or PPG's service area. Transfer is usually by ground or air ambulance, although some members may be safely transported by other less costly means.

## Modivcare

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Modivcare™ (formerly LogistiCare) is Health Net of California's capitated preferred provider for all covered, non-emergency transportation services for HMO members and fee-for-service (FFS) HMOs, and Medicare Advantage HMO members assigned to participating physician groups (PPGs) delegated for utilization management but not financially at risk for transportation services. These PPGs are not required to issue transportation authorization to Modivcare; however, all referral sources (PPGs, hospitals, skilled nursing facilities, etc.) are required to contact Modivcare to arrange for transportation services. Failure to do so may result in the denial of the claim for which you may be liable. Providers must request non-emergency transportation services (other than 911) through [Modivcare](#).

Modivcare is Health Net of California's preferred provider for all covered, non-emergency transportation services for PPO members, subject to prior authorization from Health Net.

Health Net only reimburses for transports that are medically necessary and covered by the member's benefit plan.

## Authorization

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

For non-emergency ambulance services, providers must contact [Modivcare™](#) (formerly LogistiCare).

## Autism Spectrum Disorders

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Autism is the most common of a group of conditions collectively called autism spectrum disorders (ASDs). Autism, a behavioral illness that can range on the spectrum from mild to severe, is a developmental disorder. Severe forms of autism present in the first few years of life and profoundly interfere with the individual's lifelong functioning.

Health Net has developed a medical policy, Applied Behavioral Analysis (ABA), which provides more detailed information about the screening, diagnosis and treatment of ASD. This medical policy is available on the [Health Net Website](#).

## Screening

The primary care physician (PCP) is usually the first practitioner to see signs of autism, typically characterized by impairment in three core areas:

1. Social interactions
2. Verbal and nonverbal communication
3. Restricted activities or interests and/or unusual, repetitive behaviors

The degree of impairment in these areas varies widely from child to child.

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The American Academy of Pediatrics (AAP) has added screening for autism at ages 18 and 24 months to their recommendations for preventive pediatric care. Additional follow-up visits after six months for borderline results are at the discretion of the provider. Screenings may include:

- Assessing vision and hearing.
- Directly observing the child in structured and unstructured settings.
- Evaluating cognitive functioning (verbal and nonverbal).
- Assessing adaptive functioning.
- Discussing with parents any concerns they have and asking specific questions regarding the child's functioning.

AAP guidelines for for Autism Spectrum Disorders are available [online](#). Additional AAP autism resources are available [Healthy Children](#).

## Diagnostic Evaluation

Typically, a team of medical and behavioral specialists that generally includes the child's PCP or a behavioral pediatrician, child psychiatrist, speech and language pathologist, and other ancillary clinical specialists, as needed, provides input for a diagnosis of ASD. A thorough evaluation for ASD may include the following:

- Parents and/or caregiver interview, including siblings of the child with suspected autism
- Comprehensive medical evaluation
- Direct observation of the child
- Evaluation by a speech-language pathologist
- Formal hearing evaluation, including frequency-specific brainstem auditory evoked response
- Evaluation of the child's cognitive and adaptive functioning
- Evaluation of academic achievement for children ages six and older

There are a number of assessment tools that are used by clinicians to assist in the diagnosis of autism. A list of some of the assessment tools is included in the Health Net medical policy on the [Health Net website](#).

## Medical Services

Health Net arranges for covered medical services for ASD through its participating network of physicians, hospitals and other providers. The PCP provides a medical home for the member with ASD and, as such, provides preventive health screenings and immunizations and routine and urgent medical care, including referrals for specialty care. For members with ASD, medical referrals may include speech and language therapy, physical therapy, occupational therapy, and/or specialty management for seizure disorders and other appropriate services. Health Net has policies for standing referrals, which may be appropriate in some ASD cases, that assist members to obtain needed care without additional authorization approval. PCPs may also refer the member with ASD for any needed behavioral health services.

## Behavioral Health Services

Behavioral health services can be accessed directly by parents or by referral from any treating physician. Health Net's participating network of child psychiatrists provides services such as medication management of specific symptoms related to the ASD as well as any comorbid psychiatric conditions. The network of therapists

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are available to provide family therapy to help parents and siblings cope with the diagnosis and the member with ASD behaviors, brief psychotherapy to teach behavior modification techniques to parents to assist them in managing their child, and individual psychotherapy for adolescents and young adults with an ASD. This treatment may be designed to help the family better understand how to cope with the disorder or treat a comorbid mood or anxiety disorder. Inpatient hospitalization is also available if the child with ASD becomes an acute danger to self or others or is behaviorally disruptive, requiring intensive intervention to restabilize the individual.

## Qualified Autism Professionals

Every health care service plan subject to Section 1374.73 of the Health and Safety Code shall maintain an adequate network that includes qualified autism service providers who supervise or employ qualified autism service professionals or paraprofessionals who provide and administer behavioral health treatment. A health care service plan is not prevented from selectively contracting with providers within these requirements.

A “qualified autism service professional” is a person who meets specified educational, training, and other requirements and is supervised and employed by a qualified autism service provider. These professionals can be a psychological associate, an associate marriage and family therapist, an associate clinical social worker, or an associate professional clinical counselor as long as these types meet the criteria for a Behavioral Health Professional as defined and regulated by the Board of Behavioral Sciences or the Board of Psychology.

A “qualified autism service paraprofessional” is an unlicensed and uncertified individual who meets specified educational, training, and other criteria, is supervised by a qualified autism service provider or a qualified autism service professional, and is employed by the qualified autism service provider. A qualified autism service paraprofessional can include a behavioral health paraprofessional.

Definitions of qualified autism service providers, professionals and paraprofessionals:

A “qualified autism service provider” means either of the following:

- A person who is certified by a national entity, such as the Behavior Analyst Certification Board, with a certification that is accredited by the National Commission for Certifying Agencies, and who designs, supervises, or provides treatment for pervasive developmental disorder or autism, provided the services are within the experience and competence of the person who is nationally certified.
- A person licensed as a physician and surgeon, physical therapist, occupational therapist, psychologist, marriage and family therapist, educational psychologist, clinical social worker, professional clinical counselor, speech-language pathologist, or audiologist pursuant to Division 2 (commencing with Section 500) of the Business and Professions Code, who designs, supervises, or provides treatment for pervasive developmental disorder or autism, provided the services are within the experience and competence of the licensee.
- Has training and experience in providing services for pervasive developmental disorder or autism pursuant to Division 4.5 (commencing with Section 4500) of the Welfare and Institutions Code or Title 14 (commencing with Section 95000) of the Government Code.

A “qualified autism service professional” means an individual who meets all of the following criteria:

- Provides behavioral health treatment, which may include clinical case management and case supervision under the direction and supervision of a qualified autism service provider.
- Is supervised by a qualified autism service provider.

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- Provides treatment pursuant to a treatment plan developed and approved by the qualified autism service provider.
- Is either of the following:
  - A behavioral service provider who meets the education and experience qualifications described in Section 54342 of Title 17 of the California Code of Regulations for an Associate Behavior Analyst, Behavior Analyst, Behavior Management Assistant, Behavior Management Consultant, or Behavior Management Program, or meets the criteria set forth in the regulations adopted pursuant to subdivision (a) of Section 4686.4 of the Welfare and Institutions Code for a behavioral health professional.
  - A psychology associate, an associate marriage and family therapist, an associate clinical social worker, or an associate professional clinical counselor, as defined and regulated by the Board of Behavioral Sciences or the Board of Psychology.

A “qualified autism service paraprofessional” means an unlicensed and uncertified individual who meets all of the following criteria:

- Is supervised by a qualified autism service provider or qualified autism service professional at a level of clinical supervision that meets professionally recognized standards of practice.
- Provides treatment and implements services pursuant to a treatment plan developed and approved by the qualified autism service provider.
- Meets the education and training qualifications described in Section 54342 of Title 17 of the California Code of Regulations for a behavior management technician (paraprofessional) Behavior Management Technician (Paraprofessional) or meets the criteria set forth in the regulations adopted pursuant to subdivision (b) of Section 4686.4 of the Welfare and Institutions Code for a Behavioral Health Paraprofessional.
- Has adequate education, training, and experience, as certified by a qualified autism service provider or an entity or group that employs qualified autism service providers.
- Is employed by the qualified autism service provider or an entity or group that employs qualified autism service providers responsible for the autism treatment plan.

## Educational Services

An important potential source of help for children with autism is the public school system. Under Federal Public Law 94-142 (the Individuals with Disabilities Education Acts of 1990 and 1997), each school is required to provide handicapped children with free, appropriate education through age 21. The school is required to evaluate each child and, with the parents, develop an individual education plan (IEP). The IEP determines the educational setting that is most appropriate for the child, establishing goals for each child that are academic and behavioral/social. The local public school system may provide for or refer the child for educational interventions, such as ABA, intensive behavioral intervention (BI), discrete trials training, early intensive behavioral intervention (EIBI), intensive intervention programs, Picture Exchange Communication Systems (PECS), facilitated communication, Treatment and Education of Autistic and Related Communication of Handicapped Children (TEACCH), or floor time.

The local school system is responsible for education services once the child reaches age three. California's Early Start Program (for children under age three) or the local regional center (for children ages three and up) provides other services, such as in-home services.

Health Net is not responsible for and does not provide coverage for educational services (except for ABA services for Health Net commercial members diagnosed with ASDs when coverage is mandated by the state).

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## Case Management/Comanagement

At the request of the provider, Health Net or the delegated participating physician group (PPG) provides a case manager who is knowledgeable about plan benefits to assist in the coordination of health care treatment services. Health Net has also implemented a comanagement process that encourages better communication and coordination with complex cases. Through this process, medical directors and case/care managers from Health Net or a PPG are able to work together to further integrate the various elements of the medical and behavioral treatment plan. Comanagement may be initiated by Health Net, the PPG, or the provider. Email or fax a completed [Care Management Referral Form](#) using the information noted on the form.

## Coordination of Care

Health Net expects all providers involved in the treatment of a member with ASD to coordinate the care and treatment they are providing through appropriate communication. Communication helps prevent duplication of tests and contraindicated medications and treatment, and allows providers the opportunity to modify the member's treatment plan based on more thorough information.

Coordination with the school system, Early Start Program, and regional centers regarding educational services helps ensure the ASD member receives the full range of treatment options.

## Nurse Advice Line

The [Nurse Advice Line](#) offers highly trained registered nurses for condition-specific support, 24 hours a day, seven days a week to members. Refer to the Nurse Advice Line to discuss health concerns of ASD for Health Net members.

## Resources

The following online resources are available to assist providers in the screening, diagnosis and treatment of ASD.

- [AAP recommendations for preventive care](#)
- [Early Start Program](#)
- [Health Net national medical policy](#)
- [Individuals with Disabilities Education Act](#)
- [Other AAP resource](#)
- [Regional centers contact information](#)

## Bariatric Surgery

Provider Type: Physicians || Hospitals Participating Physician Groups (PPG)

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Bariatric surgery provided for the treatment of morbid obesity is covered when medically necessary, authorized by Health Net or a delegated participating physician group (PPG), and performed at a [Health Net Bariatric Surgery Performance Center \(PDF\)](#) by a participating surgeon.

Direct network physicians and non-delegated PPGs may submit prior authorization requests for bariatric surgery to [Health Net Medical Management Department](#).

## Compliance for Bariatric Hospitals and Surgeons

Health Net's standardized review process monitors and evaluates bariatric surgery participating providers' quality and outcomes to ensure access to high-quality bariatric surgical care for Health Net members. Health Net bariatric performance centers must be accredited by the Metabolic and Bariatric Surgery Accreditation and Quality Improvement Program (MBSAQIP) or currently in the accreditation application process. Hospitals and surgeons must continuously be in good standing through MBSAQIP and other industry-accepted oversight organizations.

Health Net's bariatric surgery participating providers are evaluated at least every calendar year to ensure each hospital and surgeon meets Health Net criteria. This evaluation is based on data reported each calendar year by the participating provider using Health Net's data submission process. Health Net may conduct off-cycle reviews upon discovery of substandard clinical care practices, as evidenced by changes in the participating provider's MBSAQIP designation level.

## Evaluation Criteria

Health Net's bariatric surgery participating providers are evaluated annually based on the following criteria.

### Hospitals:

- Volume must meet a minimum of 125 bariatric surgery procedures every two calendar years
- 30-day mortality must be equal to or less than one percent
- One-year mortality must be equal to or less than one percent

### Surgeons:

- Volume must meet a minimum of 50 bariatric surgery procedures every two calendar years
- 30-day mortality must be equal to or less than one percent
- One-year mortality must be equal to or less than one percent

## Data Monitoring

Health Net identifies regularly monitored measures based on the above criteria or when a new industry standard is set. Health Net may request an explanation from the hospital or surgeon when results fall below standards. Additionally, each year, Health Net collects and reviews data to adhere to the following specifications:

- The percentage of readmissions must be equal to or less than five percent
- Average length of stay (ALOS) must be equal to or less than the current Milliman Benchmarks for surgical procedures

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- The percentage of complications must be equal to or less than three percent

## Letter of Deficiency Process

If a bariatric surgeon does not comply with evaluation criteria, Health Net sends a letter of deficiency and indicates if a response is required within 21 days. If the provider does not respond by the deadline and a response is required, Health Net sends a certified letter with a two-week extension. If the provider still does not respond after the second deadline has expired, Health Net sends a third and final notice to the participating provider regarding the deficiency. This notice informs the participating provider of Health Net's decision including potential termination of the bariatric surgery program due to non-response.

## Corrective Action Plan Submission and Implementation

If a bariatric surgery participating provider does not comply with all of the evaluation criteria or results are deficient for three consecutive periods, a corrective action process may be initiated and a corrective action plan (CAP) requested. Health Net may request that the provider submit explanations prior to the request for a formal CAP. Additionally, if a program does not meet the criteria standards required for bariatric surgery performance centers or is under investigation by MBSAQIP or any other industry-accepted oversight organizations, Health Net requests that the bariatric surgery program share the oversight organization's findings and recommendations.

When requested, based on non-compliance with bariatric surgery criteria, the bariatric surgery participating hospital or surgeon must submit the CAP within 21 calendar days. Health Net reviews it to ensure it is appropriate and complete. If Health Net does not approve the CAP, a second notice is sent to the bariatric surgery participating provider allowing an additional 15 calendar days to revise the CAP and resubmit it to Health Net.

Health Net sends a third and final notice to the bariatric surgery participating provider upon continued non-responsiveness requests for a CAP or insufficient progress towards correcting the deficiencies. This notice informs the participating provider of Health Net's decision, including potential termination of the bariatric surgery program. Bariatric surgery participating providers may avoid these actions if both of the following occur:

- The provider submits an acceptable CAP to Health Net within 15 calendar days of receipt of the final notice
- The provider completes and demonstrates substantial progress toward completing the correction within 30 calendar days

The bariatric surgery provider must submit updates six months and one year after the original CAP submission date, or until completion of the CAP. If volume or outcome criteria are not met for two sequential data collection periods, Health Net may suspend new patient referrals for that participating provider. If criteria are not met for three sequential data collection periods, Health Net may take a remedial action, up to and including termination of the participating provider's contract.

## Onsite Visits

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At any time, either Health Net or a bariatric surgery provider may request, with reasonable advance notice, a meeting at the provider's office to discuss bariatric surgery program issues or concerns. Both parties must agree to attend.

## Adding Bariatric Surgeons or Performance Centers

Existing Health Net bariatric surgeons who are interested in adding bariatric surgeons to their practice must have the surgeons undergo the request for information (RFI) process. Hospitals interested in becoming a Health Net performance center must be accredited by MBSAQIP and undergo an RFI process. Providers may request and RFI via email at [cqi\\_dsm@healthnet.com](mailto:cqi_dsm@healthnet.com)

## Behavioral Health

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general member benefit information and provider referral information on behavioral health and substance abuse care services.

Select any subject below:

- [Overview](#)
- [5150 Holds](#)
- [Behavioral Health Customer Service](#)
- [Coordination of Care](#)
- [Day Care Treatment](#)
- [Dual Diagnosis](#)
- [Employee Assistance Program](#)
- [Exclusions](#)
- [General Guidelines for Referrals](#)
- [Obtaining Behavioral Health and Substance Abuse Care](#)
- [Out-of-Area Cases Involving an Acute Medical Diagnosis](#)
- [Transition of Care](#)
- [Minor's Consent to Mental Health Services](#)

## Overview

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Health Net manages inpatient and outpatient treatment for behavioral health and substance abuse care. Health Net has an extensive network of qualified practitioners and facilities. The network includes psychiatrists, psychologists, clinical social workers, psychiatric nurse specialists, marriage and family therapists, and licensed professional counselors, as well as psychiatric and substance abuse facilities and programs. All practitioners and facilities meet strict credentialing requirements. Members with behavioral health benefits have access to its network of behavioral health practitioners and providers. Health Net's behavioral health program

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provides inpatient care, including detoxification; outpatient care; day treatment; [residential treatment](#); and structured outpatient treatment programs.

In addition, Health Net provides members with a single source for all the necessary components of a comprehensive behavioral health and substance abuse programs, including:

- Claims administration
- Customer service
- Provider services and contracting
- 24-hour phone access for clinical screening information and referral
- Care management and quality improvement

## Copayment

A copayment may be collected from the member at the time services are rendered for some covered behavioral health and substance abuse services. The [Schedule of Benefits](#) located in the member's [Evidence of Coverage](#) (EOC) provides copayment information. Any required copayment should be collected by the Health Net provider or facility rendering the services.

## Criteria for Behavioral Health and Substance Abuse Treatment

All eligible members who call Health Net for a referral are screened by a customer service representative. If the member is in distress or appears to require treatment at a higher level than standard outpatient, they are transferred to a licensed clinical care manager for more complete assessment and referral to treatment. If the member is requesting a routine outpatient referral, the customer service representative provides them with names and contact information for several providers in their area. Outpatient office-based psychotherapy and medication evaluation/management does not require prior authorization. However, requests for facility-based care (with the exception of life-threatening emergencies), and psychological/neuropsychological testing, must be evaluated for medical necessity and prior authorized by Health Net. Members who present with conditions not related to a behavioral health disorder may be referred to community resources or the primary medical provider as appropriate.

Participating providers may also refer members for routine behavioral health services by advising the member to contact the Member Services number listed on the back of their ID card.

## 5150 Holds

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Under Section 5150 of the California Welfare and Institutions Code, a person who may be dangerous to self or others can be taken into custody and placed in an approved facility for a 72-hour treatment and evaluation. This is commonly referred to as a "5150 hold." Inpatient psychiatric coverage applies. 5150 holds are considered emergencies and should be handled like any other emergency inpatient hospitalization where the member cannot be immediately transferred. If the member is admitted to a non-participating facility and cannot be transferred until the 72-hour hold has expired, the situation should be monitored by Health Net. If continued

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inpatient care is required, the member should be transferred to a participating facility when it is safe to do so. Prior authorization is not required for emergency care; however, providers are encouraged to contact Health Net to report emergency encounters and admissions, and to coordinate post-stabilization care.

## Coordination of Care

Provider Type: Physicians | Participating Physician Groups (PPG)

Behavioral health providers and the member's primary care physician (PCP) need to be able to contact each other in the event that the behavioral health provider discovers a medical condition or the PCP identifies a psychiatric or substance abuse problem during a medical examination.

After the behavioral health provider conducts an initial assessment, the behavioral health provider or clinical care manager should coordinate care with the member's PCP if a medical condition is discovered. Behavioral health providers can contact [Behavioral Health Provider Services](#) for help in coordinating care for members who require specialized assistance in managing co-occurring medical and behavioral health conditions.

Although the Health Insurance Portability and Accountability Act (HIPAA) allows for communication between clinical practitioners for purposes of treatment coordination without member authorization, behavioral health practitioners are encouraged to discuss this with each member. In order to maintain member confidentiality, a written release form signed by the member is necessary for release of psychotherapy notes (session notes in the medical record consisting of the content of conversation during a private, group, joint, or family counseling session).

Coordination of care between the member's medical and behavioral providers is encouraged in the following situations:

- When a behavioral health practitioner begins prescribing psychotropic medications or makes significant changes to the regimen.
- A new member reports a concurrent medical condition, a substance abuse disorder and/or a major mental illness (for example, a condition other than an adjustment disorder) or when there is a change in condition for an established member.
- A behavioral health practitioner is considering treatment that requires a medical evaluation (for example, electroconvulsive therapy).
- A PCP or other medical provider refers a member to a behavioral health practitioner.

If there is any indication during a medical evaluation that a psychiatric or substance abuse problem is present, the PCP may contact [Behavioral Health Provider Services](#). Participating providers may also refer members for routine behavioral health services by advising members to contact the Member Services number listed on the back of their ID card.

## Day Care Treatment

Provider Type: Physicians | | Hospitals Participating Physician Groups (PPG)

When a member requires day care mental health treatment for four to eight hours per day in a mental health facility, any partial day treatment applies toward the outpatient mental health coverage. Verify that the member has outpatient mental health coverage by reviewing the [Schedule of Benefits](#).

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## Dual Diagnosis

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

For cases requiring both behavioral health and medical treatment services, the behavioral health clinician and medical provider determine a mutually acceptable treatment plan. This makes both treatments more effective. Conversations between the behavioral health provider and the member's health care providers should occur as necessary to ensure the treatment plans are managed together and the member's coverage is correctly applied between the two delivery systems.

## Employee Assistance Program

Provider Type: Physicians | Participating Physician Groups (PPG)

The primary focus of the Employee Assistance Program (EAP) is to resolve short-term issues. If a member needs ongoing assistance with behavioral health needs, the EAP clinician can conduct an assessment and furnish referrals to appropriate treatment resources, such as those covered by the employee's health insurance plan, or to community resources.

Many members accessing EAP services are not looking for or are not in need of psychotherapy. Members can access services for a range of reasons. The most common presenting problem is marital and family concerns. However, members also use EAP for problems in the workplace; stress, anxiety and sadness; alcohol and drug dependency; grief and loss; and other emotional health concerns.

In addition, EAP offers eligible members and their family members an array of non-clinical services. EAP experts provide telephonic guidance and referrals to help with financial and legal matters, identity theft recovery, childcare, elder care, and pre-retirement planning.

EAP providers can refer members to the Health Net behavioral health provider network and, when needed, coordinate care with the member's primary care physician (PCP) or participating physician group (PPG). Clinical care managers are available to work with EAP providers on referrals to behavioral health providers and programs.

## Exclusions

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The following are general exclusions that are not covered under the behavioral health program:

- Non-treatable disorders: Mental disorders or substance abuse conditions that Health Net determines are not likely to improve with generally accepted methods of treatment or conditions excluded from coverage.
- State hospital treatment: Treatment or confinement in a state hospital are limited to treatment or confinement as the result of an emergency or urgent care.

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- Non-standard therapies: Services that do not meet national standards for professional mental health practice, such as Erhard/The Forum, primal therapy, bioenergetics therapy, crystal healing therapy and therapies deemed experimental or investigational by medical policies.
- Psychological testing: Psychological testing for learning disabilities, academic difficulties, and educational achievement testing are not covered. Testing for attention deficit hyperactivity disorder (ADHD) as a single diagnosis, or not part of diagnostic clarification is also not a covered benefit. Psychological testing must be conducted by a licensed psychologist or psychiatrist, and must be medically necessary to diagnose or treat a mental health disorder.
- Prescription medications: Outpatient prescription medications or over-the-counter medications.
- Private-duty nursing: Private-duty nursing services in the home or in a hospital
- Insurance: Services for obtaining or maintaining insurance.
- Aversion therapy: Therapy intended to change behavior by inducing a dislike for the behavior through association with a noxious stimulus.
- Treatment for co-dependency: Treatment for co-dependency services, unless they are provided for a treatable mental disorder.
- Wilderness programs or therapeutic boarding schools not licensed as residential treatment centers.
- Non-participating providers: Services provided by mental health professionals or facilities not contracting with Health Net, except in those cases where Health Net refers a member to a non-participating provider or authorizes emergency or urgently needed care.
- Treatment by a relative: Treatment or consultation provided by the member's parents, siblings, children, current or former spouse, or any adults who live in the member's household.
- Education and employment services: Services related to educational, vocational and professional purposes, including:
  - Treatment of learning disabilities, borderline intellectual functioning and mental retardation.
  - Vocational rehabilitative education.
  - Investigations required for employment.
  - Education for maintaining employment or for professional certification.
  - Education for personal or professional growth, development or training, including vocational counseling.
  - Academic education during [residential treatment](#).
- Testing, screening or treatment for learning disabilities.
- Specialized treatment program for smoking cessation, weight reduction, obesity, stammering, stuttering, or sexual addiction.

The following types of treatment, except when provided in connection with covered treatment for a behavioral disorder or substance abuse condition:

- Treatment ordered by a court or treatment related to judicial/legal proceedings, including child custody, driving under the influence (DUI), driving while intoxicated (DWI), divorce, or child/elder/spousal abuse or neglect.
- Treatment of chronic pain.
- Treatment for co-dependency.
- Treatment for psychological stress.
- Relational problems, such as marital dysfunction, parent/child dysfunction, sibling dysfunction, spousal abuse, and work-related conflicts.
- Problems of daily living, such as stress, work, unemployment, uncomplicated bereavement, homelessness, poverty, phase of life, acculturation/discrimination, victim of crime/terrorism, incarceration, religious/spirituality problems, unwanted or conflicted pregnancy, lifestyle conflicts, and malingering.



For additional list of exclusions, providers must refer to the member Evidence of Coverage (EOC).

## General Guidelines for Referrals

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The following situations warrant referring a member to a behavioral health provider:

- Moderate to severe symptoms of depression that are not responding to treatment with first-line antidepressant medications.
- Suicidal ideation.
- Schizophrenic disorders where Clozaril® or risperidone or similar psychopharmaceuticals are being considered.
- Bipolar disorder where lithium, valproic acid, carbamazepine, or similar psychopharmaceuticals may be needed.
- Eating disorders.
- Psychological issues for outpatient referral, such as anxiety, phobias, stress, and depression.
- Transition of care from psychological to medical facility, such as a skilled nursing facility (SNF), or vice versa.
- Member is inpatient and a behavioral health provider is consulted or behavioral health services are ordered as part of the discharge plan.
- Alcohol or other substance abuse or dependence that is not responsive to brief interventions to reduce intake, motivational enhancement therapies and self-help programs, or those in need of detoxification.
- Transition from detoxification to medical bed.
- Psychiatric consultation, psychological/neuropsychological testing or psychiatric evaluation requested at a facility.
- Catastrophic illness requiring behavioral health support.
- Difficult placement due to medical and behavioral health problems.
- Pain management with substance abuse issues.
- Frequent emergency visits for behavioral health diagnoses or pain issues.
- Autism spectrum disorder.

## Behavioral Health Customer Service

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Customer service is available 24 hours a day, seven days a week through the phone number listed on the back of the member's identification (ID) card. The following services are available to members:

- Claims inquiry
- Clinical referral
- Eligibility inquiry
- Explanation of behavioral health benefits, including exclusions and limitations
- Referral for crisis triage/evaluation and referral

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# Obtaining Behavioral Health and Substance Abuse Care

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

A member who needs a behavioral health referral may contact Health Net directly, without a referral from their primary care physician (PCP) or participating physician group (PPG). Members should refer to their identification card for the phone number. The member's PPG or employer group Employee Assistance Program (EAP) counselor may also make the referral to behavioral health services advising the member to contact the Member Services number listed on the back of the member's ID card.

Member Services is available 24 hours a day, seven days a week. Licensed clinical care managers and customer service representatives are always available for referrals, benefit inquiries and crisis intervention.

- **Crisis intervention:** A clinical crisis is defined as when a member presents a situation involving imminent danger to self or others, or suspected grave disability. A grave disability is when a member demonstrates severely impaired judgment as a result of psychosis or other psychiatric condition leading to inability to manage self-care safely. The clinical care manager is responsible for assuring that the crisis evaluation is arranged and must make follow-up contact to confirm that the emergency face-to-face evaluation was conducted and the disposition is in place according to Health Net's accessibility and follow-up standards. Health Net has licensed behavioral health clinicians available for phone crisis intervention, stabilization and referrals.
- **Routine:** If the situation is not defined as emergency or urgent, the customer service representative assesses the member's needs, geographic area, benefit plan and scheduling requirements to determine the type and location of providers available to meet those needs. The customer service specialist then conducts a provider search and furnishes the member with several referrals from which to choose. Member preferences and needs, such as gender, linguistic and cultural experience, are seriously considered. After receiving referrals, the member calls providers directly in order to schedule an appointment.

When medication or quality of care is in question, the clinical care manager may arrange for a second opinion by another psychiatrist.

- **Urgent:** After assessing the situation, the clinical care manager either provides referral information to the member or, as necessary, may assist with scheduling an appointment.

## Out-of-Area Cases Involving an Acute Medical Diagnosis

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

In cases where there is an acute medical diagnosis during inpatient psychiatric care and the member is out of the service area, Health Net takes steps to transfer the member into the service area. The Plan's behavioral

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health case manager assists in coordinating the member's transfer and in connecting the behavioral health provider with the member's primary care physician (PCP). The treating psychiatrist and the member's PCP decide whether the member will be transferred and the level of the facility to which the member will be transferred. The PCP is responsible for locating the medical facility for treatment of the acute medical diagnosis.

## Transition of Care

Provider Type: Participating Physician Groups (PPG)

New members who are currently under or anticipate receiving behavioral health care should be instructed by their employer or participating physician group (PPG) to contact Health Net as soon as possible to ensure continuity of care and a smooth transition into the behavioral health program.

Once notified, Health Net begins case management and assumes financial responsibility for the case as of the effective date of the member's behavioral health care coverage or on the first day that Health Net is notified of a case it has inherited and has approved ongoing care.

When a member is confined to a hospital on the effective date of the behavioral health care coverage and remains hospitalized after the effective date of coverage, the original vendor is responsible for the member's care until the member is discharged or moved to a lower level of care. At that point, Health Net assumes responsibility.

All notification calls from the PPG are handled through Health Net's established intake procedures. The decision either to transfer the member to a Health Net provider or initiate Health Net's out-of-network authorization is based on established procedure.

## Minor's Consent to Mental Health Services

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Members ages 12 or older can consent to mental health treatment or counseling without needing to meet specific conditions. Additionally, mental health professionals can now consult with minors before involving their parents or guardians if they believe it's inappropriate to do so.

## Blood

Provider Type: Physicians | Participating Physician Groups (PPG)

Blood and blood plasma, and derivatives are covered.

This coverage includes all of the following:

1. Community blood
2. Designated donor blood
3. Autologous blood (including collection and storage, is covered only for a scheduled surgery that has been authorized, even if the anticipated surgery is not performed)

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Blood factors are covered under the Specialty Drug tier under the pharmacy benefit.

Any participating provider can provide antihemophilic factors (for example, Factors VIII and IX) for Food and Drug Administration (FDA)-approved indications.

## Chemotherapy

Provider Type: Physicians | Hospitals | sParticipating Physician Groups (PPG) | Ancillary

This section contains general member benefit information on chemotherapy.

Select any subject below:

- [Overview](#)
- [Off-Label Use](#)

## Overview

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Chemotherapy is covered when it is provided by a participating provider in an inpatient hospital setting, at the participating physician group (PPG) or other outpatient setting, or in the member's home. Visits for treatment are not considered office visits.

Health Net's capitated home infusion provider must be used for home chemotherapy services for Health Net members. If a delegated PPG does not use the capitated home infusion provider to provide home chemotherapy, the services are the PPG's responsibility.

## Off-Label Use

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Food and Drug Administration (FDA)-approved chemotherapy and oncology medications used for an off-label malignancy or indication are covered if approved by the Health Net National Pharmacy and Therapeutics (P&T) Committee or Medical Advisory Council (MAC), or if evidence is presented that the medication used in treatment for a particular cancer is indicated under a professionally recognized standard of care. Providers may contact their medical program manager (MPM) to determine whether the Health Net P&T or MAC approves a chemotherapy or oncology medication.

## Chiropractic

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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This section contains general member benefit information on chiropractic services.

Select any subject below:

- [Coverage Explanation](#)

## Coverage Explanation

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The following information does not apply to Individual Family Plan (IFP) members.

Chiropractic services for treatment of neuromusculoskeletal disorders of the spine, neck and joints are a covered benefit, under the member's medical plan through the participating physician group (PPG) or as purchased by the employer group through [American Specialty Health Plans, Inc. \(ASH Plans\)](#) . ASH Plans is a specialized health care service plan that provides and arranges for delivery of chiropractic services through a network of ASH Plans-participating chiropractors. A referral from the PPG or [primary care physician \(PCP\)](#) is not required. A member requesting chiropractic services should be referred to their employer group or the [Health Net Member Services Department](#) .

## Coverage Criteria

Chiropractic services provided through a member's PPG are subject to the applicable copayment for either the specialist consultation or rehabilitation therapy, based on the diagnosis and procedure code. The member must adhere to referral and authorization protocol through their PPG and PCP and chiropractic services are subject to the medical benefits exclusions and limitations listed as in the [Evidence of Coverage \(EOC\)](#) or Certificate of Insurance (COI).The PPG is financially responsible through capitation, if the PPG has financial risk under the Division of Financial Responsibility (DOFR) for outpatient professional and/or rehabilitation services. X-ray services are covered when prescribed by a participating chiropractor and performed by the PPG's participating provider for verification of suspected tumors or fractures, not for routine care.

For plans with chiropractic coverage through ASH Plans, the member may self-refer to an ASH Plans-participating chiropractor located in California for an initial examination and development of a treatment plan. Subsequent visits are authorized by ASH Plans and the member is covered up to a maximum number of visits as stated in the [Schedule of Benefits](#) or the EOC. Additional services in subsequent visits may include:

- Manipulations, adjustments, therapy, X-ray procedures, and laboratory tests in various combinations
- Adjunctive therapy, as set forth in a treatment plan approved by ASH Plans, which may involve therapies such as hot packs, cold packs, electrical muscle stimulation, and other therapies

## Medically Necessary Services

Medically necessary chiropractic care is covered through the member's medical benefit in the same manner as any other specialist care when determined medically appropriate for the member's condition and authorized by the provider. The applicable specialist copayment applies.

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Providers can refer to the member's Schedule of Benefits and Evidence of Coverage (EOC) for additional information on copayments, benefit coverage, exclusions and limitations.

## Exclusions and Limitations

Chiropractic care through the member's medical benefits is subject to the exclusions and limitations for medical benefits listed in the member's EOC or COI. The following services or supplies are not covered:

- Examinations or treatments for conditions other than those related to neuromusculoskeletal disorders and physical therapy not associated with spinal, muscle or joint manipulation
- Laboratory services
- Surgical procedures
- Durable medical equipment (DME)
- Medications (prescription or non-prescription)
- Hypnotherapy, behavior training, sleep therapy, and weight programs
- Thermography
- MRI and any types of diagnostic radiology, other than X-rays
- Transportation costs, including local ambulance charges
- Education programs, non-medical self-care, self-help training, or any related diagnostic testing
- Vitamins, minerals, nutritional supplements, or other similar products
- Anesthesia
- Chiropractic care that is investigatory or an unproven chiropractic service that does not meet generally accepted and professionally recognized standards of practice in the chiropractic provider community
- Charges for hospital confinement and related services

## Chiropractic Appliances

The following information does not apply to Individual Family Plan (IFP) members.

Coverage is limited to employer group plans that include chiropractic care through [American Specialty Health Plans, Inc. \(ASH Plans\)](#) and may be subject to the maximum allowable amount as listed in the member's [Evidence of Coverage](#) (EOC) or [Certificate of Insurance](#) (COI). The following chiropractic appliances are covered when prescribed by a participating chiropractor and approved by ASH Plans:

- Back support (thoracic)
- Cervical collar
- Cervical pillow
- Elbow support
- Heel lifts
- Home traction units (cervical, lumbar)
- Hot or cold packs
- Lumbar cushion
- Lumbar support and braces
- Orthotics
- Rib belts and supports
- Ankle and knee braces
- Wrist supports and braces

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## Management Programs

The following information does not apply to Individual Family Plan (IFP) members.

[American Specialty Health Plans, Inc. \(ASH Plans\)](#) provides the following management programs for plans with supplemental chiropractic coverage:

- Provider management - manages the quality, competence and availability of network providers
- Utilization management - eliminates overuse and ensures the quality of chiropractic care
- Quality assurance management - identifies, evaluates and resolves problems that relate to access, continuity, quality of care, use, and cost of services
- Data management - provides comprehensive methods of collecting, evaluating and reporting data
- Administrative management - provides administrative services to all ASH Plans programs

## Coverage Explanation

Provider Type: Physicians | Participating Physician Groups (PPG)

The following information does not apply to Individual Family Plan (IFP) members.

Chiropractic services for treatment of neuromusculoskeletal disorders of the spine, neck and joints are a covered benefit, under the member's medical plan through the participating physician group (PPG) or as purchased by the employer group through [American Specialty Health Plans, Inc. \(ASH Plans\)](#). ASH Plans is a specialized health care service plan that provides and arranges for delivery of chiropractic services through a network of ASH Plans-participating chiropractors. A referral from the PPG or [primary care physician \(PCP\)](#) is not required. A member requesting chiropractic services should be referred to their employer group or the [Health Net Member Services Department](#).

## Coverage Criteria

Chiropractic services provided through a member's PPG are subject to the applicable copayment for either the specialist consultation or rehabilitation therapy, based on the diagnosis and procedure code. The member must adhere to referral and authorization protocol through their PPG and PCP and chiropractic services are subject to the medical benefits exclusions and limitations listed as in the [Evidence of Coverage \(EOC\)](#) or Certificate of Insurance (COI). The PPG is financially responsible through capitation, if the PPG has financial risk under the Division of Financial Responsibility (DOFR) for outpatient professional and/or rehabilitation services. X-ray services are covered when prescribed by a participating chiropractor and performed by the PPG's participating provider for verification of suspected tumors or fractures, not for routine care.

For plans with chiropractic coverage through ASH Plans, the member may self-refer to an ASH Plans-participating chiropractor located in California for an initial examination and development of a treatment plan. Subsequent visits are authorized by ASH Plans and the member is covered up to a maximum number of visits as stated in the [Schedule of Benefits](#) or the EOC. Additional services in subsequent visits may include:

- Manipulations, adjustments, therapy, X-ray procedures, and laboratory tests in various combinations

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- Adjunctive therapy, as set forth in a treatment plan approved by ASH Plans, which may involve therapies such as hot packs, cold packs, electrical muscle stimulation, and other therapies

## Medically Necessary Services

Medically necessary chiropractic care is covered through the member's medical benefit in the same manner as any other specialist care when determined medically appropriate for the member's condition and authorized by the provider. The applicable specialist copayment applies.

Providers can refer to the member's Schedule of Benefits and Evidence of Coverage (EOC) for additional information on copayments, benefit coverage, exclusions and limitations.

## Exclusions and Limitations

Chiropractic care through the member's medical benefits is subject to the exclusions and limitations for medical benefits listed in the member's EOC or COI. The following services or supplies are not covered:

- Examinations or treatments for conditions other than those related to neuromusculoskeletal disorders and physical therapy not associated with spinal, muscle or joint manipulation
- Laboratory services
- Surgical procedures
- Durable medical equipment (DME)
- Medications (prescription or non-prescription)
- Hypnotherapy, behavior training, sleep therapy, and weight programs
- Thermography
- MRI and any types of diagnostic radiology, other than X-rays
- Transportation costs, including local ambulance charges
- Education programs, non-medical self-care, self-help training, or any related diagnostic testing
- Vitamins, minerals, nutritional supplements, or other similar products
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- Back support (thoracic)
- Cervical collar
- Cervical pillow

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- Elbow support
- Heel lifts
- Home traction units (cervical, lumbar)
- Hot or cold packs
- Lumbar cushion
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- Orthotics
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- Ankle and knee braces
- Wrist supports and braces

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The following information does not apply to Individual Family Plan (IFP) members.

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- Utilization management - eliminates overuse and ensures the quality of chiropractic care
- Quality assurance management - identifies, evaluates and resolves problems that relate to access, continuity, quality of care, use, and cost of services
- Data management - provides comprehensive methods of collecting, evaluating and reporting data
- Administrative management - provides administrative services to all ASH Plans programs

## Clinical Trials

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information for clinical trials services.

Select any subject below:

- [Coverage Explanation](#)

## Coverage Explanation

Provider Type: Physicians |Hospitals | Participating Physician Groups (PPG) | Ancillary

Health plans or delegated participating physician groups (PPGs) must cover all medically necessary routine patient care costs related to a clinical trial for a member who has been accepted for participation in a nationally recognized phase I, II, III, or IV clinical trial. The member must also be diagnosed with cancer or other life-threatening disease or condition, or their physician otherwise recommended participation in the clinical trial.

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The Health Net prior authorization letter for an approved clinical trial identifies items and services that are considered part of the clinical trial to the extent they are known at the time of initial review. These items and services are covered by the study entity. For HMO plans, the initial and any follow-up authorizations also specify which foreseeable items are routine services and costs that the member must obtain in-network, unless the member's PPG authorizes the services to be rendered out-of-network.

Services rendered as part of an approved clinical trial may be provided by Health Net-participating providers or non-participating providers when the protocol for the trial is not available through a participating provider. The provider's recommendation for participation must be based on a determination that participation in the clinical trial has a "meaningful potential to benefit the member." Members participating in approved clinical trials must continue to obtain primary and specialty health care services from or through their primary care physicians (PCPs). Authorization requirements that would apply to services if they were not performed in relation to a clinical trial continue to apply to routine services provided in relation to a clinical trial. PPGs and PCPs should authorize the services of, and refer members to, in-network providers whenever it is medically appropriate. Copayments and deductibles for routine services provided in relation to a clinical trial are the same as for services that are not provided in a clinical trial.

Members are eligible for participation in clinical trials if they meet the trial protocol. These trials are for treatment with a medication that is exempt from federal regulation in relation to a new medication application, or is approved or funded by one of the following:

- Agency for Healthcare Research and Quality (AHRQ).
- Centers for Disease Control and Prevention (CDC).
- Centers for Medicare & Medicaid Services (CMS).
- National Institutes of Health (NIH).
- Food and Drug Administration (FDA) as an investigational new medication application.
- A cooperative group or center for any of the entities described in clauses (i) to (iv) above, inclusive, the United States Department of Defense (DOD), the Department of Veterans Affairs (VA) or the Department of Energy..
- Qualified non-governmental research entity identified in the guidelines issued by NIH and meets criteria established by the NIH for grant eligibility.

Providers must provide the treatment or conduct the study within their scope of practice, experience and training. They must also agree to accept reimbursement as payment in full from Health Net at Health Net-established rates that is not more than the level of reimbursement for other similar services provided by participating providers.

Refer to [definition of clinical trials](#) for more information.

## Exclusions

Coverage for approved clinical trials does not include health care services that would not normally be covered and are provided only as a result of a member's participation in the clinical trial. Coverage for clinical trials does not include:

- Medications or devices not approved by the Food and Drug Administration (FDA)
- Travel, housing, companion expenses, and other non-clinical expenses
- Items or services used solely for data collection and analysis. Health Net does not cover imaging or lab tests beyond those reasonably necessary for routine care
- Health care services customarily provided free of charge by the research sponsors of the clinical trial

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- Any medication, item, device, or service that is specifically excluded from coverage under the medical plan
- Any investigation medication or device provided in a phase I clinical trial
- Any costs for managing the research of the clinical trial
- Treatment or services outside California are not covered if the clinical trial is offered in California
- Any service that is clearly inconsistent with widely accepted and established standards of care for a particular diagnosis

Health plans are not required to provide benefits for routine patient care services provided outside of the plan's provider network unless out-of-network benefits are otherwise provided by the plan.

When a referral to a non-participating provider is necessary because a clinical trial is not available through a participating provider, Health Net or the PPG may condition the referral to the nonparticipating provider on its acceptance of a negotiated rate that Health Net or the PPG would otherwise pay to a participating provider for the same services, less any applicable copayments and deductibles or for the clinical trial to work with the PPG to have the routine services done within the network.

## Qualified Individual

A Health Net member in a group or individual health plan who meets the following criteria is considered a qualified individual for a clinical trial:

- Diagnosis of cancer or other life-threatening disease or condition, or otherwise eligible to participate in an approved clinical trial according to the trial protocol
- Member or member's provider supplies medical and scientific documentation establishing that the member's participation in such a trial would be appropriate based upon them meeting the guidelines and eligibility criteria

## Routine Patient Care Cost

By state and federal law, payment for routine patient care costs associated with participation in the approved clinical trial must be provided under the member's medical plan. This means that if the medical plan covers a medication, item, device, or service for care not related to participation in the approved clinical trial, then the charges for the same care related to participation in the approved clinical trial must be covered. Some examples of routine patient care costs that might be covered include:

- Physician consultations
- Medications
- Radiological or diagnostic testing services
- Inpatient care
- Services required for the provision of the medication, device or medical treatment being tested in the clinical trial
- Clinically appropriate monitoring of the effects of the medication, device or treatment being tested
- Any reasonable and necessary care for the prevention of complications

## Utilization Management Process

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PPGs or directly contracting physicians should use the following process when requesting that Health Net provide prior authorization for a Health Net member to participate in an approved clinical trial:

- Request a copy of the clinical protocol summary sheet and other pertinent documents
- Identify the sponsor of the clinical trial
- Confirm that the medications or service being evaluated meet the criteria established in the legislation
- Require documentation by the treating physician that the trial may have therapeutic benefit for the member
- Obtain a copy of the member's informed consent
- Submit the completed prior authorization request to Health Net as an urgent review request

All prior authorization requests for clinical trials are considered urgent prior authorization requests, unless otherwise noted.

When Health Net receives a direct communication from a provider requesting authorization to allow a member to participate in an approved clinical trial, Health Net alerts the PPG of such request in order to better ensure that the member is appropriately case managed.

## Cosmetic and Reconstructive Surgery

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on cosmetic and reconstructive surgery.

Select any subject below:

- [Overview](#)
- [Breast Cancer Reconstructive Surgery](#)
- [Cleft Palate Diagnoses](#)

## Overview

Provider Type: Physicians | Participating Physician Groups (PPG)

Reconstructive surgery is covered by all plans. Reconstructive surgery is defined as surgery performed to correct or repair abnormal structures of the body caused by congenital defects, developmental abnormalities, trauma, infection, tumors, or disease, to do either of the following:

- Improve function
- Create a normal appearance to the extent possible

Cosmetic surgery is defined as surgery that is performed to alter or reshape normal structures of the body to improve appearance. Health Net does not cover cosmetic surgery. For Medicare Advantage (MA) members, Medicare generally does not cover cosmetic surgery unless it is needed due to accidental injury or to improve the function of a malformed part of the body. Medicare covers breast reconstruction if the member has had a mastectomy due to breast cancer.

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Prior authorization for reconstructive surgery procedures, services and evaluations may be required. Providers should refer to the applicable prior authorization requirements under the Prior Authorization section for more information. Upon review, requests may be denied in any of the following situations:

- Denial of the proposed surgery if there is another more appropriate surgical procedure that is approved for the member
- Denial of the proposed surgery or surgeries if the procedure or procedures, in accordance with the standard of care as practiced by physicians specializing in reconstructive surgery, offer only minimal improvement in the member's appearance
  - The determination of whether a surgery will produce only minimal improvement should be based upon the standard of care, as practiced by physicians specializing in reconstructive surgery or other licensed physicians competent to evaluate the specific clinical issues involved in the care rendered
- Denial of payment for procedures performed without prior authorization
- For services provided by the Medi-Cal program (Chapter 7 (commencing with Section 14000), Part 3 of Division 9 of the Welfare and Institutions Code), denial of the proposed surgery if the procedure offers only a minimal improvement in the appearance of the member, as may be defined in any regulations that may be promulgated by the California Department of Health Care Services (DHCS)

Participating physician groups (PPGs) or attending physicians can refer to the [Reconstructive Surgery Decision Tree \(PDF\)](#) for guidance in making decisions about reconstructive surgery cases.

## Breast Cancer Reconstructive Surgery

Provider Type: Physicians | Participating Physician Groups (PPG)

Mastectomy is defined as the removal of all or part of the breast for medically necessary reasons, as determined by a licensed physician and surgeon. Partial removal of a breast includes, but is not limited to, lumpectomy, which includes surgical removal of the tumor with clear margins. Complications from a mastectomy are covered, including lymphedema. Lymphedema sleeves and gloves are covered as prosthetic devices.

Treatment for breast cancer includes coverage of prosthetic devices or reconstructive surgery to restore and achieve symmetry for the member incident to a mastectomy. Coverage for prosthetic devices and reconstructive surgery is subject to copayment, or deductible and coinsurance conditions, that are applicable to the mastectomy and all other terms and conditions applicable to other benefits.

In addition to coverage of prosthetic devices and reconstructive surgery for the diseased breast on which the mastectomy was performed, prosthetic devices and reconstructive surgery for the healthy breast are also covered when necessary to achieve normal symmetrical appearance.

A subsequent request for additional surgery to change the previously achieved symmetry is considered cosmetic unless the subsequent surgery is medically necessary or is being performed again to achieve symmetry after subsequent surgery has been performed on the diseased breast. Such cosmetic surgery is not a covered benefit.



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## Cleft Palate Diagnoses

Provider Type: Physicians | Hospitals| Participating Physician Groups (PPG)

Health Net covers medically necessary dental or orthodontic services that are an integral part of cleft palate reconstruction. Cleft palate may also include, cleft lip or other craniofacial anomalies associated with cleft palate.

To the extent that Medi-Cal members who require medically necessary dental or orthodontic services are determined eligible for the California Children's Services (CCS) program, these services are provided by CCS.

Prior authorization for cleft palate reconstruction, including dental and orthodontic services, is required for all HMO, EPO, Point of Service (POS), and PPO products.

## Dental Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on dental screening and services.

Select any subject below:

- [Overview](#)
- [FEHB Program](#)
- [General Anesthesia Coverage and Exclusions](#)

## Overview

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP)

Some Medicare Advantage members have basic and/or restorative dental coverage. For a comprehensive list of covered dental services for these members, refer to the member's Evidence of Coverage (EOC) or Schedule of Benefits. Although [Dental Benefit Providers \(DBP\)](#) administers the dental benefit for many Wellcare By Health Net plans, the vendor that administers the dental benefit is plan-specific.

When a member is hospitalized for non-covered dental treatment only, neither the professional services of the dentist nor the inpatient hospital services are covered. However, if a member is hospitalized for a non-covered dental procedure and hospitalization is required to ensure proper medical management, control or treatment of a non-dental impairment, the inpatient hospital services are covered. An example is a member with a history of repeated heart attacks who is hospitalized in order to undergo extensive dental treatment.

General anesthesia and associated facility services are covered when the clinical status or underlying medical condition of the member requires that an ordinarily non-covered dental service normally treated in the dentist's office without general anesthesia must instead be treated in a hospital or outpatient surgical center.

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For questions pertaining Medicare coverage and dental services, contact the [Health Net Medicare Member Services Department](#).

## Coverage Explanation

If a member is hospitalized for a non-covered dental procedure and hospitalization is required to ensure proper medical management, control or treatment of a non-dental impairment, inpatient hospital services are covered. An example is a member with a history of repeated heart attacks who is hospitalized in order to undergo extensive dental treatment.

Immediate emergency treatment to the natural teeth as a result of an accidental injury is covered (damage to the teeth while chewing is not considered an accidental injury). Coverage of follow-up care to the natural teeth is limited to emergency treatment required following the injury. Crowns, inlays and onlays, teeth replacements, dental implants, and endodontic services are not covered.

The services listed below for disorders of the [temporomandibular joint \(TMJ\)](#) are covered:

- Surgical procedures to correct abnormally positioned or improperly developed bones of the upper or lower jaw if the services are medically necessary due to recent injury, the existence of cysts, tumors or neoplasms, or a currently evidenced objective functional disorder
- Surgical procedures and oral splint or oral appliance to correct disorder to the TMJ, if medically necessary

Unless specified in the member's Evidence of Coverage (EOC) or Schedule of Benefits, as described below, the following appliances are not covered for the treatment of TMJ:

- Crowns
- Inlays
- Onlays
- Dental implants
- Bridgework (to treat dental conditions related to TMJ disorders)
- Braces and any other orthodontic services

## DENTAL SERVICES FOR D-SNP MEMBERS

Managed care plans coordinating Medicare and Medi-Cal benefits expanded to members who are eligible for both programs. These members are Wellcare By Health Net Dual Special Needs Plan (D-SNP) members.

Wellcare By Health Net D-SNP members have additional dental benefits not covered by the Medi-Cal dental program. The additional dental benefits with Wellcare by Health Net D-SNP plan are offered by [Delta Dental](#).

Wellcare by Health Net D-SNP dental benefits work in addition to the Medi-Cal dental coverage. Medi-Cal dental covers initial examinations, X-rays, cleanings and fluoride treatments, restorations and crowns, root canal therapy, and partial and complete dentures adjustments, repairs, and relines. For more information, refer to [Smile California](#).

Wellcare by Health Net D-SNP members must obtain all D-SNP covered dental care from the [Delta Dental](#) network.

For more information about additional dental benefits for Wellcare by Health Net D-SNP members, contact Delta Dental.

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## FEHB Program

Provider Type: Physicians | Participating Physician Groups (PPG)

The following information does not apply to Individual Family Plan (IFP) members.

Restorative services and supplies necessary to repair promptly, but not replace, sound natural teeth are covered only for members who are federal employees. The need for these services must result from an accidental injury, not including biting or chewing. Additionally, examinations and treatment of the gingival tissues (gums) when performed for the diagnosis or treatment of tumors are covered. A dental and emergency room copayment may apply to these services or exams.

Members who are federal employees may refer to their Federal Employee Health Benefits Program (FEHBP) brochure for information on copayment for the services described above and coverage descriptions.

## General Anesthesia Coverage and Exclusions

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

### General Anesthesia Coverage

Health Net does not cover any charges for the dental procedure itself, including the professional fee of the dentist or any other provider.

However, general anesthesia and associated facility charges for non-covered dental care rendered in a hospital or surgery setting are covered if under one or more of the following circumstances:

- Members are under age seven
- Members are developmentally disabled, regardless of age
- Members' health is compromised and for whom general anesthesia is medically necessary, regardless of age

Health Net provides coverage if the services are rendered in a Health Net participating facility. Prior authorization is required. Refer to the Prior Authorization section for more information regarding prior authorization procedures.

### General Anesthesia Exclusions

Health Net does not cover any charges for the dental procedure itself, including the professional fee of the dentist or any other provider for administration of anesthesia.

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Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on dialysis.

Select any subject below:

- [Overview](#)
- [Submission of Claims](#)

## Overview

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Dialysis services are covered on all plans. Refer to the specific plan chart in the [Schedule of Benefits](#).

## Out-of-Area Dialysis

If an end-stage renal disease (ESRD) member receiving dialysis informs their participating physician group (PPG) or physician of an intention to travel within the United States, making it impossible for the member to use the customary in-area services or facilities, the PPG or Health Net will:

- Authorize dialysis services by other providers
- Arrange for the services to be performed by providers in the member's temporary location
- Inform the member it may be necessary to change the type of setting in which dialysis is performed, because local circumstances may not allow the same type of setting to be used
- Authorize the services for the length of the planned trip
- Inform the member in writing about the details of what has been authorized and state, if travel plans change and additional time is needed, the member must inform the PPG or Health Net. If the member extends the duration of the trip and informs the PPG or Health Net, a one-time modification of the authorization is made to cover the additional time period

Costs are borne in the same manner as if the member received the services within their service area. Non-emergency dialysis received out of the United States is not a covered service.

Refer to the plan charts in the Schedule of Benefits for specific plan information.

## Out-of-Country Dialysis

Non-emergency dialysis received out of the United States is not a covered service, which includes all outpatient dialysis received by members presently diagnosed with ESRD and already receiving dialysis services.

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## Submission of Claims

Provider Type: Participating Physician Groups (PPG)

Health Net prefers that participating physician groups (PPGs) submit claims electronically. Providers must specify the following information on the claim:

- Hemodialysis and the description relating to the RBRVS/CPT code
- The first date of treatment

## Durable Medical Equipment

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on durable medical equipment.

Select any subject below:

- [Overview](#)
- [Criteria for Apria Capitation](#)
- [Exclusions and Limitations](#)
- [Orthotics](#)
- [Service Providers](#)
- [Claims Submission](#)

## Overview

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Durable medical equipment (DME) is an essential component of standard medical treatment for the member's exclusive use. It is prescribed or authorized by the participating physician as a treatment for illness, disease or injury. DME serves a medical purpose, withstands repeated use and fulfills basic medical needs, as opposed to satisfying personal preferences regarding style and range of capabilities.

## Ownership of DME Items

DME items may be rented or purchased. If rental is more expensive than purchase for long-term use, purchase is recommended. Health Net follows Medicare guidelines for ownership of DME items, which state members who rent certain types of DME own the equipment after paying copayments for the item for 13 months. There are other types of DME that members will own after paying copayments for the item for a specified number of months. There are also certain types of DME for which members will not acquire ownership no matter how many payments they make for the item while a Health Net member. A member's previous payments towards a

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DME item when they had Original Medicare (Part A and Part B) do not count towards payments made while a member of a Health Net plan.

## Repairs

Repairs to equipment a member has purchased or already owns prior to Health Net membership are covered when necessary to make the equipment serviceable. Repairs to equipment purchased under Health Net coverage are also covered. Repair or replacement due to misuse or loss is not covered.

[Apria Healthcare](#) is the exclusive provider for DME services for membership capitated to Apria. Membership not included under DME capitation should still be referred to Apria as they are the preferred vendor for DME. Diabetic supplies (chemstrips and lancets) are also considered DME items for Health Net members.

Capitation is applicable to certain membership assigned to select participating physician groups (PPGs) only. The Division of Financial Responsibility (DOFR) allows a PPG to participate in DME capitation. If DME is Health Net or shared-risk, and is part of Health Net's current capitation agreement with Apria Healthcare, Inc. and E-Medical Supplies, a referral to Apria or E-Medical Supplies does not require authorization from Health Net or the PPG. Refer to the member's [Evidence of Coverage](#) (EOC) for plan-specific information.

## Criteria for Apria Capitation

Provider Type: Participating Physician Groups (PPG) | Hospitals | Ancillary

Medically necessary durable medical equipment (DME) is covered under all health plans. Refer to the [Schedule of Benefits and Summary of Benefits](#) and the member's [Certificate of Insurance](#) or [Evidence of Coverage](#) (EOC) as applicable to determine exclusions and limitations. It must be ordered or approved through the participating physician group (PPG) or Health Net in accordance with policies established by the PPG, Health Net and Medicare.

Covered DME and home respiratory services provided to fee-for-service (FFS) members or members affiliated with a shared-risk participating physician group (PPG) must be obtained through Apria, Health Net's preferred provider for most DME items. Specifically, shared-risk members are capitated to Apria, and shared-risk PPGs should utilize Apria or they will be liable for claims payments.

Apria services include, but are not limited to:

- Comprehensive continuous positive airway pressure (CPAP) services
- DME
- Enteral nutrition therapy
- Home oxygen equipment
- Negative pressure wound therapy

To access a complete list of all therapies and services provided by Apria, providers may log in to Apria's website at [www.apria.com](http://www.apria.com).



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## Exclusions and Limitations

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Durable medical equipment (DME) is a covered benefit on all health plans. Refer to the [Schedule of Benefits](#) and coverage documents to determine exclusions and limitations, as applicable. Additional non-covered items are:

- Disposable supplies for home use
- Exercise or hygienic equipment, including shower chairs and bath tub lifts
- Corrective appliances (except casts, splints, and surgical dressings)
- Support appliances and such supplies as stockings, arch supports, foot orthotics (except when it is a foot orthotic that has been incorporated into a cast, brace or strapping of the foot or sleeves and gloves for lymphedema), and corrective shoes and devices unless member has a rider for custom footwear or is a diabetic
- Comfort items for example, diapers, incontinent pads, pillows, beds
- Contact or corrective lenses (except an implanted lens that replaces the organic eye lens) and eyeglasses (unless specifically provided elsewhere in the subscriber's [Evidence of Coverage \(EOC\)](#))
- Jacuzzi or whirlpool
- Fully electric beds
- More than one device for the same part of the body or more than one piece of equipment that serves the same function
- Running or sport devices, and other devices considered lightweight, when not medically necessary
- Consultations of an environmental engineer, air conditioners, humidifiers not used as part of DME equipment, dehumidifiers, purifiers, pillows, Jacuzzis, saunas, exercise equipment and bicycles, and elevators
- Replacement of lost devices

## Orthotics

Provider Type: Physicians (does not apply to CMC) | Ancillary| Participating Physician Groups (PPG) (does not apply to HSP)

Orthotics are rigid or semi-rigid device affixed to the body externally and required to support or correct a defect of form or function of a permanently inoperative or malfunctioning body part or to restrict motion in a diseased or injured part of the body. Orthotic items are covered through the durable medical equipment (DME) option.

Orthotic items that can be purchased over the counter are not covered. Foot orthotics, except when incorporated into a cast, brace, or strapping of the foot, are not covered, unless an employer has specifically purchased this coverage.





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## Claims Submission

Provider Type: Participating Physician Groups (PPG) | Ancillary

The following is applicable to shared-risk participating physician groups (PPGs) or when Health Net is at risk. Claims for durable medical equipment (DME) must include the following information:

- A copy of the bill for each item attached to the completed claim form
- "EQUIP" written in the procedure column
- Name of the equipment written in the description column (more than one line may be used)
- Reference to the authorization number, when indicated

## Service Providers

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Durable medical equipment (DME) is paid for in accordance with the Provider Participation Agreement (PPA). Fee-for-service (FFS) providers may be directed to any participating Health Net DME provider, including [Apria Healthcare, Inc.](#) Custom rehabilitation equipment services are obtained through the following organizations:

- [Custom Rehab Network](#)
- [National Seating & Mobility](#)
- [Hoveround, Inc.](#)
- [ATG Rehab Specialists, Inc.](#)

For insulin pumps and supplies, contact [Animas Diabetes Care, LLC](#), [MiniMed, Inc.](#), [Roche](#), or Tandem Diabetes.

Orthotics and prosthetics can be obtained from any Health Net participating provider, such as [Linkia, LLC](#). Refer to the PPA to determine financial responsibility.

## Essential Health Benefits

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Health Net provides coverage consistent with the Essential Health Benefits (EHBs) coverage requirement in accordance with the Affordable Care Act (ACA). EHBs include items and services that fall into at least the following categories:

- Ambulatory patient services
- Emergency services
- Hospitalization
- Maternity and newborn care
- Mental health and substance use disorder services, including behavioral health treatment

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- Prescription medications
- Rehabilitative and habilitative services and devices
- Laboratory services
- Preventive and wellness services, and chronic disease management
- Pediatric services, including dental and vision care

Actual EHB services vary by state, as each state may define EHB in accordance with its state benchmark plan. Plans subject to the EHB requirement must provide benefits that are equal to or greater than the state benchmark plan's benefits. Annual dollar limits on EHB are prohibited. Additional information regarding state benchmark plans is available on the Center for Consumer Information and Insurance Oversight (CCIIO) website at [www.cms.gov/cciio/index.html](http://www.cms.gov/cciio/index.html).

## Family Planning

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general member benefit information on family planning services.

Select any subject below:

- [Overview](#)
- [Infertility Treatment](#)

## Overview

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG) (does not apply to HSP)

Family planning services are covered by all Health Net plans, subject to scheduled member cost-share amounts including deductibles, copayments and coinsurance. The following are generally covered:

- Counseling by a physician to determine the number and spacing of the member's children through effective methods of birth control.
- Fitting, insertion and removal of implantable birth control devices, cervical caps, diaphragms, and intrauterine devices (IUDs).
- Sterilization for males and females and termination of pregnancy (abortions) are also covered. Refer to the [Schedule of Benefits](#) and the member's [Evidence of Coverage](#) (EOC) for coverage information and applicable copayments.

## Contraceptive Devices

Health plans are required to cover up to a 12-month supply of U.S. Food and Drug Administration (FDA)-approved, self-administered hormonal contraceptives, such as the ring, the patch and oral contraceptives, when dispensed at one time. This is pursuant to a valid prescription that specifies an initial quantity followed by periodic refills and when the annual supply is requested by the enrollee.

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Contraceptive coverage under the member's medical plan includes injectable contraceptives, Depo Provera<sup>®</sup> and Depo-SubQ Provera 104<sup>®</sup>. Depo Provera and Depo-SubQ Provera 104 is covered as all other injectables. Refer to the Schedule of Benefits and the member's EOC for coverage information and applicable copayments.

Contraceptive coverage through the member's prescription medication coverage includes oral contraceptives, diaphragms, cervical caps, contraceptive patches, the contraceptive ring, and women's over-the-counter contraceptive products. Not all members have prescription medication coverage. Typically, coverage is still required, even if a member does not have prescription medication coverage. The fitting and insertion of contraceptive devices are covered under the medical plan.

If the member's physician determines that none of the contraceptive methods specified in the member's EOC are medically appropriate for the member based on the member's medical or personal history, another prescription contraceptive method approved by the Food and Drug Administration (FDA) and prescribed by the member's physician is covered. Devices or medications covered under the prescription medication benefit are only covered for members who have a prescription medication benefit.

The Schedule of Benefits plan chart or the prescription medication benefit coverage listed in the member's EOC indicates which contraceptive devices are covered and the applicable member cost-share amount. If a member cost-share is required, it is applied toward the member's out-of-pocket maximum (OOPM).

## Intrauterine Devices

Types of IUDs include ParaGard<sup>®</sup> Copper T 380A and Mirena<sup>®</sup>. The fitting, insertion and removal of an IUD are covered.

## Exclusions and Limitations

The following are exclusions and limitations on family planning coverage:

- Artificial conception (impregnation or fertilization) involving the harvesting or manipulation (physical, chemical or by any other means) of the human ovum, such as ovum transfer or in vitro fertilization (IVF), gamete intrafallopian transfer (GIFT), and zygote intrafallopian transfer (ZIFT) are not covered.
- A search for a sperm or ovum donor is not covered.
- Collection of sperm and ova is not covered.
- Purchase and storage of sperm or ova are usually not covered. Refer to Health Net's Medical Policies > [Assisted Reproductive Technology](#).
- Reversal of sterilization is not covered under most plans.

Refer to the Schedule of Benefits or member's EOC for exceptions.

## Infertility Treatment

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

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Some plans cover specific infertility services as referenced in the member's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#). Before beginning infertility treatment, the member's treating practitioner must establish a treatment plan. Refer to the [Schedule of Benefits](#) for specific information concerning plans that cover gamete intrafallopian transfer (GIFT). If these benefits have not been purchased, Health Net must notify the member in writing of coverage limitations.

If a member has not conceived in a particular treatment plan, the member's treating practitioner should re-evaluate the plan and change the therapy. If the member is still unsuccessful, advanced treatment under the guidance of a reproduction endocrinologist or fertility specialist should be considered. The treatments below are covered when the following specified conditions are met:

- Artificial insemination (AI), intrauterine insemination (IUI), GIFT and sperm washing - Covered when used in treatment of infertility (ovulation sticks are not covered)
- IVF/ZIFT - Only certain plans cover IVF or ZIFT. Refer to the Schedule of Benefits for specific plan information

Refer to the Schedule of Benefits (SOB) for availability of infertility treatment; this is also referenced within the member's Evidence of Coverage. The treatment used for each infertile member may be different and should be individualized based on medical indications. Most Health Net plans subject infertility services to a 50 percent copayment. The copayment amount is based on the percent copayment multiplied by the average wholesale price or the actual cost of the injected substance, whichever is less.

The required copayments for infertility procedures may or may not apply to the out-of-pocket maximum (OOPM). Refer to the Introduction pages of the Schedule of Benefits for a list of exception groups.

GIFT is covered when:

- Plan covers standard infertility treatments/benefits
- GIFT procedure is medically indicated
- GIFT is performed by a reproductive endocrinologist or fertility specialist

## Infertility Treatment (Ancillary and PPGs only)

Diagnosis of infertility may be appropriate for members who have not yet gone through menopause and have any of the following:

- The member has had coitus relations on a recurring basis for one year or more without use of contraception or other birth control methods which has not resulted in a pregnancy, or when a pregnancy did occur, a live birth was not achieved.
- The member does not have coitus with a male partner.
- A licensed physician's determination of infertility, based on the member's medical, sexual, and reproductive history, age, physical findings, diagnostic testing, or any combination of those factors.

Infertility services is an optional benefit in employer group plans. When Health Net plans cover infertility treatment, coverage includes procedures consistent with established medical practices in the treatment of infertility by licensed physicians and surgeons including but not limited to diagnosis, diagnostic tests, medication, surgery, artificial insemination (AI), intrauterine insemination (IUI) and gamete intrafallopian transfer (GIFT). Some custom employer group plans include coverage of advanced reproductive technologies (ART), in vitro fertilization (IVF) and zygote intrafallopian transfer (ZIFT). Refer to the [Schedule of Benefits](#) and [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#) for coverage information and applicable copayments. Before beginning infertility treatment, the member's treating provider must establish a treatment plan.

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If a member has not conceived in a particular treatment plan, the member's treating provider should re-evaluate the plan and change the therapy. If the member is still unsuccessful, advanced treatment under the guidance of a reproductive endocrinologist or fertility specialist should be considered. The number of cycles, or a dollar amount limit of a particular treatment plan and assistive reproductive technologies, may be limited under the member's plan. Consult the member's evidence of coverage. The standard and advanced treatments below are covered when the specified conditions are met.

## Standard Infertility Treatments

Intrauterine insemination may be performed using either the partner's sperm or donor sperm.

Donation, storage and banking of member or donor sperm are not covered.

GIFT is covered when:

- Plan covers standard infertility treatments/benefits
- GIFT procedure is medically indicated
- GIFT is performed by a reproductive endocrinologist or fertility specialist licensed in the field

The required copayment for infertility procedures may or may not apply to the out-of-pocket maximum (OOPM). Refer to the introduction of the [Schedule of Benefits](#) for a list of exception groups.

## Advanced Infertility Treatments

Assisted reproductive technologies (ART), IVF and ZIFT are advanced infertility treatment procedures.

For plans that cover ART, but limit the services to dollar limits, or a specified number of cycles per lifetime, ART is defined as:

- All office visits, procedures, blood work, and ultrasounds performed in preparation for oocyte retrieval
- Retrieval of the oocyte itself
- Culture and fertilization of the oocyte
- Embryo transfer

A cycle is counted toward the lifetime maximum once the member has had her oocytes retrieved, whether or not there is fertilization of the oocyte.

Before a member is eligible for ART coverage, alternate treatments must be attempted without success. The treatment used for each infertile member may be different and should be individualized based on medical indications. Most Health Net plans subject infertility services to a 50 percent copayment. The copayment amount is based on the percent copayment multiplied by the average wholesale price or the actual cost of the injected substance, whichever is less.

The required copayments for infertility procedures may or may not apply to the out-of-pocket maximum (OOPM). Refer to the Introduction of the Schedule of Benefits for a list of exception groups.

## Exclusions and Limitations

General infertility services that are not covered include:

- Ovulation kits
- Partner's diagnosis and treatment if the partner is not covered by Health Net

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- Benefits for reversal of voluntary sterilization unless otherwise stated by the member's EOC or COI
- Infertility treatment needed as a result of prior voluntary sterilization
- Donation, storage and banking of member or donor sperm or ova for future use
- Unless otherwise stated in the EOC or COI, the testing, storage and transport fees or any other charges incurred
- Sperm washing when used in preparation for a non-covered procedure
- Surrogacy or gestational carriers unless the surrogate is a Health Net member who has been diagnosed with infertility. When compensation is obtained for the surrogacy, Health Net or the participating provider may have a lien on such compensation to recover its medical expense
- Gender selection
- Donor eggs for women with genetic oocyte defects
- Donor sperm for men with genetic sperm defects
- Genetic engineering
- Co-culture of embryos

## General Benefit Exclusions and Limitations

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP) | Hospitals | Ancillary

Limitations to Health Net's coverage are described below. In addition, services or supplies that are excluded from coverage in the [Evidence of Coverage \(EOC\)](#), exceed limitations, are follow-up care to EOC exclusions, or which are related in any way to EOC exclusions or limitations, are not covered.

- Blood - Services and supplies for the collection, preservation and storage of umbilical cord blood, cord blood stem cells and adult stem cells are not covered
- Conception by medical procedure - The collection, storage or purchase of sperm or ova is not covered
- Cosmetic services and supplies - Services and supplies performed solely to alter or reshape normal structures of the body in order to improve appearance are not covered. These include:
  - Hair transplant, hair analysis, hairpieces, wigs, and cranial or hair prostheses
  - Chemical face peels and abrasive procedures of the skin
  - Liposuction of any body part
  - Epilation
- In contrast to the exclusion for cosmetic surgery, reconstructive surgery is covered when surgery is performed to correct or repair abnormal structures of the body caused by congenital defects, developmental abnormalities, trauma, infection, tumors, or disease to do either of the following:
  - To improve function
  - To create a normal appearance, to the extent possible
- Coverage for reconstructive surgery also includes:
  - Breast surgery and all stages of reconstruction for the breast on which a medically necessary mastectomy was performed and to produce a symmetrical appearance, surgery and reconstruction of the unaffected breast
  - Medically necessary dental or orthodontic services that are an integral part of reconstructive surgery for cleft palate procedures. Cleft palate, including cleft lip or other craniofacial anomalies associated with cleft palate
- Custodial or domiciliary care - Services and supplies that are provided primarily to assist with the activities of daily living are not covered, regardless of the type of facility. Hospice care for a

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terminally ill member or for a condition that requires continuous skilled nursing services is not considered custodial or domiciliary

- Dental services - Care or treatment of teeth and gingival tissues, extraction of teeth; treatment of dental abscess or granuloma, other than tumors, dental examinations, spot grinding, crowns, bridge work, onlays, inlays, dental implants, braces, and any orthodontic appliances are not covered unless specifically provided in the member's EOC
- Disorders of the jaw - Treatment and services for temporomandibular joint (TMJ) disorder are covered when determined to be medically necessary, except:
  - Crowns
  - Inlays
  - Onlays
  - Dental implants
  - Bridgework (to treat dental conditions related to TMJ disorder)
  - Braces and active splints for orthodontic purposes (movement of teeth)
- Disposable supplies - Disposable supplies for home use are not covered (for example, plastic gloves, diapers, incontinence pads, and wipes). Coverage for outpatient prescription medications includes coverage for disposable devices that are medically necessary for the administration of a covered outpatient prescription medication, such as spacers and inhalers for the administration of aerosol outpatient prescription medications, and syringes for self-injectable outpatient prescription medications that are not dispensed in pre-filled syringes
- Experimental or investigative services and supplies - All services and supplies not generally recognized under standards of care in the medical community are not covered, except for routine patient care costs associated with participation in clinical trials for a Health Net member with a diagnosis of cancer and has the recommendation of their treating physician. The exclusion from coverage does not include treatment of medical complications relating to, or arising out of, such services and supplies. Health Net decides whether a service or supply is experimental or investigational
- Eyeglasses and contact lenses - Contact lenses (except an implanted lens that replaces the organic eye lens) and eyeglasses are not covered, unless specifically provided in the member's EOC
- Genetic testing and diagnostic procedures - Covered when determined by Health Net to be medically necessary. The prescribing physician must request prior authorization for coverage. Genetic testing is not covered for non-medical reasons or when a member has no medical indication or family history of a genetic abnormality. Every health care service plan contract that covers hospital, medical or surgical expenses through an employer group, and which offers maternity coverage in such groups, also offers coverage for prenatal diagnosis of genetic disorders of the fetus by means of diagnostic procedures in cases of high-risk pregnancy
- Hearing aids - Any device inserted in or affixed to the outer ear to improve hearing is not covered, unless specifically provided in the member's EOC
- Ineligible status - Services or supplies provided before the effective date of coverage or after the date coverage has ended are not covered, except as specified in the extension of benefits portion of the member's EOC
- No-charge items - Services or supplies the member is not required to pay for or for which no charge is made are not covered
- Non-covered items - Durable medical equipment (DME) is a covered benefit on all health plans. Refer to the [Schedule of Benefits](#) to determine exclusions, limitations and applicable copayments. Non-covered items are:
  - Exercise or hygienic equipment, including shower chairs and benches, bath tub lifts, exercise bicycles, treadmills, free weights
  - Supplies to achieve cleanliness even when related to other medical services





- Surgical dressings, except primary dressings that are applied directly to lesions either of the skin or surgical incision, which are covered as a standard medical benefit. Over-the-counter dressings and supplies are not covered
- Jacuzzis and whirlpools
- Stockings, such as elastic stockings, job stocking and support hose, garter belts and similar devices, as not within the definition of brace
- Orthotics that are not custom-made to fit the member's body. Orthotics are orthopedic appliances or apparatus used to support, align, prevent, or correct deformities or to improve the function of moveable parts of the body. Coverage includes leg, arm, back, and neck braces and trusses. Back braces include special corsets and sacroiliac, sacrolumbar and dorsolumbar corsets and belts
- Corrective footwear (specialized shoes, arch supports and inserts) except for the treatment of diabetes-related medical conditions or as specifically provided in the member's EOC
- Non-eligible institutions - Services or supplies provided by any institution other than a licensed and approved hospital or Medicare-approved skilled nursing facility (SNF) or other properly licensed facility specified as covered in the member's EOC are not covered. Any institution that is primarily a place for the aged, a nursing home or any similar institution, regardless of how designated, is not an eligible institution
- Non-prescription (over-the-counter) medications, equipment and supplies - Any medication, equipment and supplies that can be purchased without a prescription order is not covered, even if a physician writes a prescription for it (except insulin and diabetic supplies or as specifically provided in the EOC)
- Personal or comfort items - Personal or comfort items such as a telephone or television in the room at a hospital or SNF are not covered
- Private-duty nursing - Private-duty nurses are not covered for a registered bed patient in a hospital or long-term care facility
- Private rooms - Private rooms in a hospital or SNF are not covered unless it is deemed to be medically necessary
- Refractive eye surgery - Any eye surgery for the purpose of correcting refractive defects of the eye, such as near-sightedness (myopia), far-sightedness (hyperopia) and astigmatism, is not covered
- Reversal of surgical sterilization - Reversal of a prior voluntary surgical sterilization procedure is not covered
- Routine physical examinations - Routine physical examinations are not covered for insurance, licensing, employment, school, camp, or other non-preventive purposes, unless specifically provided otherwise in the EOC. On plans that cover routine physical examinations, the exam itself and any related X-ray and laboratory procedures are covered; however, completion of any related forms are not covered. Refer to the specific plan in the Schedule of Benefits
- Services for obtaining or maintaining insurance are not covered
- Sterilization is not covered for males and females. Refer to the specific plan in the Schedule of Benefits or EOC for exceptions
- Substance abuse - Treatment of chronic alcoholism, drug addiction and other substance abuse problems, except for acute detoxification and the acute medical treatment of these problems. Other services not covered include: non-medical ancillary services; prolonged rehabilitation services, including inpatient, residential and outpatient substance abuse program; psychological counseling and aversion therapy. The terms and conditions applied to these benefits must be the same as those applied to other medical benefits under the plan contract due to federal mental health parity laws. Refer to the specific plan in the Schedule of Benefits for exceptions
- Unauthorized services and supplies - Any services or supplies not authorized according to procedures Health Net and the participating physician group (PPG) have established are not covered





- Unlisted services - Services or supplies that are not specified as covered services or supplies are not covered, unless coverage is required by law

## General Benefit Exclusions and Limitations (Physicians Only)

Limitations to Health Net's coverage are described below. In addition, services or supplies that are excluded from coverage in the [Evidence of Coverage \(EOC\)](#), exceed limitations, are follow-up care to EOC exclusions, or which are related in any way to EOC exclusions or limitations, are not covered.

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  - Custodial or domiciliary care - Services and supplies that are provided primarily to assist with the activities of daily living are not covered, regardless of the type of facility. Care provided by a hospice for a terminally ill member or for a condition that requires continuous skilled nursing services is not considered custodial or domiciliary
- Dental services - Care or treatment of teeth and gingival tissues, extraction of teeth; treatment of dental abscess or granuloma, other than tumors, dental examinations, spot grinding, crowns, bridge work, onlays, inlays, dental implants, braces, and any orthodontic appliances are not covered unless specifically provided in the member's EOC
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  - Crowns
  - Inlays
  - Onlays
  - Dental implants
  - Bridgework (to treat dental conditions related to TMJ disorder)
  - Braces and active splints for orthodontic purposes (movement of teeth)

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- Hearing aids - Any device inserted in or affixed to the outer ear to improve hearing is not covered, unless specifically provided in the member's EOC
- Ineligible status - Services or supplies provided before the effective date of coverage or after the date coverage has ended are not covered, except as specified in the extension of benefits portion of the member's EOC
- No-charge items - Services or supplies the member is not required to pay for or for which no charge is made are not covered
- Non-covered items - Durable medical equipment (DME) is a covered benefit on all health plans. Refer to the [Schedule of Benefits](#) to determine exclusions, limitations and applicable copayments. Non-covered items are:
  - Exercise or hygienic equipment, including shower chairs and benches, bath tub lifts, exercise bicycles, treadmills, and free weights
  - Supplies to achieve cleanliness even when related to other medical services
  - Surgical dressings, except primary dressings that are applied directly to lesions either of the skin or surgical incision, which are covered as a standard medical benefit. Over-the-counter dressings and supplies are not covered
  - Jacuzzis and whirlpools
  - Stockings, such as elastic stockings, job stocking and support hose, garter belts and similar devices, as not within the definition of brace
  - Orthotics that are not custom-made to fit the member's body. Orthotics are orthopedic appliance or apparatus used to support, align, prevent, or correct deformities or to improve the function of moveable parts of the body. Coverage includes leg, arm, back, and neck braces and trusses. Back braces include special corsets and sacroiliac, sacrolumbar and dorsolumbar corsets and belt
  - Corrective footwear (specialized shoes, arch supports and inserts) except for the treatment of diabetes-related medical conditions, or as specifically provided in the member's EOC



- Non-eligible institutions - Services or supplies provided by any institution other than a licensed and approved hospital or Medicare-approved skilled nursing facility (SNF) or other properly licensed facility specified as covered in the member's EOC are not covered. Any institution that is primarily a place for the aged, a nursing home, or any similar institution, regardless of how designated, is not an eligible institution
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- Reversal of surgical sterilization - Reversal of a prior voluntary surgical sterilization procedure is not covered
- Routine physical examinations - Routine physical examinations are not covered for insurance, licensing, employment, school, camp, or other non-preventive purposes, unless specifically provided otherwise in the EOC. On plans that cover routine physical examinations, the exam itself and any related X-ray and laboratory procedures are covered; however, completion of any related forms are not covered. Refer to the specific plan in the Schedule of Benefits
- Services for obtaining or maintaining insurance are not covered
- Sterilization is not covered for males and females. Refer to the specific plan in the Schedule of Benefits or EOC for exceptions
- Substance abuse - Treatment of chronic alcoholism, drug addiction and other substance abuse problems are not covered, except for acute detoxification and the acute medical treatment of these problems. Other services not covered include: non-medical ancillary services; prolonged rehabilitation services, including inpatient, residential and outpatient substance abuse program; psychological counseling and aversion therapy. The terms and conditions applied to these benefits must be the same as those applied to other medical benefits under the plan contract due to federal mental health parity laws. Refer to the specific plan in the Schedule of Benefits for exceptions
- Unauthorized services and supplies - Any services or supplies not authorized according to procedures Health Net has established are not covered
- Unlisted services - Services or supplies that are not specified as covered services or supplies are not covered, unless coverage is required by law

## Genetic Testing

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP) | Hospitals | Ancillary

In general, Health Net covers genetic testing when medically necessary and all of the following are met:

- The member has personal or family history features suggestive of an inheritable condition
- The test can be adequately interpreted

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- The results of the test will aid in diagnosis or directly impact the treatment being delivered to the member or family
- Sensory impairment, especially if accompanied by any of the above indications

## Genetic Testing Coverage

Medically necessary genetic testing is covered for the following conditions:

- Tay-Sachs disease (TSD)
- Von Hippel-Lindau disease (or syndrome)
- Huntington's disease (HD)
- Hereditary nonpolyposis colorectal cancer (HNPCC)
- Cystic fibrosis (CF)
- Breast cancer (BRCA)
- Long QT syndrome (LQTS)
- High-risk pregnancies
- Pregnancy abnormalities:
  - Maternal serum alpha-fetoprotein
  - Fetal chromosomal aneuploidy genomic sequence analysis panel, circulating cell-free fetal DNA (cfDNA) in maternal blood, (trisomy 13, 18 and 21), and sex chromosome aneuploidy (X, XXY, XYY, XXX) screening
  - Fetal aneuploidy (trisomy 13, 18 and 21), DNA sequence analysis of selected regions using maternal plasma
  - Ultrasound examination
  - Chorionic villus sampling (CVS)
  - Amniocentesis for women age 35 or older

Prenatal or preconceptional genetic counseling for members or couples is also covered.

### Indications for Covering Genetic Testing

Health Net covers medically necessary genetic testing, including, but not limited to, the following:

- Unexplained developmental delay or mental retardation
- Unusual facial appearance or other dimorphic features, especially accompanied by failure to thrive or sub-optimal psychomotor development
- Movement disorder
- Positive newborn screen, for example, phenylketonuria (PKU), congenital hypothyroidism, congenital adrenal hyperplasia (CAH), biotinidase deficiency, maple syrup urine disease, galactosemia, homocystinuria, sickle cell anemia, medium chain acyl-CoA dehydrogenase deficiency (MCAD), or hearing loss
- Common birth defects, such as cleft lip or palate, neural tube defects, clubfoot, congenital heart disease, or congenital kidney defect
- Known or suspected metabolic disorder, including symptoms, such as failure to thrive, organomegaly or loss of previously acquired developmental milestones, as well as occurrences of neonatal death
- Abnormal sexual development, primary amenorrhea, aspermia, infertility, or multiple miscarriages
- Ambiguous genitalia
- Growth retardation or failure to thrive

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- Sensory impairment
- Two or more close relatives with the same disease or related diseases, such as cancer, mental illness or neurologic disorders
- Familial cancer (for example, retinoblastoma, Wilms' tumor, renal carcinoma, optic glioma, or acoustic neuroma)

For additional information on genetic testing policies, including exclusions and limitations of genetic testing, refer to Health Net's medical policies online at the [provider portal](#).

## Hearing

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP) | Ancillary

Health Net plans cover ear examinations and audiometric screening procedures. If an auditory defect is suspected, an evaluation by a specialist should be arranged. Refer to the member's [Schedule of Benefits](#), [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#) for benefit exclusions, limitations and applicable copayments.

Coverage includes tests for diagnosis and correction of hearing and fittings. A member may receive audiometric examinations and hearing aid evaluation tests. Hearing aids are covered as needed when the member's plan includes a hearing aid benefit, subject to applicable limitations listed in the member's EOC.

## Hearing Aid

Hearing aids are not covered for Individual Family Plans (IFP).

The member's plan must include the supplemental hearing aid rider for a hearing aid to be covered. For plans that do cover hearing aids, refer to the member's Schedule of Benefits, EOC or COI for benefit exclusions, limitations and applicable copayments.

When hearing aids are a covered benefit, coverage includes a standard hearing device, analog or digital, inserted into the canal or affixed to the outer ear to restore adequate hearing to the member and as determined to be medically necessary by a Health Net [participating provider](#) or audiologist. This includes repair and maintenance of the devices at no cost to the member. Plans may limit the number of hearing aids or covered charges permitted in a certain time period.

## Exclusions and Limitations

Hearing aid tests and a hearing aid are not covered for IFP.

Hearing aid tests and a hearing aid are not covered unless specifically included as covered benefits stated in the member's EOC or COI. Refer to the specific plan chart in the Schedule of Benefits. Replacement batteries are not covered.

If the member has a personal preference for an alternative model of hearing aid carried by the participating hearing aid provider, the member is liable for any difference in cost from the covered standard model and the preferred alternative model. A member who would like to purchase a model with special features is entitled to

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be informed of the additional cost before purchasing the hearing aid. There are no cash benefits for purchase of a device from a non-participating hearing aid provider.

## Home Health Care

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Intermittent home health care is defined as those medical services customarily provided to members in their place of residence. Members affiliated with a participating physician group (PPG) must use a Health Net participating home health care agency.

## Home Health Care Services

Home health care services in the member's home are provided by a registered nurse (RN); licensed vocational nurse (LVN); tech nurse, pediatric RN; licensed physical, occupational or speech therapist; MSW; or home health aid. These services may include, but are not limited to, skilled nursing services, medical social services, rehabilitation therapy (including physical, speech and occupational), and cardiac rehabilitation therapy. These services are subject to the conditions and limitations in the member's [Evidence of Coverage \(EOC\)](#) or [Cal MediConnect Member Handbook](#).

The following are additional components of home health care:

- Home health aid services - Coverage for medically necessary home health care provided by a home health aid is authorized only in conjunction with skilled nursing services provided by a certified licensed RN, LVN, tech nurse, pediatric RN, physical or speech therapist, or MSW. The home health aid provides personal care to the member. Custodial care is not covered.
- Medical supplies - Routine supplies, because of their specific therapeutic or diagnostic characteristics, are essential in enabling home health care staff to provide effective care. Home health care covers the medical supplies and services needed to provide the skilled care.

Home health care services are in place of continued hospitalization, confinement in a skilled nursing facility, or outpatient services provided outside of the member's home.

Home health care services that can be safely and effectively performed or self-administered by the average, unlicensed, non-medical person without direct supervision of a licensed nurse are not skilled nursing services, even though a licensed nurse may provide the service.

## Service Providers

Once authorized by Health Net or the delegated participating physician group (PPG), primary care physicians (PCPs) may refer members for home health services through Health Net's directly-contracting home health providers.

Medicare Advantage (MA) Violet PPO plan members may use an in-network or out-of-network provider depending upon the desired level of coverage.

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Providers must reference the Division of Financial Responsibility (DOFR) for the agreement governing the relationship to ensure services are directed to the appropriate providers.

## Homebound Determination

A member is considered homebound if the following criteria are met:

- The member must either, because of illness or injury, need the aid of supportive devices, such as crutches, canes, wheelchairs, and walkers; the use of special transportation; or the assistance of another person in order to leave their place of residence; or have a condition that makes leaving their home medically contraindicated.

If the member meets any of the above criteria, then they must also meet both requirements as follows:

- Inability to leave home, and leaving home requires a considerable and taxing effort.

If the member does leave home, they are considered homebound if the absences from the home are infrequent or for periods of relatively short duration, or are attributable to the need to receive health care treatment.

Absences attributable to the need to receive health care treatment include, but are not limited to:

- attendance at adult day centers to receive medical care.
- ongoing outpatient kidney dialysis.
- outpatient chemotherapy or radiation therapy.

The physician requesting the home health services determines the homebound criteria. Obstetric (OB) criteria do not qualify as homebound. Women and newborns in the immediate postpartum phase may require skilled observation and evaluation. The following selection criteria apply:

- Members who have had a caesarean section and were discharged from the hospital within 96 hours after delivery are eligible for one home health care visit at the attending physician's request. Authorization is not required. Requests for visits to members discharged after 96 hours are evaluated on a case-by-case basis.
- Members who delivered vaginally and were discharged from the hospital within 48 hours after delivery are eligible for one home health visit at the attending physician's request. Authorization is not required. Requests for visits for members discharged after 48 hours are evaluated on a case-by-case basis for medical necessity.

Additionally, to receive home health care services, skilled nursing care must be appropriate for the medical treatment of a condition, illness, disease, or injury, or home health care services are part-time and intermittent in nature; for example, a visit lasts up to four hours in duration every 24 hours.

Occasional absences from the home to attend, for example, a family reunion, funeral, graduation, or other infrequent or unique event do not necessitate a determination that the member is not homebound if:

- absences are infrequent.
- absences are of relatively short duration.
- absences do not indicate that the member has the capacity to obtain the health care provided outside rather than in the home.

## Exclusions and Limitations

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The following are not covered:

- food, housing, homemaker services, and home-delivered meals.
- supportive environmental equipment, such as handrails, ramps, and similar appliances and devices (not an exclusion for Cal MediConnect members).
- services not deemed to be medically necessary by the PPG, PCP or Health Net.
- exercise equipment, gravitonic devices, treadmills, room air purifiers, air conditioners, and similar devices.
- any other equipment that is not considered by the Centers for Medicare & Medicaid Services (CMS) to be durable medical equipment (DME).

## Authorization Guidelines

The [participating provider](#) prescribes treatment and the home health agency then proposes, develops and submits a treatment plan, signed by the physician, to the participating physician group (PPG) (for members affiliated with a PPG) or Health Net (for members not affiliated with a PPG) for review and approval. For members affiliated with a PPG, the PPG is required to complete the Authorization for Treatment form for the member. The treatment plan summarizes the services provided, the member's progress, the member's response to treatment, and recommendations for continued service. The participating provider reviews the treatment plan at least every 60 days and signs it to verify that the services provided are medically necessary.

When determining the appropriateness of home health services the following factors are considered:

- mental status of member
- types of services and equipment required (including frequency, duration, dressings, injections, and treatments)
- frequency of visits
- prognosis
- rehabilitation potential
- activities performed
- nutritional requirements
- medications and treatments (including amount, frequency and duration)
- homebound status
- any safety measures to protect against injury
- instructions for timely discharge or referral
- any other relevant items

Providers should initiate arrangements for home health services upon finalizing a hospitalized member's discharge plan.

Providers must use the [Urgent Request for Continuing Home Health Services \(PDF\)](#) form for HMO/POS, PPO, EPO, and Medicare Advantage members continuing home health services. Completed forms must be faxed to the Health Net Prior Authorization Department.

## Physician Certification

Medicare Part A, Part B and Part C (Medicare Managed Care) and Medi-Cal requires physician certification for home health services. A physician must certify that the medical and other covered health services provided by the home health agency were medically required. If the member's underlying condition or complication requires

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a registered nurse to ensure that essential non-skilled care is achieving its purpose and necessitates a registered nurse be involved in the development, management and evaluation of a patient's care plan, the physician must include a brief narrative describing the clinical justification of this need. This certification needs to be made only once where the member may require over a period of time the furnishing of the same item or service related to one diagnosis.

## Physician Recertification

Additionally, at the end of a 60-day period, a decision must be made whether or not to recertify the member for a subsequent 60-day period. An eligible member who qualifies for a subsequent 60-day episode of care would start the subsequent 60-day period on day 61. The plan of care must be reviewed and signed by the physician every 60 days unless the member transfers to another home health agency or is discharged and returns to the same home health agency during the 60-day period.

## Ongoing Care

[Participating providers](#) initiate home health care services as follows:

- The participating provider or designee contacts the home health or home medical equipment/ respiratory provider with orders for continuation of therapy and additional needs.
- The ancillary provider's staff communicates with the ordering physician about changes in the member's condition and questions regarding care or the need for extension or termination of services.
- The ancillary provider's staff cannot deny a service as being not covered without consulting the participating physician group's (PPG's) Utilization Management (UM) Department or a Health Net regional medical director. The participating provider communicates all denials to the ordering physician and the PPG's UM Department or a Health Net regional medical director. The PPG's UM Department or Health Net issues any denial letter to the member.
- The participating provider contacts the ordering physician to discuss ongoing care before authorized services come to an end.

For more information, select any subject below:

- [Skilled Nursing Services](#)

## Skilled Nursing Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The following are skilled services other than skilled nursing services:

- Physical, speech and occupational therapy must relate directly and specifically to a written treatment plan established by a [participating provider](#) or Health Net, usually after the participating provider has consulted with a qualified therapist. The therapy must be medically necessary for treatment of the member's illness or injury.

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- Medical social services are covered if they are prescribed by a participating provider or Health Net, are included in the member's treatment plan, and are medically necessary. An indication that there exist social problems, which prevent effective treatment is required. Only a licensed medical social worker may perform medical social services.

## Skilled Nursing Observation and Evaluation

If all other eligibility and coverage requirements under the [home health benefit](#) are met, skilled nursing services are covered when an individualized assessment of the member's clinical condition demonstrates that the specialized judgment, knowledge, and skills of a registered nurse or licensed vocational practical skilled care nurse are necessary. Skilled nursing services are covered when necessary to maintain the member's current condition or prevent or slow further deterioration as long as the member requires skilled care for the services to be safely and effectively provided. When services can safely and effectively be performed by the patient or unskilled caregivers, such services are not covered under the home health benefit.

The skilled nursing service must be reasonable and necessary to the diagnosis and treatment of the member's illness or injury within the context of the member's unique medical condition. A physician determines whether the services are reasonable and necessary.

Observation and assessment of the member's condition by a nurse are reasonable and necessary skilled services when the likelihood of change in the member's condition requires skilled nursing staff to identify and evaluate the member's need for possible modification of treatment or initiation of additional medical procedures until the member's clinical condition and treatment regimen has stabilized. Where a member was admitted to home health care for skilled observation because there was a reasonable potential of a complication or further acute episode, but did not develop a further acute episode or complication, the skilled observation services are still covered for three weeks or as long as there remains a reasonable potential for such a complication or further acute episode.

Information from the member's home health record must document that there is a reasonable potential for a future complication or acute episode and, therefore, may justify the need for continued skilled observation and assessment beyond the three-week period. Signs and symptoms, such as abnormal or fluctuating vital signs, weight changes, edema, symptoms of medication toxicity, abnormal/fluctuating lab values, and respiratory changes on auscultation, may justify skilled observation and assessment. When these signs and symptoms demonstrate reasonable potential that skilled observation and assessment by a licensed nurse will result in changes to the member's treatment, then services are covered. However, observation and assessment by a nurse is not reasonable and necessary for the treatment of the member's illness or injury where fluctuating signs and symptoms have been part of a longstanding pattern of the member's condition, which has not previously required changes to the prescribed treatment.

## Home Health Care

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Intermittent home health care is defined as those medical services customarily provided to members in their place of residence. Members affiliated with a participating physician group (PPG) must use a Health Net participating home health care agency.

## Home Health Care Services

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Home health care services in the member's home are provided by a registered nurse (RN); licensed vocational nurse (LVN); tech nurse, pediatric RN; licensed physical, occupational or speech therapist; MSW; or home health aid. These services may include, but are not limited to, skilled nursing services, medical social services, rehabilitation therapy (including physical, speech and occupational), and cardiac rehabilitation therapy. These services are subject to the conditions and limitations in the member's [Evidence of Coverage \(EOC\)](#) or [Cal MediConnect Member Handbook](#).

The following are additional components of home health care:

- Home health aid services - Coverage for medically necessary home health care provided by a home health aid is authorized only in conjunction with skilled nursing services provided by a certified licensed RN, LVN, tech nurse, pediatric RN, physical or speech therapist, or MSW. The home health aid provides personal care to the member. Custodial care is not covered.
- Medical supplies - Routine supplies, because of their specific therapeutic or diagnostic characteristics, are essential in enabling home health care staff to provide effective care. Home health care covers the medical supplies and services needed to provide the skilled care.

Home health care services are in place of continued hospitalization, confinement in a skilled nursing facility, or outpatient services provided outside of the member's home.

Home health care services that can be safely and effectively performed or self-administered by the average, unlicensed, non-medical person without direct supervision of a licensed nurse are not skilled nursing services, even though a licensed nurse may provide the service.

## Service Providers

Once authorized by Health Net or the delegated participating physician group (PPG), primary care physicians (PCPs) may refer members for home health services through Health Net's directly-contracting home health providers.

Medicare Advantage (MA) Violet PPO plan members may use an in-network or out-of-network provider depending upon the desired level of coverage.

Providers must reference the Division of Financial Responsibility (DOFR) for the agreement governing the relationship to ensure services are directed to the appropriate providers.

## Homebound Determination

A member is considered homebound if the following criteria are met:

- The member must either, because of illness or injury, need the aid of supportive devices, such as crutches, canes, wheelchairs, and walkers; the use of special transportation; or the assistance of another person in order to leave their place of residence; or have a condition that makes leaving their home medically contraindicated.

If the member meets any of the above criteria, then they must also meet both requirements as follows:

- Inability to leave home, and leaving home requires a considerable and taxing effort.

If the member does leave home, they are considered homebound if the absences from the home are infrequent or for periods of relatively short duration, or are attributable to the need to receive health care treatment.

Absences attributable to the need to receive health care treatment include, but are not limited to:

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- attendance at adult day centers to receive medical care.
- ongoing outpatient kidney dialysis.
- outpatient chemotherapy or radiation therapy.

The physician requesting the home health services determines the homebound criteria. Obstetric (OB) criteria do not qualify as homebound. Women and newborns in the immediate postpartum phase may require skilled observation and evaluation. The following selection criteria apply:

- Members who have had a caesarean section and were discharged from the hospital within 96 hours after delivery are eligible for one home health care visit at the attending physician's request. Authorization is not required. Requests for visits to members discharged after 96 hours are evaluated on a case-by-case basis.
- Members who delivered vaginally and were discharged from the hospital within 48 hours after delivery are eligible for one home health visit at the attending physician's request. Authorization is not required. Requests for visits for members discharged after 48 hours are evaluated on a case-by-case basis for medical necessity.

Additionally, to receive home health care services, skilled nursing care must be appropriate for the medical treatment of a condition, illness, disease, or injury, or home health care services are part-time and intermittent in nature; for example, a visit lasts up to four hours in duration every 24 hours.

Occasional absences from the home to attend, for example, a family reunion, funeral, graduation, or other infrequent or unique event do not necessitate a determination that the member is not homebound if:

- absences are infrequent.
- absences are of relatively short duration.
- absences do not indicate that the member has the capacity to obtain the health care provided outside rather than in the home.

## Exclusions and Limitations

The following are not covered:

- food, housing, homemaker services, and home-delivered meals.
- supportive environmental equipment, such as handrails, ramps, and similar appliances and devices (not an exclusion for Cal MediConnect members).
- services not deemed to be medically necessary by the PPG, PCP or Health Net.
- exercise equipment, gravitonic devices, treadmills, room air purifiers, air conditioners, and similar devices.
- any other equipment that is not considered by the Centers for Medicare & Medicaid Services (CMS) to be durable medical equipment (DME).

## Authorization Guidelines

The [participating provider](#) prescribes treatment and the home health agency then proposes, develops and submits a treatment plan, signed by the physician, to the participating physician group (PPG) (for members affiliated with a PPG) or Health Net (for members not affiliated with a PPG) for review and approval. For members affiliated with a PPG, the PPG is required to complete the Authorization for Treatment form for the member. The treatment plan summarizes the services provided, the member's progress, the member's

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response to treatment, and recommendations for continued service. The participating provider reviews the treatment plan at least every 60 days and signs it to verify that the services provided are medically necessary.

When determining the appropriateness of home health services the following factors are considered:

- mental status of member
- types of services and equipment required (including frequency, duration, dressings, injections, and treatments)
- frequency of visits
- prognosis
- rehabilitation potential
- activities performed
- nutritional requirements
- medications and treatments (including amount, frequency and duration)
- homebound status
- any safety measures to protect against injury
- instructions for timely discharge or referral
- any other relevant items

Providers should initiate arrangements for home health services upon finalizing a hospitalized member's discharge plan.

Providers must use the [Urgent Request for Continuing Home Health Services \(PDF\)](#) form for HMO/POS, PPO, EPO, and Medicare Advantage members continuing home health services. Completed forms must be faxed to the Health Net Prior Authorization Department.

## Physician Certification

Medicare Part A, Part B and Part C (Medicare Managed Care) and Medi-Cal requires physician certification for home health services. A physician must certify that the medical and other covered health services provided by the home health agency were medically required. If the member's underlying condition or complication requires a registered nurse to ensure that essential non-skilled care is achieving its purpose and necessitates a registered nurse be involved in the development, management and evaluation of a patient's care plan, the physician must include a brief narrative describing the clinical justification of this need. This certification needs to be made only once where the member may require over a period of time the furnishing of the same item or service related to one diagnosis.

## Physician Recertification

Additionally, at the end of a 60-day period, a decision must be made whether or not to recertify the member for a subsequent 60-day period. An eligible member who qualifies for a subsequent 60-day episode of care would start the subsequent 60-day period on day 61. The plan of care must be reviewed and signed by the physician every 60 days unless the member transfers to another home health agency or is discharged and returns to the same home health agency during the 60-day period.

## Ongoing Care

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Participating providers initiate home health care services as follows:

- The participating provider or designee contacts the home health or home medical equipment/ respiratory provider with orders for continuation of therapy and additional needs.
- The ancillary provider's staff communicates with the ordering physician about changes in the member's condition and questions regarding care or the need for extension or termination of services.
- The ancillary provider's staff cannot deny a service as being not covered without consulting the participating physician group's (PPG's) Utilization Management (UM) Department or a Health Net regional medical director. The participating provider communicates all denials to the ordering physician and the PPG's UM Department or a Health Net regional medical director. The PPG's UM Department or Health Net issues any denial letter to the member.
- The participating provider contacts the ordering physician to discuss ongoing care before authorized services come to an end.

For more information, select any subject below:

- [Skilled Nursing Services](#)

## Hospice Care

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information and the referral process for hospice care services.

Select any subject below:

- [Hospice Services](#)
- [Claims Submission](#)
- [Election Statement](#)
- [Hospice Agency](#)
- [Interdisciplinary Team](#)
- [Prior to Election of Hospice Services](#)

## Hospice Services

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP) | Hospitals | Ancillary

Hospice is a specialized health care program for terminally ill members who chose supportive and palliative care rather than curative measures and aggressive treatments for their terminal illness. It focuses on symptom control, pain management and psychosocial support for members with a life expectancy of one year or less to live. Hospices do not speed up or slow down the dying process. Rather, hospice programs provide state-of-the-art palliative care and supportive services to members at the end of their lives, as well as to their family and significant others, in both the home and facility-based settings. It consists of a physician-directed, nurse-

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coordinated interdisciplinary team consisting of social workers, counselors, clergy, physical and occupational therapists, and specially trained volunteers.

For additional information refer to [Criteria for Hospice Appropriateness \(PDF\)](#) or [Definition of Hospice Services](#).

## Description

A hospice care program consists of, but is not limited to, the following:

- Professional services of a registered nurse, licensed practical nurse or licensed vocational nurse
- Physical therapy, occupational therapy and speech therapy
- Medical and surgical supplies and durable medical equipment (DME)
- Prescribed medications
- In-home laboratory services
- Medical social service consultations
- Inpatient hospice room, board and general nursing service
- Inpatient respite care, which is short-term care provided to the member only when necessary to relieve the family or other persons caring for the member
- Family counseling related to the member's terminal condition
- Dietitian services
- Pastoral services
- Bereavement services
- Educational services

## Hospice Consideration Request

To further assist providers in proper utilization of hospice care, Health Net has developed a [Hospice Consideration Request letter \(PDF\)](#). The letters (generic) may be used when notifying a primary care physician (PCP) or attending physician of the member's need for hospice care.

## Certification of Terminal Illness

Health Net follows the California regulations on certification that states a member whose prognosis indicates a life expectancy of one year or less is considered to be terminally ill. A participating physician can contact Health Net for authorization for each certification period while the member is receiving hospice care. Each certification period needs to be authorized and consists of two 90-day periods and an unlimited number of 60-day periods.

## Hospice Referrals

[Participating providers](#) make arrangements for medically necessary hospice care. An Authorization for Treatment of Health Net Member form must be completed. For cases that involve a hospitalized member, the request should be made as soon as discharge planning is finished.

## Medications, Medical Equipment, and Supplies

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Medications, medical equipment and supplies may include durable medical equipment (DME), as well as other self-help items related to palliation and management of the member's terminal illness and related conditions.

Respiratory medications are covered through the Health Net prescription drug program.

The hospice agency provides standard DME items for use in the member's home while under hospice care. Medical supplies are covered if they are part of the written plan of care. Necessary DME that falls outside the hospice member's written plan of care may be obtained through the member's DME benefit.

## Short-Term Inpatient Care

Short-term inpatient care provides continuity of care and appropriate services for members who cannot be managed at home because of acute complications or the temporary absence of a capable caregiver.

Short-term inpatient care is considered acute care hospitalization.

## Skilled Nursing Services

Skilled nursing services are provided by, or under the supervision of a registered nurse (RN). The services are covered under the plan of care that pertains to the palliative, supportive services required by the member.

Skilled nursing services include:

- Member assessment
- Evaluation and case management of the medical nursing needs
- Performance of prescribed medical treatment for pain and symptom control
- Emotional support of both the member and the family, including the significant other
- Instruction of caregivers who provide personal care to the member
- Services available on a 24-hour, on-call basis during period of crisis

## Counseling Services

Counseling and spiritual services are provided to the member and the member's family, including the significant other. Counseling is provided to minimize the stress and problems that arise from social, economic, psychological, or spiritual needs and to help the member and those providing care to adjust to the member's approaching death.

Dietary counseling by a qualified [participating provider](#) must also be provided when needed.

### Bereavement Counseling

Bereavement services are available to surviving family members, including significant others, for a period of at least one year after the death of a member. Services include an assessment of the bereaved family's needs and the development of a care plan that meets these needs, both prior to and following the death of a member.

## Period of Crisis

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A period of crisis is time during which the member requires continuous primary nursing care to achieve palliation or to manage acute medical symptoms. Nursing care may be covered for up to 24 hours a day during periods of crisis if necessary to allow the member to remain at home. Care during such a period must be predominantly nursing care.

## Respite Care

Respite care is short-term inpatient care provided to a member only when necessary to relieve caregivers at home. Respite care may be provided only occasionally and reimbursement may not be for more than five consecutive days at a time per certification period.

## Volunteer Services

Volunteer services are those services provided by a trained hospice volunteer under the direction of a hospice staff member. The services are to provide support and companionship to the member and the member's family, including the significant other, during the member's remaining days and to the surviving family after the member's death.

## Claims Submission

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

All hospice claims submitted to Health Net for payment must be identified as hospice claims, as some services provided through hospice (for example, durable medical equipment (DME) and medications) may only be eligible through hospice coverage and not through other coverage under the member's plan.

The participating physician group (PPG) must inform both the hospice agency and the member that, regardless of the forms signed upon admission to a hospice program, the member is still required to have all non-hospice care directed, authorized and arranged for by a Health Net participating provider.

To avoid rejections and delays in payment, all hospice providers are required to submit their claims with the member's signed election statement, the provider's certification of terminal illness, and the medical prognosis to the Health Net Claims Department.

## Hospice Agency

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

A hospice agency is an entity that provides hospice services to a terminally ill person and holds a current license as a hospice pursuant to Health and Safety Code section 1747, or a home health agency with federal Medicare certification pursuant to Health and Safety Code sections 1726 and 1747.1.



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## Election Statement

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Each hospice agency designs its own election statement, which should include the following elements:

- Identification of the particular hospice agency to provide the care
- A statement describing the hospice program
- Member's acknowledgment of full understanding
- Effective date
- Signature of member or guardian
- A statement explaining the member may revoke hospice services at any time
- Requirements for hospice care

The member is required to elect hospice care and the attending physician is required to establish a plan of care before services are provided.

According to AB 1299 (ch. 825, 2004), certain preliminary and palliative services prior to the election of hospice services can be provided. Refer to the Prior to Election of Hospice Services discussion found under the Hospice topic.

## Interdisciplinary Team

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Interdisciplinary hospice services, including palliative care, may be provided to patients with serious illnesses, as determined by the physician and surgeon in charge of their care, and patients who continue to receive curative treatment from other licensed health care professionals.

The interdisciplinary team is the hospice care team, which is a physician-directed, nurse-coordinated interdisciplinary team comprised of social workers, counselors, clergy, physical and occupational therapists, and specially trained volunteers.

## Prior to Election of Hospice Services

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

AB 1299 (ch. 825, 2004) permits California-licensed hospice providers to provide certain preliminary and palliative services prior to the election of hospice services and requires the member to remain eligible for coverage of curative treatment.

Preliminary services are provided as determined by the member's [primary care physician \(PCP\)](#) or attending physician or at the member or member's family request and include preliminary:

- Palliative care consultations

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- Counseling and care planning
- Grief and bereavement services

Palliative services include medical treatment, interdisciplinary care or consultation provided to the member or member's family that primarily attempt to prevent or relieve suffering and enhance the quality of life, rather than curing the disease.

Health Net members who have not yet elected hospice benefits are covered one time only for hospice consultation services.

## Hospital and Skilled Nursing

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general member benefit information on hospitals and skilled nursing facilities.

Select any subject below:

- [Claims Submissions](#)
- [Inpatient Services and Skilled Nursing Facility Admissions](#)
- [Transfer and Discharge Refusals by Hospitalized Member](#)
- [When Coverage Becomes Effective while Member is Hospitalized](#)

## Claims Submissions

Provider Type: Participating Physician Groups (PPG) (does not apply to HSP)| Ancillary | Hospitals

Submit claims to the Health Net Claims Department ([commercial](#)) ([Medicare Advantage](#)) with a complete itemized billing, including evidence of authorization. The Health Net Electronic Data Interchange Claims Department may be contacted for electronic submission of claims. Health Net requires notification within 24 hours or by the next business day after a member is admitted.

Some providers elect to mail claims directly to Health Net, which requires the submission of an attached itemized billing with the claim. Claims that have not been authorized require medical review, and Health Net mails a letter to the provider and the member explaining the procedure.

## Inpatient Services and Skilled Nursing Facility Admissions

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

## Inpatient Services

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Inpatient services are covered on all Health Net plans. Services are covered with unlimited days per admission, subject to benefit calendar year maximums if applicable. Specifics regarding inpatient services are as follows:

- Inpatient services in a hospital, when medically necessary, are covered, subject to the scheduled copayments or coinsurance.
- Elective hospitalization of Health Net members is authorized by the participating physician group (PPG) if the member is affiliated with a capitated PPG that has responsibility for prudent hospital use. Services can be in an acute, general or specialized care hospital.
- [Participating providers](#) must contact Health Net or a Payor and the appropriate [primary care physician \(PCP\)](#) or PPG within 24 hours or by the next business day after a member is admitted into a hospital. Services may be in an acute, general or specialized care hospital. Inpatient days subsequent to this admission notification period are subject to authorization rules; failure to notify as set forth herein may result in denial of payment.
- Care in a semi-private room of two or more beds is covered. Special treatment units licensed by the state, such as intensive or coronary care units are also covered, subject to scheduled copayments.
- Benefits for hospital care are limited to the hospital's most common charge for a semi-private (two-bed) room. If the member elects to have a private room, the member is responsible for any amount over the semi-private room rate, plus the plan copayment. If the PPG has authorized a private room as medically necessary, the member has no financial responsibility beyond the required copayment.
- All medically necessary inpatient services and supplies not specifically excluded for the condition necessitating confinement are covered, subject to the scheduled copayment.

Refer to the member's [Evidence of Coverage \(EOC\)](#), [Certificate of Insurance \(COI\)](#) or [Schedule of Benefits](#) for coverage information.

## Services in a Skilled Nursing Facility, Acute, Long-Term, or Psychiatric Hospital

All admissions and services rendered in a skilled nursing facility (SNF), acute rehabilitation, long-term care, or psychiatric unit or hospital, even if located in the acute hospital's structure, are considered separate admissions. These services are distinct from the acute hospital services and are paid independent of the acute hospital admission once the member is discharged from the hospital and admitted to the designated unit.

## Notification of SNF Admission and Discharge

To improve continuity and coordination of care for its members, Health Net requests that SNFs notify the member's PCP within 24 hours of admission to or discharge from a SNF.

When Health Net is the secondary payor and the member is admitted into a SNF or a long-term acute care (LTAC) facility, the facility needs to notify the plan upon admission or within 24 hours of exhaustion of the primary insurance. Health Net has a tracking system for members who are in facilities under a primary insurance, and notification is necessary to ensure that Medical Management has the ability to administer services for the member when Health Net becomes the primary payor.

To facilitate this process, Health Net has developed sample forms SNFs can use when notifying the member's PCP of an admission. If a SNF chooses to use its own notification forms, the following information must be included when notifying the member's PCP:

- Member name
- Identification (ID) number
- Date of birth (DOB)
- Admission date

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- Admitting diagnosis
- Attending/admitting physician name
- Attending/admitting physician telephone and fax number
- Facility name
- Facility telephone and fax number
- Level of care

When notifying the member's PCP of a discharge from a SNF, the following information must be provided:

- Member name
- ID number
- DOB
- Admission and discharge dates
- Attending physician name
- Attending physician telephone and fax number
- Diagnosis
- Follow-up appointment date, if known
- Discharge destination
- Responsible party at discharge
- Level of assistance
- Discharge planning needs including equipment, service or other special training needs
- Medications, including dosage and frequency at discharge
- Facility name, telephone number and fax number
- Level of care

For additional information regarding SNF notification, refer to the Hospital Notification Unit ([HMO](#) or [EPO and PPO](#)) documents under the Utilization Management topic.

## Transfer and Discharge Refusals by Hospitalized Member

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

### Participating Facility

Health Net recommends the following procedure to protect the participating physician group (PPG) and Health Net from liability in cases where a member or the admitting physician at a nonparticipating facility within a 30-mile radius of the member's home refuses to allow a transfer or discharge. This procedure is applicable to either transfer refusal or discharge refusal:

1. The PPG physician must contact the attending physician. As soon as the PPG is aware of the hospitalization, the PPG physician must advise the attending physician that transfer of the member to a participating facility must occur as soon as the condition is stable.
2. If the attending physician refuses to transfer, the PPG physician must monitor the member's condition through the attending physician to determine when the member can be transferred to a participating facility.

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3. The physician, in conjunction with the PPG case manager, must collaborate with the attending physician to determine a facility appropriate for transfer. If indicated, an appropriate specialist must be identified to contact the attending physician at the current hospital to discuss the case and the member's stability for transfer.
  4. At times, Health Net may request that the member be transferred to an in-network facility. If the accepting physician (or specialist) and attending physician agree that the member is stable for transfer and a bed is available at the accepting facility, but the attending physician refuses to transfer, the PPG (or Health Net) must issue a facility non-payment letter advising the facility of non-payment, effective the date agreed upon by both physicians that the member was stable for transfer. Health Net and PPGs ensure that a participating physician is available 24 hours a day to authorize medically necessary post-stabilization care and coordinate the transfer of stabilized members in an emergency department, if necessary.
- Health Net does not cover continued hospitalization if the accepting physician (or matching specialist) and attending physician agree that member is stable for transfer and a bed is available at the accepting facility, but the member refuses to transfer. The PPG must issue a member denial letter for [refusal to transfer \(PDF\)](#). Member denial is effective 24 hours after the date the member receives the letter.

## Transfer of Hospitalized Member to Participating Facility

A Health Net member may be hospitalized at an out-of-network facility for emergency care. A member affiliated with a capitated participating physician group (PPG) should be transferred to a PPG-participating facility as soon as the member's medical condition allows. For PPG responsibilities regarding non-participating hospitals refer to [Shared Risk UM Responsibilities](#).

There are situations when a Health Net member is hospitalized in a non-participating facility within the PPG's service area. The member should be transferred to a facility inside the service area that contracts with the PPG as soon as the member's medical condition allows.

## When Coverage Becomes Effective while Member is Hospitalized

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net and the participating physician group (PPG) are liable whenever a member is hospitalized either inside or outside the Health Net service area when coverage becomes effective. Health Net plans provide coverage for medical services to all members on the effective date of coverage regardless of health status. Health Net requires adherence to the following guidelines for coverage changes during hospitalization:

Health Net or the PPG must be notified that the newly covered member is confined to a hospital

- The member must be willing to receive care from the selected PPG
- If the member can be transferred, financial responsibility for the cost of transportation is based on terms of the contractual arrangement between Health Net and the PPG

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- If the member can be transferred but refuses, Health Net and the PPG are not liable for any expenses relating to the hospitalization. If proper documentation has been completed, Health Net and the PPG pay for the care only when it is not medically prudent to move the member or when it is prudent, but the costs of the move would likely exceed the costs of the member remaining in a hospital where the PPG does not have privileges. Refer to the [Transfer and Discharge Refusals by Hospitalized Members](#) section for more information
- The physician from the member's new PPG should discuss the member's treatment plan with the attending non-participating physician. The member's new physician is then responsible for assuming care, and the member is obligated to follow that physician's directions

The conditions that may limit Health Net coverage for new members who are confined to a hospital may not apply if a new member declares they have not received a Health Net identification (ID) card or Health Net [Evidence of Coverage \(EOC\)](#) and therefore, was unaware of the proper procedures to follow when obtaining medical care.

## Immunizations

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on immunizations, including immunization schedules.

Select any subject below:

- [Coverage Explanation](#)

## Coverage Explanation

Provider Type: Physicians | Participating Physician Groups (PPG)

Medically necessary immunizations, as determined by Health Net are covered by all Health Net plans and include adult immunizations recommended by the Centers for Disease Control and Prevention (CDC) and childhood immunizations recommended by the American Academy of Pediatrics (AAP). Refer to the CDC website for:

- [The adult immunization schedule \(PDF\)](#).
- [The children and adolescents immunization schedule \(PDF\)](#).
- Some plans may also provide coverage for occupational-related requirements and foreign travel-related immunizations and may be subject to a copayment. Refer to the [Schedule of Benefits](#) for coverage and copayment information.

Most immunizations do not require a copayment. Refer to the [Schedule of Benefits](#) for exceptions.

For employer group plans travel-related immunizations are covered fully or partially in accordance with the Provider Participation Agreement (PPA) for some Health Net commercial plans. Haemophilus influenza B (HIB) vaccines are also covered fully or partially in accordance with the PPA for some Health Net plans. These immunizations are usually subject to a copayment. Refer to the Schedule of Benefits for copayment information and exceptions.

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Vaccines and immunizations may be sub-categorized as adult or pediatric according to the age of the member who receives the immunization.

## Incarcerated Members

Provider Type: Physicians | Participating Physician Groups (PPG)

The California Board of Corrections, United States Marshall Service, or the city or county where the member is detained usually provides medical treatment for the incarcerated person. Incarcerated persons may decline medical treatment if they can receive treatment at their own expense.

California law prohibits a health care service plan or disability insurer from denying a claim for hospital, medical, surgical, dental, or optometric services for the sole reason that the member is incarcerated, provided the member is otherwise entitled to reimbursement for such services under the plan contract and incurs an expense for services performed.

## Coverage for Incarcerated Members

Incarcerated members are covered for medical treatment, including urgent and emergency care, provided the coverage is received as stated in the member's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#). The member is responsible for obtaining any required referrals or prior authorizations. The member is also responsible for obtaining any necessary court approval, transportation or security costs.

Medical treatment that is required as a result of an injury sustained during confinement or mandated medical testing is not covered; this is the responsibility of the institution where the member is detained.

## Injectables

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information and protocols for injectables, including prior authorization requirements.

Select any subject below:

- [Overview](#)
- [Chemotherapy](#)
- [Copayment Requirements](#)
- [Home Infusion](#)
- [Human Growth Hormone and Antihemophilic Factor](#)
- [Injectable Medication Coverage Policy](#)
- [Prior Authorization](#)
- [Therapeutic Injections and Other Injectable Substances](#)

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Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Standard definitions determine the Division of Financial Responsibility (DOFR) categories into which injectable medications are placed and include brand names, generic names and associated HCPCS codes. The categories mirror the DOFR matrix categories located in the Health Net Provider Participation Agreement (PPA) DOFR agreement.

For Medi-Cal members under age 21 with California Children's Services (CCS)-eligible conditions, injectable medications used in the treatment of CCS-related conditions are not included in Health Net's coverage responsibilities under its Medi-Cal managed care contract with the Department of Health Care Services (DHCS).

Injectable medications are separated into two primary categories - therapeutic injections and self-injectables. These categories are sub-divided into secondary categories as follows:

- Therapeutic injections
  - Allergy serum
  - Blood and blood products for hemophilia (carved out for Medi-Cal)
  - Chemotherapy
  - Chemo adjunct
  - Home health/infusion
  - Immunizations
  - Immunosuppressants for transplants
- Self-injectables
  - Chemotherapy
  - Chemo adjunct
  - Growth hormones
  - HIV/AIDS
  - Infertility medications

If an injectable medication does not have a secondary category, it defaults to the DOFR primary category. There are five secondary categories that are contingent on meeting specific criteria - chemotherapy, chemo adjunct injectable medications, HIV/AIDS, immunosuppressants for transplants and home health/infusion:

- Chemotherapy and chemo adjunct injectable medications must be associated with a cancer diagnosis using ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9. If the appropriate codes are not used, these injectables default to the primary category
- HIV/AIDS must be associated with a HIV or AIDS diagnosis. If the diagnosis is not HIV or AIDS, these injectable medications default to the DOFR therapeutic category
- Home health infusion must be administered in the home by a nurse or physician. If it is not, this injectable medication defaults to the DOFR primary category
- Immunosuppressants for transplants must be associated with an organ transplant. If they are not, these injectable medications default to the DOFR primary category



Injectable medications are categorized using a standardized methodology to ensure clear and proper benefit administration and reimbursement. Chemotherapy, chemo adjunct, HIV/AIDS, home health/infusion, and immunosuppressants for transplants are the only injectable medications that may change categories depending on whether contingent criteria are met.

For additional current information regarding injectable medications, refer to the [Health Net Injectable Medication HCPCS/DOFR Crosswalk \(PDF\)](#) table.

Allergy testing agents and immune globulins given intramuscularly and subcutaneously that produce passive immunizations are classified as therapeutic injectable medications. Certain vaccines (for example, BCG) are categorized as chemotherapy or a therapeutic injection based on the appropriate indication.

Growth hormones and injectables considered safe for self-administration at home and packaged for this purpose are classified in the primary category of self-injectable medications.

Additional information regarding clinical guidelines and coverage criteria for injectable medications can be found in the Health Net Prior Authorization guidelines on the [provider portal](#).

## Hemophilia

Antihemophilic agents include hemophilic factors VIII and IX and factors used in the treatment of bleeding episodes in hemophilia A or B members with inhibitors to factor VIII or IX (for example, coagulation factor VIIa and anti-inhibitor coagulant complex). These agents must be used for Food and Drug Administration (FDA)-approved indications. Refer to the Health Net Injectable Medication HCPCS/DOFR Crosswalk table for more information.

Hemophilic factors are covered under the blood and blood products for hemophilia category. Refer to the [Schedule of Benefits](#) to determine coverage for these services. If services are covered under the member's plan, the services must be pre-approved and obtained from a participating provider.

For Medi-Cal members, blood and blood products for hemophilia are carved out and billed to Medi-Cal. For Medi-Cal members under age 21, hemophilia is a CCS-eligible condition and treatment is not included in Health Net's coverage responsibilities under its Medi-Cal managed care contract with the DHCS.

## Chemotherapy

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The terms of compensation for chemotherapy medications are stated in the participating physician group's (PPG's) Provider Participation Agreement (PPA).

Chemotherapy and chemo adjunct medications are composed of antineoplastic and adjunctive medications. An antineoplastic medication is a compound used to destroy malignant cancer cells or shrink or kill malignant tumor cells circulating in the blood and lymphatic systems. Antineoplastics must be approved by the Food and Drug Administration (FDA) for a specific cancer indication or listed in the most recent bulletin by the Association of Community Cancer Centers to be eligible for coverage under a Health Net benefit plan.

Adjunctive medications are additional pharmaceutical agents added for the purposes of palliative symptomatic treatment of side effects directly related to the chemotherapy treatment regimen. The specific purpose of the adjunctive therapy is for a defined duration of therapy, for only as long as the chemotherapy is continued, and

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may not be used for chronic maintenance use. Adjunctive therapy may not include products that are already part of the outpatient pharmacy benefit program.

Refer to the [Health Net Injectable HCPCS/DOFR Crosswalk \(PDF\)](#) table for chemotherapy and chemo adjunct medications.

Chemotherapy medications may be administered by a participating provider in a hospital inpatient setting, at the PPG, at other patient settings, or in the member's home. Some chemotherapy agents may require prior authorization. Refer to the Health Net Injectable Prior Authorization Guidelines on the Health Net provider portal ([Commercial](#), [Medi-Cal](#)).

## Copayment Requirements

Provider Type: Physicians | Participating Physician Groups (PPG)

The following information does not apply to individual family plans (IFP).

For most employer groups, an injectable medication copayment or coinsurance may need to be collected for self-injectable medications. Some employer groups that cover self-injectable medications require a copayment each time the injectable is administered by the [participating provider](#). Some groups require a copayment per course of treatment up to a 30-day supply through a Health Net participating specialty or home infusion pharmacy provider. Refer to the [Schedule of Benefits](#) for plans that require copayments.

## Home Infusion

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Home infusion services involve the administration of prescribed intravenous substances and solutions administered in the member's home by qualified staff. Members who receive home infusion services do not need to be homebound, but must meet other criteria for home health care, which includes the member's willingness to learn the administration of therapy at home or the presence of another willing and able caregiver to administer the therapy. Injectable medications that require admixing by a home health provider or pharmacy are also included. Infusion medications given in the home setting and approved by Health Net include, but are not limited to:

- Total parenteral nutrition (TPN)
- Intravenous antibiotic and antiviral therapies
- Aerosolized therapy
- Pain management
- Chelation therapy
- Inotropic therapy
- IVIG/IGIV immunoglobins
- Hydration therapy
- Steroid therapy
- Remicade
- Chemotherapy

Home infusion services provided to members affiliated with a shared-risk participating physician group (PPG) must be obtained through [Coram Healthcare](#), Health Net's home infusion provider.

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Shared risk members are capitated to Coram and shared risk PPGs should utilize Coram or they will be liable for claims payments.

Refer to the [Health Net Injectable Medication HCPCS/DOFR Crosswalk \(PDF\)](#) table for home health infusion medications.

For Medi-Cal members under age 21, medications used in the treatment of California Children's Services (CCS) eligible conditions are not included in Health Net's coverage responsibilities under its Medi-Cal managed care contract with the Department of Health Care Services (DHCS).

## Human Growth Hormone and Antihemophilic Factor

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG) |

Human growth hormone (HGH) and antihemophilic factors for Food and Drug Administration (FDA)-approved indications are covered. For participating physician groups (PPGs), HGH is defined as a self-injectable medication under most Provider Participation Agreements (PPAs). Refer to the Benefits/Injectable topic for additional information regarding self-injectable medications. Refer to the Medicare Part D Formulary or Cal MediConnect Formulary for HGH and antihemophilic factors.

HGH must be obtained through [Pharmacy Services](#). Antihemophilic factors may be obtained through a Health Net participating specialty pharmacy.

## Injectable Medication Coverage Policy

Provider Type: Physicians | Participating Physician Groups (PPG)

Injectable medications, including those for therapeutic purposes, are covered when authorized by the [Pharmacy Services](#) or in accordance with the Health Net Provider Participation Agreement (PPA) Division of Financial Responsibility (DOFR).

Prior authorization must be obtained through the [Pharmacy Services](#) for self-injectable medications, including antihemophilic factors and human growth hormone (HGH).

Refer to the Benefits/Injectables Prior Authorization topic for additional information. For specific information about specific plan and employer group exceptions, refer to the [Schedule of Benefits](#).

## Prior Authorization

Provider Type: Physicians | Participating Physician Groups (PPG)

There are three options for submitting a prior authorization form:

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1. Submit the prior authorization electronically through [CoverMyMeds](#) which is Health Net's preferred way to receive prior authorization requests.
2. Complete the [Prescription Drug Prior Authorization or Step Therapy Exception Request Form \(PDF\)](#) and submit to [Pharmacy Services](#).
3. Contact [Pharmacy Services](#) directly via telephone.

When certain designated injectables are requested by a participating provider or participating physician group (PPG) with a shared-risk arrangement, prior authorization must be obtained through [Pharmacy Services](#). This requirement also applies to PPGs with delegated utilization management. Self-injectable medications require prior authorization whenever Health Net has the risk.

The participating provider or PPG must complete the appropriate California State Prior Authorization Request form detailing the medical necessity and the duration of the requested medication.

For all provider portal needs refer to the [Health Net provider secure website](#).

The completed form must be faxed to [Pharmacy Services](#). The participating provider or PPG may call [Pharmacy Services](#) directly for urgent requests.

The approval or request for additional information is faxed back to the original requestor as noted on the Prior Authorization Request form.

Upon approval, [Pharmacy Services](#) forwards the approved authorization to one of Health Net's participating specialty pharmacy providers. The specialty provider contacts the Health Net member to arrange for delivery. For additional information regarding injectable medications, refer to the [Health Net Injectable Medications HCPCS/DOFR Crosswalk \(PDF\)](#) table.

## Therapeutic Injections and Other Injectable Substances

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

### EPO and HMO

Therapeutic injections and other injectable substances are covered, subject to scheduled copayments, when their use is indicated by standard medical practices. These injections are usually administered in the participating provider's office or professional outpatient facility. Refer to the [Health Net Injectable Medications HCPCS/DOFR Crosswalk \(PDF\)](#) table for therapeutic injectables information.

The following contraceptives are covered when determined to be medically necessary for the member and prescribed by a participating provider:

- Depo-Provera® Contraceptive Injections - One injection administered every three months to prevent pregnancy
- Depo-Sub Q Provera® 104 - One injection administered subcutaneously every three months to prevent pregnancy
- Lunelle™ Contraceptive Injections - One injection administered monthly to prevent pregnancy

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Except for insulin, injectable medications defined as self-injectables continue to be processed as self-injectable medications when provided in an office setting.

## Medi-Cal

Therapeutic and physician-administered injections are usually administered in the participating provider's office or professional outpatient facility. Refer to the [Health Net Injectable Medications HCPCS/DOFR Crosswalk \(PDF\)](#) table for therapeutic injectables information.

These injections may be covered by either Medi-Cal Rx under the pharmacy benefit or by Health Net. If submitted on a medical claim, the above crosswalk applies and financial responsibility for the claim is the plan's risk. If the claim is submitted by a pharmacy, visit the [Medi-Cal Rx website site](#) and view the contract drug list to determine coverage.

The following contraceptives are covered when determined to be medically necessary for the member and prescribed by a participating provider:

- Depo-Provera® Contraceptive Injections - One injection administered every three months to prevent pregnancy
- Depo-Sub Q Provera® 104 - One injection administered subcutaneously every three months to prevent pregnancy
- Lunelle™ Contraceptive Injections - One injection administered monthly to prevent pregnancy

## Maternity

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information about maternity care services.

Select any subject below:

- [Emergency Services](#)
- [Healthy Pregnancy](#)
- [Maternal Mental Health Screening Requirement](#)
- [Pediatric Services](#)
- [Pregnancy Termination](#)
- [Surrogacy](#)

## Emergency Services

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP)

Normal or premature deliveries, including cesarean section, occurring outside the member's service area are considered medical emergencies and are covered regardless of the month of pregnancy. Out-of-area

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emergency benefits are provided for the delivery. Health Net or the participating physician group (PPG) (as applicable) are required to perform or authorize follow-up care for members affiliated with a capitated PPG.

## Healthy Pregnancy

Provider Type: Physicians | Participating Physician Groups (PPG)

The [Decision Power](#)<sup>®</sup> Healthy Pregnancy program educates women and provides screening to identify high-risk pregnancies. This program has been effective in prolonging pregnancies, improving birth weights and minimizing hospitalizations, by featuring the following:

- Initial assessment and risk screening, conducted at time of enrollment
- Online educational resources
- The book, *Your Journey Through Pregnancy*, which includes information from early pregnancy through the baby's first weeks, and a resource bookmark
- Access to BabyLine<sup>®</sup> - a telephone line answered by highly experienced nurses, 24 hours a day, seven days a week, for questions related to pregnancy
- Second assessment at approximately 28 weeks
- Referrals to case management for those at-risk participants identified during assessments
- Final assessment completed post-delivery
- Assessment report for participants and their physicians

Pregnant members identified as high risk and enrolled in the high-risk obstetric case management program have access to the expertise and experience of high-risk obstetric nurse case managers who are available to program participants 24 hours a day, seven days a week. The case manager creates a care plan unique for each participant by helping to set goals and develop strategies to assist the participant. Case managers also coordinate home-care and neonatal intensive care unit (NICU) care as needed. [Refer](#) eligible Health Net expectant mothers to this program via [fax](#).

## PROFESSIONAL CARE FOR PREGNANCY

Hospital and professional pregnancy services are covered, including:

- Prenatal, postnatal and newborn care and delivery, including:
  - Professional care for pregnancy provided by a [participating provider](#), including prenatal and postnatal care, delivery and newborn care, subject to the scheduled copayments (Note: Newborn care is not covered under Medicare Advantage plans)
  - Office calls, consultations, laboratory tests, hospital visits, and normal vaginal or cesarean section deliveries.
- In identified cases of high-risk pregnancy, prenatal diagnostic procedures and genetic testing of the fetus are covered.
- Blood specimens. The California Health and Safety Code requires a blood specimen to be obtained on the first prenatal visit or within 10 days of the visit. The blood specimen must be submitted to an approved laboratory for a standard laboratory test for syphilis.
- Maternity care. A female member is entitled to coverage for maternity care and is not required to complete a waiting period. Therefore, a pregnant woman may enroll in Health Net at any time, and the participating physician group (PPG) is obligated to provide covered obstetrical services.

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- Minimum maternity inpatient stays required by law: The California Health and Safety Code requires health care plans to provide mothers and newborns with coverage for minimum hospital stays of at least 48 hours following a vaginal delivery, or at least 96 hours following a cesarean section delivery (Note: Newborn care is not covered under Medicare Advantage plans).
  - When a delivery occurs in the hospital, the stay begins at the time of delivery (in the case of multiple births, at the time of the last delivery).
  - When a delivery occurs outside a hospital, the stay begins at the time the mother or newborn is admitted.
  - Coverage for inpatient hospital care may be for less than 48 or 96 hours, respectively, only if both the treating provider and the member agree to an earlier discharge.
- In cases of an early discharge, a member receives a post-discharge follow-up visit at home, in a facility, or in the provider's office within 48 hours of the discharge, as prescribed by the treating provider with no authorization requirement. A licensed health care provider whose scope of practice includes postpartum care and newborn care must provide this covered visit. The treating provider must provide written disclosure of all the above to the member (Note: Newborn care is not covered under Medicare Advantage plans).
- Continuation of obstetrical services for terminated members. If a female member is terminated from a Health Net group agreement, coverage for obstetrical services is provided when there is a continuation of coverage through Consolidated Omnibus Budget Reconciliation Act of 1985 (COBRA) or the conversion plan.

## GENETIC TESTING AND COUNSELING

Genetic testing is covered when performed on the fetus using the following recognized tests:

- Alpha-fetoprotein (AFP), maternal serum
- Fetal chromosomal aneuploidy genomic sequence analysis panel, circulating cell-free fetal DNA (cfDNA) in maternal blood, (trisomy 13, 18 and 21), and sex chromosome aneuploidy (X, XXY, XYY, XXX) screening

Testing is covered for the following conditions when there is a family history of one of these conditions:

- Tay-Sachs disease
- Sickle cell anemia
- Fragile X syndrome - covered if there is a history of fragile X syndrome in another child. If there is a history of a child with mental retardation without a diagnosis of fragile X syndrome, the child (not the mother) should be tested

Amniocentesis is covered when the mother is age 35 or older.

Cytogenetic testing is covered if reasonable and necessary in accordance with Medicare guidelines.

Genetic counseling related to covered genetic testing services is considered a specialist consultation and is covered, subject to the applicable specialist consultation copayment.

The screening of newborns includes tandem mass spectrometry screening for fatty acid oxidation, amino acid, organic acid disorders, and congenital adrenal hyperplasia. Women receiving prenatal care or who are admitted to a hospital for delivery must be given information regarding these disorders and the testing resources available to them.

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Genetic testing performed on an adult (including parents), genetic counseling related to non-covered genetic testing services, or any genetic testing that is considered investigative, is not covered.

## Maternal Mental Health Screening Requirement

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Licensed health care practitioners who provide prenatal or postpartum care for a patient should screen or offer to screen mothers for maternal mental health conditions.

Maternal mental health condition means a mental health condition that occurs during pregnancy, the postpartum period, or interpregnancy and includes, but is not limited to, postpartum depression.

Providers serving Health Net members can use one of the following screening tools, as appropriate to the member's plan:

- Patient Health Questionnaire-2 (PHQ-2)
- Patient Health Questionnaire-9 (PHQ-9)
- Edinburgh Postnatal Depression Scale

You can refer members with a positive screen to [Health Net's Case Management Department](#) for further assistance with the member's mental health needs.

## Pregnancy Program

Health care service plans and health insurers must develop a maternal mental health program. The program must be consistent with sound clinical principles and processes.

Health Net offers a pregnancy program to pregnant commercial and Medi-Cal members. The program provides customized support and care needed for a healthy pregnancy and baby. It helps pregnant members access medical care, educates them about their health care needs and assists with social needs and concerns. The program uses the Edinburgh Postnatal Depression Scale to assess for mental health needs of pregnant members and facilitates referrals to a mental health specialist as needed.

Refer members to the pregnancy program by contacting the Case Management Department.

## Pediatric Services

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP)

Health Net covers newborns or adoptees of the subscriber or spouse automatically for the first 30 days of life, if the plan provides for dependent coverage.

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Coverage after 30 days is contingent on the subscriber enrolling the eligible newborn through the subscriber's employer as a family member within 30 days following birth or placement, assuming the subscriber's employer has dependent coverage to insure the spouse, dependents or members of the immediate family. The child is then eligible with no lapse in coverage.

If the child is not added to the plan within 30 days from birth, the child is no longer covered and any services incurred after the 30<sup>th</sup> day are the financial responsibility of the child's parent or guardian.

## Surrogacy

Provider Type: Physicians | Participating Physician Groups (PPG)

Services for pregnancies that result under a surrogate parenting agreement are covered only when the surrogate is a Health Net member. When compensation is obtained for the surrogacy, Health Net or the participating provider has a lien on such compensation to recover its medical expense.

Compensation is defined as remuneration over and above what the surrogate mother would have received if the pregnancy had not taken place.

A surrogate parenting agreement is one in which a woman agrees to become pregnant with the intent of surrendering custody of the child to another person. A [participating provider](#) aware that a member is pregnant on the basis of having entered into a surrogate mother agreement should advise the member that Health Net benefits are available for services incurred for that pregnancy. However, when compensation is obtained for the surrogacy, Health Net or the participating provider has a lien on such compensation to recover its medical expense.

## Pregnancy Termination

Provider Type: Physicians | Participating Physician Groups (PPG)

Pregnancy terminations provided by a [participating provider](#) are covered on most plans.

Care for complications of pregnancy and abortions prescribed by a [participating provider](#) are covered on most plans.

Effective January 1, 2023, physicians and other providers cannot impose cost-sharing for abortion and abortion-related services in accordance with Senate Bill 245 (the Abortion Accessibility Act). To ensure coding accuracy, Health Net has put together a list of abortion-related diagnosis codes, [ICD-10-CM Codes for Abortion-Related Services \(PDF\)](#). Providers must bill applicable abortion and abortion-related diagnosis codes in the primary/principal position on the claim to comply with providing these services at no cost-share to members.

## Medical Social Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on medical social services.

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Select any subject below:

- [Coverage Explanation](#)
- [PPG Responsibility](#)

## Coverage Explanation

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP)

Medical social services provided to members dealing with the physical, emotional and economic effects of illness or disability are covered. Medical social services include pre- and post-hospital planning, member education programs, referral to services provided through community health and social welfare agencies, and family counseling.

## PPG Responsibility

Provider Type: Participating Physician Groups (PPG) | Hospitals

Participating physician groups (PPGs) are responsible for ensuring that medical social services are available to Health Net members. PPGs may provide these services directly or may refer members to providers who offer these services.

The following are available to support medical social services provided by the PPG:

- Medical social service departments in Health Net-participating hospitals
- Medical social service consultants through home health agencies

## Nuclear Medicine

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG) (does not apply to HSP)

Nuclear medicine, considered part of radiology, is a branch of medicine that uses radioactive materials in treatment and diagnosis of disease.

Nuclear medicine treatment may be covered, depending on the member's coverage. Some plans may require an inpatient stay copayment. Refer to the member's [Evidence of Coverage](#) (EOC) for more information. Refer to the specific plan chart in the [Schedule of Benefits](#).

## Nurse Midwife

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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This section contains general member benefit information on nurse midwife services.

Select any subject below:

- [Coverage](#)

## Coverage

Provider Type: Physicians

A certified nurse midwife (CNM) is a registered nurse who has received training in obstetrics and gynecology, and is certified by the American College of Nurse Midwives. A midwife assists in delivering infants, as well as providing antepartum and postpartum care. CNMs must be licensed by the state of California and working under the license of an actively practicing physician. CNM coverage is limited to services performed within the scope of a CNM's license and according to the terms of the member's plan. Home births are not covered. Services rendered by CNMs must be prior authorized to be considered for payment.

If a member is assigned to a primary care physician (PCP) participating with a delegated participating physician group (PPG), and the PPG does not have any participating CNMs in its network, a prior authorization request and authorization to the out-of-network CNM provider is required from the PPG prior to the member accessing services with the out-of-network, non-participating CNM.

## Obesity

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Obesity is defined as an excess of body fat. Body mass index (BMI) is a measure of body weight relative to height. BMI can be used to determine if people are at a healthy weight, overweight or obese. An adult member whose BMI is 25 to 29.9 is considered overweight and a BMI of 30 or more is considered obese. Children of the same age and sex, with a BMI at or above the 85<sup>th</sup> percentile and lower than the 95<sup>th</sup> percentile is defined as overweight. Considerations for obesity is having a BMI at the 95<sup>th</sup> percentile or above.

Obesity is a treatable medical condition. Treatment of this condition varies depending on the severity of the members' condition.

## Coverage

The [primary care physician](#) (PCP) or attending provider may recommend a diet plan for the member to follow and, if medically appropriate, the PCP may refer the member to a dietitian or a provider who specializes in weight-loss management. These services are covered as specialist consultation services. In cases of extreme morbid obesity, other treatments, such as pharmaceutical and surgical services, may be covered.

Health Net does not provide coverage for diet programs, such as Weight Watchers®. Gym memberships and exercise programs are also not covered under Medi-Cal.

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## Resources

Medi-Cal members are eligible to receive weight control resources through the Health Education Department. Resources include:

- Fit Families for Life program - Mailed educational self-guided resource with nutrition tips, exercise band and cookbook to help families and children eat healthy and stay active. Physical activity videos are available online.
- Healthy Habits for Healthy People Program - Nutrition and physical activity resource for older adults. Includes a workbook, cookbook and exercise band. Physical activity videos are available online.

Providers may refer members interested in these resources via the [Fit Families for Life Referral form – Health Net \(PDF\)](#), [Fit Families for Life Referral form – Community Health Plan of Imperial Valley \(PDF\)](#) or [Fit Families for Life Referral form – CalViva Health \(PDF\)](#). Contact the [Health Education Department](#) for more information.

The following information does not apply to Medi-Cal

All participating physician groups (PPGs) or attending providers offer patient education programs, including weight management. For more information regarding Health Net's weight loss interactive tools, discounts and online education programs, refer to the [Decision Power® program](#).

For more information on, select any subject below:

[Bariatric Surgery Services](#)

## Bariatric Surgery Services

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

Health Net covers bariatric surgical procedures and services when medically appropriate in accordance with [Health Net's Bariatric Surgery National Medical Policy](#). This includes the treatment of morbid obesity, including abdominoplasty or lipectomy, and is authorized by Health Net and performed by [Health Net Bariatric Performance Centers \(PDF\)](#).

## Outpatient Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on outpatient services.

Select any subject below:

- [Coverage Explanation](#)
- [Alternative Birth Centers](#)
- [Ambulatory Surgical Centers](#)

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- Ambulatory Surgical Centers Payments
- Office Visit
- Outpatient Hospital Services and Supplies
- Urgent Care Centers

## Coverage Explanation

Provider Type: Physicians | Participating Physician Groups (PPG)

Outpatient services and supplies within the participating physician group (PPG) service area or Health Net's service area (if the member is not affiliated with a PPG) are covered. Copayments, coinsurance or deductibles are required on some plans. Refer to the [Schedule of Benefits and Summary of Benefits](#) and the members' [Evidence of Coverage](#) (EOC) or [Certificate of Insurance](#) (COI) for services received in the outpatient department of a hospital, emergency room, urgent care center, ambulatory surgical center (ASC), or alternative birth center (ABC).

## Alternative Birth Centers

Provider Type: Physicians | Participating Physician Groups (PPG)

Health Net requires alternative birth centers (ABCs) to meet the following eligibility criteria:

- Be accredited by either the Accreditation Association for Ambulatory Care or the Joint Commission on Accreditation of Healthcare Organizations (JCAHO)
- Maintain a transfer agreement with a nearby acute-care hospital
- Bill charges on a UB-04 billing form
- Bill with an all-inclusive global fee

## Ambulatory Surgical Centers

Provider Type: Physicians | Participating Physician Groups (PPG)

An ambulatory surgical center (ASC) is a facility other than a medical or dental office that performs outpatient surgery. It is generally required to be licensed as a freestanding outpatient clinic and meet all requirements of a clinic providing ambulatory surgical services.

## Ambulatory Surgical Centers Payments

Provider Type: Participating Physician Groups (PPG)

Health Net considers payment claims for facility charges when the billing ambulatory surgical center (ASC) is licensed by the state of California, accredited by a recognized accreditation body, or certified by Medicare.

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Participating physician group (PPG) coordinators and staff should notify ASCs that charges should be billed on a hospital form (UB-04). To document that it is a facility fee, a Medicare charge may be billed on a CMS-1500 with an SG modifier. If the Health Net Claims Department receives charges on any claim forms other than a UB-04 or a CMS-1500 for a Medicare charge for a facility fee, payment is delayed.

PPGs should verify whether the ASC contracts with Health Net. When the ASC contracts with Health Net, the facility charges are paid in accordance with the Provider Participation Agreement (PPA).

## Office Visit

Provider Type: Physicians | Participating Physician Groups (PPG)

Office visits to a physician, physician assistant (PA) and nurse practitioner (NP), and specialist consultations at a participating physician group (PPG), are covered on all Health Net plans. Specialist consultations are covered when referred by the member's [primary care physician](#) (PCP).

## Well-Woman Self Referrals

The well-woman self-referral benefit allows female members to self-refer to an obstetrician/gynecologist (OB/GYN) within the member's selected PPG for obstetrical and gynecological physician services. Services received as part of a well-woman visit are considered an OB/GYN self-referral under the specialist consultation visit and the PPG may establish reasonable requirements for the OB/GYN to communicate with a member's PCP regarding the member's condition, treatment and any need for follow-up care.

## Coverage Explanation

Office visits, consultations with a participating provider, or any necessary referrals for care by a provider other than the member's [primary care physician](#) (PCP) are covered and subject to the scheduled copayments.

Refer to the plan chart in the [Schedule of Benefits and Summary Benefits](#) for the standard benefit and copayments for office visits if applicable.

## Outpatient Hospital Services and Supplies

Provider Type: Physicians | Participating Physician Groups (PPG)

The [participating provider](#) decides under what circumstances the outpatient department is used (excluding lab and X-ray procedures performed solely for diagnostic purposes and not in conjunction with a surgery or emergency).



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# Urgent Care Centers

Provider Type: Participating Physician Groups (PPG)

Health Net encourages participating physician groups (PPGs) to operate urgent care centers and endorse their use by Health Net members for medical conditions that require immediate attention. Additionally, members who cannot wait hours or days for a scheduled appointment with a [primary care physician \(PCP\)](#) may visit an urgent care center. These centers provide immediate medical care and reduce inappropriate emergency room encounters.

Health Net requires that PPG urgent care centers follow these standards:

- Maintain written policies, procedures and evaluation techniques
- Be located by and have a contracting relationship with a hospital emergency room
- Maintain extended hours with services available seven days a week
- Have staff that includes the following qualified physicians. Certified physician assistants (PAs) and nurse practitioners (NPs) must have on-site physician supervision at all times. Unlicensed residents must be directly supervised by licensed physicians.
  - Panel of available specialists
  - Registered nurses
  - Support staff - licensed vocational nurse (LVN), nursing assistant (NA), PA, technicians
- Minimum ancillary services, which include:
  - X-ray
  - Lab
- Medical records procedures (each urgent care center should have its own procedures for handling medical records information) for:
  - Urgent care records
  - Records of transfer to primary care
  - Procedure for follow-up care
- Member access:
  - Available to all clinic patients (not prepaid only)
  - Procedure for managing member satisfaction and system flexibility to accommodate member needs
  - Methods used to educate members on correct use of the center prior to using it, obtaining follow-up care and follow-up care procedures
- Utilization management (UM) and quality improvement (QI) procedures:
  - Specific procedures for evaluating utilization
  - Reporting process
- Utilization review (UR) and QI committee (QIC) activity to:
  - Process and document procedures in place where the PPG's UR committee reviews utilization and quality of care provided at the urgent care center
  - Document activities of UR committee and report to urgent care center staff
  - Make Health Net's periodic UR available

Health Net performs utilization and quality audits by random selection or focused review, either on-site at the urgent care center, based on emergency room utilization reports, or at Health Net, with records copied and submitted by the urgent care center.





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## Periodic Health Evaluations

Provider Type: Physicians | Participating Physician Groups (PPG)

Coverage for periodic health evaluations and diagnostic preventive procedures is based on recommendations published by the United States Preventive Services Task Force (USPSTF), Centers for Disease Control and Prevention (CDC). They include female breast and pelvic exams, Pap smears, blood pressure checks, periodic check-ups, routine preventive care, newborn care office visits, and well-baby care.

Annual cervical cancer screenings are covered, which include Pap smear and the option of any cervical cancer test approved by the U.S. Food and Drug Administration (FDA) upon referral of the member's physician, nurse practitioner or certified nurse midwife, or by self-referral to an OB/GYN or family practice physician who provides such services within the member's participating physician group (PPG). In accordance with California legislation SB 1245 (ch.482, 2006), annual cervical cancer screening must also include coverage for FDA-approved human papillomavirus (HPV) screening.

## Physicians Visit

Provider Type: Physicians | Participating Physician Groups (PPG)

Physician visits to a member's home (if the member is homebound), or to a hospital, skilled nursing facility (SNF) or convalescent home (if the member is confined in such a facility) located inside the participating physician group (PPG) or [primary care physician's](#) (PCPs) service area, are covered and subject to scheduled copayments if applicable. Attending participating providers determine appropriate accessibility and courses of treatment.

## Homebound Members

Physician visits to a member's home may be covered when an eligible member is homebound. Refer to Home Health Care services for detailed information on [Home-Bound Determination](#).

## Home Health Care

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Intermittent home health care is defined as those medical services customarily provided to members in their place of residence. Members affiliated with a participating physician group (PPG) must use a Health Net participating home health care agency.

## Home Health Care Services

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Home health care services in the member's home are provided by a registered nurse (RN); licensed vocational nurse (LVN); tech nurse, pediatric RN; licensed physical, occupational or speech therapist; MSW; or home health aid. These services may include, but are not limited to, skilled nursing services, medical social services, rehabilitation therapy (including physical, speech and occupational), and cardiac rehabilitation therapy. These services are subject to the conditions and limitations in the member's [Evidence of Coverage \(EOC\)](#) or [Cal MediConnect Member Handbook](#).

The following are additional components of home health care:

- Home health aid services - Coverage for medically necessary home health care provided by a home health aid is authorized only in conjunction with skilled nursing services provided by a certified licensed RN, LVN, tech nurse, pediatric RN, physical or speech therapist, or MSW. The home health aid provides personal care to the member. Custodial care is not covered.
- Medical supplies - Routine supplies, because of their specific therapeutic or diagnostic characteristics, are essential in enabling home health care staff to provide effective care. Home health care covers the medical supplies and services needed to provide the skilled care.

Home health care services are in place of continued hospitalization, confinement in a skilled nursing facility, or outpatient services provided outside of the member's home.

Home health care services that can be safely and effectively performed or self-administered by the average, unlicensed, non-medical person without direct supervision of a licensed nurse are not skilled nursing services, even though a licensed nurse may provide the service.

## Service Providers

Once authorized by Health Net or the delegated participating physician group (PPG), primary care physicians (PCPs) may refer members for home health services through Health Net's directly-contracting home health providers.

Medicare Advantage (MA) Violet PPO plan members may use an in-network or out-of-network provider depending upon the desired level of coverage.

Providers must reference the Division of Financial Responsibility (DOFR) for the agreement governing the relationship to ensure services are directed to the appropriate providers.

## Homebound Determination

A member is considered homebound if the following criteria are met:

- The member must either, because of illness or injury, need the aid of supportive devices, such as crutches, canes, wheelchairs, and walkers; the use of special transportation; or the assistance of another person in order to leave their place of residence; or have a condition that makes leaving their home medically contraindicated.

If the member meets any of the above criteria, then they must also meet both requirements as follows:

- Inability to leave home, and leaving home requires a considerable and taxing effort.

If the member does leave home, they are considered homebound if the absences from the home are infrequent or for periods of relatively short duration, or are attributable to the need to receive health care treatment.

Absences attributable to the need to receive health care treatment include, but are not limited to:

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- attendance at adult day centers to receive medical care.
- ongoing outpatient kidney dialysis.
- outpatient chemotherapy or radiation therapy.

The physician requesting the home health services determines the homebound criteria. Obstetric (OB) criteria do not qualify as homebound. Women and newborns in the immediate postpartum phase may require skilled observation and evaluation. The following selection criteria apply:

- Members who have had a caesarean section and were discharged from the hospital within 96 hours after delivery are eligible for one home health care visit at the attending physician's request. Authorization is not required. Requests for visits to members discharged after 96 hours are evaluated on a case-by-case basis.
- Members who delivered vaginally and were discharged from the hospital within 48 hours after delivery are eligible for one home health visit at the attending physician's request. Authorization is not required. Requests for visits for members discharged after 48 hours are evaluated on a case-by-case basis for medical necessity.

Additionally, to receive home health care services, skilled nursing care must be appropriate for the medical treatment of a condition, illness, disease, or injury, or home health care services are part-time and intermittent in nature; for example, a visit lasts up to four hours in duration every 24 hours.

Occasional absences from the home to attend, for example, a family reunion, funeral, graduation, or other infrequent or unique event do not necessitate a determination that the member is not homebound if:

- absences are infrequent.
- absences are of relatively short duration.
- absences do not indicate that the member has the capacity to obtain the health care provided outside rather than in the home.

## Exclusions and Limitations

The following are not covered:

- food, housing, homemaker services, and home-delivered meals.
- supportive environmental equipment, such as handrails, ramps, and similar appliances and devices (not an exclusion for Cal MediConnect members).
- services not deemed to be medically necessary by the PPG, PCP or Health Net.
- exercise equipment, gravitonic devices, treadmills, room air purifiers, air conditioners, and similar devices.
- any other equipment that is not considered by the Centers for Medicare & Medicaid Services (CMS) to be durable medical equipment (DME).

## Authorization Guidelines

The [participating provider](#) prescribes treatment and the home health agency then proposes, develops and submits a treatment plan, signed by the physician, to the participating physician group (PPG) (for members affiliated with a PPG) or Health Net (for members not affiliated with a PPG) for review and approval. For members affiliated with a PPG, the PPG is required to complete the Authorization for Treatment form for the member. The treatment plan summarizes the services provided, the member's progress, the member's

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response to treatment, and recommendations for continued service. The participating provider reviews the treatment plan at least every 60 days and signs it to verify that the services provided are medically necessary.

When determining the appropriateness of home health services the following factors are considered:

- mental status of member
- types of services and equipment required (including frequency, duration, dressings, injections, and treatments)
- frequency of visits
- prognosis
- rehabilitation potential
- activities performed
- nutritional requirements
- medications and treatments (including amount, frequency and duration)
- homebound status
- any safety measures to protect against injury
- instructions for timely discharge or referral
- any other relevant items

Providers should initiate arrangements for home health services upon finalizing a hospitalized member's discharge plan.

Providers must use the [Urgent Request for Continuing Home Health Services \(PDF\)](#) form for HMO/POS, PPO, EPO, and Medicare Advantage members continuing home health services. Completed forms must be faxed to the Health Net Prior Authorization Department.

## Physician Certification

Medicare Part A, Part B and Part C (Medicare Managed Care) and Medi-Cal requires physician certification for home health services. A physician must certify that the medical and other covered health services provided by the home health agency were medically required. If the member's underlying condition or complication requires a registered nurse to ensure that essential non-skilled care is achieving its purpose and necessitates a registered nurse be involved in the development, management and evaluation of a patient's care plan, the physician must include a brief narrative describing the clinical justification of this need. This certification needs to be made only once where the member may require over a period of time the furnishing of the same item or service related to one diagnosis.

## Physician Recertification

Additionally, at the end of a 60-day period, a decision must be made whether or not to recertify the member for a subsequent 60-day period. An eligible member who qualifies for a subsequent 60-day episode of care would start the subsequent 60-day period on day 61. The plan of care must be reviewed and signed by the physician every 60 days unless the member transfers to another home health agency or is discharged and returns to the same home health agency during the 60-day period.

## Ongoing Care

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Participating providers initiate home health care services as follows:

- The participating provider or designee contacts the home health or home medical equipment/respiratory provider with orders for continuation of therapy and additional needs.
- The ancillary provider's staff communicates with the ordering physician about changes in the member's condition and questions regarding care or the need for extension or termination of services.
- The ancillary provider's staff cannot deny a service as being not covered without consulting the participating physician group's (PPG's) Utilization Management (UM) Department or a Health Net regional medical director. The participating provider communicates all denials to the ordering physician and the PPG's UM Department or a Health Net regional medical director. The PPG's UM Department or Health Net issues any denial letter to the member.
- The participating provider contacts the ordering physician to discuss ongoing care before authorized services come to an end.

For more information, select any subject below:

- [Skilled Nursing Services](#)

## Preventive Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on preventive care services.

Select any subject below:

- [Breast Cancer Susceptibility Gene Testing](#)
- [Hepatitis C Screening](#)
- [Mammography](#)
- [Preventive Services Guidelines](#)

## Breast Cancer Susceptibility Gene Testing

Provider Type: Physicians

Health Net covers breast cancer susceptibility gene (BRCA) testing as preventive care for high-risk members enrolled in non-grandfathered health plans.

For information on Health Net's criteria for BRCA testing, refer to Health Net's medical policy, Genetic Testing for BRCA1 and BRCA2, available on the [Health Net provider website](#) > Medical Policies under Resources for You.



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# Hepatitis C Screening

Provider Type: Physicians

Health Net covers hepatitis C virus (HCV) screening as preventive care for high-risk members enrolled in non-grandfathered health plans.

## Mammography

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Health Net of California, Inc. and Health Net Life Insurance Company (Health Net) cover conventional 2-D mammography for commercial members in accordance with the member's health plan policy and the [Women's Preventive Services Guidelines – Health Resources & Services Administration](#).

Health Net covers 3-D mammography, also known as digital breast tomosynthesis (DBT), for HMO, Point of Service (POS), HSP, PPO, and EPO (commercial) plans. Claims codes affected by this change are listed below.

When administered as a preventive screening, this benefit is subject to the annual screening limit, and cost-shares do not apply. If DBT services are provided for diagnostic purposes outside of the annual screening, they do not require prior authorization, but are subject to the member's applicable cost-share.

Claims coding for DBT:

CPT Codes	Description
77061	Digital breast tomosynthesis; unilateral
77062	Digital breast tomosynthesis; bilateral
77063	Screening digital breast tomosynthesis, bilateral
HCCPS Codes	Description
G0279	Diagnostic digital breast tomosynthesis, unilateral or bilateral



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# Preventive Services Guidelines

Provider Type: Physicians | Participating Physician Groups (PPG)

Preventive care refers to services or measures taken to promote health and early detection or prevention of diseases and injuries, rather than treating or curing them. Preventive care includes, but is not limited to, immunizations, medications, contraception, tobacco cessation treatment, examinations and screening tests tailored to an individual's age, health and family history.

Health Net provides coverage for preventive care in accordance with the requirements of the Affordable Care Act (ACA). According to the ACA, preventive care services must include the following:

- Evidence based items or services that have in effect a rating of A or B in the current recommendations of the United States Preventive Services Task Force (USPSTF).
- Immunizations for routine use in children, adolescents and adults that have in effect a recommendation from the Advisory Committee on Immunization Practices (ACIP) of the Centers for Disease Control and Prevention (CDC).
- With respect to infants, children and adolescents, evidence-informed preventive care and screenings provided for in the comprehensive guidelines supported by the Health Resources and Services Administration (HRSA).
- With respect to women, such additional preventive care and screenings as provided for in comprehensive guidelines supported by the HRSA.

As new preventive care recommendations/guidelines are released by the USPSTF, ACIP and HRSA, they will ultimately be added to our list of covered preventive care benefits. *Note: All newly released preventive care recommendations/guidelines must be applicable to group health plans and health insurance issuers for plan years (in the individual market, policy years) that begin on or after the date that is one year after the date the recommendation or guideline is issued.*

On our commercial individual & family, small and large group plans, with the exception of grandfathered plans<sup>1</sup>, preventive care benefits obtained from an in-network provider are covered without member cost share (i.e., covered in full – without a deductible, coinsurance or copayment). Please keep in mind, certain covered services can be performed for preventive or diagnostic reasons (e.g., mammograms). Therefore, how such services are billed – preventive or diagnostic – will determine the applicable benefit category and cost share. Furthermore, if preventive and diagnostic services are performed during the same visit, cost share may apply to the latter (depending on the plan design).

Refer to the following websites for the most up-to-date information about preventive care coverage requirements:

- [USPSTF](#)
- [CDC ACIP](#)
  - [Recommended Child and Adolescent Immunization Schedule \(PDF\)](#)
  - [Recommended Adult Immunization Schedule \(PDF\)](#)
- [HRSA](#)
- [HealthCare.gov](#)

<sup>1</sup>Grandfathered plans are those that were in existence on March 23, 2010, and have stayed basically the same. Grandfathered plans are not required to provide all of the benefits and consumer protections required by





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the ACA. As such, Health Net's in-network preventive care, provided on these plans, does not have to be covered in full.

## Prosthesis

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on prostheses and orthotics.

Select any subject below:

- [Coverage Explanation](#)
- [Phenylketonuria](#)

## Coverage Explanation

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Prostheses are covered on most plans. Prostheses needs may be referred to any Health Net [participating provider](#).

Prostheses and supplies include:

- Artificial limbs
- Artificial eyes
- Artificial larynx devices after a laryngectomy
- Breast prostheses
- Colostomy and ostomy supplies
- Contact lenses after cataract surgery
- C.V., midline and peripheral catheters
- Enteral supplies (including formula)
- Lymphedema sleeves and gloves
- Phenylketonuria (PKU) formulas and food products
- Tracheostomy supplies
- Ventilator supplies

When reconstructive breast surgery (after a medically necessary mastectomy) is performed, prescribed prostheses are covered and replaceable when no longer functional. In addition, prescribed prostheses are covered and replaceable when no longer functional if surgery to the healthy breast is performed to restore and achieve symmetry. Benefits for prostheses include two mastectomy bras each year. If the original mastectomy was not medically necessary, the cost of a new prosthetic is not covered.

Repair or replacement of prostheses is covered. Repair or replacement due to misuse or loss is not covered. Supplies required for prostheses maintenance are covered.

Formula is covered under the prostheses benefit as follows:

- When given by a feeding tube

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- When given for severe metabolic disorders (for example, PKU), whether by mouth or a feeding tube (as outlined in Health and Safety Code 1374.56 and Insurance Code 10123.89)

## Phenylketonuria

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Health Net covers the testing and treatment of phenylketonuria (PKU). Treatment includes formulas and special food products that are part of a diet prescribed by a participating licensed physician and managed by a health care professional in consultation with a physician who specializes in the treatment of metabolic disease. Coverage is only required to the extent that the cost of necessary formulas and foods exceeds the cost of a normal diet.

According to Health and Safety Code 1374.56 and Insurance Code 10123.89, formula means an enteral product for use at home that is prescribed by a physician or nurse practitioner or ordered by a registered dietitian upon referral by a health care provider authorized to prescribe dietary treatments, as medically necessary for the treatment of PKU.

Special food products means a food that is both:

- Prescribed for treatment of PKU consistent with recommendations and best practices in care and treatment of PKU (it does not include a food that is naturally low in protein, but may include food that is specially formulated to have less than one gram of protein per serving).
- Used in place of normal food products, such as foods from the grocery store that are used by the general population.

For additional information regarding the coverage of treatment of PKU, refer to the [Coverage Explanation](#) document.

## Rehabilitation Therapy

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on rehabilitation therapy services.

Select any subject below:

- [Coverage Explanation](#)
- [Exclusions and Limitations](#)
- [Home Health Services](#)
- [Physical, Occupational or Speech Therapy Services Concurrent Review Forms](#)
- [Rehabilitation Therapy](#)



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## Coverage Explanation

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Rehabilitation in an inpatient, outpatient or home health setting enables the member to achieve a high level of functional independence. Rehabilitation programs common to hospital settings (inpatient or outpatient) include:

- Amputee rehabilitation
- Brain injury rehabilitation
- Cardiac rehabilitation
- Coma stimulation
- Fracture rehabilitation
- General rehabilitation - Physical, speech and occupational therapy (may include the above and additional conditions)
- Pain management
- Pulmonary rehabilitation
- Spinal cord injury rehabilitation
- Stroke rehabilitation

If the member is affiliated with a participating physician group (PPG) and the PPG provides physical rehabilitation and educates the member medically and socially, a formal cardiac rehabilitation program is not necessary.

Rehabilitation programs are directed by a physician experienced or trained in rehabilitation and supported by rehabilitative nursing. The ancillary services of physical therapy (PT) and occupational therapy (OT) are necessary for all of the programs cited.

Psychological and social services should be provided depending on the member's need. In addition to these basic services, brain injury and stroke rehabilitation programs require speech therapy, and the pulmonary rehabilitation program requires respiratory therapy.

## Exclusions and Limitations

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Rehabilitation therapy (physical, speech and occupational) is not covered when such problems are the result of the following conditions. Note: This does not apply to members with Mental Health and Substance Use Disorders. In such cases, therapy that develops or restores functioning to the maximum extent practicable is considered medically necessary when rehabilitation or habilitation therapy criteria is met.

- Psychosocial speech delay (includes delayed language development)
- Syndromes associated with diagnosed disorders attributed to perceptual and conceptual dysfunctions
- Attention deficit disorders (ADD) and associated behavior problems
- Developmental articulation and language disorders (such as stuttering and lispings)

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## Home Health Services

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

To receive home health services, a member must be confined to the home, under the care of a participating provider and be in need of physical therapy (PT), respiratory therapy (RT), speech therapy (ST), occupational therapy (OT), or nursing services.

These services must relate directly and specifically to an active treatment plan written by the participating provider after the physician consults with a qualified therapist. The therapy must be reasonable and necessary to the treatment of the member's illness or injury.

## Physical, Occupational or Speech Therapy Services Concurrent Review Forms

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Providers must use the [Urgent Request for Continuing Occupational, Physical or Speech Therapy \(PDF\)](#) concurrent review form for HMO/POS, PPO, EPO, and Medicare Advantage members continuing physical, occupational or speech therapy and home health services. Completed forms must be faxed to the Health Net Prior Authorization Department.

## Rehabilitation Therapy

Provider Type: Participating Physician Groups (PPG) | Ancillary

Coverage for rehabilitation therapy is limited to medically necessary treatment of conditions resulting from a defined disease, injury or surgical procedure. The services must be provided by a physical, speech or occupational therapist, or technician licensed to practice rehabilitation therapy.

The services must be at a level of complexity that requires the judgment, knowledge and skills of a licensed physical, speech or occupational therapist, be based on a treatment plan and be provided by such therapist or under the therapist's direct supervision. Such services are not covered when medical documentation does not support the medical necessity due to the member's inability to progress toward the treatment plan goals or when a member has already met the treatment goals.

The functional assessment of the member as related to the continuation of rehabilitation services is performed by one or more rehabilitation professionals.

Institutional and professional services provided for inpatient and outpatient rehabilitation are covered. The therapy must promote and maintain movement-related health and wellness. Refer to the Health Net Provider Participation Agreement (PPA) for financial responsibility information.

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## Routine Physical Exam

Provider Type: Physicians | Participating Physician Groups (PPG)

Coverage for a routine physical examination is optional coverage that an employer group may purchase. Routine physical examinations differ from periodic health evaluations, which are covered by all plans.

Routine physical exams requested by the member without medical condition indications, along with any related X-ray and laboratory procedures ordered or approved by the physician, may be covered. X-ray and laboratory procedures may be subject to a calendar-year deductible if they are not billed and coded in relation to a routine physical examination. Examinations are subject to scheduled copayments.

Routine physical examination coverage allows the member to request services not otherwise medically indicated. Refer to the specific plan in the [Schedule of Benefits](#) for the number of routine physical examinations based on the member's age.

A routine physical examination is one that is not physician-directed and is done for the purpose of checking a member's general health in the absence of symptoms. Examples include exams taken to obtain or maintain employment, licenses or insurance, or exams administered at the request of a third party, such as a school, camp or sports-affiliated organization.

Assistance with completing any related forms is not covered. The only exception is completion of a Special Supplemental Nutrition Program for Women, Infants and Children (WIC) Referral form. Refer to the Referrals to WIC discussion for additional information.

## Second Opinion by a Physician

Provider Type: Physicians | Participating Physician Groups (PPG)

All requests for a second opinion meeting the California Health and Safety Code Section 1383.1 require health plans to allow members to obtain second opinions in any of the following situations:

- Member questions the reasonableness or necessity of recommended surgical procedures
- Member questions a diagnosis or plan of care for a condition that threatens loss of life, limb, bodily function, or substantial impairment, including a serious chronic condition
- Clinical indications are not clear or are complex and confusing, a diagnosis is in doubt due to conflicting test results, or the treating physician is unable to diagnose the condition, and the member requests an additional diagnosis
- Treatment plan is in progress, but is not improving the member's medical condition within an appropriate period of time given the diagnosis and plan of care
- Member has attempted to follow the plan of care or has consulted with the initial provider with serious concerns about the diagnosis or plan of care

Second opinion consultations include a history, an examination and a medical decision of some complexity. They do not include additional tests, which have to be approved separately.

Office visits, consultations with a participating physician, or a referral to a physician or qualified professional provider necessary for obtaining a second opinion, are covered and subject to scheduled copayments.

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## Out-of-Network Requests

Members who initiate a request for a second or third opinion are limited to in-network providers, except where appropriate in-network providers are not accessible.

If the member refuses to see an in-network provider and is requesting an out-of-network provider, all requests for a second opinion (meeting the California Health and Safety code definition) from a non-participating provider, should be directed to the [Health Net Member Services Department](#).

## Second Opinion Referral Responsibilities

Health Net and delegated participating physician groups (PPGs) provide timely referral for a second opinion consultation by an appropriately qualified health care professional when the second opinion is requested by a member or the member's physician. An appropriately qualified health care professional is a primary care physician (PCP) or specialist acting within the PCP's or specialist's scope of practice and possessing clinical background, training and expertise related to the particular illness, disease or other condition associated with the request for a second opinion. Second opinion referrals are approved for a one-time-only consultation. All tests, lab and X-ray services must be directed back to the member's PPG or PCP for coordination. All care must be performed or authorized by the PPG or PCP in order to be covered. There are few, if any, circumstances under which second opinion requests should be denied.

PPGs delegated for utilization management (UM):

- Provide second opinions by an appropriately qualified health care professional (of the same or equivalent specialty) of the member's choice, from the PPG's network
- Make every effort to accommodate the member within the PPG network
- Must consider all participating specialists for second opinion referrals
- Should instruct members who request an out-of-network second opinion and refuse to accept redirection in-network, to contact the [Health Net Member Services Department](#) for further assistance.

Health Net:

- Authorizes second opinions from appropriately qualified health care professional (of the same or equivalent specialty) of the member's choice from Health Net's network when appropriate
- May limit referrals to its network providers if criteria for appropriately qualified health care professionals are met within the network. Health Net authorizes a second opinion by an appropriately qualified out-of-network health care professional when no participating Health Net provider is available

## Support for Disabled Members

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information about support for disabled members.

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Select any subject below:

- [Americans with Disabilities Act of 1990](#)
- [Auxiliary Aids and Services](#)
- [Dispute Resolution](#)
- [Effective Communication](#)
- [Financial Responsibility](#)

## Americans with Disabilities Act of 1990

Provider Type: Physicians (does not apply to Cal MediConnect) | Hospitals | Participating Physician Groups (PPG) (does not apply to HSP) | Ancillary

Health Net and its [participating providers](#) do not discriminate against members who have physical disabilities. The Americans with Disabilities Act of 1990 (ADA) requires that places of public accommodation, including hospitals and medical offices, provide auxiliary aids and services (for example, an interpreter for deaf members) to disabled members. Health Net's policy describes nondiscrimination toward members with physical disabilities and the participating providers' responsibility to provide needed auxiliary aids and services.

## Auxiliary Aids and Services

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

[Participating providers](#) are required to take steps to ensure that no person with a disability is excluded, denied services, segregated, or otherwise treated differently. Health Net provides American Sign Language and closed captioning interpreters upon request and at no cost for members with disabilities. Providers can request interpreter support for members with hearing impairment by calling the Health Net Provider Services Department.

In order to be excused from providing auxiliary aids and services to those with disabilities, health care providers must demonstrate that taking those steps would fundamentally alter the nature of the goods, services, facilities, privileges, advantages, or accommodations being offered or would result in an undue burden.

## Dispute Resolution

Provider Type: Participating Physician Groups (PPG)

If there is a dispute between the member and the participating physician group (PPG) about the need for auxiliary aids and services, Health Net resolves it by filing an expedited appeal on the member's behalf. Health Net makes the final determination (which may include the use of an independent third-party reviewer) and assists the PPG in ensuring that any necessary auxiliary aids and services are provided.



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## Effective Communication

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

**Participating providers** must communicate with members effectively and make verbally delivered information available to people with hearing impairments. Use of the most advanced technology is not required, as long as effective communication is ensured.

When a member requests a specific auxiliary aid or service for effective communication, the provider must evaluate the request and determine how to ensure effective communication. The ultimate decision about what measures should be taken to facilitate communication rests with the health care provider.

## Financial Responsibility

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Under federal regulations promulgated for use under the Americans with Disabilities Act of 1990 (ADA), **participating providers** bear the financial responsibility when auxiliary aids or services for the hearing impaired (such as an interpreter) are necessary to ensure effective communication with a member, unless this creates an undue burden or fundamentally alters the nature of the goods, services or operation.

## Undue Burden

An undue burden is a significant difficulty or expense. Several factors may be relevant when determining whether providing an auxiliary aid or service is an undue burden, including:

- Nature and cost.
- Overall financial resources of the site or sites involved; the number of employees at the site; the effect on expenses and resources; legitimate safety requirements necessary for safe operation, including crime prevention measures; or any other negative effect on the operation of the site.
- The geographic separateness, and the administrative or fiscal relationship of the site or sites in question, to any parent corporation or entity.
- The overall financial resources of any parent corporation or entity; the overall size of the parent corporation or entity with respect to the number of its employees; and the number, type and location of its facilities.
- The type of operation or operations of any parent corporation or entity, including the composition, structure and functions of the workforce of the parent corporation or entity.

## Surgery, Surgical Supplies, and Anesthesia

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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This section contains general member benefit information for surgery, surgical supplies and anesthesia.

Select any subject below:

- [Coverage Explanation](#)
- [Exclusions and Limitations](#)

## Coverage Explanation

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals

When arranged and authorized by a member's participating physician group (PPG) or Health Net, surgery and anesthesia are covered on all plans. Surgical services, including pre- and post-operative care, in an inpatient or outpatient surgery center or hospital are covered. This includes the services of the surgeon or specialist, assistant, and anesthesiologist or anesthesiologist, including administration of anesthetics in conjunction with surgical services in the hospital.

The services of a Doctor of Dental Surgery (DDS) are covered if this specialty is necessary for the medical procedure.

Surgical supplies are covered when billed by the hospital in connection with an authorized hospital admission, outpatient surgery, renal dialysis, or emergency.

Refer to the [Schedule of Benefits and Summary of Benefits](#) for specific plan coverage information.

## Exclusions and Limitations

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

Surgical dressings are therapeutic and protective coverings applied directly to lesions either on the skin or opening to the skin required as a result of a surgical procedures performed by a physician are primary dressings and are covered. Surgical dressings for outpatient surgery, with the exception of primary dressings, are not covered.

## TMJ

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Temporomandibular joint (also known as TMD or TMJ) disorder commonly causes headaches, tenderness of the jaw muscles, tinnitus, or facial pain. These symptoms often occur when chewing muscles and jaw joints do not align correctly. When medically necessary and prior authorized, treatment of TMJ is covered.

## Covered Services

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Coverage of TMJ is limited to the following:

- Surgical procedures to correct abnormally positioned or improperly developed bones of the upper or lower jaw when such procedures are medically necessary.
- Custom-made oral appliances (intra-oral splint or occlusal splint) and surgical procedures to correct TMD or TMJ disorders are covered if medically necessary.

Health Net of California Inc. covers orthognathic surgery for specific conditions. Refer to the National Medical Policy on Orthognathic Surgery on the [Health Net provider website](#) for additional information.

## Exclusions and Limitations

Spot grinding, restorative or mechanical devices, orthodontics, inlays or onlays, crowns, bridgework, dental splints, dental implants, or other dental appliances to treat dental conditions or dental conditions related to TMD or TMJ disorders are not covered.

For more information, select any subject below:

- [Payment](#)

## Payment

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

The [participating provider](#) refers the member to their participating dentist or oral surgeon for medically necessary custom-made temporomandibular joint (TMJ) appliances (for example, occlusal splints) or medically necessary surgeries.

When items or services are covered under the member's benefit plan, claims responsibility for TMJ orthotics and services, including surgical services, are determined according to the Provider Participation Agreement (PPA) and the Division of Financial Responsibility (DOFR).

## Transgender Services

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Medically necessary transgender services for treatment of gender identity disorder (GID) are covered benefits for Health Net members. Refer to the most current Standards of Care (SOC) and guidance located on the World Professional Association for Transgender Health (WPATH) website at [www.wpath.org](http://www.wpath.org) for clinical guidance. Additional clinical information is located on the [Health Net provider website](#), under Resources for you, select *Medical Policies > Gender Affirming Procedures (PDF)*.

Transgender services refer to the treatment of GID, which may include the following:

- Consultation with transgender service providers.
- Transgender services work-up and preparation.

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- Psychotherapy.
- Continuous hormonal therapy.
- Laboratory testing to monitor hormone therapy.
- Gender reassignment surgery that is not cosmetic in nature.

## Medically Necessary/Reconstructive Surgery

No categorical exclusions or limitations apply to coverage for the treatment of GID. Each of the following procedures, when used specifically to improve the appearance of an individual undergoing gender reassignment surgery or actively participating in a documented gender reassignment surgery treatment plan, must be evaluated to determine if it is medically necessary reconstructive surgery to create a normal appearance for the gender with which the member identifies. Prior to making a clinical determination of coverage, it may be necessary to consult with a qualified and licensed mental health professional and the treating surgeon.

- Abdominoplasty
- Blepharoplasty
- Breast augmentation
- Electrolysis
- Facial bone reduction
- Facial feminization
- Hair removal
- Hair transplantation
- Liposuction
- Reduction thyroid chondroplasty
- Rhinoplasty
- Subcutaneous mastectomy
- Voice modification surgery

Reconstructive surgery is "surgery performed to correct or repair abnormal structures of the body... to create a normal appearance to the extent possible." (Insurance Code Section 10123.88(c)). In the case of transgender patients, "normal appearance" is to be determined by referencing the gender with which the patient identifies.

Cosmetic surgery is "surgery that is performed to alter or reshape normal structures of the body in order to improve appearance." (Insurance Code Section 10123.88(d)).

This section clarifies how Health Net administers benefits in accordance with the WPATH, SOC, Version 7. Provided a patient has been properly diagnosed with gender dysphoria or GID by a mental health professional or other provider type with appropriate training in behavioral health and competencies to conduct an assessment of gender dysphoria or GID, particularly when functioning as part of a multidisciplinary specialty team that provides access to feminizing/masculinizing hormone therapy, certain options for social support and changes in gender expression are considered to help alleviate gender dysphoria or GID.

For example, with respect to hair removal through electrolysis, laser treatment, or waxing, the WPATH clarifies that patients with the same condition do not always respond to, or thrive, following the application of identical treatments. Treatment must be individualized, such as with the various hair removal techniques, and medical necessity should be determined according to the judgment of a qualified mental health professional and referring physician. The documentation to support the medical necessity for hair removal should include three essential elements:



1. A properly trained (in behavioral health) and competent (in assessment of gender dysphoria) professional has diagnosed the member with gender dysphoria or GID.
2. The individual is under feminizing hormonal therapy.
3. The medical necessity for hair removal has been determined according to the judgment of a qualified mental health professional and the referring physician.

If any element remains to be satisfied before medical necessity can be determined, the individual should be directed to an appropriate network participating provider for consultation or treatment.

## Requesting Services

Prior authorization is required for transgender services. Providers must submit clinically relevant information for medical necessity review with prior authorization request. Members may select an available transgender surgery specialist from Health Net's network. To find out which providers contract with Health Net to perform services in conjunction with transgender reassignment surgery, or if Health Net contracts with additional transgender reassignment surgeons, contact the [Health Net Provider Services Department](#).

## Transplants

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on transplant evaluations and services.

Select any subject below:

- [Overview](#)
- [Compliance for Transplant Performance Centers Standardized Process](#)
- [Injectable Transplant Medication](#)
- [Health Net Transplant Performance Centers](#)
- [Responsibility for Inpatient Concurrent Review and Transfer for Transplant Evaluation](#)

## Overview

Provider Type: Physicians | Participating Physician Groups (PPG)

## Prior Authorization

The following transplants are covered when prior authorization is obtained and when medically necessary:

- Cornea
- Heart
- Heart and lung
- Intestine

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- Kidney
- Kidney and pancreas
- Pancreas
- Liver
- Lung (single or double)
- Allogeneic stem cell transplants
- Autologous stem cell transplants

## Solid Organ Transplant Review Procedure

All covered transplant services must be provided by a Health Net Transplant Performance Center (Center). Transplant service requests are evaluated on a case-by-case basis and must be prior authorized through Health Net or the delegated participating provider group (PPG).

### PPG Procedures

**Delegated PPGs** use the following procedure for reviewing requests for delegated transplant services:

1. The treating physician or transplant center (requestor) submits a request for transplant services to the delegated PPG.
2. If Health Net receives a request directly from a treating physician or transplant center for a delegated transplant service, the requestor is referred to the delegated PPG.

### **The following applies to all non-delegated PPGs**

For non-delegated PPG members, all major organ and bone marrow transplant (both allogeneic stem cell and autologous stem cell) requests must be submitted by the transplant service provider directly to the Centene Centralized Transplant Unit (CTU) for review. Requests received from the primary care physician (PCP), specialist or PPG will be returned, and the requestor will be informed to have the transplant center submit the request.

A PCP, specialist or non-delegated PPG who identifies a member as a potential candidate for transplant services must provide applicable medical records to a Health Net Transplant Performance Center (Center) for transplant evaluation. The Center must submit a prior authorization request for the evaluation to the Centene CTU through the provider portal, or via fax directly to the CTU at 833-769-1142. On receipt of a request for a transplant, the CTU contacts the Center to request any necessary medical records to complete the clinical review. Once complete medical records are received, a review is performed to establish medical necessity. If approved, the Center is notified and provided an authorization number for the evaluation.

Once a member has completed an evaluation and is approved by the Center for transplant, the Center must submit a prior authorization request for listing to the Centene CTU through the provider portal, or via fax directly to the CTU at 833-769-1142. On receipt of a request for a listing, the CTU contacts the provider to request any necessary medical records to complete the clinical review. Once complete medical records are received, a review is performed to establish medical necessity. If approved, the transplant center is notified and provided an authorization number.

If the request meets medical necessity, but the requesting transplant center is not a Health Net Transplant Performance Center, the member may be redirected to a Health Net Transplant Performance Center.



CAR-T cell therapy, corneal transplant, tissue transplant, pancreatic islet cell auto-transplant after pancreatectomy, or parathyroid auto-transplant after thyroidectomy requests must be submitted directly to Health Net.

It is the member's PCP's, attending physician's or the PPG's (as applicable) responsibility to authorize medical care prior to a transplant. This includes maintenance care for the member prior to the transplant.

The transplant program covers the professional and institutional costs of solid organ, cornea and stem cell transplants for members when medically necessary and not considered experimental or investigative.

For transplants deemed to be medically necessary, the transplant case rate for solid organ transplants begins either one day prior to the transplant or the day of the transplant (depending on the Transplant Performance Center). For stem cell transplants, the transplant case rate begins at the onset of the preparative regimen, which may be either high-dose chemotherapy, radiation therapy or a combination. Pre-transplant evaluation services are excluded from the global case rate. This is defined as diagnostic services and specialty consultations required to evaluate a Health Net member for transplant program acceptance as an established candidate for a transplant. Coverage for pre-transplant expenses would be based on the participating physician group (PPG) Division of Financial Responsibility (DOFR) matrix determination as described in the PPG *Provider Participation Agreement (PPA)*, or fee for service as applicable based on the member's plan. If the provider refers a transplant case to a facility that is not a Health Net-designated Transplant Performance Center, the transplant-related claims are processed based on the standard PPG DOFR matrix, not the transplant DOFR matrix.

## Allogeneic Stem Cell

The following transplant services are covered by transplant case rate contracts:

- Donor searches - Donor searches include outside search and donor procurement services. Unrelated searches and stem cell acquisition are done and priced separately through the National Marrow Donor Program (NMDP) or Stemcyte. Unrelated donor matches may require new, advanced technology using molecular matching. Related donor searches are done by the approved transplant facility. Related or unrelated donor searches are covered on all product lines.
- Transplant event (This phase starts with initiation of the preparative regimen, which may be included: high-dose chemotherapy, radiation therapy or a combination) - The preparative regimen may be performed inpatient or outpatient depending on the transplant facility case protocol. This includes institutional, professional and ancillary services related to the transplant.
- Professional fees - Includes all inpatient and outpatient services beginning at the onset of the preparative regimen prior to stem cell transplants through the case rate period.
- Institutional fees - Includes all inpatient and outpatient services, including room and board, for services beginning with the onset of the preparative regimen with high-dose chemotherapy, radiation therapy or a combination for stem cell transplants through the case rate period as specified by the transplant facility contract.
- Post-transplant services - Includes all institutional, professional, ancillary, and pharmaceutical services required after inpatient discharge, except for those medications covered through the member's outpatient pharmacy benefits. Some exclusions apply based on the transplant facility contract limits.

## Autologous Stem Cell

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The following transplant services are covered by a transplant case rate contract:

- Stem cell acquisition and collection or apheresis - Includes all institutional, professional and ancillary services required to retrieve and store stem cells.
- Transplant event - This phase involves initiation of high-dose therapy with chemotherapy, radiation therapy or a combination followed by the stem cell rescue or re-infusion - Includes institutional, professional and ancillary services related to this treatment. High-dose therapy may be performed inpatient or outpatient depending on transplant facility case protocol. The transplant event may be as inpatient or outpatient depending on the transplant facility protocol.
- Professional fees - Includes all inpatient and outpatient services beginning at the onset of high-dose chemotherapy, radiation therapy or combination of these prior to the stem cell transplants through the specified case rate period per transplant facility contract.
- Institutional fees - Includes all inpatient and outpatient services, including room and board, for services beginning with the onset of the preparative regimen with high-dose chemotherapy, radiation therapy or combination of these for stem cell transplants through the case rate period as specified by the transplant facility contract.
- Post-transplant services - Includes all institutional, professional, ancillary, and pharmaceutical services required after inpatient discharge, except for those medications covered through the member's outpatient pharmacy benefits. Some exclusions apply based on the transplant facility contract limits.

## Solid Organs

The following are covered for solid organ transplants and related services:

- Donor search, organ acquisition or procurement services, histocompatibility services, donor services (for example, identifying prospective donors), and United Network for Organ Sharing (UNOS) fees - Unrelated donor searches are covered across all product lines and require prior authorization from Health Net's transplant care managers before beginning the search.
- Transplant of solid organ:
  - Professional fees - May include inpatient and outpatient services beginning either one day prior to the transplant or the date of transplant (depending on the Transplant Performance Center case rate contract provision) for solid organ transplants through the case rate period. Professional services include:
    - Any and all professional services.
    - Consultations including any services rendered by a transplant surgeon for the transplant operation.
    - Post-operative inpatient care and outpatient care.
    - Assistant surgeon and physician assistant for operation and post-operative care.
    - Anesthesiologist services (professional component).
    - Hepatologist for pre- and post-operative inpatient care.
    - Pathologist (professional component) of clinical and anatomical lab testing.
    - Radiologist for professional component of X-rays.
    - Immunologist for the professional component of histocompatibility.
  - Institutional fees - May include inpatient and outpatient services, including room and board, for services beginning either the day prior to the transplant or the date of transplant (depending on the Transplant Performance Center case rate contract provision) of solid organs through the case rate period.

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- Re-transplant - Covered if medically necessary and reimbursed according to the terms of the transplant case rate contract with the transplant performance center facility.
- Transplant-related services during the case rate period - May not be covered under the transplant case rate contract depending on the Transplant Performance Center contract provision. Except for medications covered by a member's outpatient pharmacy benefit, post-discharge care includes all transplant-related care, including inpatient and outpatient services (for example, laboratory, radiology, home health care, and durable medical equipment (DME)), all transplant-related medications, including a medication supply for up to 30 days after discharge. Medications that are transplant-related and covered by the member's outpatient pharmacy benefit are not covered under the transplant case rate.

## Coverage Exclusions

Post-transplant care for current Health Net members is not covered under the transplant case rate contract if the transplant procedure was not pre-approved by Health Net and performed at a facility that is not a Health Net Transplant Performance Center. The transplant case rate contract does not cover post-transplant care for members who had a transplant prior to their Health Net effective date or outside their Health Net coverage period.

Any transplant considered experimental or investigative is not covered, except as referenced under the Group Exceptions section for members covered under the Federal Employee Health Benefits Program (FEHBP), or when approved through an independent review organization or third-party reviewer.

The following services are not covered under the stem cell transplant case rate contract:

- Non-transplant related services.
- Any chemotherapy or radiation therapy (for example, induction, consolidation or adjuvant) performed prior to high-dose chemotherapy is excluded from the case rate and processed according to the standard PPG Division of Financial Responsibility (DOFR) matrix. If it is determined to be a health plan risk, payment is based on current Health Net Provider Participation Agreement (PPA) rates.

## Payment

The Health Net transplant program covers transplant services at [Health Net Transplant Performance Centers \(PDF\)](#). If a Transplant Performance Center authorizes and coordinates care for services rendered through the PPG, reimbursement is based on the rates included in the Health Net Provider Participation Agreement (PPA).

### Out-of-Area Claims

Health Net is responsible for out-of-area claims for transplant-related services that occur out of the member's typical travel patterns between home and the transplant center in the course of receiving transplant-related services. If these services are not transplant-related and do not meet the out-of-area emergency criteria, the services are the member's responsibility.

### Payment for Services Not Related to the Transplant Procedure

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If the member requires inpatient or outpatient hospital services for an injury or underlying illness that is not transplant-related, these services are processed according to the PPA with the PPG or service institution.

## Transplant Case Rate Contract

**The following information applies only to participating physician groups (PPGs).**

The transplant case rate contract includes the professional and institutional costs of medically necessary, non-experimental and non-investigative solid organ, cornea and stem cell transplants, as well as all transplant-related medications, including a medication supply for up to 30 days after discharge. Health Net covers transplants at its [Transplant Performance Centers \(PDF\)](#). These centers operate transplant programs based on a case rate.

For stem cell transplants, donor searches and charges related to the collection and storage of stem cells may not be included in the case rate. This determination is based on the Transplant Performance Center contract provision.

For solid organ transplants, donor searches and organ acquisitions may or may not be included in the case rate. This determination is based on the Transplant Performance Center contract provision.

The transplant case rate is defined by those services provided during the transplant case rate period. If a member is admitted for any other reason prior to the transplant procedure, services rendered are not included in the transplant case rate.

For transplants determined medically necessary, coverage under the case rate contract begins based on the following:

- For a solid organ transplant, the case rate period begins either one day prior to the transplant procedure or the day of transplant (depending on the Transplant Performance Center contract provision).
- For an outpatient stem cell transplant, the case rate period begins on the day of high-dose chemotherapy or radiation therapy and extends to the transplant admission until the specified case rate period based on the Transplant Performance Center contract provision.
- For an inpatient stem cell transplant, the case rate period begins on the day when the preparative regimen with high-dose chemotherapy, radiation therapy or a combination is initiated and ends on the specified case rate period based on the Transplant Performance Center contract provision.

### Case Rate Exclusions

Medical services necessary for the maintenance of the member while waiting for a transplant are subject to the participating physician group's (PPG's) authorization and are not covered under the transplant case rate. These services are processed based on the PPG Division of Financial Responsibility (DOFR) matrix, and reimbursement is based on the rates included in the Health Net *Provider Participation Agreement (PPA)*. For example:

- Services not directly related to transplant care are not covered under the transplant case rate.
- Outpatient pharmacy charges and take-home medications, otherwise covered by the Health Net pharmacy program, are not covered under the transplant case rate.
- Pre-transplant evaluations are not included in the case rate.

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# Compliance for Transplant Performance Centers Standardized Process

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

## Designated Transplant Network Participation

Health Net will designate certain transplant programs as “center of excellence” programs (“Tier 1”). In order to be designated a center of excellence, a program must meet minimum volume, outcome and quality criteria, which Health Net may modify from year to year at its discretion. Information regarding the transplant program(s) will be required from the provider on an annual basis to confirm tier status. Health Net may include transplant programs without the center of excellence designation in a network where additional consideration may be warranted (“Tier 2”), including but not limited to a covered person’s access/choice or if the provider can document exceptional circumstances that would mitigate an individual metric. Health Net will consider these factors, in combination with the transplant program criteria and other factors, to reach a determination on a program’s eligibility to provide transplant services without center of excellence designation. Transplant programs may, at Health Net’s sole discretion, move from one tier to the other on an annual basis, depending upon the data and performance of the transplant program from year to year.

### Annual Transplant Program Review

The provider shall comply with Health Net’s annual transplant program review process and shall provide to Health Net, or its designee, such transplant program information and data on an annual basis as necessary, for Health Net to complete its annual review of the provider’s transplant program(s). The provider acknowledges that the provider’s failure to provide information in connection with such annual review process within 30 days of the request may result in suspension of the provider’s transplant programs from participation in the network. Health Net shall provide the provider with 30 days prior written notice in the event of the suspension of any transplant program.

### Data Submission

The provider will submit transplant program performance data relating to all transplant services provided by the provider (whether to covered persons or other individuals), including but not limited to volume and outcomes, to the appropriate national reporting agency on each transplant program in accordance with the required reporting schedule. Health Net shall access and utilize the reported data. In the event Health Net determines that it requires additional information, such information will be requested from the provider. The provider shall respond to such request within 30 days.

### Transplant Program Change Notification

The provider shall notify Health Net of any changes in the provider’s transplant program(s) and/or medical team. Health Net shall be notified immediately of any changes that could impact the quality of the provider’s transplant program, including but not limited to the loss of transplant program surgeons, loss or suspension of Centers for Medicare & Medicaid Services (CMS) certification, shutdown of transplant program.

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Performance Requirements

In the event Health Net determines that the provider did not maintain compliance with applicable network criteria, quality standards or other performance requirements, Health Net may require corrective action.

## Required Accreditation

**Hospital accreditation:** The Joint Commission (TJC), NIAHO or local alternative.

**Solid organ:** CMS certification and member in good standing with United Network for Organ Sharing (UNOS).

**Blood and Marrow:** Accreditation by Foundation of Accreditation of Cellular Therapy (FACT) and certification by the National Marrow Donor Program (NMDP).

**Two Levels of Participation –**

- National Network – Program must meet or exceed minimum volumes and survival/outcomes criteria below and have all accreditations noted above.
- Regional Network – Program must have all accreditations noted above and be an active program for at least two years.

## Volume Criteria

The minimum volume criteria required by adult-specific Transplant Performance Center programs is maintained. A combined volume is calculated for transplant performance centers that contract for both adult and pediatric populations.

**Minimum Transplant Volume** required per calendar year:

Transplant Type	Adult	Pediatric
Kidney	30	3
Liver	15	3
Heart	12	2
Lung	12	1
Pancreas or SPK	No minimum if kidney meets	N/A

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Transplant Type	Adult	Pediatric
Intestinal/Small Bowel	3	1
Blood and Marrow	40 total, with at least 20 being allogeneic	10

**Survival/Outcomes Criteria:**

**Solid Organ** – Outcomes are reviewed for one-year graft survival, three-year patient survival, mortality rate while on the waitlist and offer acceptance ratio. They are measured as follows:

- Graft Survival – One-year Graft Survival Hazard Ratio Z-Score of the 95% Lower Credibility Limit to adjust for observed vs. expected survival rates as compared to transplant programs throughout the country.
- Patient Survival – Three-year Patient Survival Hazard Ratio Z-Score of the 95% Lower Credibility Limit to adjust for observed vs. expected survival rates as compared to transplant programs throughout the country.
- Waitlist Mortality – Waitlist time to mortality Hazard Ratio Z-Score of the 95% Lower Credibility Limit to compare experiences of transplant programs throughout the country.
- Offer Acceptance Ratio-Number of expected offers to number of accepted offers is equal to or exceeds 1.0.

**Total final score must meet or exceed 2.0 to be considered for participation.**

If a total score was given that includes each of the measurements above, then the programs that are in the top 55% of all programs of the same transplant type were deemed to have met the quality criteria and hence, eligible to be included in the national network.

**Blood and Marrow –**

Autologous: 100-day survival must be at least 90%.

Allogeneic: 100-day survival must be at least 60% and the actual one-year survival must be “similar to” or “above” the expected rate as reported on Bethematch.org (for NMDP).

All programs must meet for both autologous and allogeneic to be included in the national network.

## Injectable Transplant Medication

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

An injectable transplant medication is an injectable immunosuppressive used specifically during the course of transplantation to prevent organ rejection. Refer to the [Health Net Injectable Medication HCPCS/DOFR Crosswalk \(PDF\)](#) table for a list of injectable transplant medications.



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## Health Net Transplant Performance Centers

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals

Refer to the [Health Net Transplant Performance Center \(PDF\)](#) matrix, which lists the Transplant Performance Centers and programs by region, when referring Health Net members for a transplant procedure.

Participation in Health Net's transplant network follows the [Evaluation Process Standards](#) to meet industry-accepted standards.

## Responsibility for Inpatient Concurrent Review and Transfer for Transplant Evaluation

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

For members in need of an evaluation for transplant eligibility, responsibility for the transfer and continued concurrent review remain with the delegated entity until such time as a transplant event occurs or the member no longer requires an inpatient level of care and can be safely discharged. The financial risk upon transfer to a transplant facility will follow the standard Division of Financial Responsibility for inpatient admissions up to the day of transplant, when Health Net takes over risk for the transplant.

If, during the continued stay, the transplant occurs, the member's case is transitioned to Health Net's concurrent review team on the day of the transplant. Until that happens, the delegated entity maintains its concurrent review responsibilities even if the member is evaluated for transplant eligibility during that time.

## Vision

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section provides general member benefit information for vision services.

Select any subject below:

- [Overview](#)
- [PPG Responsibility](#)
- [EyeMed Vision Care](#)



Provider Type: Physicians | Participating Physician Groups (PPG)

Vision examinations are covered, subject to the scheduled copayments. Coverage includes eye refractions and examinations for diagnosis or for correction of vision. Conventional glasses and contact lenses are not covered, unless the member's contract specifically provides for supplemental coverage with [EyeMed Vision Care](#). Vision services, including an annual vision exam and eyewear, are covered for pediatric members under age 19 (until at least the end of the month in which the enrollee turns 19 years of age) enrolled in a Health Net plan that includes vision coverage, as required by the Affordable Care Act (ACA). Pediatric vision coverage is administered by Eyemed Vision Care. For a list of additional covered vision services for these members, refer to the member's [Evidence of Coverage \(EOC\)](#), [Certificate of Insurance \(COI\)](#) or [Schedule of Benefits](#).

Intraocular lens implants to replace the organic eye lens are covered following cataract surgery. If an intraocular lens is not implanted following such surgery, then contact lenses or cataract eyeglasses are covered. Refer to the member's EOC, COI or Schedule of Benefits for specific plan information.

## Exclusions and Limitations

Refer to the member's [Evidence of Coverage \(EOC\)](#), [Certificate of Insurance \(COI\)](#) or [Schedule of Benefits](#) for additional information.

## PPG Responsibility

Provider Type: Participating Physician Groups (PPG)

The participating physician group (PPG) must develop a simple office-screening procedure to provide routine vision screening. The screening should include testing for a deviation of the eyes from normal. If the vision exam indicates a potential refractive problem, a referral must be made for a refraction exam only. Health Net suggests that the PPG use the Snellen and Jaeger tests and include the use of the Titmus Machine Vision Tester. This enables the PPG to screen out many potential referrals.

PPGs must provide members who want or need contact lenses a prescription for corrective lenses, including eye curvature measurements and fitting of contact lenses (a fitting could involve multiple visits).

After an optometrist or ophthalmologist performs a refractive vision exam and determines that a member's vision can be improved by eyeglasses, the member may obtain the eyeglasses either from the optometrist or ophthalmologist who performed the vision exam or elsewhere. If the optometrist or ophthalmologist determines that the member's vision can be improved only by contact lenses or the member prefers contact lenses and the optometrist or ophthalmologist determines that contact lenses are a medically viable way to correct the member's vision, the member may obtain the contact lenses either from the optometrist or ophthalmologist who performed the vision exam or elsewhere. If the member decides to obtain the eyeglasses or contact lenses elsewhere, the optometrist or ophthalmologist must give the member a prescription for the glasses or a prescription that includes the necessary measurements to enable purchase of the contact lenses.

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Members enrolled in the EyeMed Vision Care vision plan must utilize EyeMed Vision Care participating providers.

## EyeMed Vision Care

Provider Type: Physicians | Participating Physician Groups (PPG)

Health Net contracts with [Centene Vision Services](#) to provide vision benefits to Health Net members whose coverage includes vision plan benefits. [Centene Vision Services](#) sub-delegates benefit administration to EyeMed Vision Care. [EyeMed](#) provides benefits for a routine vision exam and/or eyewear through their network of optometrists, dispensing opticians and optometric laboratories for employer and union groups as well as individual members (not covered through an employer group). Benefit coverage and benefit administration varies by plan:

- Exam only
- Materials only
- Exam and materials

Depending upon the plan the routine vision examination may be covered through their participating physician group (PPG) or primary care physician (PCP) or through EyeMed.

If the member requires eyeglasses, a prescription is written and the member may purchase eyewear from a list of participating dispensing opticians in California.

The optician bills EyeMed Vision Care for reimbursement. If the member selects standard lenses and frames, they do not owe the dispensing optician. If more costly items are selected, members are required to pay the amount in excess of those specified in the Schedule of Allowances under the member's [Evidence of Coverage](#) (EOC), or [Certificate of Insurance](#) (COI). The HMO member is required to obtain eyewear services only through participating providers.

## Eye Care Network Responsibilities

The PCP or PPG are not responsible for referring Health Net members to EyeMed Vision Care for a refraction examination when applicable; however, PCPs or PPGs should be aware of which members have this benefit so they can direct the member to contact [EyeMed Vision Care](#) when appropriate.

If the EyeMed Vision Care provider finds a medical problem during the refraction examination, the provider must refer the member back to the PCP or PPG. If the medical condition is considered acute or emergency, the provider must call the PPG and direct the member back to the PCP immediately or to a hospital emergency department, if appropriate. For non-emergency conditions, the provider prepares and sends a report to the PCP or PPG identifying the problem and instructs the member to follow up with their PCP for further evaluation and treatment.

A member with a Health Net vision plan can request an appointment for a vision examination through the PPG.

## Criteria for Vision Services

Eyewear services is not covered by individual family plans (IFP).

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The HMO member is required to obtain eyewear services through participating providers. Refer to the member's [Evidence of Coverage \(EOC\)](#), [Certificate of Insurance \(COI\)](#) or [Schedule of Benefits](#) for additional information or contact Health Net vision plan.

A member with a Health Net vision plan can request an appointment for a vision examination through the participating physician group (PPG).

## X-Ray and Laboratory Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on x-ray and laboratory services.

Select any subject below:

- [Overview](#)
- [Diagnostic Procedures](#)
- [Laboratory Services](#)
- [Radiation Therapy](#)

## Overview

Provider Type: Physicians | Ancillary | Participating Physician Groups (PPG)

Medically necessary X-ray and laboratory procedures, services and materials are covered when ordered or approved by the [participating provider](#).

## Exclusions and Limitations

X-ray and laboratory procedures associated with routine physical examinations for insurance are not covered on most plans. These procedures are also not covered when obtained for licensing, employment, school, camp, or other non-preventive purposes. On plans that cover routine physical examinations, the exam itself and any related X-ray and laboratory procedures are covered; however, completion of any related forms is not.

Additionally, premarital blood tests are not covered.

## Diagnostic Procedures

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Health Net has an agreement with [Evolent Specialty Services, Inc.](#) to provide utilization management (UM) services, including prior authorization determinations for certain advanced and cardiac imaging for fee-for-service (FFS) members.

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## Evolut Specialty Services Agreement

Evolut Specialty Services Agreement provides UM determinations for the following outpatient imaging procedures:

- Advanced imaging:
  - Computed tomography (CT)/computed tomography angiography (CTA)
  - Magnetic resonance imaging (MRI)/magnetic resonance angiography (MRA)
  - Positron emission tomography (PET) scan
- Cardiac imaging:
  - Coronary computed tomography angiography (CCTA)
  - Myocardial perfusion imaging (MPI)
  - Multigated acquisition (Muga) scan
  - Stress echocardiography
  - Transthoracic echocardiography (TTE)
  - Transesophageal echocardiography (TEE)

## Exceptions

Health Net retains responsibility for UM determinations for these services.

- Emergency room radiology services

## Laboratory Services

Provider Type: Physicians

Quest Diagnostics® and LabCorp® are Health Net's preferred providers are Health Net's preferred provider for laboratory services for the following lines of business:

- Point of Service (POS)
- PPO
- EPO
- Fee-for-service (FFS):
  - HMO
  - Medicare Advantage (MA)
  - Medi-Cal

Quest Diagnostics is the world's leading provider of diagnostic testing, information and services, and offers:

- Convenient access to testing services with over 400 Quest Diagnostics Patient Service Center (PSC) locations in California, in addition to an online PSC locator and appointment scheduling function to minimize wait times.
- Access to more than 3,000 clinical, esoteric and anatomic pathology tests performed at one of Quest Diagnostics' testing facilities.

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- Industry-leading standards of quality, integrity and clinical excellence, providing the greatest level of consistency and security for providers' practices.
- Consultation services with more than 800 physician and clinical specialists for rare or difficult test results.
- 24-hour-a-day, seven-day-a-week access to electronic laboratory orders and results, and other office solutions through Care360<sup>®</sup> Labs & Meds.
- Electronic prescription capability to order and renew prescriptions.
- Patient-friendly reports that help easily explain test results.

## Radiation Therapy

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

eviCore healthcare is responsible for the prior authorization process for radiation therapy for all members\*. Physicians and specialty providers can request prior authorization by contacting eviCore healthcare.

\*Health Net continues to review radiation therapy requests for Direct Network HMO (including Ambetter HMO) until Department of Managed Healthcare (DMHC) approval is received.

## Claims and Provider Reimbursement

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section describes claims and provider reimbursement

Select any subject below:

- [Remittance Advice and Explanation of Payment System](#)
- [Accessing Claims on Health Net Provider Portal](#)
- [Adjustments](#)
- [Balance Billing](#)
- [Billing and Submission](#)
- [Capitated Claims and Billing Information](#)
- [Eligibility and Capitation](#)
- [Eligibility Guarantee](#)
- [Emergency Claims Processing](#)
- [Fee-For-Service Billing and Submission](#)
- [Insured Services](#)
- [Premium Payment Grace Period for Beneficiary Qualifying for APTC](#)
- [Professional Claim Editing](#)
- [Professional Stop Loss](#)
- [Refunds](#)
- [Reimbursement](#)
- [Reinsurance](#)
- [Schedule of Benefits or Summary of Benefits](#)
- [Shared Risk](#)

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- [Timely Filing Criteria](#)
- [When Medicare is a Secondary Payer](#)

# Remittance Advice and Explanation of Payment System

Provider Type: Hospitals

The remittance advice (RA) and explanation of payment (EOP) system communicates Health Net's claims resolution and outcomes to participating hospitals. This automated system consolidates claim payments to providers and recognizes and recovers any overpayment allowed under the provider's contract.

Hospitals receive a RA and EOP from Health Net when any of the following occurs:

- Health Net pays, denies or contests a claim for services provided to a Health Net member
- For Medicare employer groups withholds a payment to recover a previous overpayment. A RA and EOP overpayment detail notification is sent to the provider. This notification does not apply to individual Medicare or Special Needs Plan (SNP) providers.

A RA and EOP notification lists payments Health Net makes to hospitals claim by claim. It is composed of the following:

- Subscriber identification number
- Patient name
- Patient account number - recorded on the CMS-1500 or UB-04
- Health Net claim identification (ID) number
- Service dates
- Total billed
- Contract adjustment
- Amount paid - same as contract adjustment
- Total claims payable
- Total check amount - total claims payable

Hospitals must carefully review all RA and EOP notifications to verify payments and denials. Health Net does not send letters on initial claim denials. Questions regarding RA and EOP notifications must be directed to the Provider Services Center.

# Accessing Claims on the New Health Net Portal

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) (does not apply to HSP) | Ancillary

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To obtain step-by-step guidance on how to access the claims and more on Health Net's provider portal download the [Save Time Navigating the Provider Portal \(PDF\)](#), [Save Time Navigating the Provider Portal – Community Health Plan of Imperial Valley \(PDF\)](#), [Save Time Navigating the Provider Portal – CalViva \(PDF\)](#) or [Save Time Navigating the Provider Portal – WellCare by Health Net](#) booklet.

- Accessing member claims
- Submitting professional claims
- Submitting institutional claims
- Viewing claims
- View details of individual claims
- Correct claims
- Copy claims
- Saved claims
- Submitted claims
- Batch claims
- Viewing submitted batch claims
- Payment history
- Explanation of payment details
- Downloading the explanation of payment
- Claims audit tool

## Adjustments

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

If a participating physician group (PPG) or hospital believes that a claim was processed inaccurately and wants to request an adjustment, the claim may be resubmitted to Health Net requesting reconsideration of the claim by following the provider dispute and resolution process.

## Balance Billing

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Balance billing is strictly prohibited by state and federal law and Health Net's Provider Participation Agreement (PPA).

Balance billing occurs when a participating provider bills a member for fees and surcharges above and beyond a member's copayment and coinsurance responsibilities for services covered under a member's benefit program, or for claims for such services denied by Health Net or the affiliated participating physician group (PPG). Participating providers are also prohibited from initiating or threatening to initiate a collection action against a member for non-payment of a claim for covered services. Participating providers agree to accept Health Net's fee for these services as payment in full, except for applicable copayments, coinsurance, or deductibles.

Dual Special Needs Plan (D-SNP) members are not subject to copayments, so providers must not charge D-SNP members coinsurance, copayments, deductibles, financial penalties, or any other amount due to their Medi-Cal eligibility. Any amounts non-covered by the Medicare payment/reimbursement must be sent for

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secondary payment to the member's Medi-cal managed care plan (MCP) or directly to the Department of Health Care Services (DHCS) if not assigned to a Medi-cal MCP for that date of service.

Providers can verify the member's Medi-cal MCP by checking the [Medi-Cal Automated Eligibility Verification \(PDF\)](#).

Providers can refer to the Verifying and Clearing Share-of-Cost section for information regarding D-SNP members' share of cost (SOC) responsibility for certain services.

Participating providers may bill a member for non-covered services when the member is notified in advance that the services to be provided are not covered and the member, nonetheless, requests in writing that the services be rendered. A participating provider who exhibits a pattern and practice of billing members will be contacted by Health Net and is subject to disciplinary action.

For more information, select any subject below:

- [15-Day Letters MEDI-CAL](#)
- [Billing Medicare/Medi-Cal Members Prohibited MEDICARE](#)
- [Fee Prohibitions MEDI-CAL](#)
- [Hold Harmless Provisions MEDICARE](#)
- [Missed Appointments MEDI-CAL](#)

## Billing and Submission

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on claims billing and submission.

Select any subject below:

- [Claims Receipt Acknowledgement](#)
- [Claims Submission](#)
- [Claims Submission Requirements](#)
- [Clinical Information Submission](#)
- [CMS-1500 Billing Instructions](#)
- [Hospital Acquired Conditions](#)
- [Trauma Services](#)
- [UB-04 Billing Instructions](#)
- [Workers' Compensation](#)

## Claims Receipt Acknowledgement

Provider Type: Physicians | Ancillary | Hospitals

Health Net provides an acknowledgement of claims receipt, whether or not the claims are complete, within two business days for electronically submitted claims. For paper claims, Health Net provides an acknowledgement of claims receipt within 15 business days of receipt for HMO, Medi-Cal, PPO, and EPO. If a paper claim is paid

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or denied within 15 days, the Remittance Advice (RA) is considered an acknowledgement of claims receipt. A provider may obtain acknowledgement of claim receipt in the following manner:

**HMO, PPO, EPO, and HSP claims:** Electronic fax-back confirmation of claims receipt through the Health Net Provider Services Center interactive voice response (IVR) system, via a paper acknowledgement report mailed within 14 days of claims receipt and on the [Health Net provider portal](#).

**Medi-Cal claims:** Confirmation of claims receipt through the provider portal of [Health Net's website](#) and by calling the [Medi-Cal Provider Services Center](#), [Community Health Plan of Imperial Valley Provider Services Center](#) or [CalViva Health Provider Services Center](#).

Claims received from a provider's clearinghouse are acknowledged directly to the clearinghouse in the same manner and time frames noted above.

**Date of Receipt definition:** Date of receipt is the business day when a claim is first delivered, electronically or physically, to Health Net's designated address.

## Claims Submission

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) |Ancillary

Providers must use correct coding to ensure prompt, accurate processing of claims. Physicians should use CMS-1500 forms and CPT or HCPCS coding, as indicated in the Provider Participation Agreement (PPA). Hospitals use UB-04 (CMS-1450) form and current UB coding, including CPT, DRG, HCPCS, and ICD-10.

If the provider has more than one tax identification number, use the tax identification number under which the PPA has been signed and also include the National Provider Identifier (NPI) number. Claims cannot be processed without these identifying numbers.

The physician's name must be listed in the Referring Physician box on the claim form only if the member has received a referral from the primary care physician (PCP). Claims submitted with a physician's name in the Referring Physician box are processed at the Tier 1 (HMO) coverage level. Members accessing Tier 2 or Tier 3 coverage levels do not have a referral form from the PCP and the claim form needs to accurately reflect this.

Submit Health Net claims within 120 calendar days from the date of service to the [Health Net commercial claims address \(PPO\)](#). Do not send claims to members unless the member has agreed, in writing, to take financial responsibility for a non-covered service.

## Claims Submission Requirements

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) |Ancillary

Health Net encourages providers to submit claims electronically. Paper submissions are subject to the same edits as electronic and web submissions.

All paper claims sent to the claims office must first pass specific edits prior to acceptance. Claim records that do not pass these edits are invalid and will be rejected or denied. Claims missing the necessary requirements are not considered [clean claims](#) and will be returned to providers with a written notice describing the reason for



return. Nonstandard forms include any that have been downloaded from the Internet or photocopied, which do not have the same measurements, margins, and colors as commercially available printed forms.

Refer to [un-clean claims](#) for more information.

## Acceptable Forms

For paper claims, Health Net only accepts the [Centers for Medicare & Medicaid Services \(CMS\)](#) most current:

- CMS-1500 form - complete in accordance with the guidelines in the [National Uniform Claim Committee \(NUCC\) 1500 Claim Form Reference Instruction Manual](#), updated each July.
- CMS-1450 (UB-04) form - complete in accordance to [UB-04 Data Specifications Manual](#), updated each July.

Other claim form types will be upfront rejected and returned to the provider. Providers should adhere to the claims submission requirements below to ensure that submitted claims have all required information, which results in timely claims processing.

## Electronic Claims

For fastest delivery and processing, claims can be submitted electronically using the HIPAA 5010 standard 837I (005010X223A2) and 837P (005010X222A1) transaction. Each claim submitted must include all mandatory elements and situational elements, where applicable. Secondary COB claims can be sent electronically with all appropriate other payer information and paid amounts.

## Paper Claims

Paper claim forms must be typed in black ink with either 10 or 12 point Times New Roman font, and on the required original red and white version to ensure clean acceptance and processing. Claims submitted on black and white, handwritten or nonstandard forms will be rejected and a letter will be sent to the provider indicating the reason for rejection. To reduce document handling time, providers must not use highlights, italics, bold text, or staples for multiple page submissions. Copies of the form cannot be used for submission of claims, since a copy may not accurately replicate the scale and optical character recognition (OCR) color of the form.

Health Net only accepts claim forms printed in Flint OCR Red, J6983 (or exact match) ink and does not supply claim forms to providers. Providers should purchase these forms from a supplier of their choice.

## Professional Claims

Providers billing for professional services and medical suppliers must complete the CMS-1500 (02/12) form. The form must be completed in accordance with the guidelines in the National Uniform Claim Committee (NUCC) 1500 Claim Form Reference Instruction Manual Version 5.0 7/17 at [www.nucc.org](http://www.nucc.org). Paper claims follow the same editing logic as electronic claims and will be rejected with a letter sent to the provider indicating the reason for rejection if non-compliant.

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# Institutional Claims

Providers billing for institutional services must complete the CMS-1450 (UB-04) form. The form must be completed in accordance with the National Uniform Billing Committee (NUBC) Official UB-04 Data Specifications Manual 2018 at [www.nubc.org](http://www.nubc.org). Paper claims follow the same editing logic as electronic claims and will be rejected with a letter sent to the provider indicating the reason for rejection if non-compliant.

## Medicare Billing Instructions

Medicare CMS-1500 and completion and coding instructions, are available on the CMS website at [www.cms.gov](http://www.cms.gov).

### Mandatory Items for Claims Submission

Refer to [CMS-1500 Billing instructions](#) or [UB-04 Billing Instructions](#) as applicable for complete description and required or conditional fields.

### Reference guide for commonly submitted items

Form Fields	Electronic	CMS-1500	UB-04
Billing provider tax ID	Loop 2010AA REF segment with TJ qualifier	Box 25	Box 5
Billing provider name, address and NPI	Loop NM109 with XX qualifier	Box 33	Box 1
Subscriber (name, address, DOB, sex, and member ID required)	2000B and 2010BA	Subscriber box 1a, 4, 7, 11	Box 58 and 60
Provider taxonomy		Box 33B and Box 24	Box 57
Patient (name, address, DOB, sex, relationship to subscriber, status, and member ID)	2000C and 2010CA	Patient box 2, 3, 5, 6, 8	Box 8, 9, 10, 11

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Form Fields			
	Electronic	CMS-1500	UB-04
Principal diagnosis and additional diagnoses	Loop 2300 HI segment qualifier BK (ICD9) or ABK (ICD10)	Box 21	Box 66
Diagnosis pointers (up to 4)	Loop 2410 SV107	Box 24E (A-L)	N/A
Referring provider with NPI	Loop 2300 NM1 with DN qualifier	Box 17	N/A
Attending provider with NPI	Loop 2300 NM1with DN qualifier	N/A	Box 76
Rendering provider	Loop 2300 NM1 with 82 qualifier (if differs from billing provider)	NPI in Box 24J	N/A
Service facility information	Loop 2310C or 2310E NM1 with 77 qualifier (if differs from billing provider)	Box 32	N/A
Procedure code	Loop 2400 SV segment	Box 24D	Box 44 if applicable
NDC code	Loop 2410 LIN segment with N4 qualifier. Must include mandatory CTP segment.	Box 24D shaded	Box 43
UPN	Loop 2410 LIN segment with appropriate UP, UK, UN qualifier. Must include mandatory CTP segment.	Box 24D shaded	Box 43

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Form Fields			
	Electronic	CMS-1500	UB-04
Value codes (for accommodation codes, share of cost, etc.)	Loop 2300 HI segment with qualifier BE	N/A	Box 39, 40, 41
Condition codes	Loop 2300 HI segment with qualifier BG	N/A	Box 18-28
COB-other subscriber or third party liability	Loop 2320, 2330A and 2330 B	Box 9, if applicable (requires paper EOB from other payer), 10, 11	Box 50-62 (requires paper EOB from other payer)
Claim DOS	Loop 2400 DTP segment with 472 qualifier	Box 24A	Box 45 for outpatient when required
Claim statement date	Loop 2300 with 434 qualifier	N/A	Box 6 from and through

### Claims Rejection Reasons and Resolutions

The following are some claims rejection reasons, challenges and possible resolutions.

Reject code	Reject reason	Requirements	CMS-1500 or UB-04	ECM and Community Supports Invoice Claim Form
01	Member's DOB is missing or invalid	Enter the member's 8-digit date of birth (MM/DD/YYYY)	CMS-1500 box 3 UB-04 box 10	Section 2 <sup>1</sup> Non-standard submission or equivalent
02	Incomplete or invalid member information	Enter the member's Health Plan member identification (ID) for Commercial	CMS-1500 box 1a UB-04 box 60	Section 2 <sup>1</sup> Non-standard submission or equivalent

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Reject code	Reject reason	Requirements	CMS-1500 or UB-04	ECM and Community Supports Invoice Claim Form
		and Medicare or Client Identification Number (CIN) for Medi-Cal. Social Security number (SSN) should not be used. Check eligibility online, electronically, or refer to the member's current ID card to determine ID numbers		
06	Missing/invalid tax ID	Include complete 9-character tax identification number (TIN)	CMS-1500 box 25 UB-04 box 5	Section 1a <sup>1</sup> Non-standard submission or equivalent
17	Diagnosis indicator is missing  POA indicator is not valid DRG code is not valid	Ensure 9/0 ("9" for ICD-9 or "0" for ICD-10) appears in field 66 for all claims.  Ensure present on admission (POA) indicators are valid when billed.  Ensure a valid DRG code is used in field 71. POA valid values are:  Y – Diagnosis was present at	UB-04 box 66-70  UB-04 box 71	Section 3  <sup>1</sup> Non-standard submission or equivalent



Reject code	Reject reason	Requirements	CMS-1500 or UB-04	ECM and Community Supports Invoice Claim Form
		<p>time of inpatient admission.</p> <p>N – Diagnosis was not present at time of inpatient admission.</p> <p>Leave blank if cannot be determined</p>		
75	The claim(s) submitted has missing, illegible or invalid value for anesthesia minutes	When box 24 is completed, then box 24G must be completed as well	CMS-1500 box 24D and 24G	N/A
76	Original claim number and frequency code required	When submitting a corrected claim, for UB-04 box 64 and CMS-1500 box 22, you must reference the original claim. Claim numbers can be found on your Remittance Advice (RA)/ Explanation of Payment (EOP) or check claims status online. Do not include punctuation, words or special characters before or after the claim number. Submission ID from a reject	CMS-1500 box 22 UB-04 box 4 and 64	Section 4 <sup>1</sup> Non-standard submission or equivalent

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Reject code	Reject reason	Requirements	CMS-1500 or UB-04	ECM and Community Supports Invoice Claim Form
		letter is not a valid claim number. If not using frequency codes 7 or 8 leave boxes 64 and 22 blank. Submit contested claims to <a href="#">Medi-Cal Provider Contested Claims</a> .		
77	Type of bill or place of service invalid or missing	Enter the appropriate type of bill (TOB) code as specified by the NUBC UB-04 Uniform Billing Manual minus the leading "0" (zero). A leading "0" is not needed. Digits should be reflected as follows:  1st digit – Indicating the type of facility 2nd digit – Indicating the type of care  3rd digit – Indicating the bill sequence (frequency code)	UB-04 box 4	N/A
87	One or more of the REV codes submitted is invalid or missing	Include complete 4-digit revenue code	UB-04 box 42	N/A

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Reject code	Reject reason	Requirements	CMS-1500 or UB-04	ECM and Community Supports Invoice Claim Form
92	Missing or invalid NPI	Enter provider's 10-character National Provider Identifier (NPI) ID	CMS-1500 box 24J and 33A UB-04 box 56	Section 1b <sup>1</sup> Non-standard submission or equivalent
A5	NDC or UPIN information missing/invalid	Providers must bill the UPIN qualifier, number, quantity, and type or National Drug Code (NDC) qualifier, number, quantity, and unit/basis of measure. If any of these elements are missing, the claim will reject	CMS-1500 box 24D UB-04 box 43	N/A
A7	Invalid/missing ambulance point of pick- up ZIP Code	When box 24 D is completed, include the pickup/drop off address in attachments	CMS-1500 box 24 or box 32. Medicare claims require a point of pickup (POP) ZIP in box 23 in addition to the addresses in 24 shaded area or box 32	N/A
A9	Provider name and address required at all levels	Include complete provider billing address including city, state and ZIP Code	CMS-1500 box 33 UB-04 box 1	Section 1a <sup>1</sup> Non-standard submission or equivalent

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Reject code	Reject reason	Requirements	CMS-1500 or UB-04	ECM and Community Supports Invoice Claim Form
AK	Original claim number sent when the claim is not an adjustment	When submitting an initial claim, leave CMS 1500 box 22 and UB-04 box 64 blank. Any values entered in these boxes will cause a claim to reject.	CMS-1500 box 22 UB-04 box 64	Section 4 <sup>1</sup> Non-standard submission or equivalent
C8	Valid POA required for all DX fields	Do not include the POA of 1. The valid values for this field are Y or N or blank. (for description see Reject code 17)	UB-04 box 67–67Q and 72A–72C	N/A
B7	Review NUCC guidelines for proper billing of the CMS-1500 versions (08/05) and (02/12). Claims will be rejected if data is not submitted and/or formatted appropriately	Only CMS-1500 02/12 version is accepted	N/A	N/A
C6	Other Insurance fields 9, 9a, 9d, and 11d are missing appropriate data	If the member has other health insurance, box 9, 9a and 9d must be populated, and box 11d must be marked as yes. If this is not provided, the	CMS-1500 box 9, 9a, 9d and 11d	N/A

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Reject code	Reject reason	Requirements	CMS-1500 or UB-04	ECM and Community Supports Invoice Claim Form
		claim will be rejected		
AV	Patient's reason for visit should not be used when claim does not involve outpatient visits	Include patient reason for visit for bill type 013x, 078x, and 085x (outpatient) when Type of Admission/Visit (Box 14) is 1 (emergency), 2 (urgent) or 5 (trauma) and revenue code 045x, 0516 or 0762 are reported. Otherwise, do not populate	UB-04 box 70a, b, c	N/A
HP	ICD-10 is mandated for this date of service	Submit with the ICD indicator of 9/0 on both  UB-04 and CMS-1500 claim forms according to the 5010 Guidelines requirement to bill this information. (for description see Reject code 17)	CMS-1500 box 21  UB-04 box 66	N/A
RE	Black/white, handwriting or nonstandard format	Use proper CMS-1500 or UB-04 form typed in black ink in 10 or 12 point Times New Roman font	N/A	N/A

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<sup>1</sup>This is not a standard claim form like the CMS-1500 or the UB-04 claim forms; used to bill ECM and Community Supports services only.

# CMS-1500 Billing Instructions

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

All claims from participating providers that are Health Net's responsibility must be submitted to Health Net **Medi-Cal** claims within 180 days from the last day of the month of the date services were rendered. **Medicare Advantage, EPO, HMO, HSP** and **PPO** participating providers must be submitted claims to Health Net within 120 days from the date services were rendered, unless a different time frame is stated in the providers' contract. Health Net accepts claims submitted on the standard CMS-1500 and computer generated claims using these formats.

Field number	Field description	Instruction or comments	Required, conditional or not required
1	Insurance program identification	Check only the type of health coverage applicable to the claim. This field indicated the payer to whom the claim is being filed. Enter "X" in the box noted "Other"	Required
1a	Insured identification (ID) number	The nine-digit identification number on the member's ID card	Required
2	Patient's name (Last name, first name, middle initial)	Enter the patient's name as it appears on the member's ID. card. Do not use nicknames	Required
3	Patient's birth date and sex	Enter the patient's eight-digit date of birth (MM/DD/YYYY), and mark the appropriate	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>box to indicate the patient's sex/gender.</p> <p>M= Male or F= Female</p>	
4	Insured's name	Enter the subscriber's name as it appears on the member's ID card	Conditional - Needed if different than patient
5	<p>Patient's address (number, street, city, state, ZIP code)</p> <p>Telephone number (include area code)</p>	<p>Enter the patient's complete address and telephone number, including area code on the appropriate line.</p> <p>First line - Enter the street address. Do not use commas, periods, or other punctuation in the address such as 123 N Main Street 101 instead of 123 N. Main Street, #101).</p> <p>Second line - In the designated block, enter the city and state.</p> <p>Third line - Enter the ZIP code and telephone number. When entering a nine-digit ZIP code (ZIP +4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number such as (803)5551414.</p> <p>Note: Patient's telephone does not exist in the electronic</p>	Conditional

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Field number	Field description	Instruction or comments	Required, conditional or not required
		837 Professional 4010A1	
6	Patient's relationship to insured	Always mark to indicate self if the same	Conditional - Always mark to indicate self if the same
7	Insured's address  (number, street, city, state, ZIP code) Telephone number (include area code)	<p>Enter the insured's complete address and telephone number, including area code on the appropriate line.</p> <p>First line - Enter the street address. Do not use commas, periods, or other punctuation in the address such as 123 N Main Street 101 instead of 123 N. Main Street, #101.</p> <p>Second line - In the designated block, enter the city and state.</p> <p>Third line - Enter the ZIP code and telephone number.</p> <p>When entering a nine-digit zip code (ZIP + 4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number such as (803)5551414.</p> <p>Note: Patient's telephone does not exist in the electronic</p>	Conditional



Field number	Field description	Instruction or comments	Required, conditional or not required
		837 Professional 4010A1	
8	Reserved for NUCC	N/A	Not required
9	Other insured's name (last name, first name, middle initial)	Refers to someone other than the patient. REQUIRED if patient is covered by another insurance plan. Enter the complete name of the insured	Conditional refers to someone other than the patient.  REQUIRED if patient is covered by another insurance plan
9a	Other insured's policy or group number	REQUIRED if field 9 is completed. Enter the policy of group number of the other insurance plan	Conditional  REQUIRED if field 9 is completed. Enter the policy for group number of the other insurance plan
9b	Reserved for NUCC	N/A	Not required
9c	Reserved for NUCC	N/A	Not required
9d	Insurance plan name or program name	REQUIRED if field 9 is completed. Enter the other insured's (name of person listed in field 9) insurance plan or program name	Conditional  REQUIRED if field 9 is completed
10 a, b, c	Is patient's condition related to:	Enter a Yes or No for each category/line (a, b and c). Do not enter a Yes and No in the same category/line. When marked Yes, primary insurance	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		information must then be shown in box 11	
10d	Claims codes (designated by NUCC)	When reporting more than one code, enter three blank spaces and then the next code	Conditional
11	Insured policy or FECA number	REQUIRED when other insurance is available. Enter the policy, group, or FECA number of the other insurance. If box 10 a, b or c is marked Y, this field should be populated	Conditional REQUIRED when other insurance is available
11a	Insured date of birth and sex	Enter the eight-digit date of birth (MM/DD/YYYY) of the insured and an X to indicate the sex (gender) of the insured. Only one box can be marked. If gender is unknown, leave blank	Conditional
11b	Other claims ID (Designated by NUCC)	The following qualifier and accompanying identifier has been designated for use:  Y4 Property Casualty Claim Number  For worker's compensation of property and casualty: Required if known.	Conditional

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Field number	Field description	Instruction or comments	Required, conditional or not required
		Enter the claim number assigned by the payer	
11c	Insurance plan name or program number	Enter name of the insurance health plan or program	Conditional
11d	Is there another health benefit plan	Mark Yes or No. If Yes, complete field's 9a-d and 11c	Required
12	Patient's or authorized person's signature	Enter "Signature on File," "SOF," or the actual legal signature. The provider must have the member's or legal guardian's signature on file or obtain his/her legal signature in this box for the release of information necessary to process and/or adjudicate the claim	Conditional - Enter "Signature on File," "SOF," or the actual legal signature
13	Insured's or authorized person's signature	Obtain signature if appropriate.	Not required
14	Date of current: Illness (First symptom) or Injury (Accident) or Pregnancy (LMP)	Enter the six-digit (MM/DD/YY) or eight-digit (MM/DD/YYYY) date of the first date of the present illness, injury, or pregnancy. For pregnancy, use the date of the last	Conditional

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Field number	Field description	Instruction or comments	Required, conditional or not required
		menstrual period (LMP) as the first date.  Enter the applicable qualifier to identify which date is being reported.  431 Onset of Current Symptoms or Illness  484 Last Menstrual Period	
15	If patient has same or similar illness. Give first date.	Enter another date related to the patient's condition or treatment. Enter the date in the six-digit  (MM/DD/YY) or eight-digit (MM/DD/YYYY) format	Conditional
16	Dates patient unable to work in current occupation	Enter the six-digit (MM/DD/YY) or eight-digit (MM/DD/YYYY)	Conditional
17	Name of referring physician or other source	Enter the name of the referring physician or professional (first name, middle initial, last name, and credentials)	Conditional - Enter the name of the referring physician or professional (first name, middle initial, last name, and credentials)
17a	ID number of referring physician	Required if field 17 is completed. Use ZZ qualifier for Taxonomy code	Conditional  REQUIRED if field 17 is completed



Field number	Field description	Instruction or comments	Required, conditional or not required
17b	NPI number of referring physician	Required if field 17 is completed. If unable to obtain referring NPI, servicing NPI may be used	Conditional REQUIRED if field 17 is completed. If unable to obtain referring NPI, servicing NPI may be used
18	Hospitalization on dates related to current services		Conditional
19	Reserved for local use - new form: Additional claim information		Conditional
20	Outside lab/ charges		Conditional
21	Diagnosis or nature of illness or injury (related items A-L to item 24E by line). New form allows up to 12 diagnoses, and ICD indicator	Enter the codes to identify the patient's diagnosis and/or condition. List no more than 12 ICD-10-CM diagnosis codes. Relate lines A-L to the lines of service in 24E by the letter of the line. Use the highest level of specificity. Do not provide narrative description in this field. Note: Claims missing or with invalid diagnosis codes will be rejected or denied for payment	Required - Include the ICD indicator
22	Resubmission code / original REF	For resubmissions or adjustments, enter the original claim number of the original claim.	Conditional - For resubmissions or adjustments, enter the

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Field number	Field description	Instruction or comments	Required, conditional or not required
		New form - for resubmissions only: - Replacement of Prior Claim - Void/Cancel Prior Claim	original claim number of the original claim
23	Prior authorization number or CLIA number	Enter the authorization or referral number. Refer to the provider operations manual for information on services requiring referral and/or prior authorization.  CLIA number for CLIA waived or CLIA certified laboratory services	If authorization, then conditional If CLIA, then required If both, submit the CLIA number  Enter the authorization or referral number. Refer to the provider operations manual for information on services requiring referral and/or prior authorization.  CLIA number for CLIA waived or CLIA certified laboratory services
24 A-G Shaded	Supplemental information	The shaded top portion of each service claim line is used to report supplemental information for: <ul style="list-style-type: none"> <li>• NDC</li> <li>• Narrative description of unspecified codes</li> <li>• Contract rate</li> <li>• For detailed instructions and qualifiers refer</li> </ul>	Conditional - The shaded top portion of each service claim line is used to report supplemental information for:  NDC  Narrative description of unspecified codes  Contract rate

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Field number	Field description	Instruction or comments	Required, conditional or not required
		to Appendix IV of this guide	
24A Unshaded	Dates of service	Enter the date the service listed in field 24D was performed (MM/DD/YYYY). If there is only one date, enter that date in the "From" field. The "To" field may be left blank or populated with the "From" date. If identical services (identical CPT/HCPC code(s)) were performed, each date must be entered on a separate line	Required
24B Unshaded	Place of service	Enter the appropriate two-digit CMS standard place of service (POS) code. A list of current POS codes may be found on the CMS website	Required
24C Unshaded	EMG	Enter Y (Yes) or N (No) to indicate if the service was an emergency	Not required
24D Unshaded	Procedures, services or supplies CPT/ HCPCS modifier	Enter the five-digit CPT or HCPCS code and two-character modifier, if applicable. Only one CPT or HCPCS and up to four	Required - Ensure NDC or UPIN is included if applicable

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>modifiers may be entered per claim line.</p> <p>Codes entered must be valid for date of service.</p> <p>Missing or invalid codes will be denied for payment.</p> <p>Only the first modifier entered is used for pricing the claim. Failure to use modifiers in the correct position or combination with the procedure code, or invalid use of modifiers, will result in a rejected, denied, or incorrectly paid claim</p>	
24 E Unshaded	Diagnosis code	<p>In 24E, enter the diagnosis code reference letter (pointer) as shown in box 21 to relate the date of service and the procedures performed to the primary diagnosis. When multiple services are performed, the primary reference letter for each service should be listed first; other applicable services should follow. The reference letter(s) should be A-L or multiple letters as applicable. ICD-10-</p>	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		CM diagnosis codes must be entered in box 21 only. Do not enter them in 24E. Do not use commas between the diagnosis pointer numbers. Diagnosis Codes must be valid ICD-10 codes for the date of service, or the claim will be rejected/denied	
24 F Unshaded	Charges	Enter the charge amount for the claim line item service billed. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199,999.99). Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e. 10.00), enter 00 in the area to the right of the vertical line	Required
24 G Unshaded	Days or units	Enter quantity (days, visits, units). If only one service provided, enter a numeric value of one	Required
24 H Shaded	EPSDT (Family Planning)	Leave blank or enter "Y" if the services were performed as a result of an EPSDT referral	Conditional - Leave blank or enter "Y" if the services were performed as a result of an Early and Periodic Screening, Diagnostic and

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Field number	Field description	Instruction or comments	Required, conditional or not required
			Treatment (EPSDT) referral
24 H Unshaded	EPSDT (Family Planning)	Enter the appropriate qualifier for EPSDT visit	Conditional - Enter the appropriate qualifier for EPSDT visit
24 I Shaded	ID qualifier	Use ZZ qualifier for taxonomy. Use 1D qualifier for ID, if an atypical provider	Required
24 J Shaded	Non-NPI provider ID#	<p><u>Typical providers:</u> Enter the provider taxonomy code that corresponds to the qualifier entered in box 24I shaded. Use ZZ qualifier for taxonomy code</p> <p><u>Atypical providers:</u> Enter the provider ID number.</p>	Required
24 J Unshaded	NPI provider ID	<p><u>Typical providers ONLY:</u> Enter the 10-character NPI of the provider who rendered services. If the provider is billing as a member of a group, the rendering individual provider's 10-character NPI may be entered. Enter the billing NPI if services are not provided by an individual (such as DME, independent lab, home health,</p>	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		RHC/FQHC general medical exam)	
25	Federal Tax ID number SSN/EIN	Enter the provider or supplier nine-digit federal tax ID number, and mark the box labeled EIN	Required
26	Patient's account NO	Enter the provider's billing account number	Conditional - Enter the provider's billing account number
27	Accept Assignment?	Enter an X in the YES box. Submission of a claim for reimbursement of services provided to a recipient using state funds indicates the provider accepts assignment. Refer to the back of the CMS-1500 (02-12) claim form for the section pertaining to payments	Conditional - Enter an X in the YES box. Submission of a claim for reimbursement of services provided to a recipient using state funds indicates the provider accepts assignment
28	Total charge	Enter the total charges for all claim line items billed - claim lines 24F. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00),	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
29	Amount paid	<p>enter 00 in the area to the right of the vertical line.</p> <p>REQUIRED when another carrier is the primary payer. Enter the payment received from the primary payer prior to invoicing.</p> <p>Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line</p>	<p>Conditional</p> <p>REQUIRED when another carrier is the primary payer. Enter the payment received from the primary payer prior to invoicing</p>
30	Balance due	<p>REQUIRED when field 29 is completed. Enter the balance due (total charges minus the amount of payment received from the primary payer).</p> <p>Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole</p>	<p>Conditional</p> <p>REQUIRED when field 29 is completed. Enter the balance due (total charges minus the amount of payment received from the primary payer)</p>



Field number	Field description	Instruction or comments	Required, conditional or not required
31	Signature of physician or supplier including degrees or credentials	<p>number (i.e., 10.00), enter 00 in the area to the right of the vertical line</p> <p>If there is a signature waiver on file, you may stamp, print, or computer-generate the signature; otherwise, the practitioner or practitioner's authorized representative MUST sign the form. If signature is missing or invalid, the claim will be returned unprocessed.</p> <p>Note: Does not exist in the electronic 837P</p>	Required
32	Service facility location information	<p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p>Enter the name and physical location. (PO box numbers are not acceptable here.)</p> <p>First line - Enter the business/facility/ practice name.</p> <p>Second line- Enter the street address. Do not use commas, periods, or other punctuation in</p>	<p>Conditional</p> <p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33</p>

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>the address (for example, 123 N Main Street 101 instead of 123 N. Main Street, #101).</p> <p>Third line - In the designated block, enter the city and state.</p> <p>Fourth line - Enter the ZIP code and telephone number. When entering a nine-digit ZIP code (ZIP + 4 codes), include the hyphen</p>	
32a	NPI - Services rendered	<p><u>Typical providers ONLY</u>: REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p>Enter the 10-character NPI of the facility where services were rendered.</p>	<p>Conditional</p> <p><u>Typical providers ONLY</u>: REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p>
32b	Other provider ID	<p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p><u>Typical providers</u>: Enter the 2-character qualifier ZZ followed</p>	<p>Conditional</p> <p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33</p>



Field number	Field description	Instruction or comments	Required, conditional or not required
		by the taxonomy code (no spaces).  <u>Atypical providers:</u> Enter the 2-character qualifier 1D (no spaces)	
33	Billing provider INFO & PH#	Enter the billing provider's complete name, address (include the ZIP + 4 code), and telephone number.  First line -Enter the business/facility/ practice name.  Second line - Enter the street address. Do not use commas, periods, or other punctuation in the address (for example, 123 N Main Street 101 instead of 123 N. Main Street, #101).  Third line - In the designated block, enter the city and state.  Fourth line- Enter the ZIP code and telephone number. When entering a nine-digit ZIP code (ZIP + 4 code), include the hyphen. Do not use a hyphen or space as a separator within the	Required



Field number	Field description	Instruction or comments	Required, conditional or not required
		telephone number (i.e., (555)555-5555).  NOTE: The nine digit ZIP code (ZIP + 4 code) is a requirement for paper and EDI claim submission	
33a	Group billing NPI	<u>Typical providers ONLY: REQUIRED</u> if the location where services were rendered is different from the billing address listed in field 33.  Enter the 10-character NPI .	Required
33b	Group billing other ID	Enter as designated below the billing group taxonomy code.  <u>Typical providers:</u> Enter the provider taxonomy code. Use ZZ qualifier.  <u>Atypical providers:</u> Enter the provider ID number	Required

## Clinical Information Submission

Provider Type: Physicians | Participating Physician Groups (PPG)

Health Net routinely requires Medicare employer groups to include clinical information at the time of claim submission as follows:

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- Evaluation and Management Services (E&M) - There are general principles of medical record documentation that are applicable to all types of medical and surgical services in all settings. While E&M services vary in several ways, such as the nature and amount of physician work required, the following general principles help ensure that medical record documentation for all E&M services is appropriate. The diagnosis and treatment codes reported on the health insurance claim form or billing statement should be supported by the documentation in the medical record.

The documentation of each patient encounter should include the following:

- Reason for the encounter and relevant history, physical examination findings, and any prior and additional diagnostic test results.
- Assessment, clinical impression or diagnosis.
- Medical plan of care.
- Date and legible identity of the observer.
- Any additional relevant information.

Medical necessity of a service is the overarching criterion for payment in addition to the individual requirements of a CPT code. It would not be medically necessary or appropriate to bill higher level of evaluation and management service when a lower level of service is warranted.

Health Net reserves the right to request clinical records before or after claim payment to identify possible fraudulent or abusive billing practices, as well as any other inappropriate billing practice not consistent or compliant with the American Medical Association (AMA) CPT codes or guidelines, provided there is evidence such an investigation is warranted.

## CMS-1500 Billing Instructions

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

All claims from participating providers that are Health Net's responsibility must be submitted to Health Net **Medi-Cal** claims within 180 days from the last day of the month of the date services were rendered. **Medicare Advantage, EPO, HMO, HSP** and **PPO** participating providers must be submitted claims to Health Net within 120 days from the date services were rendered, unless a different time frame is stated in the providers' contract. Health Net accepts claims submitted on the standard CMS-1500 and computer generated claims using these formats.

Field number	Field description	Instruction or comments	Required, conditional or not required
1	Insurance program identification	Check only the type of health coverage applicable to the claim. This field indicated the payer to whom the claim is being filed. Enter "X"	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		in the box noted "Other"	
1a	Insured identification (ID) number	The nine-digit identification number on the member's ID card	Required
2	Patient's name (Last name, first name, middle initial)	Enter the patient's name as it appears on the member's ID. card. Do not use nicknames	Required
3	Patient's birth date and sex	Enter the patient's eight-digit date of birth (MM/DD/YYYY), and mark the appropriate box to indicate the patient's sex/gender.  M= Male or F= Female	Required
4	Insured's name	Enter the subscriber's name as it appears on the member's ID card	Conditional - Needed if different than patient
5	Patient's address (number, street, city, state, ZIP code) Telephone number (include area code)	Enter the patient's complete address and telephone number, including area code on the appropriate line.  First line - Enter the street address. Do not use commas, periods, or other punctuation in the address such as 123 N Main Street 101 instead of 123 N. Main Street, #101).	Conditional

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>Second line - In the designated block, enter the city and state.</p> <p>Third line - Enter the ZIP code and telephone number. When entering a nine-digit ZIP code (ZIP +4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number such as (803)5551414.</p> <p>Note: Patient's telephone does not exist in the electronic 837 Professional 4010A1</p>	
6	Patient's relationship to insured	Always mark to indicate self if the same	Conditional - Always mark to indicate self if the same
7	Insured's address (number, street, city, state, ZIP code) Telephone number (include area code)	Enter the insured's complete address and telephone number, including area code on the appropriate line.  First line - Enter the street address. Do not use commas, periods, or other punctuation in the address such as 123 N Main Street 101 instead of 123 N. Main Street, #101.	Conditional





Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>Second line - In the designated block, enter the city and state.</p> <p>Third line - Enter the ZIP code and telephone number.</p> <p>When entering a nine-digit zip code (ZIP + 4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number such as (803)5551414.</p> <p>Note: Patient's telephone does not exist in the electronic 837 Professional 4010A1</p>	
8	Reserved for NUCC	N/A	Not required
9	Other insured's name (last name, first name, middle initial)	Refers to someone other than the patient. REQUIRED if patient is covered by another insurance plan. Enter the complete name of the insured	<p>Conditional refers to someone other than the patient.</p> <p>REQUIRED if patient is covered by another insurance plan</p>
9a	Other insured's policy or group number	REQUIRED if field 9 is completed. Enter the policy of group number of the other insurance plan	<p>Conditional</p> <p>REQUIRED if field 9 is completed. Enter the policy for group number of the other insurance plan</p>

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Field number	Field description	Instruction or comments	Required, conditional or not required
9b	Reserved for NUCC	N/A	Not required
9c	Reserved for NUCC	N/A	Not required
9d	Insurance plan name or program name	REQUIRED if field 9 is completed. Enter the other insured's (name of person listed in field 9) insurance plan or program name	Conditional REQUIRED if field 9 is completed
10 a, b, c	Is patient's condition related to:	Enter a Yes or No for each category/line (a, b and c). Do not enter a Yes and No in the same category/line. When marked Yes, primary insurance information must then be shown in box 11	Required
10d	Claims codes (designated by NUCC)	When reporting more than one code, enter three blank spaces and then the next code	Conditional
11	Insured policy or FECA number	REQUIRED when other insurance is available. Enter the policy, group, or FECA number of the other insurance. If box 10 a, b or c is marked Y, this field should be populated	Conditional REQUIRED when other insurance is available
11a	Insured date of birth and sex	Enter the eight-digit date of birth (MM/DD/YYYY) of the insured	Conditional

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Field number	Field description	Instruction or comments	Required, conditional or not required
		and an X to indicate the sex (gender) of the insured. Only one box can be marked. If gender is unknown, leave blank	
11b	Other claims ID (Designated by NUCC)	The following qualifier and accompanying identifier has been designated for use:  Y4 Property Casualty Claim Number  For worker's compensation of property and casualty: Required if known.  Enter the claim number assigned by the payer	Conditional
11c	Insurance plan name or program number	Enter name of the insurance health plan or program	Conditional
11d	Is there another health benefit plan	Mark Yes or No. If Yes, complete field's 9a-d and 11c	Required
12	Patient's or authorized person's signature	Enter "Signature on File," "SOF," or the actual legal signature. The provider must have the member's or legal guardian's signature on file or obtain his/her legal signature in this box for the release of information necessary	Conditional - Enter "Signature on File," "SOF," or the actual legal signature

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Field number	Field description	Instruction or comments	Required, conditional or not required
		to process and/or adjudicate the claim	
13	Insured's or authorized person's signature	Obtain signature if appropriate.	Not required
14	Date of current: Illness (First symptom) or Injury (Accident) or Pregnancy (LMP)	Enter the six-digit (MM/DD/YY) or eight-digit (MM/DD/YYYY) date of the first date of the present illness, injury, or pregnancy. For pregnancy, use the date of the last menstrual period (LMP) as the first date.  Enter the applicable qualifier to identify which date is being reported.  431 Onset of Current Symptoms or Illness  484 Last Menstrual Period	Conditional
15	If patient has same or similar illness. Give first date.	Enter another date related to the patient's condition or treatment. Enter the date in the six-digit (MM/DD/YY) or eight-digit (MM/DD/YYYY) format	Conditional



Field number	Field description	Instruction or comments	Required, conditional or not required
16	Dates patient unable to work in current occupation	Enter the six-digit (MM/DD/YY) or eight-digit (MM/DD/YYYY)	Conditional
17	Name of referring physician or other source	Enter the name of the referring physician or professional (first name, middle initial, last name, and credentials)	Conditional - Enter the name of the referring physician or professional (first name, middle initial, last name, and credentials)
17a	ID number of referring physician	Required if field 17 is completed. Use ZZ qualifier for Taxonomy code	Conditional REQUIRED if field 17 is completed
17b	NPI number of referring physician	Required if field 17 is completed. If unable to obtain referring NPI, servicing NPI may be used	Conditional REQUIRED if field 17 is completed. If unable to obtain referring NPI, servicing NPI may be used
18	Hospitalization on dates related to current services		Conditional
19	Reserved for local use - new form: Additional claim information		Conditional
20	Outside lab/ charges		Conditional
21	Diagnosis or nature of illness or injury (related items A-L to item 24E by line). New	Enter the codes to identify the patient's diagnosis and/or condition. List no more	Required - Include the ICD indicator

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Field number	Field description	Instruction or comments	Required, conditional or not required
	form allows up to 12 diagnoses, and ICD indicator	than 12 ICD-10-CM diagnosis codes. Relate lines A-L to the lines of service in 24E by the letter of the line. Use the highest level of specificity. Do not provide narrative description in this field. Note: Claims missing or with invalid diagnosis codes will be rejected or denied for payment	
22	Resubmission code / original REF	For resubmissions or adjustments, enter the original claim number of the original claim. New form - for resubmissions only:  - Replacement of Prior Claim  - Void/Cancel Prior Claim	Conditional - For resubmissions or adjustments, enter the original claim number of the original claim
23	Prior authorization number or CLIA number	Enter the authorization or referral number. Refer to the provider operations manual for information on services requiring referral and/or prior authorization.  CLIA number for CLIA waived or CLIA certified laboratory services	If authorization, then conditional If CLIA, then required If both, submit the CLIA number  Enter the authorization or referral number. Refer to the provider operations manual for information on services requiring referral and/or prior authorization.



Field number	Field description	Instruction or comments	Required, conditional or not required
			CLIA number for CLIA waived or CLIA certified laboratory services
24 A-G Shaded	Supplemental information	<p>The shaded top portion of each service claim line is used to report supplemental information for:</p> <ul style="list-style-type: none"> <li>• NDC</li> <li>• Narrative description of unspecified codes</li> <li>• Contract rate</li> <li>• For detailed instructions and qualifiers refer to Appendix IV of this guide</li> </ul>	<p>Conditional - The shaded top portion of each service claim line is used to report supplemental information for:</p> <p>NDC</p> <p>Narrative description of unspecified codes</p> <p>Contract rate</p>
24A Unshaded	Dates of service	<p>Enter the date the service listed in field 24D was performed (MM/DD/YYYY). If there is only one date, enter that date in the "From" field. The "To" field may be left blank or populated with the "From" date. If identical services (identical CPT/HCPC code(s)) were performed, each date must be entered on a separate line</p>	Required



Field number	Field description	Instruction or comments	Required, conditional or not required
24B Unshaded	Place of service	Enter the appropriate two-digit CMS standard place of service (POS) code. A list of current POS codes may be found on the CMS website	Required
24C Unshaded	EMG	Enter Y (Yes) or N (No) to indicate if the service was an emergency	Not required
24D Unshaded	Procedures, services or supplies CPT/ HCPCS modifier	<p>Enter the five-digit CPT or HCPCS code and two-character modifier, if applicable. Only one CPT or HCPCS and up to four modifiers may be entered per claim line.</p> <p>Codes entered must be valid for date of service.</p> <p>Missing or invalid codes will be denied for payment.</p> <p>Only the first modifier entered is used for pricing the claim. Failure to use modifiers in the correct position or combination with the procedure code, or invalid use of modifiers, will result in a rejected, denied, or incorrectly paid claim</p>	Required - Ensure NDC or UPIN is included if applicable

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Field number	Field description	Instruction or comments	Required, conditional or not required
24 E Unshaded	Diagnosis code	In 24E, enter the diagnosis code reference letter (pointer) as shown in box 21 to relate the date of service and the procedures performed to the primary diagnosis. When multiple services are performed, the primary reference letter for each service should be listed first; other applicable services should follow. The reference letter(s) should be A-L or multiple letters as applicable. ICD-10-CM diagnosis codes must be entered in box 21 only. Do not enter them in 24E. Do not use commas between the diagnosis pointer numbers. Diagnosis Codes must be valid ICD-10 codes for the date of service, or the claim will be rejected/denied	Required
24 F Unshaded	Charges	Enter the charge amount for the claim line item service billed. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199,999.99). Do not enter a dollar sign	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		(\$). If the dollar amount is a whole number (i.e. 10.00), enter 00 in the area to the right of the vertical line	
24 G Unshaded	Days or units	Enter quantity (days, visits, units). If only one service provided, enter a numeric value of one	Required
24 H Shaded	EPSDT (Family Planning)	Leave blank or enter "Y" if the services were performed as a result of an EPSDT referral	Conditional - Leave blank or enter "Y" if the services were performed as a result of an Early and Periodic Screening, Diagnostic and Treatment (EPSDT) referral
24 H Unshaded	EPSDT (Family Planning)	Enter the appropriate qualifier for EPSDT visit	Conditional - Enter the appropriate qualifier for EPSDT visit
24 I Shaded	ID qualifier	Use ZZ qualifier for taxonomy. Use 1D qualifier for ID, if an atypical provider	Required
24 J Shaded	Non-NPI provider ID#	<u>Typical providers:</u> Enter the provider taxonomy code that corresponds to the qualifier entered in box 24I shaded. Use ZZ qualifier for taxonomy code	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<u>Atypical providers:</u> Enter the provider ID number.	
24 J Unshaded	NPI provider ID	<u>Typical providers ONLY:</u> Enter the 10-character NPI of the provider who rendered services. If the provider is billing as a member of a group, the rendering individual provider's 10-character NPI may be entered. Enter the billing NPI if services are not provided by an individual (such as DME, independent lab, home health, RHC/FQHC general medical exam)	Required
25	Federal Tax ID number SSN/EIN	Enter the provider or supplier nine-digit federal tax ID number, and mark the box labeled EIN	Required
26	Patient's account NO	Enter the provider's billing account number	Conditional - Enter the provider's billing account number
27	Accept Assignment?	Enter an X in the YES box. Submission of a claim for reimbursement of services provided to a recipient using state funds indicates the provider accepts assignment. Refer to	Conditional - Enter an X in the YES box. Submission of a claim for reimbursement of services provided to a recipient using state funds indicates the

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Field number	Field description	Instruction or comments	Required, conditional or not required
		the back of the CMS-1500 (02-12) claim form for the section pertaining to payments	provider accepts assignment
28	Total charge	Enter the total charges for all claim line items billed - claim lines 24F. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line.	Required
29	Amount paid	<p>REQUIRED when another carrier is the primary payer. Enter the payment received from the primary payer prior to invoicing.</p> <p>Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to</p>	<p>Conditional</p> <p>REQUIRED when another carrier is the primary payer. Enter the payment received from the primary payer prior to invoicing</p>



Field number	Field description	Instruction or comments	Required, conditional or not required
30	Balance due	<p>the right of the vertical line</p> <p>REQUIRED when field 29 is completed. Enter the balance due (total charges minus the amount of payment received from the primary payer).</p> <p>Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line</p>	<p>Conditional</p> <p>REQUIRED when field 29 is completed. Enter the balance due (total charges minus the amount of payment received from the primary payer)</p>
31	Signature of physician or supplier including degrees or credentials	<p>If there is a signature waiver on file, you may stamp, print, or computer-generate the signature; otherwise, the practitioner or practitioner's authorized representative MUST sign the form. If signature is missing or invalid, the claim will be returned unprocessed.</p> <p>Note: Does not exist in the electronic 837P</p>	<p>Required</p>

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Field number	Field description	Instruction or comments	Required, conditional or not required
32	Service facility location information	<p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p>Enter the name and physical location. (PO box numbers are not acceptable here.)</p> <p>First line - Enter the business/facility/ practice name.</p> <p>Second line- Enter the street address. Do not use commas, periods, or other punctuation in the address (for example, 123 N Main Street 101 instead of 123 N. Main Street, #101).</p> <p>Third line - In the designated block, enter the city and state.</p> <p>Fourth line - Enter the ZIP code and telephone number. When entering a nine-digit ZIP code (ZIP + 4 codes), include the hyphen</p>	<p>Conditional</p> <p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33</p>
32a	NPI - Services rendered	<p><u>Typical providers ONLY</u>: REQUIRED if the location where services were</p>	<p>Conditional</p> <p><u>Typical providers ONLY</u>: REQUIRED if the location where</p>

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>rendered is different from the billing address listed in field 33.</p> <p>Enter the 10-character NPI of the facility where services were rendered.</p>	<p>services were rendered is different from the billing address listed in field 33</p>
32b	Other provider ID	<p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p><u>Typical providers:</u> Enter the 2-character qualifier ZZ followed by the taxonomy code (no spaces).</p> <p><u>Atypical providers:</u> Enter the 2-character qualifier 1D (no spaces)</p>	<p>Conditional</p> <p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33</p>
33	Billing provider INFO & PH#	<p>Enter the billing provider's complete name, address (include the ZIP + 4 code), and telephone number.</p> <p>First line -Enter the business/facility/ practice name.</p> <p>Second line - Enter the street address. Do not use commas, periods, or other punctuation in the</p>	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>address (for example, 123 N Main Street 101 instead of 123 N. Main Street, #101).</p> <p>Third line - In the designated block, enter the city and state.</p> <p>Fourth line- Enter the ZIP code and telephone number. When entering a nine-digit ZIP code (ZIP + 4 code), include the hyphen. Do not use a hyphen or space as a separator within the telephone number (i.e., (555)555-5555).</p> <p>NOTE: The nine digit ZIP code (ZIP + 4 code) is a requirement for paper and EDI claim submission</p>	
33a	Group billing NPI	<p><u>Typical providers ONLY</u>: REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p>Enter the 10-character NPI .</p>	Required
33b	Group billing other ID	Enter as designated below the billing group taxonomy code.	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p><u>Typical providers:</u> Enter the provider taxonomy code. Use ZZ qualifier.</p> <p><u>Atypical providers:</u> Enter the provider ID number</p>	

## Hospital Acquired Conditions

Provider Type: Hospitals

Hospital-acquired conditions (HACs) are a set of hospital complications and medical errors that may cause severe consequences. They occur during a hospital stay (are not present at the time of admission) and can reasonably be prevented through the application of appropriate evidence-based protocols. These events may result in more serious outcomes to the member, including loss of function, disability and death. Their occurrence may also prolong hospital stays.

## Billing Instructions

Each HAC is to be reported on the claim and must be catalogued according to when it occurred. Like the Centers for Medicare & Medicaid Services (CMS), Health Net requests hospitals to submit inpatient hospital claims (UB-04/CMS 1450) with Present on Admission (POA) indicators. POA is defined as a condition that is present at the time the order for inpatient admission occurred. Conditions that develop during an outpatient encounter, including in the emergency department or during observation or outpatient surgery, are included within the definition of POA conditions.

The POA indicator must be assigned to all ICD-10 diagnoses (primary and secondary diagnosis codes, as well as to external cause of injury codes) on all inpatient claims (UB-04/CMS 1450) for all lines of business. Categories and codes exempt from reporting include late effect codes, normal delivery, Z-codes, and certain external codes (for example, railway, motor vehicle, water transport, air transport, and space transport).

Refer to the current HAC ICD-10 codes available on the CMS website at [www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/HospitalAcqCond/icd10\\_hacs.html](http://www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/HospitalAcqCond/icd10_hacs.html); select FY 2017 HOSPITAL ACQUIRED CONDITIONS LIST under Downloads. This list includes the HAC descriptions, codes and diagnoses, and is subject to change, as Health Net relies on guidance from CMS on these diagnoses. An HTML version of the ICD-10 HAC list is also available. Look for a link on the same page, titled Appendix I Hospital Acquired Conditions (HACS) List.

The following POA indicators should be submitted in field locator 67 of the UB-04/CMS 1450, and in segment K3 in the 2300 loop, data element K301 for the 837I electronic claim submission.

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Indicator	Description
Y	Present at the time of inpatient admission
N	Not present at the time of inpatient admission
U	Documentation is insufficient to determine if condition is present on admission
W	Provider is unable to clinically determine whether condition was present on admission or not
1	Exempt from POA reporting (equivalent of a blank code on UB-04/CMS 1450 form). This code should rarely be used and every effort to determine the appropriate indicator must be made

The POA only applies to inpatient prospective payment systems (IPPS) hospitals. The following hospitals are exempt from the POA indicator:

- Critical access hospitals (CAHs)
- Long-term care hospitals (LTCHs)
- Maryland waiver hospitals
- Cancer hospitals
- Children's inpatient facilities
- Religious non-medical health care institutions
- Inpatient psychiatric hospitals
- Inpatient rehabilitation facilities
- Veterans Administration (VA)/Department of Defense (DOD) hospitals

Source: <https://www.cms.gov/Outreach-and-Education/Medicare-Learning-Network-MLN/MLNProducts/Downloads/wPOA-Fact-Sheet.pdf>

## Quality Improvement HAC Program

Health Net's Quality Improvement (QI) HAC program is designed to encourage hospitals to improve patient safety by reducing or eliminating the occurrence of serious and costly errors in the provision of health care services. The QI HAC program supports improving hospital reporting and member awareness about hospital quality issues. The program also serves to more closely align Health Net practices with those of CMS and The Leapfrog Group, which represents purchasers and employer groups.

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## HAC Confirmation

Health Net's QI Department monitors claims submitted by the hospital after discharge for evidence of reported Not Present on Admission indicators of HACs. In accordance with the QI HAC Program, if a Health Net member experiences a HAC noted on the CMS website, Health Net requests that the admitting hospital take the following action:

- Determine if the event was potentially preventable and within the control of the hospital and the medical staff who provided care during the member's stay.
- Agree to refrain from billing or adjust billing to Health Net or the member for any charges associated with the HAC if it is determined that the HAC was preventable.
- Perform a root cause analysis and take measures to prevent recurrences as necessary.

## HAC Notification

Health Net's QI Department notifies the hospital's QI Department director or whoever is responsible to confirm that the above actions were taken according to the instructions in the notification. The notification also allows the hospital to explain extenuating circumstances that preclude these actions from being taken. The hospital has 30 days to complete and fax-back the confirmation to Health Net's QI Department. Health Net may also address potential HACs through the plan's established potential quality of care issues (PQI) process.

## Trauma Services

Provider Type: Hospitals

Hospitals billing Health Net for trauma admissions, trauma care or other trauma-related services must submit complete documentation with the UB-04 (CMS-1450) and the itemized claim form at the time of billing. Submission of complete trauma service records assists Health Net with timely claims processing and payment. Failure to submit the required documentation can lead to delay in claims processing or denial of the claim.

The following documents may be required when billing any trauma-related services (documents may be handwritten or transcribed):

- Emergency room (ER) report.
- Trauma activation/trauma team involvement (for example, members or specialties).
- Complete clinical hospital records, if admitted.
- Admitting notes.
- Emergency medical services (EMS or paramedic) record.
- ER attending physician's report.
- All additional reports from any other physician.

Documentation for inpatient admissions must include the above documents and the following:

- Admission history and physical.
- Discharge summary.
- Operating room reports, if applicable.
- Complete clinical hospital records.

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- All additional reports from any other physician.

## UB-04 Billing Instructions

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

All claims from [participating providers](#) that are Health Net's responsibility must be submitted to Health Net [Medi-Cal](#) claims within 180 days from the last day of the month of the date services were rendered. [EPO](#), [HMO](#), [HSP](#), [Medicare Advantage](#), and [PPO](#) participating providers must be submitted claims to Health Net within 120 days from the date services were rendered, unless a different time frame is stated in the providers' contract. Health Net accepts claims submitted on the standard CMS-1500 and UB-04 form and computer generated claims using these formats.

Field number	Field description	Instruction or comments	Required, conditional or not required
1	Unlabeled field	Line 1: Enter the complete provider name. Line 2: Enter the complete mailing address. Line 3: Enter the city, state, and ZIP +4 Codes (include hyphen). Note: The 9 digit ZIP (ZIP +4 codes) is a requirement for paper and EDI claims. Line 4: Enter the area code and telephone number  **ALERT: Providers submitting paper claims should left-align data in this field.	Required
2	Unlabeled field	Enter the pay-to name and address	Not required
3a	Patient control no	Enter the facility patient account/control number	Not required

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Field number	Field description	Instruction or comments	Required, conditional or not required
3b	Medical record number	Enter the facility patient medical or health record number	Required
4	Type of bill	<p>Enter the appropriate type of bill (TOB) code as specified by the NUBC UB-04 Uniform Billing Manual minus the leading "0" (zero). A leading "0" is not needed. Digits should be reflected as follows:</p> <p>1st Digit - Indicating the type of facility. 2nd Digit - Indicating the type of care. 3rd Digit- Indicating the bill sequence (frequency code).</p>	Required
5	Fed Tax No	Enter the nine-digit number assigned by the federal government for tax reporting purposes	Required
6	Statement covers period from/through	Enter begin and end, or admission and discharge dates, for the services billed. Inpatient and outpatient observation stays must be billed using the admission date and discharge date. Outpatient therapy, chemotherapy, laboratory, pathology,	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		radiology, and dialysis may be billed using a date span. All other outpatient services must be billed using the actual date of service (MMDDYY).	
7	Unlabeled field	Not used.	Not required
8a	Patient name	8a - Enter the first nine digits of the identification number on the member's ID card.	Not required
8b		<p>Enter the patient's last name, first name, and middle initial as it appears on the ID card. Use a comma or space to separate the last and first names.</p> <p><u>Titles:</u> (Mr., Mrs., etc.) should not be reported in this field.</p> <p><u>Prefix:</u> No space should be left after the prefix of a name (e.g., McKendrick. H).</p> <p><u>Hyphenated names:</u> Both names should be capitalized and separated by a hyphen (no space).</p> <p><u>Suffix:</u> a space should separate a last name and suffix.</p>	Required



Field number	Field description	Instruction or comments	Required, conditional or not required
		Enter the patient's complete mailing address.	
9	Patient address	Enter the patient's complete mailing address.  Line a: Street address Line b: City Line c: State Line d: ZIP code Line e: Country code (NOT REQUIRED)	Required - Except line 9e county code
10	Birthdate	Enter the patient's date of birth (MMDDYYYY)	Required - Ensure DOB of patient is entered and not the insured)
11	Sex	Enter the patient's sex. Only M or F is accepted	Required
12	Admission date	Enter the date of admission for inpatient claims and date of service for outpatient claims (MMDDYY)	Required for Inpatient claims. Leave blank for Outpatient claims.  Exceptions: Type of bill codes 012x, 022x, 032x, 034x, 081x, and 082x require boxes 12–13 to be populated.
13	Admission hour	Enter the time using two-digit military time (00-23) for the time of inpatient admission or time of treatment for outpatient services.	Required for Inpatient claims. Leave blank for Outpatient claims.  Exceptions: Type of bill codes 012x, 022x, 032x, 034x, 081x, and

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<ul style="list-style-type: none"> <li>• 00 - 12:00 a.m.</li> <li>• 01 - 1:00 a.m.</li> <li>• 02 - 2:00 a.m.</li> <li>• 03 - 3:00 a.m.</li> <li>• 04 - 4:00 a.m.</li> <li>• 05 - 5:00 a.m.</li> <li>• 06 - 6:00 a.m.</li> <li>• 07 - 7:00 a.m.</li> <li>• 08 - 8:00 a.m.</li> <li>• 09 - 9:00 a.m.</li> <li>• 10 - 10:00 a.m.</li> <li>• 11 - 11:00 a.m.</li> <li>• 12 - 12:00 p.m.</li> <li>• 13 - 1:00 p.m.</li> <li>• 14 - 2:00 p.m.</li> <li>• 15 - 3:00 p.m.</li> <li>• 16 - 4:00 p.m.</li> <li>• 17 - 5:00 p.m.</li> <li>• 18 - 6:00 p.m.</li> <li>• 19 - 7:00 p.m.</li> <li>• 20 - 8:00 p.m.</li> <li>• 21 - 9:00 p.m.</li> <li>• 22 - 10:00 p.m.</li> <li>• 23 - 11:00 p.m.</li> </ul>	<p>082x require boxes 12–13 to be populated.</p>
14	Admission type	<p>Require for inpatient and outpatient admissions. Enter the one-digit code indicating the type of the admission using the appropriate following codes:</p> <ul style="list-style-type: none"> <li>• 1 - Emergency</li> <li>• 2 - Urgent</li> <li>• 3 - Elective</li> <li>• 4 - Newborn</li> <li>• 5 - Trauma</li> </ul>	Required





Field number	Field description	Instruction or comments	Required, conditional or not required
15	Admission source	<p>Required for inpatient and outpatient admissions. Enter the one-digit code indicating the source of the admission or outpatient service using one of the following codes.</p> <p>For type of admission 1,2,3, or 5:</p> <ul style="list-style-type: none"> <li>• 1 - Physician referral</li> <li>• 2 - Clinic referral</li> <li>• 3 - Health maintenance referral (HMO)</li> <li>• 4 - Transfer from a hospital</li> <li>• 5 - Transfer from skilled nursing facility</li> <li>• 6 - Transfer from another health care facility</li> <li>• 7 - Emergency room</li> <li>• 8 - Court/law enforcement</li> <li>• 9 - Information not available</li> </ul> <p>For type of admission 4 (newborn):</p> <ul style="list-style-type: none"> <li>• 1 - Normal delivery</li> <li>• 2 - Premature delivery</li> <li>• 3 - Sick baby</li> </ul>	Required



Field number	Field description	Instruction or comments	Required, conditional or not required
		<ul style="list-style-type: none"> <li>• 4 - Extramural birth</li> <li>• Information not available</li> </ul>	
16	Discharge hour	<p>Enter the time using two-digit military times (00-23) for the time of the inpatient or outpatient discharge.</p> <ul style="list-style-type: none"> <li>• 00 - 12:00 a.m.</li> <li>• 01 - 1:00 a.m.</li> <li>• 02 - 2:00 a.m.</li> <li>• 03 - 3:00 a.m.</li> <li>• 04 - 4:00 a.m.</li> <li>• 05 - 5:00 a.m.</li> <li>• 06 - 6:00 a.m.</li> <li>• 07 - 7:00 a.m.</li> <li>• 08 - 8:00 a.m.</li> <li>• 09 - 9:00 a.m.</li> <li>• 10 - 10:00 a.m.</li> <li>• 11 - 11:00 a.m.</li> <li>• 12 - 12:00 p.m.</li> <li>• 13 - 1:00 p.m.</li> <li>• 14 - 2:00 p.m.</li> <li>• 15 - 3:00 p.m.</li> <li>• 16 - 4:00 p.m.</li> <li>• 17 - 5:00 p.m.</li> <li>• 18 - 6:00 p.m.</li> <li>• 19 - 7:00 p.m.</li> <li>• 20 - 8:00 p.m.</li> <li>• 21 - 9:00 p.m.</li> <li>• 22 - 10:00 p.m.</li> <li>• 23 - 11:00 p.m.</li> </ul>	Conditional - Enter the time using two-digit military times (00-23) for the time of the inpatient or outpatient discharge
17	Patient status	<p>REQUIRED for inpatient and outpatient claims. Enter the two-digit disposition of the patient as of the "through" date for the</p>	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		billing period listed in field 6 using one of the following codes: <ul style="list-style-type: none"> <li>• 01 - Routine discharge</li> <li>• 02 - Discharged to another short-term general hospital</li> <li>• 03 - Discharged to SNF</li> <li>• 04 - Discharged to ICF</li> <li>• 05 - Discharged to another type of institution</li> <li>• 06 - Discharged to care of home health service organization</li> <li>• 07 - Left against medical advice</li> <li>• 09 - Discharged/ transferred to home under care of a home IV provider</li> <li>• 09 - Admitted as an inpatient to this hospital (only for use on Medicare outpatient hospital claims)</li> <li>• 20 - Expired or did not recover</li> <li>• 30 - Still patient (To be used only when the client has been in the facility for 30 consecutive days if payment</li> </ul>	



Field number	Field description	Instruction or comments	Required, conditional or not required
		is based on DRG) • 40 - Expired at home (hospice use only) • 41 - Expired in a medical facility (hospice use only) • 42 - Expired-place unknown (hospice use only) • 43 - Discharged/ transferred to a federal hospital (such as a Veteran's Administration [VA] hospital) • 50 - Hospice-Home • 51 - Hospice-Medical Facility • 61 - Discharged/ transferred within this institution to a hospital-based Medicare approved swing bed • 62 - Discharged/ transferred to an Inpatient rehabilitation facility (IRF), including rehabilitation distinct part	



Field number	Field description	Instruction or comments	Required, conditional or not required
		units of a hospital • 63 - Discharged/ transferred to a Medicare certified long-term care hospital (LTCH) • 64 - Discharged/ transferred to a nursing facility certified under Medicaid but not certified under Medicare • 65 - Discharged/ transferred to a psychiatric hospital or psychiatric distinct part unit of a hospital • 66 - Discharged/ transferred to a critical access hospital (CAH)	
18-28	Condition codes	REQUIRED when applicable. Condition codes are used to identify conditions relating to the bill that may affect payer processing.  Each field (18-24) allows entry of a two-character code. Codes should be entered in alphanumeric	Conditional  REQUIRED when condition codes are used to identify conditions relating to the bill that may affect payer processing



Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual</p>	
29	Accident state	N/A	Not required
30	Unlabeled Field	N/A	Not required
31-34 a-b	Occurrence code and occurrence date	<p>Occurrence code: REQUIRED when applicable. Occurrence Codes are used to identify events relating to the bill that may affect payer processing.</p> <p>Each field (31-34a) allows for entry of a two-character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual.</p> <p>Occurrence date: REQUIRED when applicable or when a corresponding occurrence code is</p>	<p>Conditional</p> <p>REQUIRED when occurrence codes are used to identify events relating to the bill that may affect payer processing</p>

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Field number	Field description	Instruction or comments	Required, conditional or not required
35-36 a-b	Occurrence SPAN code and Occurrence date	<p>present on the same line (31a-34a). Enter the date for the associated occurrence code in MMDDYY format</p> <p>Occurrence span code: REQUIRED when applicable. Occurrence codes are used to identify events relating to the bill that may affect payer processing.</p> <p>Each field (35-36a) allows for entry of a two-character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual.</p> <p>Occurrence span date: REQUIRED when applicable or when a corresponding occurrence span code is present on the same line (35a-36a). Enter the date for the associated occurrence code in MMDDYY format.</p>	<p>Conditional</p> <p>REQUIRED when occurrence codes are used to identify events relating to the bill that may affect payer processing</p>



Field number	Field description	Instruction or comments	Required, conditional or not required
37	Unlabeled field	REQUIRED for re-submissions or adjustments. Enter the DCN (document control number) of the original claim	Conditional  REQUIRED for resubmissions or adjustments. Enter the DCN (document control number) of the original claim
38	Responsible party name and address	N/A	Not required
39-41 a-d	Value codes and amounts	Code: REQUIRED when applicable. Value codes are used to identify events relating to the bill that may affect payer processing. Each field (39-41) allows for entry of a two-character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).  Up to 12 codes can be entered. All "a" fields must be completed before using "b" fields, all "b" fields before using "c" fields, and all "c" fields before using "d" fields.  For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual.	Conditional  REQUIRED when value codes are used to identify events relating to the bill that may affect payer processing

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>Amount: REQUIRED when applicable or when a value code is entered. Enter the dollar amount for the associated value code. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199,999.99). Do not enter a dollar sign (\$) or a decimal. A decimal is implied. If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line</p>	
42 Lines 1-22	REV CD	<p>Enter the appropriate revenue codes itemizing accommodations, services, and items furnished to the patient. Refer to the NUBC UB-04 Uniform Billing Manual for a complete listing of revenue codes and instructions.</p> <p>Enter accommodation revenue codes first followed by ancillary revenue codes. Enter codes in ascending numerical value</p>	Required



Field number	Field description	Instruction or comments	Required, conditional or not required
42 Line 23	Rev CD	Enter 0001 for total charges.	Required
43 Lines 1-22	Description	Enter a brief description that corresponds to the revenue code entered in the service line of field 42	Required
43 Line 23	PAGE ____ OF ____	Enter the number of pages. Indicate the page sequence in the "PAGE" field and the total number of pages in the "OF" field. If only one claim form is submitted, enter a "1" in both fields (i.e., PAGE "1" OF "1"). (Limited to 4 pages per claim)	Conditional - Enter the number of pages. (Limited to 4 pages per claim)
44 lines 1-22	HCPCS/Rates	REQUIRED for outpatient claims when an appropriate CPT/HCPCS code exists for the service line revenue code billed. The field allows up to nine characters. Only one CPT/HCPCS and up to two modifiers are accepted. When entering a CPT/HCPCS with a modifier(s), do not use spaces, commas, dashes, or the like between the CPT/	Conditional  REQUIRED for outpatient claims when an appropriate CPT/HCPCS code exists for the service line revenue code billed

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>HCPCS and modifier(s).</p> <p>Refer to the NUBC UB-04 Uniform Billing Manual for a complete listing of revenue codes and instructions.</p> <p>Please refer to your current provider contract</p>	
45 Lines 1-22	Service date	<p>REQUIRED on all outpatient claims. Enter the date of service for each service line billed (MMDDYY). Multiple dates of service may not be combined for outpatient claims</p>	<p>Conditional</p> <p>REQUIRED on all outpatient claims. Enter the date of service for each service line billed (MMDDYY). Multiple dates of service may not be combined for outpatient claims</p>
45 Line 23	Creation date	<p>Enter the date the bill was created or prepared for submission on all pages submitted (MMDDYY).</p>	<p>Required</p>
46 lines 1-22	Service units	<p>Enter the number of units, days, or visits for the service. A value of at least "1" must be entered. For inpatient room charges, enter the number of days for each accommodation listed</p>	<p>Required</p>

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Field number	Field description	Instruction or comments	Required, conditional or not required
47 Lines 1-22	Total charges	Enter the total charge for each service line	Required
47 Line 23	Totals	Enter the total charges for all service lines	Required
48 Lines 1-22	Non-covered charges	Enter the non-covered charges included in field 47 for the revenue code listed in field 42 of the service line. Do not list negative amounts	Conditional - Enter the noncovered charges included in field 47 for the revenue code listed in field 42 of the service line. Do not list negative amounts
48 Line 23	Totals	Enter the total non-covered charges for all service lines	Conditional - Enter the total noncovered charges for all service lines
49	Unlabeled field	Not used	Not required
50 A-C	Payer	Enter the name of each payer from which reimbursement is being sought in the order of the payer liability.  Line A refers to the primary payer; B, secondary; and C, tertiary	Required
51 A-C	Health plan identification number	N/A	Not required
52 A-C	REL information	REQUIRED for each line (A, B, C) completed in field 50.	Required

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Field number	Field description	Instruction or comments	Required, conditional or not required
		Release of Information Certification Indicator. Enter 'Y' (yes) or 'N' (no). Providers are expected to have necessary release information on file. It is expected that all released invoices contain 'Y'	
53	ASG. BEN.	Enter 'Y' (yes) or 'N' (no) to indicate a signed form is on file authorizing payment by the payer directly to the provider for services	Required
54	Prior payments	Enter the amount received from the primary payer on the appropriate line	Conditional - Enter the amount received from the primary payer on the appropriate line when Health Net is listed as secondary or tertiary
55	EST amount due	N/A	Not required
56	National Provider Identifier or provider ID	REQUIRED: Enter providers 10-character NPI ID	Required
57	Other provider ID	Enter the numeric provider identification number.  Enter the TPI number (non-NPI number) of the billing provider	Required

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58	Insured's name	For each line (A, B, C) completed in field 50, enter the name of the person who carries the insurance for the patient. In most cases this will be the patient's name. Enter the name as last name, first name, middle initial	Required
59	Patient relationship	N/A	Not required
60	Insured unique ID	REQUIRED: Enter the patient's insurance ID exactly as it appears on the patient's ID card. Enter the insurance ID in the order of liability listed in field 50	Required
61	Group name	N/A	Not required
62	Insurance group no.	N/A	Not required
63	Treatment authorization code	Enter the prior authorization or referral when services require precertification	Conditional - Enter the prior authorization or referral when services require precertification
64	Document control number	Enter the 12-character original claim number of the paid/denied claim when submitting a replacement or void	Conditional - Enter the 12-character original claim number of the paid/denied claim when submitting a replacement or void on the corresponding

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>on the corresponding A, B, C line</p> <p>Applies to claim submitted with a type of bill (field 4), frequency of "7" (replacement of prior claim) or type of bill, frequency of "8" (void/cancel of prior claim).</p> <p>*Please refer to the reconsider/corrected claims section</p>	A, B, C line reflecting Payer from field 50
65	Employer name	N/A	Not required
66	DX version qualifier	N/A	Required
67	Principal diagnosis code	Enter the principal/ primary diagnosis or condition using the appropriate release/ update of ICD-10-CM Volume 1 & 3 for the date of service	Required
67 A-Q	Other diagnosis code	Enter additional diagnosis or conditions that coexist at the time of admission or that develop subsequent to the admission and have an effect on the treatment or care received using the appropriate release/ update of ICD-10CM	Conditional - Enter additional diagnosis or conditions that coexist at the time of admission

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>Volume 1 &amp; 3 for the date of service.</p> <p>Diagnosis codes submitted must be valid ICD-10 Codes for the date of service and carried out to its highest level of specificity - 4th or 5th digit. "E" and most "V" codes are NOT acceptable as a primary diagnosis.</p> <p>Note: Claims with incomplete or invalid diagnosis codes will be denied</p>	
68	Present on admission indicator		Required
69	Admitting diagnosis code	<p>Enter the diagnosis or condition provided at the time of admission as stated by the physician using the appropriate release/ update of ICD-10-CM Volume 1 &amp; 3 for the date of service.</p> <p>Diagnosis codes submitted must be valid ICD-10 codes for the date of service and carried out to its highest level of specificity - 4th or 5th digit. "E" codes and most "V" are NOT</p>	Required





Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>acceptable as a primary diagnosis.</p> <p>Note: Claims with missing or invalid diagnosis codes will be denied</p>	
70	Patient reason code	<p>Enter the ICD-10-CM code that reflects the patient's reason for visit at the time of outpatient registration. Field 70a requires entry; fields 70b-70c are conditional.</p> <p>Diagnosis codes submitted must be valid ICD-10 codes for the date of service and carried out to its highest digit - 4th or 5th. "E" codes and most "V" codes are NOT acceptable as a primary diagnosis.</p> <p>NOTE: Claims with missing or invalid diagnosis codes will be denied</p>	Required
71	PPS/DRG code	N/A	Not required
72 a, b, c	External cause code	N/A	Not required
73	Unlabeled field	N/A	Not required
74	Principal procedure code/date	CODE: Enter the ICD-10 procedure code that identifies the	Conditional - Enter the ICD-10 procedure code that identifies the

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Field number	Field description	Instruction or comments	Required, conditional or not required
		principal/primary procedure performed. Do not enter the decimal between the 2nd or 3rd digits of code; it is implied. DATE: Enter the date the principal procedure was performed (MMDDYY).	principal/primary procedure performed. Do not enter the decimal between the 2nd or 3rd digits of code; it is implied. DATE: Enter the date the principal procedure was performed (MMDDYY)
74 a-e	Other procedure code date	REQUIRED on inpatient claims when a procedure is performed during the date span of the bill.  CODE: Enter the ICD-10 procedure code(s) that identify significant procedure(s) performed other than the  principal/primary procedure. Up to five ICD-10 procedure codes may be entered. Do not enter the decimal; it is implied.  DATE: Enter the date the principal procedure was performed (MMDDYY).	Conditional  REQUIRED on inpatient claims when a procedure is performed during the date span of the bill
75	Unlabeled field	N/A	Not required



Field number	Field description	Instruction or comments	Required, conditional or not required
76	Attending physician	Enter the NPI and name of the physician in charge of the patient care. <ul style="list-style-type: none"> <li>• NPI: Enter the attending physician 10-character NPI ID.</li> <li>• Taxonomy code: Enter valid taxonomy code.</li> <li>• QUAL: Enter one of the following qualifier and ID number:</li> <li>• 0B - State license #.</li> <li>• 1G - Provider UPIN.</li> <li>• G2 - Provider commercial #.</li> <li>• B3 - Taxonomy code.</li> <li>• LAST: Enter the attending physician's last name.</li> <li>• FIRST: Enter the attending physician's first name</li> </ul>	Required
77	Operating physician	REQUIRED when a surgical procedure is performed.	Conditional  REQUIRED when a surgical procedure is performed. Enter the NPI and name of the

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Field number	Field description	Instruction or comments	Required, conditional or not required
		<p>Enter the NPI and name of the physician in charge of the patient care.</p> <ul style="list-style-type: none"> <li>• NPI: Enter the attending physician 10-character NPI ID.</li> <li>• Taxonomy code: Enter valid taxonomy code.</li> <li>• QUAL: Enter one of the following qualifier and ID number:                             <ul style="list-style-type: none"> <li>• 0B - State license #.</li> <li>• 1G - Provider UPIN.</li> <li>• G2 - Provider commercial #.</li> <li>• B3 - Taxonomy code.</li> </ul> </li> <li>• LAST: Enter the attending physician's last name.</li> <li>• FIRST: Enter the attending physician's first name.</li> </ul>	physician in charge of the patient care
78 & 79	Other physician	Enter the provider type qualifier, NPI and name of the physician in charge of the patient care.	Conditional



Field number	Field description	Instruction or comments	Required, conditional or not required
		<ul style="list-style-type: none"> <li>• (Blank Field): Enter one of the following provider type qualifiers:</li> <li>• DN - Referring provider.</li> <li>• ZZ - Other operating MD.</li> <li>• 82 - Rendering provider.</li> <li>• NPI: Enter the other physician 10-character NPI ID.</li> <li>• QUAL: Enter one of the following qualifier and ID number, or 0B - State license number</li> <li>• 1G - Provider UPIN number</li> <li>• G2 - Provider commercial number</li> </ul>	
80	Remarks	N/A	Not required
81	CC	A: Taxonomy of billing provider. Use B3 qualifier.	Required
82	Attending Physician	Enter name or seven-digit provider number of ordering physician	Required



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## Workers' Compensation

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP) | Hospitals

If a Health Net member suffers a job-related illness or injury and receives medical services, these services are covered under California workers' compensation. Providers should question the member for possible workers' compensation liability and enter information on the claim.

Health Net may file a lien against the member's workers' compensation benefits. In the interim, Health Net pays the covered charges. When the case is settled, Health Net may recover charges for services from the member's workers' compensation settlement.

## Capitated Claims Billing Information

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Providers who participate in Health Net's Medi-Cal program under a capitated agreement with a participating physician group (PPG) must follow the instructions below.

- Providers must contact their PPG to check for any special billing requirements that the providers' failure to follow could delay the processing of their claims, and to verify the billing address for claims submission.
- Providers have 180 days from the last day of the month of service to submit initial Medi-Cal claims. Exceptions for late filing are:
- New Medi-Cal claims between six-months and one-year-old are permitted without penalty for unknown eligibility status, antepartum obstetric care or a delay in delivery of a custom-made prosthesis
- Claims one-year-old or more are permitted without penalty for retroactive eligibility situations, court orders, state or administrative hearings, county errors in eligibility, Department of Health Care Services (DHCS) orders, reversal of appeal decisions on a Treatment Authorization Request (TAR) form, or if other coverage is primary

## Capitated Risk Claims

Capitated-risk claims received by Health Net through paper submissions are forwarded back to the PPG or third-party administrator (TPA) for processing.

## Electronically Submitted Claims

Electronically submitted claims that are participating physician group (PPG) capitated-risk claims are forwarded to the PPG or third-party administrator (TPA) for processing. A claim fax summary is printed, batched and forwarded. A batch trailer sheet, indicating the number of claims within a batch, is sent.

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# EOC 300/308 Report

## Denied Claims

Claims received by Health Net or an affiliated health plan for services that are the capitated-risk of a participating physician group (PPG), hospital or other ancillary provider as applicable are forwarded by Health Net or the affiliated health plan to the PPG, hospital or ancillary provider for processing. This may delay payment by several days to several weeks.

The Health Net Medi-Cal Claims Department sends a weekly report to any provider who has submitted claims to Health Net that are denied by Health Net as services capitated to a participating physician group (PPG) or hospital. The report provides the name and telephone number of the PPG or hospital to which the denied claims have been forwarded for processing.

The EOC 300/308 Report is generated using two explanation of check codes:

- 300 - Service capitated to member's PPG, claim sent to PPG
- 308 - Service capitated to facility, claim sent for processing

Denied claims with these EOC codes are grouped according to the capitated PPG or hospital responsible for the claim.

## Field Descriptions

The following information correlates to the numbered fields on the Health Net EOC 300/308 Report (PDF) of denied capitated claims:

### Header Information

#	Field	Description
1.	ABS	Health Net's operating system
2.	Program ID	Health Net's assigned number for the report
4.	Claim Type	Facility = UB-04 form Professional = CMS-1500 form
4.	Report Title	The name of the report
5.	Run Date	The day/month/year that the report was generated

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#	Field	Description
6.	Run Time	The time that the report was generated
7.	Page Number	The page number of the report
8.	Remit Num	A 14-digit internal number that gives information about the claim's financial status
9.	Check Date	The date of the check issued to a provider for claim payment
10.	Servicing Provider	The TIN and name of the provider who submitted the claim to Health Net for payment
11.	Pay To	The name of the group that the Servicing Provider is linked to. The Servicing Provider and Pay To can be the same

### Detail Information

#	Field	Description
12.	Capped PPG/HOSP/PHONE	If a claim was denied on the explanation of check (EOC), then the name of the PPG or hospital where the claim was sent for processing would be listed here with the most current phone number that Health Net has on file
13.	Member ID	Health Net's member identification number
14.	MBR Last Name	The last name of the member

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#	Field	Description
15.	MBR First Name	The first name of the member
16.	Claim Number	Health Net's 11-digit Document Control Number (DCN)
17	Beg DOS	The starting date of facility/ professional services
18	End DOS	The ending date of facility/ professional services
19.	PROC	The billed procedure code on the UB-04 or CMS-1500 claim (if services billed are revenue, this field is blank)
20.	DIAG	A three to seven character code based on the ICD-10 coding system, indicating the condition for which services on this claim were rendered
21.	EOC	<p>A three-digit code appears on the provider's EOC explaining the action taken on this claim line. If a claim is coded with EOC 300 or 308, then the claim was denied to responsible capitated PPG or capitated facility for services rendered</p> <p>300 = Service capitated to member's PPG, claim sent to PPG</p> <p>308 = Service capitated to facility, claim sent for processing</p>
22.	Billed Amt	The amount billed for a claim line

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All provider inquiries about claim status, payment amounts, or denial reasons should be directed to the capitated provider responsible for the services.

## Plan-Risk or Shared-Risk Claims

Plan-risk or shared-risk claims must be sent to Health Net for adjudication. Attach a copy of the Plan/Shared-Risk Cover Sheet to each group of claims the provider submits. Additionally, the claims should be separated and batched into plan or shared-risk services and claim types. All claims submitted to Health Net must be on CMS-1500, LTC form 25-1 or UB-04 claim forms, and must indicate the date of receipt by the participating physician group (PPG). Claims for plan-risk or shared-risk services must be submitted to [Health Net](#).

The following information must be included on every claim:

- Health Net member identification (ID) number or reference number located on the member's ID card
- Provider name and address
- ICD-10 diagnosis code
- Service dates
- Billed charge per service
- Current year CPT procedure or UB-04 revenue code
- Place of service or UB-04 bill type code
- Submitting provider tax identification number or National Provider Identifier (NPI) number
- Member name and date of birth as it appears on the member's ID card
- State license number of the attending provider

If a provider submits a claim directly to Health Net rather than the PPG and the claim includes both plan-risk services and capitated-risk services, Health Net processes the plan-risk services. Services that are the responsibility of the PPG are denied by Health Net and forwarded to the PPG for processing. The Explanation of Check contains the message, "Capitated services, no payment issued-claim sent to IPA, Hospital or Ancillary provider."

Claims for capitated services that are misrouted to Health Net are denied and forwarded to the capitated provider with a copy of the explanation.

In some instances, Health Net is able to split a claim that has both plan-risk and capitated-risk services (for example, chemotherapy provider claims). In these cases, a claim fax is attached to the original claim. The fax contains only those service lines that appear to be capitated-risk. The message "POSSIBLE CAP RISK" appears in the member's address field (box 4 on the fax). These services do not appear on the explanation of check, but appear on the capitated-risk services report.

All other lines on the original claim document are assumed to be plan-risk and are processed by Health Net. It is not necessary to return the claim for those plan-risk services not appearing on the fax.

If, after processing the services on the fax, the capitated provider determines that any of those services are actually plan-risk (for example, out-of-area emergency), return them to Health Net for special handling and processing. Attach the Plan/Shared Risk Services Cover Sheet and return those claims to Health Net.

For more information, select any subject below:

- [Excessive Fees by Hospital-Based Providers](#)
- [Anesthesia Procedure Code Modifiers with the Minute Qualifier](#)

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# Excessive Fees by Hospital-Based Providers

Provider Type: Participating Physician Groups (PPG)

When charges by hospital-based providers are for capitation services and the participating physician group (PPG) has encountered fees that appear to be excessive when compared to fees charged for similar services by local providers, the PPG is entitled to question the provider about the fee.

Of paramount importance in these instances is Health Net's legal obligation to provide medical care coverage to its members and to protect them from any indebtedness to a provider who is not satisfied with a reimbursement received for covered services. The member is, as always, obligated to pay any copayment amount specified in the [Evidence of Coverage \(EOC\)](#).

Health Net encourages PPGs to communicate with providers before paying less than the amount charged, in order to prevent problems for the member. If a PPG pays a hospital-based physician less than the amount charged and the provider bills the member for the difference, the PPG is required to pay that portion of the charge immediately. The PPG may initiate a peer review of the matter later through the local medical society.

Inform members that any bill received for care provided or authorized by the PPG is to be sent to the PPG. If a member ignores a bill and collection activities are initiated, both Health Net and the PPG are implicated in not having protected the member.

When a PPG encounters a charge it considers excessive, Health Net recommends the following steps:

1. Determine whether complications or other factors justify the charge. If there is justification, pay the amount billed and end the process. If there is no justification, proceed to the next step.
2. Contact the provider and attempt to resolve the difference. If there is no resolution, proceed to the next step.
3. Pay all outstanding charges, but notify the provider that this is being done under protest and that the PPG intends to seek a peer review of the matter by the local medical society.
4. Call the California Component Medical Societies for assistance in selecting the appropriate California county medical society to hear the protest. The correct county medical society is the one located in the same geographical area as the provider whose charge is in dispute.
5. Call the county medical society and ask for instructions for submitting cases for peer review.
6. If the PPG is informed that a member has been contacted by a collection agency, in addition to paying all outstanding charges, inform the collection agency in writing that the PPG is responsible for paying for the service and that the PPG has made payment, but that the validity of the charge is in dispute. State that the disputed excessive fee is to be subjected to a medical society peer review. Request that, in view of these facts, the collection agency take no action that might impair the credit rating of the member.



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# Anesthesia Procedure Code Modifiers with the Minute Qualifier

Professional anesthesia capitated encounters billed with specific modifiers must use the minute qualifier, MJ. If you use the unit qualifier, UN, an edit will reject the encounter. The edit applies regardless of the date of service.

This change follows the Health Insurance Portability and Accountability Act (HIPAA) 5010 HIPAA 837 Companion Guide.

Use the MJ qualifier with these modifiers:

- AA
- AD
- QK
- QS
- QX
- QY
- QZ

Modifiers, other than the ones listed above, can process with the UN qualifier and not cause an edit.

If a professional encounter claim is sent with the above listed modifiers and the UN qualifier, the edit display will read: ANESTHESIA QUALIFIER IS INCORRECT. Resend a corrected capitated encounter with the MJ qualifier.

## Eligibility and Capitation

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section includes general information on member eligibility and capitation.

Select any subject below:

- [Capitation Payments](#)
- [Capitation Rates](#)
- [Dual Risk](#)
- [Electronic Capitation Reports](#)
- [Hospital Liability Payment](#)
- [PPG Liability Payments](#)
- [Professional Stop Loss Levels](#)
- [Reports](#)

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## Capitation Payments

Provider Type: Participating Physician Groups (PPG) | Hospitals

Health Net sends a monthly payment by the tenth of each month to capitated providers via the Checkwrite system. Capitation payments to providers who are on a direct-deposit system vary according to their contract with Health Net. A capitation reimbursement summary is also prepared and sent with the payment to identify the amount payable by financial pool. The capitation check includes payment for the current month as well as any retroactivity reported since the last capitation cycle.

## Capitation Rates

| Participating Physician Groups (PPG) | Hospitals

Capitation rates are member-based and adjusted by age, gender and plan type. If a member has a non-standard effective or cancel date, the capitation rate is prorated by the actual number of days the member is active.

In addition, premiums for professional stop loss levels, withhold funds, AIDS, transplant reinsurance costs, and transfer reinsurance costs can be subtracted from the capitation rate.

Premiums are subtracted from the institutional capitation rate for in-area and out-of-area reinsurance costs if purchased from Health Net.

## Dual Risk

Provider Type: Participating Physician Groups (PPG) | Hospital

The dual-risk program is an optional program in which the participating physician group (PPG) establishes a capitated incentive arrangement with a primary hospital that is capitated and financially responsible for in-area hospital services provided to Health Net members. Hospitals are liable for in and out-of-area services up to the reinsurance limit. This program is only offered to a limited group of PPGs and hospitals.

The PPG must give Health Net a written description of incentive arrangements and any changes to the incentive arrangements within 60 calendar days of its establishment of any amendments.

## Electronic Capitation Reports

Provider Type: Participating Physician Groups (PPG) | Hospitals

Health Net provides commercial, Medicare Advantage (MA) capitation reports to capitated participating physician groups (PPGs) and hospitals on five electronic media files - Eligibility, Activity Analysis, Remittance Detail, Eligibility Summary by Group, and SB 260 Reconciliation Report.

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## Eligibility File

The Eligibility file lists all members eligible for benefits for at least one day in the month. It contains member information, including names, addresses, plan codes, and benefit information. Capitation amounts are not included in the file, but may be listed in the Remittance Detail file. The Eligibility file is sorted by the member's last name. All records in this file are 224 bytes long. There are four record types: header, detail, coordination of benefits (COB), and trailer. Data expressed in the X format is left-justified and blank-filled, data expressed in the nine format is right-justified and zero-filled.

## Activity Analysis File

The Activity Analysis file provides non-dollar activity, such as additions and cancellations of members, and should be used to update members' files, including retroactive adjudication of affected claims. It also reflects changes to a member's status, such as plan code, address and effective date. Multiple transactions for a member are sorted by prioritization of activity codes and report by prioritization. The Activity Analysis file is sorted by the member's last name. All records in the file are 279 bytes long. There are three record types: header, detail and trailer. Data expressed in the X format is left-justified and blank-filled. Data expressed in the nine format is right-justified and zero-filled.

## Remittance Detail File

The Remittance Detail file provides capitation remittance amounts per member. The amount reflected consists of the current month capitation amount plus any adjustments made in the current month for retroactivity. The Remittance Detail file is sorted by the member's last name. All records in this file are 157 bytes long. There are three record types: header, detail and trailer. Data expressed in the X format is left-justified and blank-filled. Data expressed in the nine format is right-justified and zero-filled. All dollar amount fields are signed (-, +) and contain assumed decimals.

## Eligibility Summary by Group File

The Eligibility Summary by Group file lists all employer groups with active members enrolled with a specific provider for the month being reported. This file is sorted by the employer group name. All records in this file are 142 bytes long. This file has three record types: header, detail and trailer. Data expressed in the X format is left-justified and blank-filled. Data expressed in the nine format is right-justified and zero-filled.

## SB 260 Reconciliation Report

The SB 260 Reconciliation Report provides enrollment and capitation payment summary at the product level for the prior 18 months. All records in this file are 1024 bytes long. This file has three record types: header, detail and trailer. Data expressed in the X format is left-justified and blank-filled. Data expressed in the nine format is right-justified and zero-filled.

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## Internet Transmission

Health Net also offers providers these five capitation reports through the Internet to help reconcile eligibility and remittance payments. PPGs and hospitals that request their capitation reports online are allowed to test their files for a period of up to two months and still receive hard copy reports. After this two-month testing period, hard copy reports are no longer sent. With the exception of this testing period, only one format of reports is provided. If PPGs or hospitals are interested in receiving capitation files in this format, they should contact their provider relations and contracting specialists for details.

## Hospital Liability Payment

### Hospitals

In some instances, Health Net pays for services considered the primary hospital's liability, otherwise known as capitated services. The decision to pay hospital liability services typically occurs as a result of a quality assurance review.

Health Net requires that the primary hospital respond to Health Net and provide the necessary documentation demonstrating that the claim has been resolved via fax or mail within 10 calendar days of the hospital's receipt of Health Net's request for information. If the primary hospital does not provide an acceptable response to Health Net, Health Net may pay the claim on behalf of the hospital. Health Net may pay claims at the lesser of Health Net's contract rate with the provider, provider subcontract terms or provider's billed charges. Hospital liability claims that Health Net pays on behalf of the primary hospital are deducted from the monthly hospital services capitation.

Each hospital receives a copy of the monthly Hospital Liability Claims Paid in Error Report.

## PPG Liability Payments

### Participating Physician Groups (PPG)

In some instances, Health Net pays for services considered the participating physician group's (PPG's) liability, otherwise known as capitated services. PPG liability claims that Health Net pays on behalf of the PPG may be the result of the PPG accessing Health Net's contract rates with another provider or of a quality assurance review. PPG liability claims that Health Net pays on behalf of the PPG are deducted from the monthly professional services capitation.

Health Net strongly encourages all PPGs to establish contractual agreements with providers used by the PPG. Health Net accommodates the PPG's request to adjudicate and pay PPG liability claims to providers without a contractual agreement in place with the PPG as stated in the PPG's Provider Participation Agreement (PPA).

Health Net requires that the PPG respond to Health Net and provide the necessary documentation demonstrating that the claim has been resolved via fax or email within 10 calendar days of the PPG's receipt of Health Net's request for information. If the PPG does not provide an acceptable response to Health Net, Health Net may pay the claim on behalf of the PPG. Health Net may pay claims at the lesser of Health Net's contract rate with the provider, provider subcontract terms or provider's billed charges.

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Each PPG receives a copy of the monthly PPG Liability Claims Paid by Health Net Report.

# Professional Stop Loss Levels

Participating Physician Groups (PPG)

The professional stop loss levels for each participating physician group (PPG) are listed in the Provider Participation Agreement (PPA).

## Reports

Provider Type: Participating Physician Groups (PPG) | Hospitals

The following site-level reports are generated monthly by Health Net and sent to [participating providers](#). Consolidated- and physician-level reports are available on request.

Report Option	Description
Consolidated	Allows the participating physician group (PPG) and all satellite offices to receive one integrated report
Site	Allows the PPG to have all its satellites receive their own sets of reports
Physician	Allows each PCP within a PPG to receive an individual report. This option is only available for the Eligibility and Remittance Detail files

The following ACE reports are available to PPGs:

- BRM 42 - Expanded Eligibility Report
- BRM 11 - Activity Analysis Report - All member-related activities during the prior month
- BRM 12 - Remittance Detail Report
- BRM 13 - Eligibility Summary by Employer Group Report
- BRM 78 - SB 260 Reconciliation Report
- BRW 11 - Weekly Analysis Report

Refer to the Eligibility Reports topic for more information.

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## Eligibility Guarantee

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Eligibility guarantee is a payment of the amount agreed upon by Health Net and the capitated participating physician group (PPG) for payment of claims for services performed in good faith by any participating provider for a member who is later determined to have been ineligible on the date of service. In these cases, Health Net is liable up to the limits set forth in the PPG's Provider Participation Agreement (PPA) for the care provided before Health Net notifies the PPG of the member's ineligibility due to the retroactive addition or cancellation of the member. Unless otherwise specified in the PPG's PPA, the terms of the eligibility guarantee program are described below.

The eligibility guarantee does not apply if the PPG does not verify eligibility with Health Net for members who are receiving continuing services and who do not appear on the eligibility report (PPG and hospital only) within 60 days after the initial visit.

If a member is ineligible due to a retroactive addition or cancellation, Health Net adjusts the PPG's or hospital's capitation accordingly.

For more information, select any subject below:

- [Eligibility Guarantee Under COBRA](#)
- [Request for Payment Submission and Processing](#)

## Eligibility Guarantee Under COBRA

Participating Physician Groups (PPG) | Hospitals

The Consolidated Omnibus Budget Reconciliation Act of 1985 (COBRA) generally allows those who lose eligibility under a group health plan to continue that coverage for a certain period of time at the group rate. Subscribers and their covered dependents who qualify are called qualified beneficiaries. Generally, qualified beneficiaries may take up to 60 days from their last day of regular coverage to elect COBRA continuation coverage.

Eligibility guarantee under COBRA does not apply to individual family plans (IFP).

In many cases, COBRA create problems and delays as the employer sponsor and former plan member carry out various steps before COBRA continuation coverage is effective.

Knowing this, Health Net provides eligibility guarantee protection when the former member certifies that a request for COBRA continuation coverage has been submitted to the employer sponsor of the prior plan. This guarantee is not provided for those who contend that they have not yet requested COBRA continuation coverage, regardless of the time remaining for the former member to elect coverage.



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## COBRA Eligibility Determination - Not applicable to IFP

Members may be covered by COBRA continuation coverage for up to 18, 29 or 36 months, depending on the event that qualified them for coverage. COBRA continuation can also end at any time. When COBRA continuation ends, COBRA members qualify for Health Net conversion coverage in the usual manner.

A member whose name does not appear on the participating physician group's (PPG's) or hospital's current Health Net Eligibility Report or appears with a cancellation notation (a past date in the Provider Cancel Date column of the report) may have become a private-pay member. If the member claims current eligibility because of COBRA, the PPG or hospital should ask the member if COBRA continuation coverage through the employer sponsor of the subscriber's group health plan has been requested.

If the member answers, "yes":

- Ask the member to fill out an Eligibility Certification form
- Provide services with reliance on the eligibility guarantee for the 60-day period following the last day of regular coverage. The PPG or hospital can determine the last day of coverage from an Activity Analysis report from a previous month
- Call the [Health Net Provider Services Center](#) if 60 days pass after the last day of regular coverage and the member does not appear on the PPG's or hospital's current Eligibility Report as NEW CONTRACT with a past date in the Provider Effective Date column

If the member answers, "No, but I intend to do it within the time period permitted by law," handle the member as a private-pay member, but state that if the member becomes reinstated through COBRA, the member receives a refund of any fees paid.

## Conversion After COBRA

When the member completes an Eligibility Certification form, the member is entitled to the same coverage that was available under the prior Health Net group health plan. The identity of that prior plan can be determined in several ways:

- Refer to the member's Health Net identification card
- If the identification card is not available, refer to the last Eligibility Report on which the member's name appeared
- Hospitals and PPGs may also call the Health Net Medicare Programs Provider Services Department

## Eligibility Reports

Eligibility records for members who lose eligibility under a group health plan and then obtain COBRA coverage show the following sequence of changes:

1. On member's loss of eligibility, the Eligibility Report states "CANCEL MEMBER" or "CANCEL CONTRACT."

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2. When the member is granted COBRA continuation coverage, the Eligibility Report states "ADD CONTRACT."
3. Members who were previously covered as dependents but become subscribers through COBRA are assigned their own subscriber identification numbers.
4. COBRA members are assigned group numbers that differ from their previous group numbers only in that the suffix is a different letter.

## Filing a COBRA Eligibility Guarantee Claim

COBRA eligibility guarantee claims are filed in the same manner as non-COBRA claims. All requirements and procedures are the same. Refer to the Eligibility Guarantee topic for more information.

## Members Not Entitled to COBRA Continuation

Some employer-sponsored health plans are not subject to COBRA. Members of these groups are not entitled to COBRA continuation coverage, but they are entitled to Health Net conversion coverage.

## Members Requesting COBRA Information

If members, regardless of their relationship with Health Net, have questions about what COBRA requires or permits, refer them to their employer sponsor (current or former).

## Request for Payment Submission and Processing

### Participating Physician Groups (PPG)

Participating physician groups (PPGs) must submit all eligibility guarantee payment requests with a completed [PPG Professional Batch Form \(PDF\)](#), and with a copy of the treating provider's original claim or invoice and proof of payment (such as the Explanation of Benefits (EOB) or Explanation of Payment (EOP) by the PPG to the [Health Net Reinsurance Unit](#).

In addition, the PPG must:

- Write "Eligibility Guarantee" on the front of the PPG Professional Batch Form.
- If applicable, attach a copy of the substitute or replacement insurance plan's EOB or EOP (denying the claim) or copies of two billings sent to the member or person having legal responsibility for the member.

Indicate on all requests for payment from what source initial eligibility confirmation was obtained and the date obtained, as well as from what source and when ineligibility was confirmed. For example, "Eligibility Report dated March 2021, telephone verification February 23, 2021," or "Eligibility Certification Form signed by the member."

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## Eligibility Guarantee Processing

Eligibility guarantee requests for the calendar year must be submitted prior to February 28 of the following year. Health Net processes eligibility guarantee requests for payment on an ongoing basis and according to the terms of the eligibility guarantee in the PPG's Provider Participation Agreement (PPA).

## Exclusions and Limitations

The following exclusions and limitations apply to eligibility guarantee:

- In order for Health Net to pay the PPG, the PPG must have contacted Health Net to verify eligibility for any member requiring emergency or inpatient hospital care.
- Members who come to the PPG for services without a valid Health Net identification (ID) card must sign an Eligibility Certification form. This form must also be signed if the member is not listed on the most recent Eligibility Report. PPGs should not call Health Net to verify eligibility for services provided within the PPG.
- PPGs do not receive eligibility guarantee payments for current members who transfer into the PPG.
- Health Net limits final eligibility guarantee payments to professional charges (capitated services and insured services).
- If any insured services are provided before the PPG is notified of the member's ineligibility, they are considered subject to eligibility guarantee requests for payment only if they have not been included in claims made to Health Net directly by treating providers through insured service liability.
- If a member is determined by Health Net to have been ineligible at the time of receiving hospital services (or other shared-risk benefits), Health Net is not responsible for payment. The member is liable for these charges.

## Emergency Claims Processing

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net, its participating physician groups (PPGs) and hospitals are required to reimburse each complete emergency claim or portion of each claim as soon as possible, but not later than 45 business days after receipt of the complete claim. A PPG or hospital may contest or deny a claim or portion of a claim by notifying the provider in writing that the claim is contested or denied within 45 business days after receipt of the claim. The notice must identify the portion of the claim that is contested by revenue code, and the specific information needed from the provider to reconsider the claim. The notice that a claim is denied must identify the portion of the claim that is denied, and the specific reasons for the denial.

If a claim or portion of a claim is contested on the basis that the PPG or hospital has not received information reasonably necessary to determine payer liability for the claim, the PPG or hospital has 45 business days after receipt of this additional information to complete reconsideration of the claim. If the claim being reconsidered is not reimbursed within the respective 45 business days after the PPG's or hospital's receipt of the additional information, the PPG or hospital must pay interest or late charges.

A PPG or hospital may delay payment of an uncontested portion of a complete claim for reconsideration of a contested portion of that claim as long as the PPG or hospital pays interest.

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## Complete Emergency Claims

A complete emergency claim meets the following definitions:

- A paper claim from a hospital is deemed complete when submitted on a completed UB-04 and includes submission of a legible emergency room (ER) report and other reasonable relevant information requested.
- An electronic claim from a hospital is deemed complete when submitted on an electronic equivalent to the UB-04 and reasonable relevant information is requested. If Health Net or the PPG requests a copy of the ER report, Health Net or the PPG may also request additional reasonable relevant information, at which time the claim is deemed complete.
- A claim from a provider is deemed complete when submitted on a completed CMS-1500, or its electronic equivalent, and reasonable relevant information is requested.

## Delegation

The obligations of Health Net, to ensure that claims are processed in a timely manner and with appropriate interest and late charges, if appropriate, are not waived when Health Net requires its PPGs to pay claims for covered services. Health Net may assign, by written contract, the responsibility to pay interest and late charges to PPGs or other contracting entities.

## Interest Charged for Late Payment

The late payment by a PPG or hospital on a complete emergency claim, or portion thereof, that is neither contested nor denied, must automatically include the greater of \$15 for each 12-month period or portion thereof on a non-prorated basis, or interest at 15 percent per year for the period of time that the payment is late. If the late payment does not automatically include interest, an additional \$10 is paid to the provider.

If Health Net fails to notify the provider of service in writing of a denied or contested claim, or portion thereof, and ultimately pays the claim in whole or part, computation of the interest begins 45 business days after the date the claim was originally received.

## Exceptions

Payment of interest or late charges does not apply to claims where there is evidence of fraud and misrepresentation, where the patient is determined to be ineligible for coverage, or instances where Health Net has not been granted reasonable access to information under the provider's control. Health Net specifies, in a written notice sent to the provider within the 45-business-day time frame, which of these exceptions apply to the claim.



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# Fee-For-Service Billing and Submission

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general fee-for-service (FFS) claims billing and submission information.

Select any subject below:

- [Electronic claims Submission](#)
- [Electronic claims Submission \(IFP\)](#)
- [FFS Claims Submission](#)
- [General Billing Guidelines](#)

## Electronic Claims Submission

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

For electronic claim submissions check the current member identification (ID) for the correct payer ID.

The benefits of electronic claim submission include:

- Reduction and elimination of costs associated with printing and mailing paper claims.
- Improvement of data integrity through the use of clearinghouse edits.
- Faster receipt of claims by Health Net, resulting in reduced processing time and quicker payment.
- Confirmation of receipt of claims by the clearinghouse.
- Availability of reports when electronic claims are rejected.
- Ability to track electronic claims, resulting in greater accountability.

## Reports

For successful electronic data exchange (EDI) claim submission, participating providers must utilize the electronic reporting made available by their vendor or clearinghouse. There may be several levels of electronic reporting:

- Confirmation/rejection reports from the EDI vendor
- Confirmation/rejection reports from the EDI clearinghouse
- Confirmation/rejection reports from Health Net

Providers are encouraged to contact their vendor/clearinghouse to see how these reports can be accessed/ viewed. All electronic claims that have been rejected must be corrected and resubmitted. Rejected claims may be resubmitted electronically.

For questions regarding electronic claims submission, contact the [Health Net EDI Department](#).

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## Electronic Claims Submission IFP

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

For electronic claims submissions that apply to providers serving individual family plan (IFP) members, check the current member identification (ID) card for the correct payer ID.

The benefits of electronic claim submission include:

- Reduction and elimination of costs associated with printing and mailing paper claims.
- Improvement of data integrity through the use of clearinghouse edits.
- Faster receipt of claims by Health Net, resulting in reduced processing time and quicker payment.
- Confirmation of receipt of claims by the clearinghouse.
- Availability of reports when electronic claims are rejected.
- Ability to track electronic claims, resulting in greater accountability.

For questions about electronic claims or electronic remittance and explanation of payment for IFP member claims, email [EDIBA@centene.com](mailto:EDIBA@centene.com) or contact the [Health Net/Centene EDI Department](#).

## FFS Claims Submission

Provider Type: Physicians

When submitting fee-for-service (FFS) claims, provide all required information accurately. Health Net requires that all FFS professional claims be submitted on the CMS-1500 claim form for Medicare Advantage (MA) HMO, HMO, POS, PPO, EPO, and HSP members within 120 calendar days from the date of service or in accordance with the terms of the Provider Participation Agreement (PPA).

Submit all paper claims and supporting documentation to the appropriate Health Net Claims Department ([Medicare Claims](#), [Medi-Cal claims](#) and [HMO/HSP/EPO claims](#)).

## General Billing Guidelines

Provider Type: Physicians | Hospitals

All claims must be submitted to Health Net within 120 days from the date the services were rendered. Health Net accepts claims submitted on the standard UB-04 (CMS-1450) form and computer-generated claims using these formats.

When using multi-part NCR forms, always submit the original, not second or third copies. Do not write or stamp information on the face of the claim. The physician's signature in box 13 or box 31 is acceptable. Health Net requires the following information on each claim:

- Member name
- Member identification number

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- Member date of birth
- Health Net prior authorization number. Primary care physician (PCP) claims do not require prior authorization unless the services performed specifically require prior authorization
- Location where services were rendered
- ICD-10 diagnosis code
- Date of service
- Current year CPT or HCPCS code (physician) or UB-04 revenue code with narrative description (hospital)
- CMS place of service code (professional claims)
- CMS type of service code (professional claims)
- Number of days or units for each service line (professional claims)
- Billed charges
- Physician name, address, federal tax identification number, and National Provider Identifier (NPI)
- State license number of attending provider

## Insured Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Insured services are contracted services that are arranged or paid for by the participating physician group (PPG), and for which the PPG and Health Net have negotiated specific provisions in the PPG's Provider Participation Agreement (PPA) whereby Health Net has agreed to pay a negotiated amount to the PPG in each case where the contracted services are rendered to a member assigned to the PPG. Such payments are in addition to the capitation paid per member. The specific description, definition and terms of payment for each insured service are outlined in the PPG's PPA addendum. Unless otherwise provided in the applicable addendum to the PPG's PPA, the terms of the insured services are described below.

## Types of Insured Services

### Pre-Existing Pregnancy

In addition to monthly capitation, Health Net pays a standard, flat fee to the PPG for costs it incurs in paying treating providers for professional maternity care and delivery services provided to any Health Net member who selects or is assigned to the PPG and gives birth within six months after enrollment with Health Net.

The following information is required when submitting a request for payment:

- [Health Net Professional Batch Form \(PDF\)](#) with completed member information
- Copy of treating provider's delivery service claim (CMS-1500)
- Related hospital bill (UB-04) to document date of delivery, or copy of authorization

### IVF/GIFT/ZIFT (Advanced Infertility Treatments)

Health Net pays the PPG for the costs it incurs in compensating treating or participating providers for prior-authorized and case-managed treatment provided by a fertility center approved by Health Net, provided that: (i) Health Net member is entitled to advanced infertility treatments as a covered benefit per the [Evidence of Coverage \(EOC\)](#), and (ii) Health Net member's PPG, primary care physician (PCP) and Health Net's case

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management agree that the advanced infertility treatments meet the medical criteria for coverage. Payment is in accordance with the applicable PPA addendum, subject to scheduled copayments for infertility treatment services. Refer to the Family Planning topic and the member's EOC for a detailed description of covered services, exclusions and limitations.

The following information is required when submitting a request for payment:

- [Health Net PPG Professional Batch form \(PDF\)](#) with completed member information
- Copies of all treating providers' claims (CMS-1500) relating to the advanced infertility treatments
- Proof of payment, to include the PPG contract amounts paid to treating providers for each service

## Additional Insured Services

Additional services may be designated as Insured Services by an agreement between Health Net and PPG.

# Requests for Payment Submission Requirements

The PPG must submit all requests for payment with a copy of the original CMS-1500 or UB-04 as applicable, from the treating provider, and with the completed Health Net PPG Professional Batch form. The requests for payment must be submitted to Health Net within 120 days from the date of service or as stated in the applicable PPA addendum. All requests for payment must be sent to the [Health Net Reinsurance Unit](#).

## Requests for Payment Processing

Payment for each pre-existing pregnancy is a specified flat fee. Payment for advanced infertility treatments is described in the applicable PPG PPA addendum.

# Premium Payment Grace Period for Beneficiary Qualifying for APTC

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Beneficiaries who qualify for the advanced premium tax credit (APTC) subsidy used to purchase a health benefit plan through the Covered California marketplace are allowed a premium payment grace period for delinquent premiums for three months before Health Net can disenroll the beneficiary. This grace period does not apply to marketplace beneficiaries who do not receive the APTC.

## Overview

During the first month of premium delinquency, Health Net reimburses providers for covered services delivered to APTC beneficiaries, in accordance with standard benefit guidelines.

Starting with the first day of the second month of delinquency, the beneficiary's eligibility reflects a suspended coverage status when a provider verifies eligibility prior to rendering services.

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The suspended coverage status remains throughout the second and third month of the grace period unless the beneficiary pays his or her outstanding premium in full. If the premium remains unpaid at the end of the grace period, the beneficiary is disenrolled from the Health Net plan effective the last day of the first month of the grace period.

## Claims Submission and Processing

If a provider delivers covered services during the first month of the grace period, Health Net processes the claim for payment in accordance with standard benefit guidelines. Prior to delivering care to a beneficiary, providers must verify the beneficiary's active eligibility status with Health Net. Starting with the second month of the grace period, if a provider delivers covered services to a beneficiary in suspended coverage status, Health Net contests the claims, as the beneficiary is not considered eligible. If the beneficiary pays delinquent premiums in full before the end of the grace period, Health Net processes these claims for payment. If the beneficiary does not pay delinquent premiums in full by the end of the grace period, Health Net denies these claims due to the beneficiary's ineligibility.

## Provider Notification

Health Net participating providers who have submitted claims in the two months prior to a beneficiary entering the second month of the grace period receive notification from Health Net of the beneficiary's transition to suspended coverage status. Additionally, for beneficiaries enrolled in a Ambette HMO, the beneficiary's primary care physician (PCP) and affiliated participating physician group (PPG), if any, receive a notification of suspended coverage status. Health Net mails providers a notice of contested claims upon initial contesting, as well as 30 days after, if the beneficiary is still in the grace period. Upon the beneficiary's payment of all outstanding premiums that results in his or her reinstatement of eligibility, or upon expiration of the grace period that results in the beneficiary's termination as of the last day of the first month of the grace period, Health Net processes these claims accordingly.

Providers are under no contractual obligation to provide services during the suspended coverage period and may require patients to pay for care directly or agree to a payment guarantee in the event they eventually disenroll at the end of the grace period.

## Professional Claim Editing

### Physicians

Health Net has a contractual relationship with Cotiviti to provide a technology solution for professional claim edit policy management. Using Cotiviti's services, Health Net has the ability to apply advanced contextual processing for application of Health Net edit logic. Health Net also uses another editing vendor, Verscend, to perform a secondary review after Cotiviti.

The process is as follows:

- Health Net customizes and controls the selection of all edit policy.
- Claims are transferred through various interfaces to Cotiviti every night.
- Cotiviti reviews each claim in the file and renders coding recommendations based on Health Net's edit policy.

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- After Cotiviti review, if there are any unedited lines remaining, they are sent to Verscend for a secondary review.
- Once all reviews are complete edit recommendations from the vendors are then applied to the claims.

Cotiviti and Verscend also provide management support services, including edit policy advisory services. The vendor's Medical Policy teams conduct ongoing research into payment policy sources, including, but not limited to, the Centers for Medicare and Medicaid Services (CMS), the American Medical Association (AMA) and other specialty academies, to provide Health Net with the necessary information to make informed decisions when establishing edit policy.

## Professional Stop Loss

### Participating Physician Groups (PPG)

The following applies to participating physician groups (PPGs) participating in the Health Net professional stop loss program. Unless otherwise specified in the PPG's Health Net Provider Participation Agreement (PPA), the terms of the program are described below.

Professional stop loss limits the PPG's liability for providing capitation services rendered by participating providers to Health Net members. The PPG's liability for capitation services provided to a Health Net member in a calendar year for a standard contract is limited to the amount specified in the PPA. PPGs must select a professional stop loss level that is acceptable to Health Net and inform Health Net of its selection 60 calendar days prior to the beginning of the calendar year the stop loss level becomes effective. The cost of professional stop loss is deducted from the PPG's monthly capitation; however, if permitted under the PPG's PPA, the PPG may elect to purchase stop loss from a third party. If a PPG elects to purchase stop loss from a third party, it must provide Health Net with proof of stop loss acceptable to Health Net in accordance with the PPA. Self-insurance or stated reserves for Incurred But Not Reported (IBNR) is not stop loss.

When the PPG has made payments exceeding the applicable professional stop loss level and has purchased professional stop loss from Health Net, the PPG must complete and submit a [Health Net PPG Professional Claim form \(PDF\)](#) to Health Net.

## Payment Request Submission Requirements

The allowable payment for claims of treating providers under the stop loss program is based on terms set forth in the PPA. If, after Health Net's calculation, the PPG finds that its costs for capitated services provided to a member have exceeded the stop loss threshold, the PPG may make a request for payment under the stop loss program.

Professional stop loss is calculated and paid on a calendar year basis. The PPG notifies the [Health Net Reinsurance Unit](#) about eligible professional stop loss cases by supplying the member's name and subscriber identification number.

Treating providers' professional claims submitted through the automated encounter submission process qualify for inclusion in the professional stop loss program, subject to the following exceptions:

The PPG must submit hard copy claims (CMS-1500 or UB-04) of treating providers for multiple surgical procedures, unlisted procedures or unclassified medications, anesthesia time units for anesthesia charges, and

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any other procedures that are required for further clarification. The PPG should provide its proof of payment (such as Explanation of Benefits (EOB) or Explanation of Payment (EOP)) to treating providers. In order to receive timely payments, the PPG must submit requests for payment and encounter data to Health Net within the timely filing limit set forth in the PPG's PPA.

Requests for payment of the PPG's costs are not processed if the treating provider's claims are incomplete or inaccurate. To receive credit for treating providers' claims, the PPG must resubmit them to Health Net with complete and accurate information. Final adjudication reports, if required, are forwarded to the PPG.

Professional stop loss requests for payment for the current year must be submitted by the PPG on or before April 30 of the following year or within the timely filing limit set forth in the PPA.

## Requests for Payment Processing

Health Net excludes all non-covered items from a treating provider's claim prior to processing a PPG's request for payment under the professional stop loss program. The following are not reimbursable through professional stop loss:

- Services eligible for payment or paid through insured services, shared-risk or eligibility guarantee.
- Services during a period in which the member's contract is not in effect.
- Services not covered as a benefit through the plan in which the member is enrolled.
- Services provided in connection with workers' compensation.
- Services for which benefits are reimbursable through coordination of benefits (COB) and third-party liability.
- Copayments required to be paid by Health Net members.

Health Net bases final payment under the professional stop loss program on the calculation of expenses incurred in reaching the professional stop loss level in accordance with terms of the PPG's PPA.

Any amounts exceeding the PPG's attachment point are reimbursed at a negotiated rate specified in the PPA.

The first step in processing the treating provider's claim is calculating the total allowable amount for the claim excluding non-covered items. If the allowable amount does not exceed the PPG's attachment point, the PPG's request for payment pertaining to that treating provider's claim is denied. If the total allowable amount for the treating provider's claim exceeds the PPG's attachment point, a negotiated percentage of the amount exceeding the attachment point is credited to the PPG. Health Net is not under any obligation to pay the PPG for any request for payment not submitted within 120 days of the treating provider's rendition of contract services.

Each PPG must maintain records of services provided by a treating provider in order to determine when the level of liability for covered capitation services has been reached under the stop loss program. The PPG calculates the allowable amounts for professional stop loss monthly, based on the payment schedule set forth in the PPA.

PPGs must maintain the following records for at least one year:

- Services provided by the treating provider, including medical records and accounting records showing copayments paid, for any third-party liability or coordination of benefits (COB) payments.
- Billing from referring physicians or agencies showing the direct cost of the services.
- Treating provider's surgical reports for multiple surgical procedures (modifier -51) and unusual surgical procedures (modifier -22), as well as any surgical procedures with no unit values (BR, SV and RNE).

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- Anesthesia time from surgical reports.

## Refunds

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on refunds, including verpayment procedures and third-party liability recovery.

Select any subject below:

- [Overpayment Procedures](#)

## Overpayment Procedures

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

If a provider is aware of receiving an overpayment made by Health Net, including, but not limited to, overpayments caused by incorrect or duplicate payments by Health Net, errors on or changes to the provider billing or payment by another payer who is responsible for primary payment, the provider must promptly refund the overpayment amount to the Health Net Overpayment Recovery Department with a copy of the applicable Remittance Advice (RA) and a cover letter indicating why the amount is being returned. If the RA is not available, provide member name, date of service, payment amount, Health Net member identification (ID) number, provider tax ID number, and provider ID number.

When Health Net determines that an overpayment has occurred, Health Net notifies the provider of services in writing within 365 days of the date of payment on the overpaid claim through a separate notice that includes the following information:

- Member name
- Claim ID number
- Clear explanation of why Health Net believes the claim was overpaid
- The amount of overpayment, including interest and penalties

The 365-day time period does not apply to overpayments caused in whole or in part by fraud or misrepresentation on the part of the provider.

The provider of service has 30 business days to submit a written dispute to Health Net if the provider does not believe an overpayment has occurred. In this case, Health Net treats the claim overpayment issue as a provider dispute.

- Include a copy of the RA that accompanied the overpayment or the refund request letter to expedite Health Net's adjustment of the provider's account. If neither of these documents are available, the following information must be provided: member name, date of service, payment amount, Health Net member ID number, vendor name and number, provider tax ID number, provider number, vendor number and reason for the overpayment refund. If the RA is not available, it may take longer for Health Net to process the overpayment refund.

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- Send the overpayment refund and applicable details to the [Health Net Overpayment Recovery Department](#). If a provider is contacted by a third-party overpayment recovery vendor acting on behalf of Health Net, such as HMS, Optum, Rawlings, or GB Collects, the provider should follow the overpayment refund instructions provided by the vendor.

Health Net may recoup uncontested overpayments by offsetting overpayments from payments for a provider's current claims for services if:

- The provider's Provider Participation Agreement (PPA) authorizes it to offset overpayments from payments for current claims for services.
- Otherwise permitted under state laws.

A written notification is sent to the provider of service if an overpayment is recouped through offsets to claim payments. The notification identifies the specific overpayment and the claim ID number.

## Hospital Overpayments

If Health Net has incorrectly paid a hospital as the primary rather than as the secondary carrier, attach a copy of the primary carrier's explanation of benefits (EOB) with a copy of Health Net's RA highlighting the incorrect or duplicate payments and include a check for the overpaid amount. Also include a written explanation indicating the reason for the refund (for example, other coverage, duplicate or other circumstances). Send the overpayment refund and applicable details to the [Health Net Overpayment Recovery Department](#).

## Reimbursement

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general provider reimbursement information.

Select any subject below:

- [Emergency Claims](#)
- [Endoscopies Classification Reimbursement](#)
- [Reimbursement Amount](#)
- [Pharmacist Services](#)

## Emergency Claims

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net, and its delegated and capitated participating physician groups (PPGs) and hospitals (payers), are required to reimburse, deny or contest each complete emergency claim or portion of each claim as soon as practical, but not later than 45 business days after receipt of the complete claim. Payers may contest or deny a claim or portion of a claim by notifying the provider in writing that the claim is contested or denied within 45 business days after receipt of the claim. If a claim is contested, the notice must identify the portion of the claim that is contested by revenue code, and the specific information needed from the provider to reconsider the

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claim. The notice that a claim is denied must identify the portion of the claim that is denied, and the specific reasons for the denial.

If a claim or portion of a claim is contested on the basis that a payer has not received information reasonably necessary to determine payer liability for the claim, the payer has 45 business days after receipt of this additional information to complete reconsideration of the claim. If reconsideration of the claim (including payment, if appropriate), is not completed within the respective 45 business days after the payer's receipt of the additional information, the payer must pay statutory interest and any other applicable penalties described in California Health and Safety Code section 1371.35(b).

## Complete Emergency Claims

A complete emergency claim is defined as follows:

- A paper claim from a provider is deemed complete when submitted on a completed UB-04 and includes submission of a legible emergency room (ER) report and other reasonable relevant information requested
- An electronic claim from a provider is deemed complete when submitted on an electronic equivalent to the UB-04 and other requested reasonable relevant information has been received. If the payer requests a copy of the ER report, the payer may also request additional reasonable relevant information
- A claim from a provider is deemed complete when submitted on a completed CMS-1500, or its electronic equivalent, and any requested reasonable relevant information has been received

## Delegation

The obligations of Health Net to ensure compliance with claims settlement laws are not waived when Health Net contracts with delegated and capitated PPGs or hospitals that agree to assume risk and pay claims for covered services.

## Interest Charged for Late Payment

A payer's late payment of a complete emergency claim, or portion thereof, that is neither contested or denied, must automatically include the greater of \$15 for each 12-month period or portion thereof on a non-prorated basis, or interest at 15 percent per year for the period of time that the payment is late. If the late payment does not automatically include interest, an additional \$10 is paid to the provider of service.

If the responsible payer fails to notify the provider of service in writing of a denied or contested claim, or portion thereof, and ultimately pays the claim in whole or in part, computation of the interest begins 45 business days after the date the claim was originally received.

## Exceptions

Payment of interest or late charges does not apply to claims where there is evidence of fraud and misrepresentation or instances where a payer has not been granted reasonable access to information under





the provider's control. Health Net specifies, in a written notice sent to the provider within the 45-business-day time frame, which of these exceptions apply to the claim.

## Endoscopies Classification Reimbursement

Provider Type: Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net uses the Endoscopy Matrix to classify an outpatient endoscopy as a diagnostic test or therapeutic (surgical) procedure, regardless of place of service. If the Provider Participation Agreement (PPA) does not include CPT codes specific to endoscopies identifying them as diagnostic testing or therapeutic (surgical) procedures, providers should refer to the [Endoscopy Matrix \(PDF\)](#). Once the provider has determined whether the endoscopic procedure is a diagnostic test or therapeutic (surgical) procedure, the claim is processed as follows:

- Diagnostic test - Health Net determines financial responsibility and reimbursement methodology according to the Division of Financial Responsibility (DOFR) for diagnostic testing in the PPA.
- Therapeutic (surgical) procedure - Health Net determines financial responsibility and reimbursement methodology according to the DOFR for therapeutic (surgical) procedures in the PPA.

If the PPA includes specific reimbursement language regarding endoscopies that is inconsistent with the information above, Health Net determines financial responsibility according to the language in the PPA. The matrix is not intended to be used to determine a patient's covered benefits or copayment obligations.

## Reimbursement Amount

Provider Type: Physicians

The Health Net Provider Participation Agreement (PPA) specifies that contracting providers agree to accept the contract amount as payment in full for covered services. Payment is based on the rates in the PPA. Providers must use the correct codes for billing procedures, as stated in the PPA.

When a member receives covered services from a participating provider, the member is not financially responsible. The provider may not charge the member for any expenses except copayments or coinsurance or deductibles, if applicable.

The provider may not charge the member for medical services that Health Net has denied as not medically necessary, unless the member has agreed in writing to be responsible for payment of such charges prior to receiving services.

## Pharmacist Services

Provider Type: Participating Physician Groups (PPG)





Pharmacists may bill for covered services that are within the pharmacist's scope of practice and follow certain conditions for members. Pharmacists must be reimbursed for these services under the member's medical benefit.

Participating physician groups (PPGs) must pay pharmacists for services that are within their professional scope. This applies to pharmacist services delivered in both in-network pharmacies and, if the member has this covered in their pharmacy benefit, out-of-network pharmacies. Pharmacists will only be reimbursed under the following conditions:

- Services performed are within the lawful scope of practice of the pharmacist.
- The member's coverage provides reimbursement for identical services performed by other licensed health care providers.

PPGs are responsible for reimbursing duly licensed pharmacist delivered services under their Division of Financial Responsibility for the category of the service description.

## Reinsurance

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section includes general information on reinsurance processes.

Select any subject below:

- [Hospital Reinsurance](#)
- [Shared Risk Reinsurance](#)
- [Special Risk Reinsurance](#)
- [Transfer Reinsurance](#)

## Hospital Reinsurance

Provider Type: Hospitals

Hospitals that receive capitation to provide institutional risk services are referred to as capitated dual-risk hospitals. Unless otherwise provided in the hospital's Provider Participation Agreement (PPA), the terms of the program are described below.

The capitated hospital's liability for institutional risk services provided to a Health Net member in a calendar year is limited to a negotiated amount. This amount is known as the attachment point or hospital reinsurance level.

When the capitated hospital provides institutional risk services that exceed the applicable hospital reinsurance level per member per calendar year, the hospital submits reinsurance requests for payment to the [Health Net Reinsurance Unit](#). Capitated hospitals are required to purchase reinsurance from Health Net. The cost of hospital reinsurance is deducted from the hospital's monthly capitation, as stated in the PPA. However, if permitted under the hospital's PPA, the hospital may elect to purchase reinsurance from a third party. If a hospital elects to purchase reinsurance from a third party, it must provide Health Net with proof of insurance

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acceptable to Health Net in accordance with the PPA. Self-insurance or stated reserves for Incurred But Not Reported (IBNR) is not reinsurance.

## Non-Covered items

The following charges are not included or payable through hospital reinsurance:

- Services eligible for payment through insured services, professional stop loss, eligibility guarantee, or out-of-area reinsurance.
- Services provided when the member is not eligible.
- Services not covered through the plan in which the member is enrolled.
- Services that are the PPG's liability and covered through capitation.
- Services provided in connection with workers' compensation or services for which benefits are reimbursable through coordination of benefits (COB) and third-party liability.
- Copayments required by a member's Health Net plan.

## Requests for Payment Submission

Attach the following information to the hospital reinsurance request for payment:

- [PPG Professional Batch form \(PDF\)](#) and cover letter from the hospital specifying that the request for payment is under the hospital reinsurance program.
- Dual-risk claims from treating hospitals, with Explanation of Benefit (EOB) or explanation of payment (EOP) by capitated hospital attached.
- Medical records and operation reports.

## Requests for Payment Processing

Requests for payment are processed by calculating the total allowable amount. If the amount does not exceed the hospital's attachment point (refer to the hospital's PPA for the attachment point), the request for payment is denied. If the total allowable amount exceeds the attachment point, the amount exceeding the attachment point is credited to the hospital.

## Shared Risk Reinsurance

Provider Type: Participating Physician Groups (PPG)

Health Net shared-risk reinsurance limits the participating physician group's (PPG's) responsibility under the shared-risk program to a negotiated limit for shared-risk services and out-of-area emergency services. Unless otherwise provided in the PPG's Provider Participation Agreement (PPA), the terms of the shared-risk reinsurance program are described below.

Shared-risk PPGs are required to purchase reinsurance from Health Net. The cost of shared-risk reinsurance is deducted from the PPG's shared-risk budget. However, if permitted under the PPG's PPA, the PPG may elect to purchase reinsurance from a third party. It must provide Health Net with a copy of the declaration page from

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the reinsurance policy on an annual basis. Self-insurance or stated reserves for Incurred But Not Reported (IBNR) is not reinsurance.

## Out-of-Area Urgent and Emergency Services

Out-of-area urgent and emergency (collectively, emergency) services are covered under the shared-risk reinsurance program. Health Net processes and pays treating provider claims for hospital and professional emergency services provided more than 30 air miles from the member's primary care physician's (PCP's) office or outside the PPG's service area as defined in the PPG's PPA. Ambulance charges for transporting the member are also included in costs eligible for shared-risk reinsurance.

When a member gives birth (including cesarean section) outside the member's PPG's service area, professional and institutional charges are treated as arising from an out-of-area emergency and are eligible for shared-risk reinsurance. The member's PPG must arrange for or authorize any follow up care in order for the delivery and follow up care to be eligible for shared-risk reinsurance.

The costs of treating providers' claims for non-emergency treatment outside a 30 air mile radius from the member's PCP's office, or outside the PPG's service area as defined in the PPG's PPA, are excluded from the shared-risk reinsurance program and are the responsibility of the member unless authorized by the PPG. Refer to the Out-of-Area Emergency Services topic in the PPA for additional information.

## Shared-Risk Claims from Treating Providers

The PPG must forward shared-risk claims received from providers of service or members to the [Medicare Advantage Claims Department](#), [HMO Claims Department](#) for processing within 10 business days following the receipt of the claims.

All shared-risk claim payments are made directly to the provider of the service, unless it is indicated that the member has already made payment. Incomplete claims are returned to the provider of service. Out-of-area claims payments in conjunction with a non-participating hospital are paid to the member, unless there is an assignment of benefits. Health Net pays claims included in the shared-risk reinsurance program throughout the year.

## Settlement of Reinsurance

The monthly Shared-Risk Report sent to PPGs shows claims over the attachment point included as the PPG's shared-risk costs for shared-risk services. At the end of each year, these claims are removed from the shared-risk cost account. Out-of-area emergency claims do not appear on the monthly Shared-Risk Services Report.

Health Net settles costs associated with payments it makes to treating providers under the shared-risk reinsurance program, which exceed the attachment point for a calendar year at the same time Health Net makes the shared-risk settlement. At that time, Health Net identifies costs attributable to members' claims that have exceeded the attachment point and issues a report. Adjustments are made to the PPG's shared-risk budget based on this report.



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## Special Risk Reinsurance

Provider Type: Participating Physician Groups (PPG) | Hospitals

The following applies to capitated participating physician groups (PPGs) or hospitals that have purchased special-risk reinsurance from Health Net, as indicated in the PPG and hospital Provider Participation Agreement (PPA). Unless otherwise provided in the PPG or hospital's PPA, the terms of the special-risk reinsurance program are described below.

Special-risk reinsurance limits PPG or hospital liability for some of the expenses incurred for claims of professional, institutional and pharmacy providers for services they provide to members with AIDS. When purchased by the PPG or hospital, Health Net pays the PPG's or hospital costs attributable to these services.

Reinsurance amounts for HMO members are deducted from the PPG's or hospital's monthly capitation, as set forth in the PPA. For Point of Service (POS) members, reinsurance premiums are deducted from the PPG's capitation and shared-risk budget in accordance with the terms of the PPG's PPA.

PPGs and hospitals that elect not to participate in the special-risk reinsurance program are financially responsible for paying treating providers' and facilities' claims for services related to members diagnosed with AIDS, including AIDS-related pharmacy claims, where it is their financial responsibility under the PPA Division of Financial Responsibility (DOFR). When the PPG or hospital does not participate in the special-risk reinsurance program, AIDS-related pharmacy claims that are the PPG's or hospital's respective responsibility and paid by Health Net are deducted from the PPG or hospital's monthly capitation to cover these claims.

## Diagnoses Associated with AIDS

Special-risk reinsurance also covers the cost of treating members with specific diagnoses associated with AIDS, notwithstanding whether the member is positive for HIV.

Specific diagnoses include:

- Candidiasis of esophagus, trachea, bronchi, or lungs
- Cryptococcosis, extrapulmonary
- Cryptosporidiosis with diarrhea in a person older than one month
- Cytomegalovirus disease of an organ other than liver, spleen, or lymph nodes in a person older than one month
- Kaposi's sarcoma in a person under age 60
- Lymphoma of the brain (primary) in a person under age 60
- Mycobacterium avium complex/M. kansasii disease, disseminate
- Pneumocystis carinii pneumonia
- Progressive multifocal leukoencephalopathy
- Toxoplasmosis of the brain in a person older than one month
- Herpes simplex virus with an ulcer lasting longer than one month or herpes simplex virus with bronchitis, pneumonia or esophagitis in a person older than one month

Additional diagnoses associated with AIDS require a positive HIV status in order to be covered through special-risk reinsurance. These diagnoses include:

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- CD4 T-lymphocyte count less than 200
- More than one episode of recurrent pneumonia in one year
- Invasive cervical cancer
- Coccidiomycosis, disseminated
- HIV encephalopathy
- Histoplasmosis, disseminated
- Isosporiasis with diarrhea in an individual older than one month
- Non-Hodgkin's lymphoma
- Tuberculosis
- Recurrent salmonella septicemia
- HIV wasting syndrome

## Covered Services and Payment Determination

Special-risk reinsurance covers the cost of treating members with specific diagnoses associated with AIDS, with or without HIV positivity. Claims for members who have an HIV-positive test only, with no symptoms, are not qualified to be processed through special-risk reinsurance.

In the event that the PPG or hospital fails to receive prior authorization from Health Net for an elective AIDS-related admission or fails to notify Health Net of such an admission, Health Net has the right to deny requests for payment under the special-risk reinsurance program. The costs not covered under the special-risk reinsurance program are applied to shared-risk costs or are the PPG's or hospital's financial responsibility.

## Elective AIDS Admissions

PPGs and hospitals must receive prior authorization from the Health Net Medical Management Department for an elective AIDS-related admission. PPGs and hospitals must notify Health Net's Medical Management Department in a timely manner of urgent or emergency AIDS-related admissions for members with AIDS who are receiving anti-viral home infusion treatments and members with AIDS who are receiving total parenteral nutrition. Timely notification is defined as within 24 hours of admission or initial treatment, or the next business day following an admission or initial treatment on a holiday or weekend.

## Requests for Payment Submission

To request payment for AIDS-related costs through special risk reinsurance, PPGs and hospitals must submit requests for payment to the [Health Net Reinsurance Claims Unit](#). A Health Net [PPG Professional Batch form \(PDF\)](#) must be completed as follows and submitted with applicable documentation:

- Special-Risk Reinsurance written at the top of the form
- CMS-1500 or UB-04 form from treating provider:
  - Original copies or a very clear photocopy
  - Itemized bills attached to each inpatient claim
  - Itemized bills attached to each electronic claim
- Copy of the Explanation of Benefits (EOB) or payment
- Items are not highlighted
- Copies of authorization for nuclear medicine claims by treating provider

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- A completed [Special-Risk Pool Member Identifier Form A \(PDF\)](#) for first time requests for payment in place of the member's medical records. Include date member was first diagnosed with AIDS (symptomatic HIV infection). Form must be signed by PPG staff member ensuring records review occurred and case met criteria for special-risk reinsurance program
- AIDS-related conditions specified (refer to Attachment A for a list of Centers for Disease Control and Prevention (CDC) diagnoses criteria)
- A completed [Special Risk Pool Claims Submission Form B \(PDF\)](#) for all subsequent requests for payment for the same member

Health Net has modified the requirements for submitting requested medical records in view of member confidentiality concerns. Health Net no longer requires regular submission of the following items, but may request them in individual cases:

- Treating provider's medical records and lab reports (for example, CD4T - Lymphocyte count and HIV test result only) for members not established in special-risk reinsurance to determine if criteria are met
- Copies of treating provider's medical records with each subsequent claim submission when diagnosis on claim does not match the criteria matrix
- List of medications prescribed by a treating provider to member. Medications should match the procedures or examination charges

PPGs and hospitals must submit all requests for payment and related records within 120 days of the date of service. Health Net denies reimbursement for claims received after 120 days of the date the service is provided, and the PPG or hospital has full responsibility for the service.

Send the claim and attachments to the [Health Net Reinsurance Claims Unit](#).

Requests for payment are processed in accordance with Health Net's procedures and terms of the PPA. Health Net subtracts from the payment the amount due to the PPG or hospital, such as any copayments the provider of service may collect and any third-party amounts the PPG or hospital collects.

## Transfer Reinsurance

Provider Type: Participating Physician Groups (PPG) | Hospitals

Health Net's member transfer policy allows members undergoing medical treatment to transfer to an alternate participating physician group (PPG). The Transfer Reinsurance program is designed to mitigate PPG and hospital financial risk under the member transfer policy. This program is offered in Los Angeles, Riverside, and San Bernardino counties. Health Net reserves the right to discontinue this program after any calendar year. Unless otherwise provided in the Provider Participation Agreement (PPA), the terms of the Transfer Reinsurance program are described below.

Hospitalized members are required to wait until they are discharged before Health Net approves a transfer, and members must work or live within the service area of the selected PPG.

## Cost

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The cost of transfer reinsurance is stated in each PPG's and hospital's PPA. The cost is split with capitated hospitals, if applicable. Shared-risk PPGs and hospitals have the cost of transfer insurance deducted equally from professional capitation and the shared-risk pool.

## Exclusions

The Transfer Reinsurance program does not include members enrolled in Medicare Supplement, Flex-Funded, and Point-of-Service (POS) benefit programs. Requests for payment of costs related to claims of treating providers for services to members assigned to a PPG through new member or open enrollment or due to a change of home or work address, are not eligible for payment under the Transfer Reinsurance program.

Members covered under the Special-Risk Reinsurance program do not qualify for coverage under this program.

If a member qualifies for coverage under the Transfer Reinsurance program and another Health Net reinsurance program, the other reinsurance program applies.

## Thresholds

The PPG's cost for services provided to the member must reach the threshold amounts stated in the hospital or PPG PPA before the Transfer Reinsurance program covers costs for treating providers' claims related to any service. These threshold amounts must be incurred within 180 days of the effective date of the member's transfer and assignment to the PPG in order for transfer reinsurance to take effect.

## Requests for Payment Submission

Submit requests for payment on a [Health Net PPG professional Batch form \(PDF\)](#), with Transfer Reinsurance written at the top, to the [Health Net Reinsurance Unit](#). Requests for payment must be submitted within 120 calendar days after meeting the threshold.

# Schedule of Benefits and Summary of Benefits

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Health Net's Schedule of Benefits is a summary of services that may be covered under the plan. Benefits listed on the Schedule of Benefits are subject to change. The Schedule of Benefits and Summary of Benefits is updated weekly with new plan, benefit and copayment changes as applicable and can be access on the [Health Net provider portal](#).

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Provider Type: Participating Physician Groups (PPG) | Ancillary

The shared-risk program is a financial agreement in which Health Net and the participating physician group (PPG) share responsibility for costs of services as defined by the PPG's Provider Participation Agreement (PPA). Shared-risk services may include health services when provided by a hospital, skilled nursing facility, home health agency, residential facility, ambulance service, other specified ancillary services, and outpatient pharmacy costs, as set forth in the PPA.

For more information, select any subject below:

- [Shared Risk Settlement](#)
- [Shared-Risk Reporting](#)

## Shared-Risk Reporting

Provider Type: Participating Physician Groups (PPG)

At the end of each month, all claim payments made by Health Net to treating providers (reconciliation claims) in that month are listed on the CLRM02S-ICE Shared-Risk Paid Claims Report and the Actuarial Injectable Risk Report is available on the [provider portal websites](#) > Reports under Welcome.

These reports list each reconciliation claim that Health Net paid using funds from the participating physician group's (PPG's) shared-risk budget. The CLRM02S-ICE report does not take into account the terms of specific individual Provider Participation Agreements (PPAs).

Health Net also provides the PPG with electronic monthly shared-risk reports within 60 days following the end of the month that is being reported. Health Net also provides the PPG with shared-risk status reports showing an estimated mid-year settlement within 90 days following the end of the first six months of the calendar year.

## CLRM02S-ICE Version Shared-Risk Paid Claims Report Dispute

If a PPG feels that a claim was charged erroneously to the shared-risk budget, the PPG must document the charges in question (subscriber identification number, member's name, dates of service, amount paid, and other necessary information) and send this information to the [Health Net Research and Resolution Unit](#) within 90 days from the date the payment by Health Net was first reported to the PPG.

## Actuarial Injectable Risk Report Dispute

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If a PPG feels that a claim was charged erroneously to the shared-risk budget, the PPG must document the charges in question (subscriber identification number, member's name, dates of service, amount paid, and other necessary information) and send this information to the PPG's provider network representative within 90 days from the date of payment.

## Paid Claims Report Field Descriptions

Field descriptions for the shared-risk paid claims report are contained in the CLRM02S-ICE Report located on [provider portal websites](#) > Reports under Welcome.

### Shared Risk Settlement

Provider Type: Participating Physician Groups (PPG)

[Shared-risk settlement \(PDF\)](#) is calculated by using the shared-risk formula and is based on both paid and incurred claim costs during the year. A final settlement is made within 120 days after the end of a calendar year. Claims incurred in the calendar year, but not received within 90 days after the end of that year, are charged against the following year's shared-risk budget.

### Timely Filing Criteria

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

If a claim is denied for timely filing, but the provider can demonstrate good cause for the delay, Health Net accepts and adjudicates the claim as if it were submitted in a timely manner. The Health Net Provider Appeals Unit considers and makes the determination of whether or not there is a good cause for the delay. Health Net has standardized guidelines for showing good cause for delay and goodwill adjustments.

## Good Cause for Delay Guidelines

Good cause for delay applies for providers who received misinformation from members or Health Net that caused timely filing claim denials and can demonstrate good cause for claim submission delays within the guidelines below:

- The delay was not reasonably in the provider's sole ability to control. For example: The provider received misinformation from the member and the provider is submitting one of the following:
  - Patient information form and/or member identification (ID) card presented by the Health Net member.
  - Explanation of benefit (EOB) from incorrect carrier and/or participating physician group (PPG).
  - The provider has followed Health Net instructions.
  - Circumstances existed that the provider could not foresee or prevent.
- The length of the delay was such that it was unreasonably difficult or impossible for the provider, in the normal course of business, to file the claim in a timely manner.



- The delay was not the result of the provider's negligent or willful action or inaction.

## Other Adjustments Guidelines

For providers who can show proof of claim timely filing, Health Net gives consideration to other provider claim adjustments. The other adjustment policy guidelines are as follows:

- The provider submits proof in the form of one of the following:
  - Electronic data interchange (EDI) confirmation that Health Net received and accepted the claim.
  - Delivery confirmation evidence (for example, registered receipt or certified mail receipt to a Health Net address).
  - Screen print from accounting software to show the date the claim was submitted.

## When Medicare is a Secondary Payer

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

Health Net works to coordinate member benefits with identified third-party payers, which may include private and government insurance plans. Medicare is generally the primary payer for a member unless the member's current situation dictates his or her private insurance plan is primary to Medicare, such as when the member is actively employed and covered by an employer group benefit plan. In such cases, and when Medicare has previously paid for services as the primary carrier, Medicare issues a Medicare secondary payer (MSP) recovery demand letter. The demand letter includes the participating provider liability claims and claims details and requests a refund from the employer directly and Health Net indirectly as the employer's designated health plan.

If Health Net determines that the MSP recovery demand contains provider liability claims, Health Net sends the provider's MSP contact a demand letter with detailed instructions for responding to the demand, a spreadsheet listing the claims, and a copy of all claims that require provider intervention. (Centers for Medicare and Medicaid Services (CMS) Medicare Secondary Manuals 100-05 Chapters 1-4)

Providers who have questions, contact the [Health Net Provider Services Center](#) or the [Medicare Provider Services Center](#).

## Claims Coding Policies

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section describes Health Net's claims coding process and policies.

Select any subject below:

- [Code Editing](#)

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Provider Type: Physicians

The plan uses Health Insurance Portability and Accountability Act (HIPAA)-compliant clinical claims editing software for physician and outpatient facility coding verification. The software detects, corrects and documents coding errors on provider claim submissions prior to payment. The software contains clinical logic which evaluates medical claims against principles of correct coding utilizing industry standards and government sources. These principles are aligned with a correct coding rule. When the software identifies a claim that does not adhere to a coding rule, a recommendation known as an edit is applied to the claim. When an edit is applied to the claim, a claim adjustment should be made.

While code editing software is a useful tool to ensure provider compliance with correct coding, a fully automated code editing software application will not wholly evaluate all clinical patient scenarios. Consequently, the plan uses clinical validation by a team of experienced nursing and coding experts to further identify claims for potential billing errors. Clinical validation allows for consideration of exceptions to correct coding principles and may identify circumstances where additional reimbursement is warranted. For example, clinicians review all claims billed with modifiers -25 and -59 for clinical scenarios which justify payment above and beyond the basic service performed.

Moreover, the plan may have policies that differ from correct coding principles. Accordingly, exceptions to general correct coding principles may be required to ensure adherence to health plan policies and to facilitate accurate claims reimbursement.

## CPT and HCPCS Coding Structure

Current Procedural Terminology (CPT) codes are a component of the Healthcare Common Procedure Coding System (HCPCS). The HCPCS system was designed to standardize coding to ensure accurate claims payment and consists of two levels of standardized coding. CPT codes belong to the Level I subset and consist of the terminology used to describe medical terms and procedures performed by health care professionals. CPT codes are published by the American Medical Association (AMA). CPT codes are updated (added, revised and deleted) on an annual basis.

1. Level I HCPCS Codes (CPT): This code set is comprised of CPT codes that are maintained by the AMA. CPT codes are a 5-digit, uniform coding system used by providers to describe medical procedures and services rendered to a patient. These codes are then used to bill health insurance companies.
2. Level II HCPCS: The Level II subset of HCPCS codes is used to describe supplies, products and services that are not included in the CPT code descriptions (durable medical equipment, orthotics, prosthetics, etc.). Level II codes are an alphabetical coding system and are maintained by Centers for Medicare and Medicaid Services (CMS). Level II HCPCS codes are updated on an annual basis.
3. Miscellaneous/Unlisted Codes: The codes are a subset of the Level II HCPCS coding system and are used by a provider or supplier when there is no existing CPT code to accurately represent the services provided. Claims submitted with unlisted codes are subject to a manual review. To facilitate the manual review, providers are required to submit medical records with the initial claims submission. If the records are not received, the provider will receive a denial indicating that medical

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records are required. Providers billing unlisted codes must submit medical documentation that clearly defines the procedure performed, including, but not limited to, office notes, operative report, pathology report, and related pricing information. Once received, a registered nurse reviews the medical records to determine if there was a more specific code(s) that should have been billed for the service or procedure rendered. Clinical validation also includes identifying other procedures and services billed on the claim for correct coding that may be related to the unlisted code. For example, if the unlisted code is determined to be the primary procedure, then other procedures and services that are integral to the successful completion of the primary procedure should be included in the reimbursement value of the primary code.

4. Temporary National Codes: These codes are a subset of the Level II HCPCS coding system and are used to code services when no permanent, national code exists. These codes are considered temporary and may only be used until a permanent code is established. These codes consist of G, Q, K, S, H and T code ranges.
5. HCPCS Code Modifiers: Modifiers are used by providers to include additional information about the HCPCS code billed. On occasion; certain procedures require more explanation because of special circumstances. For example, modifier -24 is appended to evaluation and management (E/M) services to indicate that a patient was seen for a new or special circumstance unrelated to a previously billed surgery for which there is a global period.

## International Classification of Diseases (ICD-10) Code Set

These codes represent classifications of diseases and related health problems. They are used by healthcare providers to classify diseases and other health problems.

## Revenue Codes

These codes indicate the type of procedure performed on patients and where the service was performed. These codes are billed by institutional providers. HCPCS codes may be required on the claim in addition to the revenue code.

## Edit Sources

The claims auditing software contains a comprehensive set of rules addressing coding inaccuracies, such as: unbundling, frequency limitations, fragmentation, up-coding, duplication, invalid codes, mutually exclusive procedures, and other coding inconsistencies. Each rule is linked to a generally accepted coding principle. Guidance surrounding the most likely clinical scenario is applied. This information is provided by clinical consultants, health plan medical directors, research, etc.

The software applies edits that are based on the following sources.

- CMS, National Correct Coding Initiative (NCCI) for professional and facility claims. The NCCI edits include Column one/Column two, medically unlikely edits (MUE), exclusive and outpatient code editor (OCE) edits. These edits were developed by CMS to control improper coding leading to inappropriate payment.

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- Public domain specialty society guidance (such as, American College of Surgeons, American College of Radiology, and American Academy of Orthopedic Surgeons).
- Medicare Claims Processing Manual.
- NCCI Policy Manual for Medicare Services.
- State Provider Manuals, Fee Schedules, Periodic Provider Updates (bulletins/transmittals).
- CMS coding resources, such as, HCPCS Coding Manual, Medicare Physician Fee Schedule (MPFS), Provider Benefit Manual, MLN Matters and Provider Transmittals.
- AMA resources:
  - CPT Manual
  - AMA Website
  - Principles of CPT Coding
  - Coding with Modifiers
  - CPT Assistant
  - CPT Insider's View
  - CPT Assistant Archives
  - CPT Procedural Code Definitions
  - HCPCS Procedural Code Definitions
- Billing Guidelines Published by Specialty Provider Associations:
  - Global Maternity Package data published by the American Congress of Obstetricians and Gynecologists (ACOG)
  - Global Service Guidelines published by the American Academy of Orthopedic Surgeons (AAOS)
- State-specific policies and procedures for billing professional and facility claims.
- Health plan policies and provider contract considerations.

## Code Editing and the Claims Adjudication Cycle

Code editing is the final stage in the claims adjudication process. Once a claim has completed all previous adjudication phases (such as benefits and member/provider eligibility review), the claim is ready for analysis.

As a claim progresses through the code editing cycle, each service line on the claim is processed through the code editing rules engine and evaluated for correct coding. As part of this evaluation, the prospective claim is analyzed against other codes billed on the same claim as well as previously paid claims found in the member/provider history.

Depending upon the code edit applied, the software will make the following recommendations:

- Deny: Code editing recommends the denial of a claim line. The appropriate explanation code is documented on the provider's explanation of payment along with reconsideration/appeal instructions.
- Pend: Code editing recommends that the service line pend for clinical review and validation. This review may result in a pay or deny recommendation. The appropriate decision is documented on the provider's explanation of payment along with reconsideration/appeal instructions.
- Replace and Pay: Code editing recommends the denial of a service line and a new line is added and paid. In this scenario, the original service line is left unchanged on the claim and a new line is added to reflect the software recommendations. For example, an incorrect CPT code is billed for the member's age. The software will deny the original service line billed by the provider and add a new service line with the correct CPT code, resulting in a paid service line. This action does not alter or change the provider's billing as the original billing remains on the claim.

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## Code Editing Principles

The below principles do not represent an all-inclusive list of the available code editing principles, but rather an area sampling of edits which are applied to physician and/or outpatient facility claims.

### NCCI Procedure-to Procedure (PTP) Practitioner and Hospital Edits

CMS National Correct Coding Initiative (NCCI) - refer to the CMS website at [www.cms.gov/Medicare/Coding/NationalCorrectCodInitEd/index.html](http://www.cms.gov/Medicare/Coding/NationalCorrectCodInitEd/index.html).

CMS developed NCCI to promote national correct coding methodologies and to control improper coding leading to inappropriate payment. CMS has designated certain combinations of codes that should never be billed together, which are known as PTP or Column one/Column two edits. The column one procedure code is the most comprehensive code and reimbursement for the column two code is subsumed into the payment for the comprehensive code. The column two code is considered an integral component of the column one code.

The CMS NCCI edits consist of PTP edits for physicians and hospitals. Practitioner PTP edits are applied to claims submitted by physicians, non-physician practitioners and ambulatory surgical centers (ASC). Hospital PTP edits apply to hospitals, skilled nursing facilities, home health agencies, outpatient physical therapy and speech-language pathology providers, and comprehensive outpatient rehabilitation facilities. While PTP code pairs should not typically be billed together, there are circumstances when an NCCI-associated modifier may be appended to the column two code to identify a significant and separately identifiable or distinct service. When these modifiers are billed, clinical validation will be performed.

## NCCI

### MUE for Practitioners, DME Providers and Facilities

The purpose of the NCCI MUE program is to prevent improper payment when services are reported with incorrect units of service. MUEs reflect the maximum units of service that a provider would bill under most circumstances for a single member, on a single date of service. These edits are based on CPT/HCPCS code descriptions, anatomic specifications, the nature of the service/procedure, the nature of the analyte, equipment prescribing information, and clinical judgment.

### Code Bundling Rules Not Sourced To CMS NCCI Edit Tables

Many specialty medical organizations and health advisory committees have developed rules around how codes should be used in their area of expertise. These rules are published and are available for use by the public

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domain. Procedure code definitions and relative value units are considered when developing these code sets. Rules are specifically designed for professional and outpatient facility claims editing.

## Mutually Exclusive Editing

These are combinations of procedure codes that may differ in technique or approach but result in the same outcome. The procedures may be impossible to perform anatomically. Procedure codes may also be considered mutually exclusive when an initial or subsequent service is billed on the same date of service. The procedure with the highest RVU is considered the reimbursable code.

## Incidental Procedures

These are procedure code combinations in which the less comprehensive procedure is considered clinically integral to the successful completion of the primary procedure and should not be billed separately.

## Global Surgical Period Editing/Evaluation and Management (E/M) Service Editing

CMS publishes rules surrounding payment of an E/M service during the global surgical period of a procedure. The global surgery data is taken from the CMS Medicare Fee Schedule Database (MFSDB).

Procedures are assigned a 0-, 10- or 90-day global surgical period. Procedures assigned a 90-day global surgery period are designated as major procedures. Procedures assigned a 0- or 10-day global surgical period are designated as minor procedures.

E&M services for a major procedure (90-day global period) that are reported one-day preoperatively, on the same date of service or during the 90-day post-operative period are not recommended for separate reimbursement.

E&M services that are reported with minor surgical procedures on the same date of service or during the 10-day global surgical period are not recommended for separate reimbursement.

E/M services for established patients that are reported with surgical procedures that have a 0-day global surgical period are not recommended for reimbursement on the same day of surgery because there is an inherent evaluation and management service included in all surgical procedures.

## Global Maternity Editing Procedures with MMM

Global periods for maternity services are classified as MMM in the Medicare Physician Fee Schedule (MPFS). E&M services billed during the antepartum period (270 days), on the same date of service or during the postpartum period (45 days) are not recommended for separate reimbursement if the procedure code includes antepartum and postpartum care.





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## Diagnostic Services Bundled to the Inpatient Admission (Three-Day Payment Window)

This rule identifies outpatient diagnostic services that are provided to a member within three days prior to and including the date of an inpatient admission. When these services are billed by the same admitting facility or an entity wholly owned or operated by the admitting facility, they are considered to be bundled into the inpatient admission, and therefore, are not separately reimbursable.

## Multiple Code Rebundling

This rule analyzes billing of two or more procedure codes when a single more comprehensive code should have been billed to accurately represent all of the services performed.

## Frequency and Lifetime Edits

The CPT and HCPCS manuals define the number of times a single code can be reported. There are also codes that are allowed a limited number of times on a single date of service, over a given period of time or during a member's lifetime. State fee schedules also delineate the number of times a procedure can be billed over a given period of time or during a member's lifetime. A frequency edit will be applied by code auditing software when the procedure code is billed in excess of these guidelines.

## Duplicate Edits

Code editing will evaluate prospective claims to determine if there is a previously paid claim for the same member and provider in history that is a duplicate to the prospective claim. The software will also look across different providers to determine if another provider was paid for the same procedure, for the same member on the same date of service. Finally, the software will analyze multiple services within the same range of services performed on the same day. For example a nurse practitioner and physician billing for office visits for the same member on the same date of service.

## National Coverage Determination Edits

CMS establishes guidelines that identify whether some medical items, services, treatments, diagnostic services or technologies can be paid under the health plan. These rules evaluate diagnosis to procedure code combinations.

## Anesthesia Edits

This rule identifies anesthesia services that have been billed with a surgical procedure code instead of an anesthesia procedure code.

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## Invalid Revenue to Procedure Code Editing

Identifies revenue codes billed with incorrect CPT codes.

### Assistant Surgeon

Evaluates claims billed as an assistant surgeon that normally do not require the attendance of an assistant surgeon per CMS and American College of Surgeons (ACS) guidelines. Modifiers are reviewed as part of the claims analysis.

### Co-Surgeon/Team Surgeon Edits

CMS and ACS guidelines define whether or not an assistant, co-surgeon or team surgeon is reimbursable and the percentage of the surgeon's fee that can be paid to the assistant, co-surgeon or team surgeon.

### Add-on and Base Code Edits

Identifies claims with an add-on CPT code billed without the primary service CPT code. Additionally, if the primary service code is denied, then the add-on code is also denied. This rule also looks for circumstances in which the primary code was billed in a quantity greater than one when an add-on code should have been used to describe the additional services rendered.

### Bilateral Edits

This rule looks for claims where modifier -50 has already been billed, but the same procedure code is submitted on a different service line on the same date of service without the modifier -50. This rule is highly customized as many health plans allow this type of billing.

### Replacement Edits

These rules recommend that single service lines or multiple service lines are denied and replaced with a more appropriate code. For example, the provider bills several lab tests separately that are included as part of a more comprehensive code. This rule will deny the individual lab test codes and add a service line with the appropriate comprehensive code. This rule uses a crosswalk to determine the appropriate code to add.

### Missing Modifier Edits

This rule analyzes service lines to determine if a modifier should have been reported but was omitted. For example, professional providers would not typically bill the global (technical and professional) component of a service when performed in a facility setting. The technical component is typically performed by the facility and

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not the physician. In some instances, the original service line will be denied and a new service line added with the appropriate modifier. This does not change the original billing, as the original service line remains on the claim.

## Inpatient Facility Claim Editing

### Potentially Preventable Readmissions Edit

This edit identifies readmissions within a specified time interval that may be clinically related to a previous admission. For example, a subsequent admission may be plausibly related to the care rendered during or immediately following a prior hospital admission in the case of readmission for a surgical wound infection or lack of post-admission follow up. Admissions to non-acute care facilities (such as skilled nursing facilities) are not considered readmissions and not considered for reimbursement. CMS determines the readmission time interval as 30 days; however, this rule is highly customizable by state rules and provider contracts.

### Administrative and Consistency Rules

These rules are not based on clinical content and serve to validate code sets and other data billed on the claim. These types of rules do not interact with historically paid claims or other service lines on the prospective claim. Examples include, but are not limited to:

- Procedure code invalid rules: Evaluates claims for invalid procedure and revenue or diagnosis codes.
- Deleted Codes: Evaluates claims for procedure codes which have been deleted.
- Modifier to procedure code validation: Identifies invalid modifier to procedure code combinations. This rule analyzes modifiers affecting payment. As an example, modifiers -24, -25, -26, -57, -58 and -59.
- Age Rules: Identifies procedures inconsistent with member's age.
- Gender Procedure: Identifies procedures inconsistent with member's gender.
- Gender Diagnosis: Identifies diagnosis codes inconsistent with member's gender.
- Incomplete/invalid diagnosis codes: Identifies diagnosis codes incomplete or invalid.

### Prepayment Clinical Validation

Clinical validation is intended to identify coding scenarios that historically result in a higher incidence of improper payments. An example of clinical validation services is the review of modifiers -25 and -59. Code pairs within the CMS NCCI edit tables with a modifier indicator of "1" allow for a modifier to be used in appropriate circumstances to allow payment for both codes. Furthermore, public domain specialty organization edits may also be considered for override when they are billed with these modifiers. When these modifiers are billed, the provider's billing should support a separately identifiable service (from the primary service billed, modifier -25) or a different session, site or organ system, surgery, incision/excision, lesion or separate injury (modifier -59). MA's clinical validation team uses the information on the prospective claim and claims history to determine whether or not it is likely that a modifier was used correctly based on the unique clinical scenario for a member on a given date of service.



CMS supports this type of prepayment review. The clinical validation team uses nationally published guidelines from CPT and CMS to determine if a modifier was used correctly.

## Modifier -59

NCCI states the primary purpose of modifier -59 is to indicate that procedures or non-editing/medical services that are not usually reported together are appropriate under the circumstances. The CPT manual defines modifier -59 as distinct procedural service: Under certain circumstances, it may be necessary to indicate that a procedure or service was distinct or independent from other nonservices performed on the same day. Modifier -59 is used to identify procedures/services, other than editing/medical services, that are not normally reported together, but are appropriate under the circumstances. Documentation must support a different session, different procedure or surgery, different site or organ system, separate incision/excision, separate lesion, or separate injury (or area of injury in extensive injuries) not ordinarily encountered or performed on the same day by the same individual.

Some providers are routinely assigning modifier -59 when billing a combination of codes that will result in a denial due to unbundling. We commonly find misuse of modifier -59 related to the portion of the definition that allows its use to describe different procedure or surgery. NCCI guidelines state that providers should not use modifier -59 solely because two different procedures/surgeries are performed or because the CPT codes are different procedures. Modifier -59 should only be used if the two procedures/surgeries are performed at separate anatomic sites, at separate patient encounters or by different practitioners on the same date of service. NCCI defines different anatomic sites to include different organs or different lesions in the same organ. However, it does not include treatment of contiguous structures of the same organ.

The plan uses the following guidelines to determine if modifier -59 was used correctly:

- The diagnosis codes or clinical scenario on the claim indicate multiple conditions or sites were treated or are likely to be treated.
- Claim history for the patient indicates that diagnostic testing was performed on multiple body sites or areas which would result in procedures being performed on multiple body areas and sites.
- Claim history supports that each procedure was performed by a different practitioner or during different encounters or those unusual circumstances are present that support modifier -59 were used appropriately.
- To avoid incorrect denials providers should assign to the claim all applicable diagnosis and procedure codes used, and all applicable anatomical modifiers designating which areas of the body were treated.

## Modifier -25

Both CPT and CMS, in the NCCI policy manual, specify that by using a modifier -25 the provider is indicating that a significant, separately identifiable E&M service was provided by the same physician on the same day of the procedure or other service. Additional CPT guidelines state that the E&M service must be significant and separate from other services provided or above and beyond the usual pre-, intra- and postoperative care associated with the procedure that was performed.

The NCCI policy manual states that if a procedure has a global period of 000 or 010 days, it is defined as a minor surgical procedure (Osteopathic manipulative therapy and chiropractic manipulative therapy have global periods of 000). The decision to perform a minor surgical procedure is included in the value of the minor surgical procedure and should not be reported separately as an E&M service. However, a significant and



separately identifiable E&M service unrelated to the decision to perform the minor surgical procedure is separately reportable with modifier -25. The E&M service and minor surgical procedure do not require different diagnoses. If a minor surgical procedure is performed on a new patient, the same rules for reporting E&M services apply. The fact that the patient is "new" to the provider is not sufficient alone to justify reporting an E&M service on the same date of service as a minor surgical procedure. NCCI does contain some edits based on these principles, but the Medicare carriers and A/B Medicare administrative contractor (MAC) processing practitioner service claims have separate edits.

The plan uses the following guidelines to determine whether -25 was used appropriately. If any one of the following conditions is met, the clinical nurse reviewer will recommend reimbursement for the E&M service.

- The E&M service is the first time the provider has seen the patient or evaluated a major condition.
- A diagnosis on the claim indicates that a separate medical condition was treated in addition to the procedure that was performed.
- The patient's condition is worsening as evidenced by diagnostic procedures being performed on or around the date of services.
- Other procedures or services performed for a member on or around the same date of the procedure support that an E&M service would have been required to determine the member's need for additional services.
- To avoid incorrect denials, providers should assign all applicable diagnosis codes that support additional E&M services.

## Claim Reconsiderations Related To Code Editing

Claims appeals resulting from claim editing are handled per the provider claims appeals process outlined in this manual. When submitting claims appeals, submit medical records, invoices and all related information to assist with the appeals review.

If you disagree with a code edit or edit and request claim reconsideration, you must submit medical documentation (medical records) related to the reconsideration. If medical documentation is not received, the original code edit or edit will be upheld.

## Viewing Claims Coding Edits

### Code Editing Assistant

The Code Editing Assistant is a Web-based code editing reference tool designed to mirror how the code editing product(s) evaluate code and code combinations during the editing of claims. The tool is available for providers who are registered on our secure provider portal. You can access the tool in the Claims Module by clicking Claim Editing Tool in our secure provider portal.

This tool offers many benefits:

- Prospectively access the appropriate coding and supporting clinical edit clarifications for services BEFORE claims are submitted.
- Proactively determines the appropriate code or code combination representing the service for accurate billing purposes.

The information provided is the most up-to-date as of the date of this publication. For the most up-to-date information, visit [providerlibrary.healthnetcalifornia.com](https://providerlibrary.healthnetcalifornia.com)

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The tool will review what was entered, and will determine if the code or code combinations are correct based on the age, sex, location, modifier (if applicable), or other code(s) entered.

The Code Editing Assistant is intended for use as a "what if" or hypothetical reference tool. It is meant to apply coding logic only. The tool does not take into consideration historical claims information which may be used to determine if an edit is appropriate. The Code Editing Assistant can be accessed from the provider web portal.

## Disclaimer

This tool is used to apply coding logic ONLY. It will not take into account individual fee schedule reimbursement, authorization requirements or other coverage considerations. Whether a code is reimbursable or covered is separate and outside of the intended use of this tool.

## Automated Clinical Payment Policy Edits

Clinical payment policy edits are developed to increase claims processing effectiveness, to decrease the administrative burden of prior authorization, to better ensure payment of only correctly coded and medically necessary claims, and to provide transparency to providers. The purpose of these policies is to provide a guide to medical necessity, which is a component of the guidelines used to assist in making coverage decisions and administering benefits. These policies may be documented as a medical policy or pharmacy policy.

Clinical payment policies are implemented through prepayment claims edits applied within our claims adjudication system. Once adopted by the health plan, these policies are posted on the health plan's provider portal.

Clinical medical policies can be identified by an alpha-numeric sequence such as CP.MP.XX in the reference number of the policy. Clinical pharmacy policies can be identified by an alpha-numeric sequence such as CP.PHAR.XX in the reference number of the policy.

The majority of clinical payment policy edits are applied when a procedure code (CPT/HCPCS) is billed with a diagnosis (es) that does not support medical necessity as defined by the policy. When this occurs, the following explanation (ex) code is applied to the service line billed with the disallowed procedure. This ex code can be viewed on the provider's explanation of payment.

- xE: Procedure Code is Disallowed with this Diagnosis Code(s) Per Plan Policy.

### Examples

Policy Name	Clinical Policy Number	Description
Diagnosis of Vaginitis	CP.MP.97	To define medical necessity criteria for the diagnostic evaluation of vaginitis in members ages 13 or older.



Policy Name	Clinical Policy Number	Description
Urodynamic Testing	CP.MP.98	To define medical necessity criteria for commonly used urodynamic studies.
Bevacizumab (Avastin)	CP.PHAR.93	To ensure patients follow selection criteria for Avastin use.

Some clinical payment policy edits may also occur as the result of a single code denial for a service that is not supported by medical necessity. When this occurs, the following explanation (ex) code is applied to the service line billed with the disallowed procedure. This ex code can be viewed on the provider's explanation of payment.

- xP: Service is denied according to a payment or coverage policy

Policy Name	Clinical Policy Number	Description
Fractional Exhaled Nitric Oxide	CP.MP.103	To clarify that testing for fractionated exhaled nitric oxide (FeNO)  is investigational for diagnosing and guiding the treatment of asthma, as there is insufficient evidence proving it more than or as effective as existing standards of care.

## Clinical Payment Policy Appeals

Clinical payment policy denials may be appealed on the basis of medical necessity. Providers who disagree with a claim denial based on a clinical payment policy, and who believe that the service rendered was medically necessary and clinically appropriate, may submit a written reconsideration request for the claim denial using the provider claim reconsideration/appeal/dispute or other appropriate process as defined in the health plan's provider manual. The appeal may include this type of information:

1. Statement of why the service is medically necessary.
2. Medical evidence which supports the proposed treatment.
3. How the proposed treatment will prevent illness or disability.
4. How the proposed treatment will alleviate physical, mental or developmental effects of the patient's illness.
5. How the proposed treatment will assist the patient to maintain functional capacity.

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6. A review of previous treatments and results, including, based on your clinical judgment, why a new approach is necessary.
7. How the recommended service has been successful in other patients.

## Compliance and Regulations

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section covers general information for providers on compliance and regulation requirements.

Select any subject below:

- [Mandatory Data Sharing Agreement](#)
- [Reproductive Privacy Act](#)
- [Provider Offshore Subcontracting Attestation](#)
- [Communicable Diseases Reporting](#)
- [DMHC-Required Statement on Written Correspondence](#)
- [Federal Lobbying Restrictions](#)
- [Health Net Affiliates](#)
- [Material Change Notification](#)
- [Nondiscrimination](#)

## Mandatory Data Sharing Agreement

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The state of California established the California Health and Human Services (CalHHS) Data Exchange Framework (DxF) to oversee the electronic exchange of health and social services information in California.

Entities listed below must sign a data sharing agreement (DSA). To sign the DSA, go to <https://signdxf.powerappsportals.com>.

Participating entities that must sign a DSA include:

- General acute care hospitals.
- Physician organizations and medical groups.
- Skilled nursing facilities.
- Clinical laboratories.
- Acute psychiatric hospitals.

The Plan may apply a corrective action plan if the agreement is not signed.





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# Reproductive Privacy Act

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

## Reproductive rights, privacy and the exchange of information

Certain businesses handling medical information on sensitive services must develop security policies for data related to gender-affirming care, abortion, abortion-related services, and contraception. California law also prohibits health care providers, plans, contractors, or employers from sharing medical information for investigations or inquiries from other states or federal agencies regarding lawful abortions unless authorized by existing law.

Data for gender-affirming and abortion-related services must be omitted from data exchanged via health information exchanges (HIEs) and not be transmitted to California HIEs.

State law specifically states:<sup>1</sup>

- **A business that electronically stores or maintains medical information on the provision of sensitive services**, including, but not limited to, on an electronic health record system or electronic medical record system, on behalf of a provider of health care, health care service plan, pharmaceutical company, contractor, or employer, must have capabilities, policies, and procedures that enable all of the following:
  - **Limit user access privileges** to information systems that contain medical information related to gender-affirming care, abortion and abortion-related services, and contraception only to those persons who are authorized to access specified medical information.
  - **Prevent the disclosure, access, transfer, transmission, or processing of medical information** related to gender-affirming care, abortion and abortion-related services, and contraception to persons and entities outside of the state of California
  - **Segregate medical information** related to gender-affirming care, abortion and abortion-related services, and contraception from the rest of the patient's record.
  - **Provide the ability to automatically disable access** to segregated medical information related to gender-affirming care, abortion and abortion-related services, and contraception by individuals and entities in another state.

Additionally, state law prohibits the collection or disclosure of information outside California for operational claims payment purposes. State law includes requirements for provider licensing, enhanced protections for individuals and providers in sensitive services and "legally protected health care activity," including preventing the disclosure of medical information related to sensitive services outside the state, segregating such information from the patient's record, and enabling automatic disabling of access by entities outside the state.

- **Legally protected health care activity** includes, but is not limited to:
  - Reproductive health care services,
  - Gender-affirming health care services, and
  - Gender-affirming mental health care services.

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- **Sensitive services** include, but are not limited to:
  - Services related to mental/behavioral health,
  - Sexual and reproductive health,
  - Sexually transmitted infections,
  - Substance use disorder,
  - Gender affirming care, and
  - Intimate partner violence.

## Requirements for providers

Physicians and other health care providers must incorporate and/or adhere to the following:

- Specified businesses that store or maintain medical information regarding sensitive services must develop specific policies, procedures and capabilities that protects sensitive information.
- Health care service plans, providers and others may not cooperate with any inquiry or investigation from any individual, outside state, or federal agency that would identify an individual that is seeking, obtaining, or has obtained an abortion or related services that are lawful in California. Exceptions may be authorized if the individual has provided authorization for the disclosure.
- The exchange of health information related to abortion and abortion-related services is excluded from automatically being shared on the California Health and Human Services Data Exchange Framework.

<sup>1</sup>Information taken or derived from Assembly Bill 352, Senate Bill 345, or information at [https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill\\_id=202320240AB352](https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill_id=202320240AB352) or [https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill\\_id=202320240SB345](https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill_id=202320240SB345).

## Provider Offshore Subcontracting Attestation

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The plan requires notice of any **offshore** subcontracting relationship, involving members' protected health information (PHI) to ensure that the appropriate steps have been taken to address the risks involved with the use of **subcontractors** operating outside the United States.

An example of an offshore subcontracting relationship is a physician, laboratory, medical group, or hospital contracting with an entity to process claims, and that entity uses resources that are not located in the United States to process the provider's claims. The provider is responsible to have processes in place that protect members' PHI.

Participating providers who use offshore subcontractors to process, handle or access member PHI in oral, written or electronic form must submit specific subcontracting information to the plan. Providers may not allow any member data to be transferred or stored offshore. Data may be accessed by an offshore entity through an onshore entity that is located in the United States.

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The plan requires that participating providers who have entered into an offshore subcontracting relationship submit the following items to the plan within 20 calendar days of entering into a new offshore agreement or when revising an existing offshore agreement.

- A completed and signed copy of the [attestation form \(PDF\)](#) (CalViva, Community Health Plan of Imperial Valley, Wellcare By Health Net). This attests that the participating provider has taken appropriate steps to address the risks associated with the use of subcontractors operating outside the United States. Each attestation form includes the contact information for providers to return the completed form and materials.
- Providers contracting with the plan for the Medicare line of business must provide a copy of the agreement between the provider and offshore subcontractor with proprietary information removed. The plan is required to validate that the necessary contractual provisions are included in the agreement.
- A policy and procedure for ensuring and maintaining the security of members' PHI.
- A policy and procedure that documents the process used for immediate termination of the offshore subcontractor upon discovery of a significant security breach.
- A policy and procedure that documents the process used for conducting annual audits, regular monitoring and tracking results, and resolving any identified deficiencies.

Providers must submit this information for each offshore subcontractor they have engaged to perform work, regardless of whether the information was already completed for a different health plan.

## Communicable Diseases Reporting

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

To protect the public from the spread of infectious, contagious and communicable diseases, every health care provider knowing of or in attendance on a case or suspected case of any of the communicable diseases and conditions specified in Title 17, California Code of Regulations (CCR), Section 2500, are required by law to notify the local health department (LHD). A health care provider having knowledge of a case of an unusual disease not listed must also promptly report the facts to the local health officer.

The term health care provider includes physicians and surgeons, veterinarians, podiatrists, nurse practitioners, physician assistants, registered nurses, nurse midwives, school nurses, infection control practitioners, medical examiners, coroners, and dentists.

## Notification

Providers must report cases of communicable diseases using the [Confidential Morbidity Report \(PDF\)](#). They must send a completed copy of the report to the Communicable Disease Control division of the County Health Department. The time frame for reporting suspected cases of communicable diseases varies according to disease and ranges from immediate reporting by telephone or fax to seven days by mail.

The notification must include the following, if known:

- Name of the disease or condition being reported
- Date of onset
- Date of diagnosis

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- Name, address, telephone number, occupation, race or ethnic group, Social Security number (SSN), age, sex, and date of birth for the case or suspected case
- Date of death, if death has occurred
- Name, address and telephone number of the person making the report

## HIV Reporting Requirements for Laboratories

**The following document applies only to Ancillary providers.**

HIV is a reportable disease under California state law. Laboratories are required by law to submit specified information using the complete name of the patient for each confirmed HIV test to the local health officer for the local jurisdiction where the health care provider is located and the requesting provider within seven calendar days.

Laboratories must report confirmed HIV cases by either one of the following:

- Courier service, U.S. Postal Service Express, registered mail or other traceable mail
- Person-to-person transfer with the local health officer or their designee

Laboratories may not submit reports containing personal information by electronic fax, electronic mail or non-traceable mail. Laboratories should contact the local county health department for information and reporting forms.

A confirmed HIV test is a test used to monitor HIV, including HIV nucleic acid detection (such as viral load), or any test verifying one of the following:

- The presence of HIV
- A component of HIV
- Antibodies to, or antigens of, HIV, including:
  - HIV antibody (HIV-Ab) test
  - HIV p-24 antigen test
  - Western blot (Wb) test
  - Immunofluorescence antibody test

Testing laboratories generate a report that consists of the following information:

- Complete name of patient
- Patient date-of-birth (2-digit month, 2-digit day, 4-digit year)
- Patient gender (male, female, transgender male-to-female, or transgender female-to-male)
- Name, address and telephone number of the health care provider and the facility that submitted the biological specimen to the laboratory, if different
- Name, address the telephone number of the laboratory
- Laboratory report number as assigned by the laboratory
- Laboratory results of the test performed
- Date biological specimen was tested in the laboratory
- Laboratory Clinical Laboratory Improvement Amendment (CLIA) number

Laboratories may not submit reports to the local health department for confirmed HIV tests for patients of an alternative testing site, other anonymous HIV testing programs, blood banks, plasma centers, or for participants of a blinded or unlinked seroprevalence study.

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## HIV Reporting Requirement for Providers

HIV is a reportable disease under California state law. Health care providers are required by law to submit specified information using the complete name of the patient for each confirmed HIV test to the local health officer within seven calendar days.

Providers must complete an HIV case report for each confirmed HIV test not previously reported and send it to the local health officer for the jurisdiction where the health care provider facility is located.

Providers must report confirmed HIV cases by either one of the following:

- Courier service, U.S. Postal Service Express, or registered mail or other traceable mail
- Person-to-person transfer with the local health officer or their designee

Providers may not submit reports containing personal information by electronic fax, electronic mail or non-traceable mail.

A confirmed HIV test is a test used to monitor HIV, including HIV nucleic acid detection (such as viral load), or any test verifying one of the following:

- The presence of HIV
- A component of HIV
- Antibodies to, or antigens of, HIV, including:
  - HIV antibody (HIV-Ab) test
  - HIV p-24 antigen test
  - Western (Wb) blot test
  - Immunofluorescence antibody test

A health care provider that orders a laboratory test used to identify HIV, a component of HIV, or antibodies to or antigens of HIV must submit to the laboratory a pre-printed laboratory requisition form that includes all documentation specified in 42 CFR 493.1105 (57 FR 7162, Feb. 28, 1992, as amended at 58 FR 5229, Jan. 19, 1993) and adopted in Business and Professions Code, Section 1220.

The person authorized to order the laboratory test must include the following when submitting information to the laboratory:

- Complete name of patient
- Patient date-of-birth (2-digit month, 2-digit day, 4-digit year)
- Patient gender (male, female, transgender male-to-female, or transgender female-to-male)
- Date biological specimen was collected
- Name, address and telephone number of the health care provider and the facility where services were rendered, if different

Most laboratories are also required to report confirmed tests to the local health office; however, this does not relieve the provider's reporting responsibility. Laboratories may not submit reports to the local health department for confirmed HIV tests for patients of an alternative testing sites other anonymous HIV testing programs, blood banks, plasma centers, or for participants of a blinded or unlinked seroprevalence study.



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## Reporting Requirements for Hepatitis and Sexually Transmitted Infections

When a provider reports a case of hepatitis or a sexually transmitted infection (STI), the report must include the following information, if known:

- Hepatitis information including the type of hepatitis, type-specific laboratory findings, and sources of exposure
- STI information on the specific causative agent, syphilis-specific laboratory findings, and any complications of gonorrhea or Chlamydia infections

## Tuberculosis Reporting and Care Management

Tuberculosis (TB) reporting is done immediately by telephone or fax to expedite the process. The [Confidential Morbidity Report form \(PDF\)](#) should be used to notify the local health department's Communicable Disease Reporting Divisions. When reporting a case of TB, the health care provider must provide information on the diagnostic status of the case or suspected case; bacteriological, radiological and tuberculin skin test findings; information regarding the risk of transmission of the disease to other persons; and a list of the anti-tuberculosis medications administered to the member. In addition, a report must be made any time a person ceases treatment for TB, including when the member fails to keep an appointment, relocates without transferring care, or discontinues care. Further, the local health officer may require additional reports from the health care provider.

The health care provider who treats a member with active TB must maintain written documentation of the member's adherence to their individual treatment plan. Reports to the local health officer must include the individual treatment plan, which indicates the name of the medical provider who specifically agreed to provide medical care, the address of the member, and any other pertinent clinical or laboratory information that the local health officer may require.

In addition, each health care provider who treats a member for active TB must examine or arrange for examination of all persons in the same household who have had contact with the member. The health care provider must refer those contacts to the local health officer for examination, and must promptly notify the local health officer of the referral. The local health officer may impose further requirements for examinations or reporting.

Prior to discharge from an inpatient hospital, health care providers must report any cases of known or suspected TB to the local health officer and receive approval for discharge. The local health officer must review and approve the individual treatment plan prior to discharge.

### Tuberculosis Care Management

When requested by the primary care physician (PCP) or local county health TB control officer, the Care Management Department provides assistance with coordination of the member's care. All cases referred to the Care Management Department are managed by gathering demographic and medical information. The care managers analyze the data, assess the member's needs, identify potential interventions, and follow the interventions with the member, family and health care team, within the limits of confidentiality. Following the evaluation, the care manager notifies the provider about the member's eligibility for the Care Management Program.

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## DMHC-Required Statement on Written Correspondence

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Department of Managed Health Care (DMHC) maintains a program to assist consumers with resolution of complaints involving HMOs. The DMHC requires that all written correspondence that could result in a member appeal or grievance, including claim denial letters, contain the following statement with the department's telephone numbers, the department's TDD line, the department's Internet address, and the plan's telephone number in 12-point boldface type in the following regular type statement:

The California Department of Managed Health Care is responsible for regulating health care service plans. If you have a grievance against your health plan, you should first telephone your health plan and use your health plan's grievance process before contacting the department. Utilizing this grievance procedure does not prohibit any potential legal rights or remedies that may be available to you. If you need help with a grievance involving an emergency, a grievance that has not been satisfactorily resolved by your health plan, or a grievance that has remained unresolved for more than 30 days, you may call the department for assistance. You may also be eligible for an Independent Medical Review (IMR). If you are eligible for IMR, the IMR process will provide an impartial review of medical decisions made by a health plan related to the medical necessity of a proposed service or treatment, coverage decisions for treatments that are experimental or investigational in nature and payment disputes for emergency or urgent medical services. The DMHC also has a toll-free telephone number and a TDD line for the hearing and speech impaired. The DMHC website at [www.dmhc.ca.gov](http://www.dmhc.ca.gov) has complaint forms, IMR application forms and instructions online.

The applicable Member Services Department telephone number for each line of business should also be included.

## Federal Lobbying Restrictions

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

United States Code Title 31, Section 1352, prohibits the use of federal funds for lobbying purposes in connection with any federal contract, grant, loan, cooperative agreement, or extension, or continuation of any of them. Participating providers are required to develop and comply with filing procedures as follows:

- File a declaration with the plan Net certifying that no inappropriate use of federal funds has occurred or will occur (use [Certification for Contracts, Grants, Loans, and Cooperative Agreements Form \(PDF\)](#)). This extends to any subcontract a participating provider may have that exceeds \$100,000 in value. In these cases, the participating provider is required to collect and retain these declarations
- File a specific disclosure form if non-federal funds have been used for lobbying purposes in connection with any line of business (use [Disclosure of Lobbying Activities Form and Disclosure Form Instructions \(PDF\)](#))
- File quarterly updates, such as a disclosure form at the end of any calendar quarter in which disclosure is required or in which an event occurs that materially affects the previously filed disclosure form

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While the statute and related regulations do not specify that the \$100,000 limit mentioned in the first bullet is to be calculated annually, the plan believes it reasonable to apply the \$100,000 threshold to the term of the Provider Participation Agreement (PPA). If the PPA term is for one year, renewable automatically if not terminated, the threshold would renew at the beginning of each new one-year term. If it is a multiyear term, the calculation of the threshold would be based on the payments received throughout the multiyear term.

Participating providers who complete the Certification for Contracts, Grants, Loans, and Cooperative Agreements Form should send it directly to their assigned provider relations and contracting specialist.

Participating providers are required to comply with applicable state laws and regulations and plan policies and procedures. The contents of the operations manuals are supplemental to the PPA and its addendums. When the contents of the operations manuals conflict with the PPA, the PPA takes precedence.

## Health Net Affiliates

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Below is a listing of Health Net's affiliates. Health Net affiliates generally may opt to periodically access the *Provider Participation Agreement (PPA)* for covered services delivered by providers under those benefit programs in which providers participate.

- Arizona Complete Plan
- California Health and Wellness Plan
- Health Net Community Solutions, Inc.
- Health Net Federal Services, LLC.
- Health Net Health Plan of Oregon, Inc.
- Health Net Insurance Services, Inc.
- Health Net Life Insurance Company
- Health Net of California, Inc.
- Managed Health Network, Inc.
- MHN Government Services, Inc.
- Network Providers LLC.
- Wellcare of California, Inc.

## Material Change Notification

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

In accordance with AB 2907 (ch. 925, 2002) and AB 2252 (ch. 447, 2012), Section 1375.7 (c)(3) of the Health and Safety Code and Section 10133.65 (d)(3) of the Insurance Code, the health care provider's Bill of Rights, the plan is required to give notice at least 45 business days in advance to [participating providers](#), including dental providers in reference to coverage of medical services only, when the plan intends to amend a material term of a manual, policy or procedure document referenced in the Provider Participation Agreement (PPA). The term material is defined as a provision in a contract to which a reasonable person would attach importance in determining the action to be taken with respect to the provision. If the change is required by federal or state law or an accreditation entity, a shorter notice period may apply.

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The plan informs participating providers of material changes through provider updates and letters and announcements on the provider website. Once finalized, such changes are incorporated into the provider operations manuals. Information sent to providers through provider updates and letters is also added to the text of the appropriate operations manuals. The provider has the right to negotiate and agree to material changes. If an agreement cannot be reached, the provider has the right to terminate the PPA prior to implementation of the material change.

## Nondiscrimination

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The following nondiscrimination requirements apply.

### Employment

The plan and its participating providers must comply with the provisions of the Fair Employment and Housing Act (FEHA) (California Government Code, Section 12900 and following) and the regulations set forth in the California Code of Regulations, Title 2, Chapter 2, commencing with Section 7286.0 and following. The plan and its participating providers may not unlawfully discriminate against any employee or applicant for employment because of race, religion, color, national origin, ancestry, physical handicap, medical condition, marital status, age, or sex. In addition, the plan and its participating providers ensure the following:

- Evaluation and treatment of employees and applicants for employment is free of such discrimination
- Written notice of obligations under this clause is given to labor organizations with which the plan or its participating providers have a collective bargaining or other agreement

### Health Programs and Activities

The following requirements apply<sup>1, 2</sup>:

- Participating providers must add plan-specific nondiscrimination notices and taglines in significant publications and communications issued to members. To obtain additional information refer to [Industry Collaboration Effort \(ICE\) website](#). If you are not able to locate specific notices or taglines, contact the [Delegation Oversight Department](#).
- If necessary, participating providers must assess and enhance existing policies and procedures to ensure effective communication with members.
- Participating providers must ensure programs or activities provided through electronic or information technology, such as websites or online versions of materials, are accessible to individuals with disabilities. If necessary, participating providers must assess and enhance website compliance with Title II of the ADA.
- Participating providers must notify the plan immediately of a discrimination grievance submitted by a member and continue to follow the plan's existing issue write-up procedures for detection and remediation of non-compliance. Additionally, participating providers must comply with the plan, regulatory or private litigation research, investigations, and remediation requirements.





- Participating providers must assess and enhance, if necessary, existing language assistance services to ensure they are compliant.
- Participating providers must implement, enhance and reinforce prohibitions on exclusions, denials or discrimination such as in design, operation or behavior of benefits or services on the basis of sex, race, color, religion, ancestry, national origin, ethnic group identification, age, mental disability, physical disability, medical condition, genetic information, marital status, gender, gender identity, or sexual orientation. Additionally, they must implement, where applicable:
  - Medical necessity reviews for all gender transition services and surgery.
  - Program or activity changes to avoid discrimination where necessary.
  - Plan design changes where necessary, such as removing categorical gender or age exclusions.
  - Additionally, providers must remove prohibited categorical exclusions and denial reasons, and update nondiscrimination policies and procedures to include prohibitions against discrimination on the basis of sex, including gender identity and sex stereotyping.
- Participating providers can consider implementing the following:
  - Ability to capture gender identity.
  - Mandatory provider and staff civil rights and/or cultural sensitivity training.

<sup>1</sup> For Medicare Advantage and Commercial products: In addition to the State of California nondiscrimination requirements and in accordance with Section 1557, 45 CFR Part 92 of the Affordable Care Act of 2010 (ACA).

<sup>2</sup> For Medi-Cal and Dual Special Need Plans: In addition to the State of California nondiscrimination requirements, and in accordance with all applicable federal requirements in Title VI of the Civil Rights Act of 1964; Title IX of the Education Amendments of 1972 (regarding education programs and activities, as amended); the Age Discrimination Act of 1975; the Rehabilitation Act of 1973 including sections 504 and 508, as amended; Titles I, II and III of the Americans with Disabilities Act of 1990, as amended; Section 1557 of the Patient Protection and Affordable Care Act of 2010; and federal implementing regulations issued under the above-listed statutes.

## Coordination of Benefits

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information for providers on coordination of benefits.

Select any subject below:

- [Overview](#)
- [COB Payment Calculations](#)
- [Disagreements with Other Insurers](#)
- [Duplicate Plan Coverage](#)
- [Medicare Plus \(Plan J or HJA\)](#)
- [Order of Benefit Determination](#)
- [Recovery of Excessive Payments](#)
- [Services Instead of Cash Payments](#)
- [The Plan's Right to Pay Others](#)
- [TRICARE/CHAMPVA](#)
- [Veterans' Administration](#)
- [When the Plan is the Primary Carrier](#)

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- [When the Plan is the Secondary Carrier](#)

## Overview

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Coordination of benefits (COB) allows group health plans to eliminate the opportunity for a person to profit from an illness or injury as the result of duplicate group health plan coverage. Generally, one plan is determined to be primary, and that plan pays without regard to the other. The secondary plan then makes only a supplemental payment that results in a total payment of not more than the eligible expenses for the medical service provided.

If one plan is an individual plan, not a group plan, both plans pay as primary. The payments do not coordinate.

[Participating providers](#) are required to administer COB when such provisions are a requirement of the benefit plans. The participating provider should ask the member for possible coverage through any other group or individual insurance or HMO plan and enter the other health insurance information on the claim.

Contact the [Provider Services Department](#) with any information identifying COB coverage for a member.

## COB Payment Calculations

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

As the secondary carrier, the plan coordinates benefits and pays balances, up to the member's liability, for covered services, unless the maximum allowable is paid by the primary care insurer. However, the dollar value of the balance payment cannot exceed the dollar value of the maximum allowable amount that would have been paid had the plan been the primary carrier.

In most cases, members who have coverage through two carriers are not responsible for cost shares or copayments. Therefore, it is advisable to wait until payment is received from both carriers before collecting from the member. Copayments are waived when a member has other insurance as primary coverage. If a participating provider contracts with two HMOs and the member belongs to both, all prior authorization requirements for both carriers must be complied with in order to coordinate benefits. For example, if the primary carrier as well as the plan require authorization for a procedure or service, and authorization is requested and approved by the primary carrier, the plan does not require authorization for that procedure or service. However, if the primary carrier requires authorization and authorization is not requested or approved from the primary carrier, and the plan requires authorization, the plan does not make payment as the secondary carrier unless the prior authorization is requested and approved by the plan

## Disagreements with Other Insurers

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Not all insurers operate under the jurisdiction of the California Department of Managed Health Care (DMHC) or California Department of Insurance (CDI). In some instances, insurers do not operate under any legal authority at all regarding coordination of benefits (COB). For this reason, hospitals may encounter insurers,

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administrators and others who would ordinarily be the primary carrier but refuse to pay. There is no practical recourse if they have different rules in their state or are a self-funded plan.

When disagreements arise with insurers due to differences in applicable law, abides by the rules employed by the state in which the other insurer operates. For self-funded plans, the plan abides by the conditions in the self-funded plan's evidence of coverage. After dealing with the immediate matter of providing or paying for a covered service, the hospital can still make an effort to recover payment from the other insurer.

## Duplicate Plan Coverage

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

If a member is covered by more than one group plan and is enrolled with a single [participating provider](#), all copayments must be waived for eligible services.

In addition, when coverage states a maximum number of visits, the member is entitled to the number of visits in the plan that covers the most. For example, if one plan covers 20 visits and the other 50 visits, the member's coverage is limited to 50 visits.

If the member is covered by more than one group plan and is enrolled with two different participating providers, coverage is determined by applying coordination of benefits (COB) rules. Applicable copayments are required from the member.

## Medicare Plus (Plan J or HJA)

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

If the member has conversion or Medicare Plus (Plan J or HJA) coverage:

- Medicare is primary
- The plan is always secondary

Medicare Plus (Plan J or HJA) is non-group coverage for Medicare beneficiaries who have lost eligibility through group or conversion plans.

Medicare Plus is available to subscribers and their spouses when:

- They are age 65 or older.
- Their previous group or conversion coverage has ended.
- They are covered by both Parts A and B of Medicare (current employment does not affect eligibility for Medicare Plus).
- They are not enrolled in another HMO plan through a Medicare HMO contract.

When the plan discovers that a Plan J or HJA member is not covered through both Parts A and B of Medicare or that the member is enrolled in another HMO plan through a Medicare HMO contract, the plan cancels the member's Plan J or HJA coverage.

Application for Medicare Plus must be made within 31 days of the member's last date of group or conversion coverage.

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# Order of Benefit Determination

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Apply these rules in the order in which they are listed in determining which plan is primary and which is secondary:

- Rule One - Insurer without coordination of benefits (COB) provision - If one contract contains a COB provision and the other does not, the insurer without the provision is the primary carrier.

The following rules apply when there are two insurers and both contracts contain a COB provision:

- Rule Two - Insurer covering member as policy holder or subscriber - When the member is the policy holder or subscriber with one insurer and the dependent with another, the insurer that covers the member as the policy holder or subscriber is the primary carrier.
- Rule Three - Member is a dependent child with both insurers (birthday rule) - The insurer of the subscriber whose birthday is earlier in a calendar year is the primary carrier for dependents covered under that subscriber's group health plan. The insurer of the subscriber whose birthday is later in the calendar year is the secondary carrier for dependents covered under that subscriber's group health plan. This birthday rule applies to dependent children whose parents are living together but have never married. It does not apply to dependent children whose parents have been divorced or legally separated. Refer to the [Order of Benefits for Dependent Children \(PDF\)](#) chart for assistance in COB situations.
- Rule Four - Divorced or legally separated parents of dependent child with court decree - If the parents of a dependent child are legally separated or divorced and a court decree directs one parent to be financially responsible for the child's medical, dental or other health care expenses, the insurer of the parent who is financially responsible is the primary carrier.
- Rule Five - Divorced parents of dependent child with legal custody - When parents of a dependent child are divorced and the court has not assigned financial responsibility for the child's medical, dental or other health care expenses, and the parent with legal custody of the child has not remarried, the insurer of the parent with legal custody of the child is the primary carrier for the child, and the insurer of the parent who does not have legal custody is the secondary carrier.
- Rule Six - Stepparents - In the case of a divorced parent, when the court has not assigned financial responsibility for the child's medical, dental or other health care expenses, the insurer who covers the child as the dependent of the parent with legal custody of the child is the primary carrier, and the spouse of the parent (stepparent) with legal custody's insurer is the secondary carrier. The insurer of the parent without custody is tertiary.
- Rule Seven - When the court orders joint custody - When the court has awarded joint custody of dependent children to divorced or legally separated parents, the plan applies the birthday rule (rule three).
- Rule Eight - Retired and laid-off employees - When a retired or laid-off employee has more than one coverage, the insurer who provides coverage to the patient as an active employee is primary; the insurer providing coverage as a retirement benefit is secondary.

When rules one through eight do not establish an order of benefit determination, the insurer who has covered the member the longest is the primary carrier.

## Right to Receive and Release Information

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The plan and other health plans may share information for the purpose of applying these rules and determining benefits payable under multiple health plans covering the person claiming benefits. The plan need not tell, or obtain the consent of, any person prior to doing this. Each person claiming benefits from the plan must give the plan any facts it needs to apply these rules and determine benefits payable.

## Recovery of Excessive Payments

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

If the amount of the payment made by the plan is more than it should have paid under the coordination of benefits (COB) provision, the plan may recover the excess from one or more of those it has paid or from any other person or organization that may be responsible for the benefits or services for the covered person. The amount of the payments made includes the reasonable cash value of any benefits provided in the form of services.

## Services Instead of Cash Payments

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

An allowable expense is a health care service or expense, including deductibles and copayments, covered at least in part by any of the health plans covering the person. When a health plan provides benefits in the form of services, the reasonable cash value of each service is considered an allowable expense and a paid benefit. An expense or service that is not covered by any of the health plans is not an allowable expense. The reasonable cash value of any services provided to the covered individual by any service organization is deemed an expense incurred by the individual, and the liability of the plan through the member's [Evidence of Coverage \(EOC\)](#) is reduced accordingly.

## The Plan's Right to Pay Others

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

A payment made by another health plan may include an amount that should have been paid by the plan. If this happens, the plan may pay the amount to the organization that made the payment. The amount is then treated as though paid under the member's coverage. The plan does not have to pay that amount again. The term "payment made" includes providing benefits in the form of services, in which case payment made means the reasonable cash value of the benefits provided in the form of services.

## TRICARE/CHAMPVA

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The plan is primary in all instances when a member is covered by TRICARE or CHAMPVA.



Note that TRICARE/CHAMPVA takes the position that if a benefit is potentially available through an HMO, but the member does not comply with the requirements of the HMO that would enable the member to receive the benefit, such as in the case of a self-referral, TRICARE/CHAMPVA does not pay anything at all.

They do, however, cover services that the HMO excludes, but require that the member provide them with written documentation from the HMO that the service is excluded from the plan. Contact the [Provider Services Department](#) with any requests for this sort of documentation.

## Veterans' Administration

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Veterans' Administration (VA) is a provider of care rather than an insurance program to which Congress granted the following rights:

### Right to Require Payment

When [participating providers](#) must pay the VA for services, the VA has the right to require payment from private insurers, to the extent that benefits are available, for services they provide to qualified veterans for non-military service-related disabilities. This means that if the participating provider authorizes a service that has been or will be performed by the VA, and the participating provider would ordinarily pay for the service, the participating provider also has to pay the VA. The plan does the same regarding services for which it ordinarily makes payment

If a concern arises over whether an illness or injury is due to a military service-connected disability, the VA's determination is accepted. Federal law provides that the VA determination is not subject to review, even in the courts. On request, the VA must provide documentation to substantiate its decision.

### Administrative Procedures

Providers must conduct administrative procedures as usual when the VA provides services to a member. The members should seldom require services from a VA participating provider. When it does happen, and the services have been authorized and referred, or a legitimate emergency necessitates services that were authorized after the fact, the participating provider should carry out all administrative procedures throughout the entire process in the usual manner as if the VA were not involved

### Assignment of Benefits

The VA sends the insurer a copy of assignment of benefits. When a member is treated by the VA, federal regulations state that the VA must immediately send the insurer a copy of an assignment of benefits (this might be sent to either the participating provider or the plan). The VA cooperates with requests for medical records. Charges are based on stipulated formulas, but the VA does not expect to receive payment greater than would ordinarily be paid to other participating providers in the geographic area. The VA may receive a Medicare payment for services rendered.

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## When the Plan is the Primary Carrier

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

When the plan is the primary carrier, the [participating provider](#) is entitled to bill the other carrier as secondary after the provider has received the plan's adjudication decision.

A member is not entitled to an itemized statement reflecting the cash value of the services provided by the participating provider and covered by the plan (compliance with a request for itemization could enable a member to obtain unjust payment from an insurer or to document an itemized tax deduction far in excess of the actual cost).

A member is entitled to a statement documenting copayments made to the participating provider and charges for services not covered by the plan.

When Wellcare By Health Net is the primary payer and the member is enrolled in our exclusively aligned Dual Special Needs Plan (D-SNP), the secondary claim will be automatically forwarded to Health Net for payment on the Medi-Cal covered portion.

Refer to Claims Reimbursement and [Balance Billing](#) sections for more information.

## When the Plan is the Secondary Carrier

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

When the plan is the secondary carrier, the [participating provider](#) is entitled to receive payment from the primary carrier for services provided directly to the member.

The participating provider should obtain the signature of the member who is the policyholder with the other carrier on a standard Assignment of Benefits form.

The participating provider should also obtain from the member any claim form the other carrier might require.

Upon receiving an adjudication decision from the primary carrier, the participating provider submits a secondary claim to the plan with an attachment of the primary carrier's Explanation of Benefits (EOB). When the participating provider expects to receive reimbursement from the plan amounting to more than any required copayment, do not collect a copayment.

If, after both carriers have reimbursed the participating provider, the provider has not received reimbursement equal to or greater than the amount that is due under the provider's Provider Participation Agreement (PPA), the member can be billed for the required copayment provided the total reimbursement from all sources is no greater than what is due under the provider's PPA.

When the primary carrier is another HMO and the member is enrolled with two different participating providers (one with the primary carrier and one with the plan), the member may receive services through either participating provider. The participating provider cannot deny services based on the plan's status as the secondary carrier.





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## Copayments

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section includes general information on the collection and verification of copayments.

Select any subject below:

- [Calculation of Coinsurance](#)
- [Collection of Copayments](#)
- [Collection of Copayments for Referrals](#)
- [Out-of-Pocket Maximum](#)
- [Verify Copayments](#)

## Calculation of Coinsurance

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals

Health Net's method of calculating member coinsurance for institutional charges is described below. This applies to plans that require a percentage coinsurance for inpatient or outpatient hospital services.

The coinsurance is based on the lesser of the allowable charges (billed charges minus disallowed charges) or the contract amount. For example, if a hospital submits a bill to Health Net for \$5,000 and Health Net has a contract with the hospital for \$4,000, the member (who has a 20 percent coinsurance) would then be responsible for 20 percent of the contract amount (\$4,000), which would be \$800 ( $\$4,000 \times 20\% = \$800$ ).

## Collection of Copayments

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Participating providers collect copayments for professional services when services are provided. If immediate collection of a copayment is not possible, the provider must send a bill to the member for the copayment at a later date.

The participating provider may not impose a surcharge on a Health Net member for covered services provided. If Health Net receives notice of any surcharge, action is taken in response.

Collect required office visit copayments when a member is seen by a physician, physician assistant (PA), nurse practitioner (NP), or any qualified professional provider for basic medical care. Refer to the member's plan chart in the [Schedule of Benefits](#) for specific copayment information and additional guidance or a list of sample situations that may be useful in determining the appropriate type and number of copayments. A copayment must be collected according to the service provided and not according to the licensure of the professional providing the service. This underlying principle must be considered when determining whether an office visit copayment is required.

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Participating providers may not collect a copayment or any other fees for a missed appointment. The provider has the option of having the member transferred to another participating provider after three missed appointments.

## Primary Care Physician and Specialist Copayments

A service rendered by any provider type other than the member's assigned primary care physician (PCP) may have a separate and different copayment amount. The specialist office visit copayment is required each time the member receives services from the specialist (not limited to the first visit).

When a member receives services from a PA or NP for a PCP office visit, the PCP office visit copayment applies. When a member receives services from a PA or NP certified in a particular specialty, the specialist office visit copayment applies. If the member's PCP or any PCP from the member's participating physician group (PPG) provides services, the PCP copayment is applied. Refer to the specific plan chart for copayment amounts.

## Urgent Care Copayment

The copayment amount due for care at an urgent care facility owned and operated by the member's PPG is the applicable office visit copayment instead of an urgent care copayment. This provision is reflected in the member's Evidence of Coverage (EOC).

## Collection of Copayments for Referrals

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Outside referrals include:

- Emergency rooms or urgent care centers.
- Inpatient or outpatient hospitalizations.
- Home health care services and visits.

Collection of copayments for outside referrals, other than those mentioned above, must be arranged with the provider of service or collected by the participating physician group (PPG) or primary care physician (PCP).

An emergency room copayment is collected as a partial reimbursement for services received at the facility. If the emergency room claim is split (for example, one claim is sent for facility services and another is sent for professional services), the emergency room copayment only applies to the facility claim. Professional services billed separately and received during an emergency room visit do not require an emergency room copayment.

## Out-of-Pocket Maximum

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Health Net members may be required to pay copayments for covered professional or hospital services. Copayments are limited to an established annual amount, referred to as the out-of-pocket maximum (OOPM), which is specified in the member's [Evidence of Coverage \(EOC\)](#) . No further copayments for covered services may be imposed on a Health Net member once the OOPM has been met for the calendar year. OOPM amounts are subject to change annually.

Aggregate deductible/OOPM plans: Many plans have a member-level OOPM, two-party OOPM and a family-level maximum for the entire family. No individual member has to pay a greater copayment amount than the amount required for a single-party contract in a calendar year. All copayments paid by all members in a family are added together to reach the applicable family [OOPM \(PDF\)](#).

## Exclusions and Limitations

The terms of a member's [Evidence of Coverage \(EOC\)](#) lists in detail out-of-pocket costs that do not apply to the out-of-pocket maximum (OOPM). The following listing summarizes some costs that do not apply toward the OOPM amount:

- Expenses incurred for non-covered services
- Eyewear expenses
- Copayments for prescription medications. May not apply to some plans; refer to the Schedule of Benefits for specific information.

Some plans exclude expenses incurred for specific covered services. These exclusions are noted on the benefit plan chart in the [Schedule of Benefits](#).

## General Filing Requirements

When a member reaches the specified out-of-pocket maximum (OOPM) amount for any calendar or plan year, a claim can be submitted to Health Net. All claims must be submitted on a Health Net Out-of-Pocket Maximum Notification Form (CLM 114) ([front of form \(PDF\)](#), [back of form \(PDF\)](#)). Once Health Net receives the claim form and establishes that the OOPM has been met, the member is released from any further copayment liability for that calendar or plan year. OOPM claims are reimbursable on a calendar or plan year basis only. Instruct members who wish to claim their OOPM for a particular year to contact the [Health Net Member Services Department](#) . Members should also refer to their Evidence of Coverage (EOC) to obtain their OOPM amount.

Participating physician groups (PPGs) or primary care physicians (PCPs) may request a Health Net Out-of-Pocket Maximum Notification Form (CLM 114) by contacting the [Health Net Provider Services Center](#).

The subscriber is responsible for keeping a record of all copayments paid by all members on the plan. Proof of paid copayments include receipts and cancelled checks. Members mail the Health Net Out-of-Pocket Maximum Notification Form (CLM 114) and copies of all receipts and cancelled checks to the Health Net Claims Department.

## Settlement of OOPM Claims

On receipt of a Health Net Out-of-Pocket Maximum (OOPM) Notification Form (CLM 114) ([front of form \(PDF\)](#), [back of form \(PDF\)](#)) and copies of all receipts and cancelled checks, Health Net:

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- Checks for eligibility
- Determines whether the services the member received were covered benefits
- Verifies receipts or cancelled checks
- Adds all copayments paid to verify that they equal the annual OOPM

When the OOPM has been satisfied, Health Net sends a [letter \(PDF\)](#) to the subscriber stating that no further copayments will be collected for the remainder of the calendar year. Health Net sends a copy of the [letter \(PDF\)](#) to the member's participating physician group (PPG) or primary care physician (PCP) and Health Net retains a copy in its files. If the contract changes during the year, additional copayments may be collected, depending on the conditions in the new contract.

If the amount of copayments paid by the subscriber exceeds the OOPM, the Health Net Claims Department takes the following steps:

- **For shared-risk services:** Health Net reimburses the subscriber (proof that payment has been made required) for copayments made on shared-risk services and out-of-area claims. For the remainder of the calendar year, the shared-risk fund covers the required copayment for inpatient hospital charges on some plans, or the copayment required by the majority of plans for emergency room or urgent care center treatment within the selected PPG and PCP service area. A [letter \(PDF\)](#) is sent to the PPG or PCP administrator, with a copy retained on file at Health Net.
- **For capitation and insured services:** Health Net sends a [letter \(PDF\)](#) to the member's PPG or PCP to notify them that the member is due reimbursement. For the remainder of the calendar year, all professional services are covered by capitation and no additional copayments may be collected by the PPG or PCP. The copayment amounts are not deducted from professional stop loss payments if the PPG or PCP states on the professional stop loss claim that the member has reached the OOPM.

## Verify Copayments

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Refer to the Schedule of Covered Services and Copayments in the subscriber's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance](#) or the plan chart in the [Schedule of Benefits](#) to determine whether a copayment should be collected. For example, most plans have a copayment for emergency room or urgent care center treatment (when the copayment for emergency room or urgent care center treatment is less than the billed amount, the member is only responsible for the lesser amount).

Some plans have a copayment for hospitalization or for home health visits beginning with the 31<sup>st</sup> day of home health services. The copayments for emergency room, urgent care or hospitalization, inpatient or outpatient, must be collected by the institution providing the services. The copayments for home health services must be collected by the home health agency providing the services. These copayments contribute to the out-of-pocket maximum (OOPM).

For professional services, capitation or fee-for-service payments are supplemented by the Health Net member's copayments. Some of these payments accrue to the participating physician group (PPG) or provider and increase the total compensation received by the PPG or provider.

For benefit application purposes, Health Net's definition of a newborn is an infant from birth through its first 30 days. This is relevant only to a few plans that require office visit copayments for newborns.

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Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section describes Health Net's provider credentialing process.

Select any subject below:

- [Application Process](#)

## Application Process

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Practitioners or organizational providers subject to credentialing or recredentialing and contracting directly with the plan must submit a completed plan-approved application. By submitting a completed application, the practitioner or provider:

- Affirms the completeness and truthfulness of representations made in the application, including lack of present illegal drug use.
- Indicates a willingness to provide additional information required for the credentialing process.
- Authorizes the plan to obtain information regarding the applicant's qualifications, competence or other information relevant to the credentialing review.
- Releases the plan and its independent contractors, agents and employees from any liability connected with the credentialing review.

## Approval, Denial or Termination of Credentialing Status

The Credentialing Committee or physician designee reviews rosters of delegated and non-delegated practitioners and organizational providers meeting all plan criteria and approves their admittance or continued participation in the network.

A peer review process is used for practitioners with a history of adverse actions, member complaints, negative quality improvement (QI) activities, impaired health, substance abuse, health care fraud and abuse, criminal history, or similar conditions to determine whether a practitioner should be admitted or retained as a participant in the network.

Practitioners are notified within 60 calendar days of all decisions regarding approval, denial, limitation, suspension, or termination of credentialing status consistent with the health plan, state and federal regulatory requirements and accrediting entity standards. This notice includes information regarding the reason for denial determination. If the denial or termination is based on health status, quality of care or disciplinary action, the



practitioner is afforded applicable appeal rights. Practitioners who have been administratively denied are eligible to reapply for network participation as soon as the administrative matter is resolved.

Failure to respond to recredentialing requests may result in the practitioner's administrative termination from the network.

## Appeals

Practitioners, whose participation in the plan's network has been denied, reduced, suspended, or terminated for quality of care/medical disciplinary causes or reasons, are provided notice and an opportunity to appeal. This policy does not apply to practitioners who are administratively denied admittance to, or administratively terminated from, the network.

The notice of altered participation status will be provided in writing to the affected practitioner and include:

- The action proposed against the practitioner by the Credentialing or Peer Review committee.
- The reason for the action.
- The plan policies or guidelines that led to the committee's adverse determination.
- Detailed instructions on how to file an appeal (informal reconsideration or formal hearing).

A practitioner may choose to engage in an informal appela and provide additional information for the Credentialing Committee's consideration, or move directly to a formal fair hearing. Affected practitioners who are not successful in overturning the original committee decision during an informal reconsideration are automatically afforded a fair hearing, upon request in writing within 30 days from the date of notice of the denial.

A practitioner must request a reconsideration or fair hearing in writing. The plan's response to the request will include:

- Dates, times and location of the reconsideration or hearing.
- Rules that govern the applicable proceedings.
- A list of practitioners and specialties of the committee or fair hearing panel.

The composition of the fair hearing panel must include a majority of individuals who are peers of the affected practitioner. A peer is an appropriately trained and licensed physician in a practice similar to that of the affected practitioner.

Affected practitioners whose original determinations are overturned are granted admittance or continued participation in the plan's network. The decision is forwarded to the affected practitioner in writing within 14 calendar days of the fair hearing panel's decision.

Affected practitioners whose original determinations have been upheld are given formal notice of this decision within 14 days of the fair hearing panel's ruling. The actions are reported to the applicable state licensing board and to the National Practitioner Data Bank (NPDB) within 14 days of the hearing panel's final decision.

Practitioners who have been denied or terminated for quality of care concerns must wait a minimum of five years from the date the adverse decision is final in order to reapply for network participation. At the time of the reapplication, the practitioner must:

- Meet all applicable plan requirements and standards for network participation.
- Submit, at the request of the committee or [Credentialing Department](#), additional information that may be required to confirm the earlier adverse action no longer exists.

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- Fulfill, according to applicable current credentialing policies and procedures, all administrative credentialing requirements of the plan's credentialing program.

## Credentialing Responsibility, Oversight and Delegation

The plan may delegate to individual practitioners, participating physician groups (PPGs) or other entities responsibility for credentialing and recredentialing activities. Credentialing procedures used by these entities may vary from plan procedures, but must be consistent with the health plan, state and federal regulatory requirements and accrediting entity standards.

Prior to entering into a delegation agreement, and throughout the duration of any delegation agreement, the oversight of delegated activities must meet or exceed plan standards. The plan oversees delegated responsibilities on an ongoing basis through an annual audit and semiannual, or more frequent, review of delegated PPG-specific data.

The plan can revoke the delegation of any or all credentialing activities if the delegated PPG or entity is deemed noncompliant with established credentialing standards. The plan retains the right, based on quality issues, to terminate or restrict the practice of individual practitioners, providers and sites, regardless of the credentialing delegation status of the PPG.

Each delegated practitioner or provider losing delegated credentialing status must complete the plan's initial credentialing process within six months.

## Hiring Non-Participating Providers

**The following document applies only to Physicians and Participating Physician Groups (PPG).**

In an effort to comply with applicable federal and state laws and regulations, all participating providers in the plan's network must comply with the following standards when hiring a non-participating provider to provide services to plan members. Participating providers must be able to demonstrate that each non-participating provider has supporting documentation that includes:

- Current, unencumbered state medical license.
- Valid, unencumbered Drug Enforcement Agency (DEA) certificate, as applicable or Chemical Dependency Services (CDS) certificate, as applicable.
- Evidence of adequate education and training for the services the practitioner is contracting to provide.
- Malpractice insurance coverage that meet these standards: Individual providers one million/three million and for organizational providers three million/ten million.
- Absent of any sanctions that would not allow them to see a Medicare member.

Additionally, the practitioner must be absent from:

- The Medicare Opt Out report if treating Medicare members.
- The Office of the Inspector General's (OIG) sanctions list of individuals and entities (LEIE) if treating Medicaid and Medicare members.

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- The System for Award Management's Exclusions Extract Data Package (EEDP) if treating Medicare members.
- The Federal Employee Health Benefits Program Debarment Report if treating federal members.

The plan's participating providers are responsible for ongoing monitoring of sanctions and validating licensing. All participating providers are required to comply with applicable federal, state and local laws and regulations as well as the policies and procedures as outlined in the Provider Participation Agreement (PPA).

## Investigations

The plan investigates adverse activities indicated in a practitioner or provider's initial credentialing or recredentialing application materials or identified between credentialing cycles. The plan may also be made aware of such activities through primary source verification utilized during the credentialing process or by state and federal regulatory agencies. Health Net may require a practitioner or provider to supply additional information regarding any such adverse activities. Examples of such activities include, but are not limited to:

- State or local disciplinary action by a regulatory agency or licensing board.
- Current or past chemical dependency or substance abuse.
- Health care fraud or abuse.
- Member complaints.
- Substantiated quality of care concerns activities.
- Impaired health.
- Criminal history.
- Office of Inspector General (OIG) Medicare/Medicaid sanctions.
- Federal Employees Health Benefits Program (FEHBP) debarment.
- System Award Management (SAM), inclusive of Excluded Parties List System (EPLS), EEDP.
- The Medi-Cal Suspended and Ineligible Provider listing.
- Substantiated media events.
- Trended data.

At the plan's request, a practitioner or provider must assist the plan in investigating any professional liability claims, lawsuits, arbitrations, settlements, or judgments that have occurred within the prescribed time frames.

## Organizational Providers Certification or Recertification

An organizational provider (OP) is an institutional provider of health care that is licensed by the state or otherwise authorized to operate as a health care facility. Examples of OPs include, but are not limited to, hospitals, home health agencies, skilled nursing facilities (SNFs), and ambulatory surgical centers (ASCs).

Organizational providers that require assessments by the plan or its delegated entities include:

- Hospitals
- Home health, hospice and home infusion providers
- SNFs
- Free-standing and ASCs, including abortion clinics
- Dialysis/end-stage renal disease (ESRD) care providers
- Hospices

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- Laboratories
- Office-based surgery suites
- Comprehensive outpatient rehabilitation facilities
- Physical therapy and speech pathology providers
- Portable X-ray suppliers
- Radiology/imaging centers
- Behavioral health facilities (inpatient, residential and ambulatory)
- Sleep study centers
- Urgent care centers
- Federally qualified health centers and rural health clinics
- Community-Based Adult Services (CBAS) centers
- Other providers as deemed necessary

Providers contracting directly with the plan must submit a completed, signed plan-approved hospital or ancillary facility credentialing application and any supporting documentation to the plan for processing. The documentation, at a minimum, includes:

- Evidence of a site survey that has been conducted by an accepted agency, if the provider is required to have such an on-site survey prior to being issued a state license. Accepted agency surveys include those performed by the state Department of Health and Human Services (DHHS), Department of Public Health (DPH) or Centers for Medicare & Medicaid Services (CMS).
- Evidence of a current, unencumbered state facility license. If not licensed by the state, the facility must possess a current city license, fictitious name permit, certificate of need, or business registration.
- Copy of a current accreditation certificate appropriate for the facility. If not accredited, then a copy of the most recent DHHS/DPH site survey as described above is required. A favorable site review consists of compliance with quality of care standards established by CMS or the applicable state health department. The plan obtains a copy of each surgery center's site survey report and ensures each provider has received a favorable rating. This may include a completed corrective action plan (CAP) and DHHS CAP acceptance letter.
- Professional and general liability insurance coverage that meets plan requirements.
- Overview of the facility's quality assurance/quality improvement program upon request.

Organizational providers are recredentialed at least every 36 months to ensure each entity has continued to maintain prescribed eligibility requirements.

## Practitioner's Rights

### Right of Review Request for Current Network Status

A practitioner has the right to review information obtained by the plan for the purpose of evaluating that practitioner's credentialing or recredentialed application. This includes non-privileged information obtained from any outside source (for example, malpractice insurance carriers, state licensing boards or the National Practitioner Data Bank), but does not extend to review of information, references or recommendations protected by law from disclosure.

A practitioner may request to review such information at any time by sending a written request via letter or fax to the credentialing manager or supervisor. The credentialing manager or supervisor notifies the practitioner within 72 hours of the date and time when such information is available for review at the Credentialing

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Department. Upon written request, the Credentialing Department provides details of the practitioner's current status in the initial credentialing or recredentialing process.

## Notification of Discrepancy

Practitioners are notified in writing, via letter or fax, when information obtained by primary sources varies substantially from information provided on the practitioner's application. Examples include reports of a practitioner's malpractice claim history, actions taken against a practitioner's license or certificate, suspension or termination of hospital privileges, or board-certification expiration when one or more of these examples have not been self-reported by the practitioner on their application. Practitioners are notified of the discrepancy at the time of primary source verification. Sources are not revealed if information obtained is not intended for verification of credentialing elements or is protected from disclosure by law.

## Correction of Erroneous Information

A practitioner who believes that erroneous information has been supplied to the plan by primary sources may correct such information by submitting written notification to the Credentialing Department. Practitioners must submit a written notice via letter or fax, along with a detailed explanation, to the Credentialing Department manager or supervisor. Notification to the plan must occur within 48 hours of the plan's notification to the practitioner of a discrepancy or within 24 hours of a practitioner's review of their credentials file. Upon receipt of notification from the practitioner, the plan re-verifies the primary source information in dispute. If the primary source information has changed, a correction is made immediately to the practitioner's credentials file. The practitioner is notified in writing, via letter or fax, that the correction has been made. If, upon re-review, primary source information remains inconsistent with the practitioner's notification, the Credentialing Department notifies the practitioner via letter or fax.

The practitioner may then provide proof of correction by the primary source body to the Credentialing Department via letter or fax within 10 business days. The Credentialing Department re-verifies primary source information if such documentation is provided. If after 10 business days the primary source information remains in dispute, the practitioner is subject to administrative denial or termination.

# Primary Source Verification for Credentialing and Recredentialing

The Credentialing Department obtains and reviews information on a credentialing or re-credentialing application and verifies the information in accordance with the primary source verification practices. The plan requires participating physician groups (PPGs) to which credentialing has been delegated to obtain primary source information (outlined below)\* in accordance with the standards of participation, state and federal regulatory requirements, and accrediting entity standards.

## \*Primary Source Verification

- Medical physicians (MD)
- Nurse Practitioners (NP)
- Oral surgeons (DDS/DMD)
- Chiropractors (DC)
- Osteopaths (DO)
- Podiatrists (DPM)
- Mid-level practitioners (non-physicians)

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## Recredentialing for Practitioners

The plan's credentialing program establishes criteria for evaluating continuing participating practitioners. This evaluation, which includes applicable primary source verifications, is conducted in accordance with the health plan, state and federal regulatory requirements and accrediting entity standards. Practitioners are subject to recredentialing within 36 months. Only licensed, qualified practitioners meeting and maintaining the standards for participation requirements are retained in the network.

Practitioners due for recredentialing must complete all items on an approved plan application and supply supporting documentation, if required. Documentation includes, but is not limited to:

- Current state medical license.
- Attestation to the ability to provide care to members without restriction.
- Valid, unencumbered Drug Enforcement Agency (DEA) certificate or Chemical Dependency Services (CDS) certificate, if applicable. A practitioner who maintains professional practices in more than one state must obtain a DEA certificate for each state.
- Evidence of active admitting privileges in good standing, with no reduction, limitation or restriction on privileges, with at least one participating hospital or surgery center, or a documented coverage arrangement with a credentialed or participating practitioner of a like specialty.
- Malpractice insurance coverage that meets these standards: Individual providers one million/three million and for organizational providers three million/ten million.
- Trended assessment of practitioner's member complaints, quality of care, and performance indicators.

## Standards of Participation

All practitioners participating in the plan's network must comply with the following standards for participation in order to receive or maintain credentialing.

Applicants seeking credentialing and practitioners due for recredentialing must complete all items on an approved credentialing application and supply supporting documentation, if required. The verification time limit for a plan approved application is 180 days. Applications are available at the Council of Affordable Quality Healthcare (CAQH) website at [www.caqh.org](http://www.caqh.org) for the Universal Credentialing DataSource link. Supporting documentation includes:

- Current, unencumbered state medical license.
- Valid, unencumbered Drug Enforcement Agency (DEA) certificate, as applicable or Chemical Dependency Services (CDS) certificate, as applicable. The DEA and/or CDS registration must be issued in the state(s) in which the practitioner is contracting to provide care to the members.
- Continuous work history for the previous five years with a written explanation of any gaps of a prescribed time frame (initial credentialing only).
- Evidence of adequate education and training for the services the practitioner is contracting to provide.
- Evidence of active admitting privileges in good standing, with no reduction, limitation or restriction on privileges, with at least one participating hospital or surgery center, contracted hospitalist group or a documented coverage arrangement with a credentialed, participating practitioner of a like specialty.

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- Malpractice insurance coverage that meets these standards: Individual providers one million/three million and for organizational providers three million/ten million.
- The practitioner will answer all confidential questions and provide explanations in writing for any questions answered adversely.

Additionally, the practitioner must be absent from:

- The Medicare Opt-Out Report if treating members under the Medicare lines of business.
- The Medicare/Medicaid Cumulative Sanction Report if treating members under the Medicare lines of business.
- The Federal Employee Health Benefits Program Debarment Report if treating federal members.
- The Excluded Parties List System (EPLS) EEDP through the System for Award Management (SAM) Report.
- The Medi-Cal Suspended and Ineligible Provider listing.

## Terminated Contracts and Reassignment of Members

The plan notifies members as required by state law if a practitioner's contract participation status is terminated. The plan oversees reassignment of these members to another participating provider where appropriate.

## Denial Notification

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information for claims and service denials.

Select any subject below:

- [Claims Denial Letter Requirements](#)
- [Denial of Investigational or Experimental Treatment for a Terminal Illness](#)
- [Service Denial Templates](#)
- [Member Denial Letter Templates](#)
- [Notification Delays](#)
- [Required Elements for Provider Notification Letters](#)
- [Requirements for Notification of Utilization Management Decisions](#)

## Claims Denial Letter Requirements

Provider Type: Participating Physician Groups (PPG) | Hospitals

Participating physician groups (PPGs) and capitated hospitals are required to notify the member and provider in writing within 45 business days when a claim is denied if the member has any financial responsibility for the charges. If denying the letter, PPGs are encouraged to use the Industry Collaboration Effort (ICE) Claim Denial

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Letter located under Approved ICE Documents on the ICE website at [www.iceforhealth.org/library.asp](http://www.iceforhealth.org/library.asp). Claim denial letters must specify:

- Date of denial notice
- Member name
- Provider name
- Specific service
- Date of service
- Denied amount
- Member responsibility amount
- Reason for the denial - Claim denials for members must include a claim denial message. Use the ICE Commercial HMO Claim Denial Reasons Guide located under Approved Documents on the ICE website at [www.iceforhealth.org/library.asp](http://www.iceforhealth.org/library.asp)
- Provider and member appeals process and information, including plan name, address and telephone number for appeals. For disputes, include the [Department of Managed Health Care \(DMHC\) Required Statement](#).

PPGs and hospitals may not send denial notices to capitated members if they are not financially liable for the services.

For emergency room (ER) claims denials, use the ICE Commercial Member ER Claim Denial Letter located under Approved ICE Documents on the ICE website at [www.iceforhealth.org/home.asp](http://www.iceforhealth.org/home.asp).

## Denial of Investigational or Experimental Treatment for a Terminal Illness

Provider Type: Participating Physician Groups (PPG) | Hospitals

In accordance with standards established by the Department of Managed Health Care (DMHC), Health Net has five calendar days to respond to member requests for investigational or experimental treatment for a terminal illness. Health Net is required to review all requests for these procedures and, in the case of a denial, is responsible for issuing the denial letter. Participating physician groups (PPGs) are required to notify Health Net immediately of member requests or proposed services for expedited investigational or experimental treatment for a terminal illness.

Terminal illness is defined as a member having a life expectancy of six months or less as stated in writing by his or her attending physician or surgeon, or the member has an incurable or irreversible condition that has a high probability of death within one year.

PPGs must immediately forward all pertinent documentation for investigational or experimental treatment for a terminal illness via fax to Health Net's [Continuity and Coordination of Care Department](#). PPGs must not direct members to contact Health Net for approval of these services. It is the PPG's responsibility to contact and provide Health Net with pertinent information and documentation.

Health Net's Coordination of Care Department has a dedicated fax number and address to receive PPGs' requests for investigational or experimental treatment for terminal illnesses to ensure timely processing. For an initial review of a request for services, Health Net does the following:

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- Give written notice to the member within five business days of the decision. The notice must state the medical and scientific reasons for the denial and state an alternative treatment that Health Net does cover. It also includes Health Net's appeals and grievance procedures or complaint form, or both, which advise the member of the right to request a conference to discuss the denial
- If the member requests a conference, the conference is held by a person with the authority to uphold the denial or approve coverage. The conference is held within 30 calendar days from the receipt of Health Net's decision, unless the treating participating physician determines the effectiveness of the proposed or alternative treatment would be reduced if not provided at the earliest possible date. In that case, the conference must be held within five business days. The member is entitled to have a designee attend. This could be an attorney or, in the event the member is a minor, a parent or guardian

## Service Denial Templates

Provider Type: Participating Physician Groups (PPG) | Hospitals

Delegated participating physician groups (PPGs) and hospitals are required to notify a member in writing when a service is denied.

Service denial letters must specify:

- Letter date
- Member name
- Provider name
- Specific service
- Date of service for concurrent review, if applicable
- Reason for the denial - Service denials for members must include a denial message; refer to the Industry Collaboration Effort (ICE) website at [www.iceforhealth.org/home.asp](http://www.iceforhealth.org/home.asp) to download the Commercial Pre-Service Denial Reasons Matrix Guidelines and other templates
- Appeals process and information
- Health Net department name, address, and telephone number for appeals
- The [Department of Managed Health Care \(DMHC\) Required Statement](#) for language and telephone number

Health Net encourages PPGs and hospitals to use the standardized ICE-approved HMO service denial letter templates. Refer to the [ICE](#) to view the following templates located under Approved ICE Documents:

- Commercial Service Denial Notice
- Commercial Delay Needed - Additional Information
- Notice of Non-Coverage - Termination of Services
- Acknowledgment of Receipt - Refusal to Sign
- Refusal to Transfer
- SNF Exhaustion of Benefits
- SNF Reinstatement Letter
- Carve-Out Situations

## Letters to Members

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Communications regarding decisions to approve prior authorization requests must state the specific health care service approved.

Member notification letters indicating a denial, delay or modification of service must include:

- A clear and concise explanation of the reasons for the decision specific to medical necessity, benefit coverage or eligibility
- A description of the criteria or guidelines used
- The clinical reasons for any decisions regarding medical necessity
- Information on filing a grievance (or appeal)

PPG medical directors are encouraged to cite the language from the [Evidence of Coverage \(EOC\)](#) text models, including the specific service provision and the definition of medical necessity, in the denial of service notification to the member. Denials based on any determinant of medical necessity require further substantiation by medical literature, utilization management (UM) criteria set (such as Milliman and Robertson or Interqual), or other reputable evidenced-based criteria.

Providers are encouraged to use the approved ICE Commercial Service Denial Notice template when sending service denial notices to their members; refer to the [ICE website](#) to view the template located under Approved ICE Documents.

Refer to the DMHC Required Statement for additional requirements.

## Member Denial Letter Templates

Provider Type: Participating Physician Groups (PPG)

For utilization management (UM) and claims-delegated participating physician groups (PPGs), Health Net-specific Language Assistance Program (LAP) notices and member denial letter templates are available on the Industry and Collaborative Effort (ICE) website at [www.iceforhealth.org/library.asp](http://www.iceforhealth.org/library.asp) located under Approved ICE Documents.

## Notification Delays

Participating Physician Groups (PPG) | Hospitals

Financial penalties may be imposed on Health Net by regulators if specified time limits are not met. Reasonable delays include Health Net or the participating physician group (PPG) with delegated utilization management (UM) functions experiencing the following:

- Have not received requested information reasonably necessary to determine the medical necessity of the services requested
- Requires a consultation with an expert reviewer
- Have requested an additional examination or test on the member (provided the test is reasonable and consistent with good medical practice)

Health Net or PPGs with delegated UM functions are required to notify both the provider and member in writing about the delay, either immediately on expiration of the allowed time or as soon as Health Net or the PPG with delegated UM functions becomes aware that it will not meet the time requirement, whichever comes first. The

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provider must also be notified initially by telephone. Refer to the [Health Industry Collaboration Effort \(HICE\)](#) website to obtain the ICE Notice of Action (NOA) template located under Approved ICE Documents. The notification delay letter must include the reason for the delay, specific information pertaining to the additional information or consultation being requested, and the anticipated date of the decision. Once the additional information is received, the same time limits apply.

## Required Elements for Provider Notification Letters

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

Communications regarding decisions to approve requests must state the specific health care service approved.

Provider notification letters indicating a denial, delay or modification of service must include:

- A clear and concise explanation of the reasons for the decision
- A description of the criteria or guidelines used
- The clinical reasons for the decisions regarding medical necessity
- Information on filing a grievance (or appeal)
- The name and direct telephone number (or extension) of the physician or otherwise qualified and licensed health care professional (such as a PharmD) responsible for the decision

In the case of a denial, the referring provider must be given an opportunity to discuss the denial with the physician who made the denial decision. Refer to the Industry Collaboration Effort (ICE) website at [www.iceforhealth.org/home.asp](http://www.iceforhealth.org/home.asp) to view the Denial File Fax Back template located under Approved ICE Documents. An expedient method for this purpose is to complete a Denial File Fax-Back Sample, including the name and telephone number of the physician who denied the service when faxing back the denial information.

## Requirements for Notification of Utilization Management Decisions

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

Health Net and its participating physician groups (PPGs) to which utilization management (UM) functions have been delegated are required to comply with timeliness standards for UM decisions and notifications. Health Net has adopted the timeliness standards approved by the Industry Collaboration Effort (ICE) and the National Committee for Quality Assurance (NCQA).

For current standards, refer to the ICE website at [www.iceforhealth.org/home.asp](http://www.iceforhealth.org/home.asp) to locate the Approved ICE Documents for the commercial and Medi-Cal ICE UM Timeliness Standards.





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## Appeals, Grievances and Disputes

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section describes initial organization determinations, member and provider appeals and dispute resolution processes, and peer-to-peer review requests.

Select any subject below:

- [Member Appeals](#)
- [Provider Appeals and Dispute Resolution](#)
- [Grievances](#)
- [Peer-to-Peer Review Requests](#)

## Member Appeals

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section includes information on the member appeals process, including procedures and requirements.

Select any subject below:

- [Member Appeals Overview](#)
- [Appeal Process](#)
- [DMHC Consumer Assistance](#)
- [Investigational or Experimental Treatment](#)
- [Request for Information](#)

## Member Appeals Overview

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net members are entitled to have their appeals or grievances addressed by Health Net and have a contractual right to claims arbitration for claims that are not resolved to their satisfaction. Health Net does not delegate appeals or grievances to [participating providers](#). If the participating provider becomes aware of a member appeal, the participating provider must fax the appeal to the [Health Net Member Appeals and Grievance Department](#) within one business day. Health Net's process includes peer-review-protected evaluations on the matters raised. A copy of the denial and relevant clinical information needs to be submitted with appeal requests. Health Net's grievance and appeal process includes peer-review-protected evaluation of the matters raised.

Grievances are a verbal or written statement, other than one that is an organization determination, expressing dissatisfaction regarding any aspect of an organization's or participating provider's operations, contractual

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issues, activities, or behavior. A grievance is generally further classified as either a quality-of-care or quality-of-service issue.

An appeal or request for reconsideration is a verbal or written request to change a previous service decision or adverse determination. The request can be from a member, a participating provider or a member representative and is categorized as either a pre-service, post-service, expedited, or external review.

The fact that a member submits an appeal or grievance to Health Net or the participating provider should not affect in any way the manner in which the member is treated by the participating provider. If Health Net discovers that any improper action has been taken against such a member by the participating provider, Health Net takes immediate steps to prevent such conduct in the future. These steps involve appropriate sanctions, including possible termination of the applicable Provider Participation Agreement (PPA).

Health Net requires that all participating providers provide all pertinent appeal or grievance documentation to the Health Net Member Appeals and Grievance Department by fax or mail within five calendar days of the participating providers' receipt of Health Net's request for information. Health Net expects the participating provider to review the matter promptly and work with Health Net on corrective actions needed as part of the overall quality improvement process. If the participating provider does not provide the necessary documentation, Health Net may be obligated to make a determination in the member's favor.

Refer to [Appeal](#), [Grievance](#), [Complaint](#), or [Inquiry](#) as applicable for additional information.

## Expedited

An expedited appeal is warranted if there is a time-sensitive situation where an adverse decision could seriously jeopardize the life or health of the member or the member's ability to regain maximum function, defined as cases involving an imminent and serious threat to the health of the patient, including, but not limited to, severe pain, potential loss of life, limb, or major bodily function.

Expedited appeals includes pre-service appeals, a terminally ill appeal for a request for reconsideration of treatment, services or supplies deemed experimental as recommended by a [participating provider](#), or a life-threatening or seriously debilitating condition appeal.

All expedited appeals that meet the above definition are processed within 72 hours from the time the request is received by the participating provider or Health Net.

## Financial Responsibility

Financial responsibility determinations are made consistent with the terms of the Provider Participation Agreement (PPA) and Health Net policy. If, during an appeal, Health Net or the independent medical review (IMR) overturns a denial, the responsible participating provider provides the service and pays the claim as stated in the PPA.

## Binding Arbitration Process

Sometimes disputes may arise between a member and Health Net regarding the construction, interpretation, performance, or breach of the member's [Evidence of Coverage](#) (EOC) or [Certificate of Insurance](#) (COI), or regarding other matters relating to or arising out of membership. Typically such disputes are handled and

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resolved through the Health Net appeal, grievance or independent medical review (IMR) processes. However, in the event that a dispute is not resolved, Health Net uses binding arbitration as the final method for resolving all such disputes, whether stated in tort, contract or otherwise, and whether or not other parties, such as employer groups, health care providers, or their agents or employees, are also involved. In addition, disputes with Health Net involving alleged professional liability or medical malpractice (that is, whether any medical services rendered were unnecessary or unauthorized or were improperly, negligently or incompetently rendered) also must be submitted to binding arbitration.

As a condition of membership, Health Net members agree to submit all disputes against Health Net, except those described later, to final and binding arbitration. Health Net agrees to arbitrate all of these disputes. This mutual agreement to arbitrate disputes means that both the member and Health Net use binding arbitration as the final means of resolving disputes that may arise between them, and forego any right they may have to a jury trial on such disputes. However, no remedies that otherwise would be available to either party in a court of law are forfeited by virtue of this agreement to use and be bound by Health Net's binding arbitration process. This agreement to arbitrate is enforced even if a party to the arbitration is also involved in another action or proceeding with a third party arising out of the same matter.

Health Net's binding arbitration process is conducted by mutually acceptable arbitrators selected by Health Net and the member. The Federal Arbitration Act, 9 U.S.C.1, et sea., governs arbitrations under this process. If the total amount of damages claimed is \$200,000 or less, Health Net and the member must, within 30 days of submission of the demand for arbitration to Health Net, appoint a mutually acceptable single neutral arbitrator who hears and decides the case and who cannot award more than \$200,000. In the event that the total amount of damages is more than \$200,000, Health Net and the member must, within 30 days of submission of the demand for arbitration to Health Net, appoint a mutually acceptable panel of three neutral arbitrators (unless they mutually agree to one arbitrator), who hears and decides the case.

If Health Net and the member fail to reach an agreement during this time frame, then either may apply to a Court of Competent Jurisdiction for appointment of the arbitrators to hear and decide the matter.

Arbitration can be initiated by submitting a demand for arbitration to Health Net's litigation administrator. The demand must have a clear statement of the facts, the relief sought and a dollar amount.

The arbitrator is required to follow applicable state or federal law. The arbitrator may interpret the Health Net member's EOC or COI, but does not have any power to change, modify or refuse to enforce any of its terms, nor can the arbitrator have the authority to make any award that would not be available in a court of law. At the conclusion of the arbitration, the arbitrator issues a written opinion and award providing findings of fact and conclusions of law. The award is final and binding on Health Net and the member, except to the extent that state or federal law provides for judicial review of arbitration proceedings.

Health Net and the member share equally the arbitrator's fees and expenses of administration involved in the arbitration. Each is also responsible for their own attorneys' fees. In cases of extreme hardship to a member, Health Net may assume all or a portion of a member's share of the fees and expenses of the arbitration. Upon written notice by the member requesting a hardship application, Health Net forwards the request for hardship to an independent professional dispute resolution organization for a determination. Such request for hardship should be submitted to the litigation administrator.

Members enrolled in an employer-sponsored health plan that is subject to ERISA, 29 U.S.C. 1001 et seq. are not required to submit disputes about certain adverse benefit determinations to binding arbitration. However, the member and Health Net may voluntarily agree to resolve adverse benefit determinations through the arbitration process.



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## Appeal Process

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

All [participating providers](#) have five calendar days from receipt of a Health Net request for information to submit to Health Net the case file information requested for a member appeal. Case file information includes medical records, the rationale for denial and an alternative treatment plan. Participating providers must follow Health Net's provider information request process when submitting pertinent case file documentation to Health Net.

Health Net is responsible for reviewing the case file, requesting any additional information needed from the participating provider, and upholding or overturning the denial. In addition, Health Net is responsible for informing members of their right to appeal to the [Department of Managed Health Care \(DMHC\)](#). This includes sending members an application form and addressed envelope so members can request an independent medical review (IMR) through the DMHC for member appeals that have been denied for lack of medical necessity or for investigational or experimental treatment. The IMR organization reviews the case, prepares a written decision including its rationale, and submits the decision to the DMHC, the member and Health Net. Health Net accepts the IMR recommendation, then sends the IMR decision and rationale to the participating provider and notifies the member in writing whether the denial was upheld or overturned. If the denial is upheld, the member has the right to request arbitration.

## DMHC Consumer Assistance

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The [Department of Managed Health Care \(DMHC\)](#) maintains a program that assists consumers with resolution of problems and complaints involving HMOs. Members are advised of the [DMHC requirements](#) in 12-point bold type in their [Evidence of Coverage \(EOC\)](#), on the [Health Net Member Grievance Form \(PDF\)](#), on the appeal form, and on all correspondence and notices relating to complaints.

If the grievance involves an immediate and serious threat to the member's health, the member may seek immediate assistance from DMHC. [Participating providers](#) may assist the member in submitting a complaint to the department for resolution and may advocate the member's cause before the department. No participating provider may be sanctioned by Health Net or by a participating physician group (PPG) for giving such assistance to a member.

## Online Complaint and Independent Medical Review Application Form

In addition to submitting required paperwork and forms via mail or fax, DMHC launched a secure online form to allow members to file complaints regarding their health plan electronically. The portal is available in both English and Spanish and enables consumers to request an external review of Health Net's denial of medical services, through Independent Medical Review (IMR). The [Online Complaint and Independent Medical Review Application Form](#), can be accessed through the DMHC website. To obtain additional information regarding IMR, refer to [Dispute Resolutions and Appeals > Member Appeals > Appeals Process](#).

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## Investigational or Experimental Treatment

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

A member with a life-threatening or seriously debilitating condition who disagrees with a Health Net denial of coverage for a service, medication, device, or procedure because it is investigational or experimental may request an appeal review. If the denial is sustained, the member can request an independent medical review (IMR) from the [Department of Managed Health Care \(DMHC\)](#).

Participating providers are to forward immediately to Health Net any requests they receive for investigational or experimental treatment for a Health Net member. These requests cannot be reviewed by the participating provider.

Services, medications, devices, or procedures that have not been accepted under standard medical practice for treatment of a condition, symptom, illness, or injury are excluded from coverage by Health Net. If a question arises as to whether a service, medication, device, or procedure is investigational or experimental, the Health Net Medical Management Department reviews the information and makes a coverage determination.

## Request for Information

Provider Type: Participating Physician Groups (PPG)

A participating physician group (PPG) has five calendar days after receiving a request for information from Health Net regarding a member appeal to submit the necessary case file information to Health Net. The case file information includes the medical records, rationale for denial and alternative treatment plan. PPGs must follow Health Net's provider information request process when submitting information on member appeals and pertinent case file documentation to Health Net.

## Provider Appeals and Dispute Resolution

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section includes general information on provider dispute resolution and appeals processes.

Select any subject below:

- [Overview](#)
- [Acknowledgement and Resolution](#)
- [Dispute Submission](#)
- [Inquiry Submission](#)



Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net's provider dispute resolution process ensures correct routing and timely consideration of provider disputes (or appeals). [Participating providers](#) use this process to:

- Appeal, challenge or request reconsideration of a claim (including a bundled group of similar claims) that has been denied or adjusted by Health Net.
- Respond to a contested claim that the participating provider does not agree requires additional information for adjudication. A contested claim is one for which Health Net needs more information in order to process the claim.
- Challenge a request by Health Net for reimbursement for an overpayment of a claim.
- Seek resolution of a billing determination or other contractual dispute with Health Net.
- Appeal a participating physician group's (PPG's) written determination following its dispute resolution process when the dispute involves an issue of medical necessity or utilization review, to Health Net for a de novo review, provided the appeal is made within 60 business days of the PPG's written determination.
- Challenge capitated PPG or hospital liability for medical services and payments that are the result of Health Net decisions arising from member grievances, appeals and other member services actions.
- Challenge capitation deductions that are the result of Health Net decisions arising from member billings, claims or member eligibility determinations.

Health Net does not charge providers of service who submit disputes to the [Health Net Provider Appeals Unit](#) or the [Health Net Medi-Cal Appeals Unit](#) for processing provider disputes and does not discriminate or retaliate against a participating provider who uses the provider dispute process. Further, providers participating through a Health Net PPG cannot be charged a processing fee when utilizing the PPG's provider dispute process.

Disputes regarding the denial of a referral or a prior authorization request are considered member appeals. Although participating providers may appeal such a denial on a member's behalf, the member appeal process must be followed. Refer to the Dispute Resolution and Appeals topic for additional information.

In addition to the [provider dispute resolution process \(PDF\)](#), a [provider inquiry process](#) is available for routine claim follow-up when a participating provider wants to:

- Inquire regarding the status of a claim or obtain payment calculation clarification.
- Resubmit contested claims with the missing information requested by Health Net.
- Submit a corrected claim (additional charges previously not submitted).
- Clarify member responsibility.

To check the status of an appeal or dispute, contact the applicable Health Net Provider Services Center for members:

- [Health Net Provider Services Center - Commercial](#) (HMO, HSP, EPO, PPO)
- [Health Net Medi-Cal Provider Services Center](#)
- [Community Health Plan of Imperial Valley Provider Services Center](#)
- [CalViva Health Provider Services Center](#)

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## Overview for Physicians

Health Net's provider dispute resolution process ensures correct routing and timely consideration of provider disputes (or appeals). [Participating providers](#) use this process to:

- Appeal, challenge or request reconsideration of a claim (including a bundled group of similar claims) that has been denied or adjusted by Health Net.
- Respond to a contested claim that the participating provider does not agree requires additional information for adjudication. A contested claim is one for which Health Net needs more information in order to process the claim.
- Challenge a request by Health Net for reimbursement for an overpayment of a claim.
- Seek resolution of a billing determination or other contractual dispute with Health Net.
- Appeal a written determination when the dispute involves an issue of medical necessity or utilization review, to Health Net for a de novo review, provided the appeal is made within 60 business days of the written determination.

Health Net does not charge providers of service who submit disputes to the [Health Net Provider Dispute - Commercial Appeals Unit](#), the [Health Net Provider Appeals Unit - IFP](#) or the [Health Net Medi-Cal Appeals Unit](#) for processing provider disputes and does not discriminate or retaliate against a participating provider who uses the provider dispute process.

Disputes regarding the denial of a referral or a prior authorization request are considered member appeals. Although participating providers may appeal such a denial on a member's behalf, the member appeal process must be followed. Refer to the Dispute Resolution and Appeals topic for additional information.

In addition to the provider dispute process, a provider inquiry process is available for routine claim follow-up when a participating provider wants to:

- Inquire about the status of a claim or obtain payment calculation clarification.
- Resubmit contested claims with the missing information requested by Health Net.
- Submit a corrected claim (additional charges previously not submitted).
- Clarify member responsibility.

To check the status of an appeal or dispute, contact the applicable Health Net Provider Services Center for members:

- [Health Net Provider Services Center - Commercial](#) (HMO, HSP, EPO, PPO)
- [Health Net Medi-Cal Provider Services Center](#)
- [Community Health Plan of Imperial Valley Provider Services Center](#)
- [CalViva Health Provider Services Center](#)

## Acknowledgement and Resolution

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net acknowledges receipt of each provider dispute, in writing and within 15 business days of receipt. If the provider dispute submission does not include all pertinent details of the dispute, it is returned to the provider with a request detailing the additional information required to resolve the issue. The amended dispute

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must be submitted with the missing information within 30 business days from the date of receipt of the request for additional information.

Providers are not asked to resubmit claim information or supporting documentation that was previously submitted to Health Net as part of the claims adjudication process, unless Health Net returned the information to the provider.

Health Net resolves each provider dispute within 45 business days following receipt and sends the provider a written determination stating the reasons for the determination.

If the provider dispute involving a claim for a provider's services is resolved in favor of the provider, Health Net pays any outstanding money due, including any required interest or penalties, within five business days of the decision. Accrual of the interest and penalties, if any, commences on the day following the date by which the claim or dispute should have been processed.

Participating providers who contract directly with Health Net and disagree with Health Net's determination may refer to their Provider Participation Agreement (PPA) for other available resolution mechanisms.

## Dispute Submission

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net accepts disputes, including appeals, from [participating providers](#) if they are submitted within 365 days of receipt of Health Net's decision (for example, denial or adjustment), except as described below. If the participating provider does not receive a decision from Health Net, the dispute must be submitted within 365 days after the deadline for contesting or denying the claim has expired. If the participating provider's Provider Participation Agreement (PPA) provides for a dispute-filing deadline that is greater than 365 calendar days, this longer time frame continues to apply until the contract is amended.

When submitting a provider dispute, a provider should use the Provider Dispute Resolution Request form - [Provider Dispute Resolution Request form - Health Net \(PDF\)](#), [Provider Dispute Resolution Request form – Community Health Plan of Imperial Valley \(PDF\)](#) or [Provider Dispute Resolution Request form - CalViva Health \(PDF\)](#). If the dispute is for multiple, substantially similar claims, the Provider Dispute Resolution Request spreadsheet (page two of the request form above and up to 12 claims) or the Claims Project Submission Universal Template spreadsheet (used for more than 12 claims) should be submitted with the Provider Dispute Resolution Request form. The Claims Project Submission Universal Template spreadsheet should be requested from your Provider Network Management contact. Provider Network Management will email you a copy of the spreadsheet template to complete and submit along with the Provider Dispute Resolution Form.

The provider dispute must include:

- The provider's name; identification (ID) number; contact information, including phone number; and the original claim number.
- If the dispute is regarding a claim or a request for reimbursement of an overpayment of a claim, the dispute must include: a clear identification of the disputed item; the date of service; and a clear explanation as to why the provider believes the payment amount, request for additional information, request for reimbursement of an overpayment, or other action is incorrect.
- If the dispute is not about a claim, the provider must include a clear explanation of the reason for the dispute, including, if applicable, relevant references to the PPA.

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A provider dispute that is submitted on behalf of a member is considered a member appeal and is processed through the member appeal process. Providers may submit member appeals using the Provider Dispute Resolution Request form below.

- [Health Net Member Appeals and Grievances Department](#) (HMO, HSP, PPO and EPO)
- [Medi-Cal Member Appeals and Grievances Department](#) (Health Net Medi-Cal, Community Health Plan of Imperial Valley or CalViva Health).

Submit disputes to the [Health Net Provider Appeals Unit](#) (HMO, HSP, PPO and EPO) or the [Medi-Cal Provider Appeals Unit](#) (Health Net Medi-Cal, Community Health Plan of Imperial Valley or CalViva Health).

Providers who participate under a capitated agreement with a participating physician group (PPG) must submit disputes to the PPG that processed the claim.

## Inquiry Submission

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

For routine claim follow-up, contact the appropriate Provider Services Center.

Provider dispute requests are submitted to the [Health Net Provider Dispute and Inquiry Resolution Unit](#).

## Grievances

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Members may submit grievances orally or in writing to the [Member Appeals and Grievance Department](#).

Health Net acknowledges receipt of the grievance within five calendar days, and sends a final resolution/disposition letter to the member within 15 calendar days for PPO members and 30 calendar days for HMO. If the case exceeds the 15 day PPO time limit or the 30-day HMO time limit, an interim letter of explanation is sent to the member by the 30<sup>th</sup> calendar day indicating the reason for the delay and providing an estimated resolution date. The written resolution is made as soon as possible and not to exceed 15 additional calendar days.

If the grievance involves an imminent and serious threat to the member's health, including but not limited to severe pain, potential loss of life, limb or major bodily function, the member or the provider may request that Health Net expedite its grievance review. When Health Net evaluates and determines the expedited grievance request to be urgent, the grievance is resolved within 72 hours from receipt of the request.

Members may obtain additional information about member grievance procedures in the member's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#).

## DMHC Notices of Translation Assistance, Forms and Applications

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## DMHC Notices of Translation Assistance

Participating providers are required to insert a notice of translation assistance when corresponding with applicable members. Health Net-specific, DMHC notices of translation assistance are available on the Health Industry and Collaboration Effort (ICE) website at [www.ICEforhealth.org](http://www.ICEforhealth.org) > Library > Approved ICE Documents > Cultural & Linguistics Team folder. For additional information, providers can contact the [Cultural and Linguistic Services Department](#).

## Translated DMHC Complaint (Grievance) Forms

Physicians and ancillary providers must know how to locate and provide translated DMHC complaint (grievance) forms to members upon request. These forms are available in English, Chinese and Spanish on the DMHC website at [www.dmhc.ca.gov](http://www.dmhc.ca.gov).

## Translated DMHC IMR Applications

Physicians and ancillary providers must know how to locate and provide translated DMHC IMR applications to members upon request. These applications are available in English, Chinese and Spanish on the DMHC website at [www.dmhc.ca.gov](http://www.dmhc.ca.gov).

# Ancillary Providers and Notice of Language Assistance

Ancillary providers are required to include a notice of language assistance services when sending vital documents to applicable Health Net members. For assistance in determining if a document being sent to a Health Net member meets the vital document criteria, contact the Cultural and Linguistic Services Department.

## Peer-to-Peer Review Requests

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Plan aims to promote treatment that is specific to the member's condition and consistent with medical necessity, clinical practice, and appropriate level of care. An authorization request will be denied if the information provided does not meet the coverage requirements for the requested medical treatment. The Plan will notify the provider and the member of the reason for the adverse determination.

Providers may contact the Plan to discuss the adverse determination with a medical director (known as peer-to-peer review or P2P) using the instructions below.

### Peer-to-peer reviews may not be used in certain situations

The peer-to-peer review does not apply to:

**Appeals.** Once you or a member submits an appeal, you cannot request a peer-to-peer review. If the member submits the appeal for an adverse determination you have issued, we will reach out to you for any additional information you may have.

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**Post-discharge.** For adverse concurrent review determinations, you must request a peer-to-peer review prior to the member's discharge. Once the member has been discharged from a facility, you cannot request a peer-to-peer review. If a member is discharged on the weekend, please call prior to discharge and leave a message for your peer-to-peer request to be considered timely. Beyond this time, an appeal may be filed.

**Initial adverse determinations beyond five business days.** You have five business days to request a peer-to-peer review following issuance of an adverse prior authorization determination. Beyond this time, an appeal may be filed.

### How to request a peer-to-peer review

Contact the applicable [Peer-to-Peer Review Request Line](#) with the necessary information available to request a peer-to-peer review.

## Eligibility

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains information on eligibility requirements and how to determine eligibility for members.

Select any subject below:

- [COBRA Continuation](#)
- [COBRA Coverage Terminates While Member Is Hospitalized or In SNF](#)
- [Extension of Benefits](#)
- [Provider Responsibility for Verifying Eligibility for On-Exchange IFP Members in Delinquent Premium Grace Period](#)
- [Steps to Determine Eligibility](#)
- [Suspension of Coverage Letter](#)

## COBRA Continuation

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals

## Required Responses to Provider Inquiries Regarding Coverage

A qualified beneficiary may take up to 60 days to elect Consolidated Omnibus Budget Reconciliation Act of 1985 (COBRA) continuation coverage from the day that the COBRA election notice is mailed to the qualified beneficiary or the date of the qualifying event, whichever is later. During this election period, a qualified beneficiary may seek health services. Participating providers following eligibility verification procedures may contact the plan to determine if the qualified beneficiary has coverage.



Health plans are required to provide a complete response to provider inquiries regarding a qualified beneficiary's right to coverage during the COBRA election period and during the grace period for COBRA premium payments. Responses must include information on retroactive reinstatement or termination of coverage in accordance with the beneficiary's election and payment status.

## Election Period Requirements

Each qualified beneficiary has a period of time, called the election period, in which to elect COBRA continuation coverage. The election period is the later of:

- 60 days following the date the qualifying event would cause the qualified beneficiary to lose coverage
- 60 days following the date the notice is provided to the qualified beneficiary of the right to elect COBRA continuation coverage

To elect coverage, the qualified beneficiary must submit a request for continuation coverage to the employer sponsor of the prior plan.

## Complete Responses During an Election Period

Under COBRA regulations, it is not sufficient for a plan to respond to a provider's inquiry about eligibility by merely stating that the individual is or is not covered. Additional explanation must be made regarding the qualified beneficiary's right to coverage in accordance with the beneficiary's election and payment status.

If a health plan's eligibility roster lists a qualified beneficiary who has not yet made a COBRA election as an active member, the plan's responses to provider inquiries must include the statements:

- The individual is a COBRA-qualified beneficiary with the right to elect and pay for continued coverage.
- The individual's coverage is subject to retroactive termination if the COBRA premium payment is not made.
- If the election and payment are made on time, coverage is reinstated retroactively to the date of the qualifying event (or loss of coverage date, if different)

Health Net's standard coverage considers a qualified beneficiary who has not yet made a COBRA election to be not covered or ineligible.

## Grace Period Requirements

The grace period is the time between the day that the qualified beneficiary elects COBRA continuation coverage and the day that the premium payment is made. Under the COBRA regulations, health plans are prohibited from requiring payment of any premium prior to 45 days after the date of the COBRA election.

## Complete Responses During a Grace Period

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Once a qualified beneficiary has elected COBRA, he or she has 45 days to submit the first payment. Upon receipt of the application, the member's information is entered in to the system and he or she is enrolled as active. If the member's payment is not received within the 45 days, the member is not eligible for COBRA coverage.

## COBRA Coverage Terminates While Member Is Hospitalized or In SNF

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Members may qualify for extended benefits as outlined in their [Evidence of Coverage](#) (EOC). A member is not required to submit a written request to Health Net that benefits be extended. If a member is entitled to extended benefits, all services and benefits included in the member's EOC prior to coverage termination are provided, but only for the condition that required admission to the hospital or skilled nursing facility (SNF).

In cases where a member's coverage terminates and the succeeding carrier is a federally qualified HMO, the succeeding HMO carrier has an obligation to take over the member's care. All care must be transferred immediately to the succeeding federally qualified HMO.

## Extension of Benefits

Provider Type: Participating Physician Groups (PPG) | Hospitals

When a totally disabled member loses coverage because the group agreement between Health Net and the employer group has terminated, California laws require group health plans (HMOs) and group policy underwriters (PPOs) to extend coverage, but only for services directly related to the disabling condition. Application for the extension of benefits must be submitted by the member and certification of the disabling condition completed within 90 days following the date the group agreement terminated. The request for extension of benefits must include written certification by the member's participating physician group (PPG) that the member is totally disabled.

If benefits are extended because of total disability, the member must provide Health Net with proof of total disability at least once every 90 days during the extension, before the end of the 90-day period.

The extension of benefits ends on the earliest of any of the following dates:

- On the date the member is no longer totally disabled
- On the date the member becomes covered by a replacement health policy or plan obtained by the group and this coverage has no limitation for the disabling condition
- On the date that available benefits are exhausted
- On the last day of the 12-month period following the date the extension began

Refer to the member's [Evidence of Coverage](#) (EOC) or [Certificate of Insurance](#) (COI) for additional information, or contact the [Health Net Provider Services Center](#).



# Provider Responsibility for Verifying Eligibility for On-Exchange IFP Members in Delinquent Premium Grace Period

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

It is imperative that providers verify benefits, eligibility and cost shares each time a member is scheduled to receive services. Presentation of a member identification (ID) card is not a guarantee of eligibility. Providers must always verify eligibility on the same day services are required.

To verify eligibility providers can utilize the [Health Net provider portal](#).

## **PREMIUM GRACE PERIOD FOR MEMBERS RECEIVING FEDERAL ADVANCE PREMIUM TAX CREDITS AND/OR CALIFORNIA PREMIUM SUBSIDIES**

Provisions of the Affordable Care Act and California law require that Health Net allow members receiving federal Advance Premium Tax Credits (APTCs) and/or California premium subsidies a three-month grace period to pay premiums before coverage is terminated.

- Members receiving federal APTCs and/or California premium subsidies will have a federally mandated grace period of three months in which to make payment for their portion of the premium.
  - Premiums are billed and paid at the subscriber level; therefore, the grace period is applied at the subscriber level.
  - All members associated with the subscriber will inherit the enrollment status of the subscriber.
  - When providers are verifying eligibility through the secure provider portal during the first month of nonpayment of premium, the provider will receive a message that the member is active but delinquent due to nonpayment of premium. However, claims may be submitted and Health Net will pay for covered services rendered during the first month of the grace period.
  - During months two and three of the grace period, the member's eligibility status is suspended, and claims will be pended. The EX code on the explanation of payment will state: "LZ - Pend: Non-Payment of Premium."
  - Coverage will remain in force during the grace period.
  - If payment of all premiums due is not received from the member by the end of the three-month grace period, the member's policy will automatically terminate to the last day of the first month of the grace period.
  - The member will be financially responsible for the cost of covered services received during the second and third months of the grace period, as well as any unpaid premium.
  - In no event shall coverage extend beyond the date the member policy terminates.

## **BILLING FOR COVERED SERVICES TO MEMBERS IN SUSPENDED STATUS DURING MONTHS TWO AND THREE**

For members whose eligibility is in a suspended status and seeking services from providers:

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1. Providers may advise the member that providers are not obligated under their Health Net contract to provide services while the member's eligibility is in suspended status. (Status must be verified through the Health Net secure provider portal or by calling Provider Services. Providers should follow their internal policies and procedures regarding this situation.)
2. Should a provider make the decision to render services, the provider may require payment from the member. Providers may submit a claim to Health Net as well, but the claim will be contested and only paid if the member's eligibility status is returned to active status after all overdue premiums are paid in full.
3. If the member subsequently pays his or her premium and is removed from a suspended status, claims will be adjudicated by Health Net. The provider is then responsible for reconciling any payment received from the member and the payment received from Health Net. The provider may then bill the member for an underpayment or return any overpayment to the member.
4. If the member does not pay his or her premiums in full by the end of the three-month grace period and Health Net plan coverage is terminated, providers may bill the member for the full billed charges.

## Verifying Eligibility for IFP Members

Providers are responsible for verifying benefits, eligibility and cost shares each time a member is scheduled to receive services. Presentation of a member identification (ID) card is not a guarantee of eligibility. Providers must always verify eligibility on the same day services are required. Member eligibility can be verified on the [provider portal](#). For more information download [Save Time Navigating the Provider Portal](#) booklet.

When viewing eligibility of IFP members on the secure portal, providers will see a [status message \(PDF\)](#).

If the member's information is not found online, contact the applicable [Health Net Provider Services Center](#).

# Steps to Determine Eligibility

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains information on verifying and determining member eligibility.

Select any subject below:

- [Adding and Deleting Members](#)
- [Eligibility Certification Form](#)
- [Eligibility Verification Methods](#)
- [Health Net Identification Card](#)
- [Monthly Eligibility Reports](#)
- [Temporary ID Card](#)

# Adding and Deleting Members

Provider Type: Participating Physician Groups (PPG)

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## Adding Members

Health Net discourages employer groups from retroactively adding members after the applicable monthly billing is reconciled. Only unavoidable administrative errors by the group or its agent may form a basis for retroactively adding a new member after the monthly billing has been reconciled. Health Net may make exceptions for groups that pay a super-composite rate or when a newly eligible dependent must be added to an existing family contract.

If Health Net must retroactively assign a new member to a participating physician group (PPG), capitation payment for that member is made and the PPG is responsible for all services due to the member from the date the member became eligible.

The Evidence of Coverage (EOC) issued to members upon enrollment informs them that they have 30 days from the date of acquiring a dependent to add the dependent to the contract (certain employer groups have exceptions to the 30-day enrollment time limit). After 30 days, the subscriber must wait until the employer's open enrollment or other qualifying event to make the addition. It may take up to three months before the dependent appears on the PPG Eligibility Report, due to the employer group's Health Net billing cycle.

The EOC also instructs subscribers to add dependents within the required time. Health Net encourages the PPG to urge the subscriber to add the member officially through the employer's human resources office (or Health Net, if a non-group conversion) as soon as possible in order to avoid problems that can arise if the member is not timely added.

Exceptions to this time limit exist. The 30-day requirement is waived when subscribers are already on a family contract or are employed by an employer group that pays a super-composite rate.

The PPG must continue to provide care to the dependent even if that individual does not appear on the Eligibility Report after 30 days. Members must sign an Eligibility Certification before services are provided. Contact the [Health Net Provider Services Center](#) for assistance in determining whether the contract provision applies in a particular case.

## Deleting Members

If Health Net terminates the coverage of any member covered under the group agreement, the prepaid subscription charges received by Health Net applicable to periods after the cancellation date are refunded within 30 days. Neither Health Net nor any participating provider has any further responsibility to the member.

In the event of a retroactive cancellation, retroactive capitation adjustments are made in accordance with the Provider Participation Agreement (PPA).

## Eligibility Certification Form

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

A person seeking medical attention who claims to be an eligible Health Net member, but who does not have a valid permanent Health Net identification (ID) card and does not appear on the most recent Eligibility Report (capitated participating physician groups (PPGs) and hospitals only), must be questioned by the PPG or provider to determine if the person is a new member or has transferred from another PPG. The PPG or

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provider must contact the [Health Net Provider Services Center](#) to verify eligibility, but must not delay providing or authorizing care to a Health Net member. If the patient is determined to be a new member, he or she must sign an Eligibility Certification Form before receiving services.

## Eligibility Verification Methods

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

When an individual seeks medical attention from a participating physician group (PPG), hospital or other provider, the provider must attempt to determine eligibility with Health Net before providing care.

Member eligibility is verified at the time that the identification (ID) card is issued; however, possession of the card does not guarantee eligibility. In cases where a member has lost an ID card or where eligibility may be in question, eligibility can be verified as follows:

Eligibility Reports (applies to capitated PPGs and hospitals). Refer to [Use Eligibility Report to Verify Member Information](#) in the Monthly Eligibility Reports section for more information.

- Online: Download the [Save Time Navigating the Provider Portal \(PDF\)](#) booklet for step-by-step instructions.
- The [interactive voice response \(IVR\)](#) system for employer group EPO, HMO, HSP and PPO members to obtain information on member eligibility, copayment and claims status.
- Refer to the [IVRs](#) available for Covered California and Individual Family Plan (IFP) members to obtain information on member eligibility, copayments and claims status.
- Eligibility verification via the provider's clearinghouse. Health Net is a Phase I- and Phase II-certified entity with the Council for Affordable and Quality Healthcare (CAQH) Committee on Operating Rules (CORE) for eligibility responses. Providers must contact their vendor/clearinghouse to submit transactions via this method using an EDI transaction or clearinghouse product.

## Grace Period - Suspended Eligibility Status

A member's eligibility status may indicate that eligibility is suspended. Members who qualify for advanced premium tax credits (APTC) to subsidize his or her purchase of a health benefit plan through the Covered California marketplace are allowed an extended premium payment grace period of three months before the member's coverage is terminated. Refer to [Premium Payment Grace Period for Beneficiaries Qualifying for APTC](#) for additional information on member, provider and Health Net's rights when the member's eligibility is in suspended status during the first, second, or third month of the grace period.

## Health Net Identification Card

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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All Health Net members are issued a [Health Net identification \(ID\) card](#). This card serves as identification for medical, prescription medication and vision coverage. It displays the effective date of coverage at the participating physician group (PPG) selected by the member, the subscriber ID number, the group number, the group's re-rate month, the office visit copayment, the emergency room copayment, and the Health Net plan code. In addition, the PPG's name, address and telephone number are displayed on the card. If the subscriber's employer offers optional prescription drug benefits, the ID card states "PLAN WITH PHARMACY."

Even when a valid ID card is presented to the PPG, hospital, or ancillary provider, the Eligibility Report (capitated PPGs and hospitals only) must be checked or the Health Net Provider Services Center must be contacted, as members may have terminated coverage or changed PPGs or plans after the card was issued.

The Health Net ID card should be carried by the member at all times, and must be presented to the PPG, hospital or ancillary provider when seeking medical services and at participating Health Net pharmacies when purchasing prescription medications. A member who has lost a Health Net ID card should be advised to call the [Health Net Member Services Center](#) to request a replacement card. If a member produces a valid Health Net ID card indicating eligibility at another PPG, before providing services, the PPG, hospital or ancillary provider should call the [Health Net Provider Services Department](#) to determine if the transfer was approved by Health Net. The date of the call and the name of the responding representative must be noted. The PPG, hospital and ancillary provider must take these steps to verify the member's eligibility in order to receive compensation for services provided.

## Monthly Eligibility Reports

Provider Type: Participating Physician Groups (PPG) | Hospitals

## Activity Analysis Report

Each month, capitated participating physician groups (PPGs) and hospitals receive an Activity Analysis Report along with the Eligibility Report. This report identifies and summarizes membership activity. It lists additions, deletions, transfers in and out of PPGs and hospitals, reinstatements, contract type changes, and plan type changes. PPGs and hospitals use this report to note new members and monitor retroactive cancellations. If a member is deleted retroactively from the Activity Analysis Report, the PPG and hospital pull the member's chart to verify whether he or she received any services. If services were provided during the time the member was determined ineligible, the PPG and hospital follow procedures for eligibility guarantee.

## Use Eligibility Report to Verify Member Information

Health Net provides each capitated participating physician group (PPG) and capitated hospital with a monthly Eligibility Report listing eligible members enrolled with the PPG and capitated to the hospital per applicable PPG affiliation for the calendar month. The Eligibility Report is organized alphabetically and is sorted by member last name. The following information appears in the report:

- Member code
- Subscriber identification (ID) number
- Group number
- Contract type

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- Copayment information for office visits, emergency room service and durable medical equipment (DME)
- Plan code
- Birth date
- Provider effective date
- Provider cancel date
- Physician ID number
- Coordination of benefits (COB) information

When a member requests medical services, the Eligibility Report or Health Net's eligibility verification methods are consulted by the provider to check eligibility before providing services. Because Eligibility Report lists canceled members on active contracts and canceled contracts for one month following cancellation, it is vital that the provider cancel date is reviewed on the report prior to assuming Health Net eligibility.

## Temporary ID Card

Provider Type: Participating Physician Groups (PPG) | Hospitals

A temporary Health Net identification (ID) card is attached to the Health Net Enrollment Form. In the event members need to visit their participating physician group (PPG) before receiving their permanent ID cards, they may either detach and complete this card or use the goldenrod or other copy of their enrollment form until the permanent Health Net ID card is received.

## Suspension of Coverage Letter

Provider Type: Participating Physician Groups (PPG)

Members' eligibility is suspended if they are delinquent on premium payments during months two and three of the three-month federal premium delinquency grace period. Health Net or delegated CommunityCare participating physician groups (PPGs) must customize the templates, as described below, and send these letters to applicable providers no later than day 15 of months two and three of the grace period. The letter templates notify providers of a member's delinquent status upon Health Net's receipt of a claim for a delinquent member.

## Provider Notice of Suspension Letter Descriptions

The following five letters pertain to Health Net delegated CommunityCare plans:

- [Type 1-APTC Pend Claim letter \(PDF\)](#) - Informs providers of a member's premium delinquency status. Delegated PPGs must send this notice to their contracting providers upon receipt of a claim for service dates occurring in months two and three of the premium delinquency grace period.
- [Type 3A-APTC Primary Care Physician \(PCP\)/PPG letter \(PDF\)](#) - Informs assigned PCPs of a member's premium delinquency status and notifies providers that coverage is suspended until the member's premium is paid in full.
- [Type 3B-APTC Claims Look-Back letter \(PDF\)](#) - Informs providers of a member's premium delinquency status. Delegated providers must send the letter to participating and nonparticipating

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providers who submitted claims for the APTC enrollee during the two months prior to the enrollee's federal grace period.

- [Type 4-APTC Authorization Rescind letter \(PDF\)](#) - Informs providers that unless certain services have been rendered, Health Net or the delegated PPG rescinds authorization for coverage of the service, effective immediately.
- [Type 5-APTC Inpatient Authorization Rescind letter \(PDF\)](#) - Reminds members that their eligibility is suspended and informs them that the request for authorization of additional inpatient days is denied until outstanding premiums are paid in full, effective immediately.

## Eligibility Reports

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains information on eligibility reports to assist providers with determining eligibility.

Select any subject below:

- [Active Analysis Report](#)

## Active Analysis Report

Provider Type: Participating Physician Groups (PPG) | Hospitals

The Activity Analysis Report (BRM 11M) identifies and summarizes the following membership activity for the reporting period:

- Additions and cancellations
- Reinstatements
- Transfers in and out of the participating physician group (PPG)
- Contract changes
- Plan-type changes

The Activity Analysis Report is available monthly at the site level, but may be requested at the consolidated level.

PPGs may use the report to update their eligibility database, note new members, monitor retroactive cancellations, or identify members who should receive new member welcome letters.

Additional information on file layouts and formatting of the Activity Analysis Report is available as follows:

- [Sample Activity Analysis Report \(PDF\)](#)
- [Sample Activity Analysis Report Field Descriptions - Report key \(PDF\)](#)
- [Electronic Media Format - Activity File \(PDF\)](#)

## Eligibility Report

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The Eligibility Report (BRM 42I and 42P) lists alphabetically all members eligible for at least one day in the reporting month. Participating physician groups (PPGs) must use this report to verify that a member is eligible to receive services for the dates of service.

PPGs may use the Eligibility Report in conjunction with the Remittance Detail Report to verify that they have received the correct capitation, and that the capitation includes members added retroactively. The summary portion of this report lists the number of members or contracts eligible with the PPG at least one day during the month and at month's end. The Eligibility Report is distributed monthly by site level, but may be requested at the consolidated or physician level.

The Eligibility Report reflects membership information as it appears in the computer on the date the report is run. If a newly added employer group is not included by the date the report is run or if an existing employer group has not reported all membership changes, the Eligibility Report does not reflect this information. Refer to the Eligibility Guarantee discussion under the Claims and Provider Reimbursement topic for additional information.

The Eligibility Report is generated at the end of the month for the following month.

Additional information on file layouts and formatting of the [Eligibility Report](#) is available as follows:

- [Sample Eligibility Report - Institutional \(PDF\)](#)
- [Sample Eligibility Report Field Descriptions - Report key \(PDF\)](#). [Frequently Asked Questions \(PDF\)](#)
- [Electronic Media Format - Eligibility Report \(PDF\)](#)

## Eligibility Summary by Group Report

The Eligibility Summary by Group Report (BRM 13A and 13B) lists, by employer group, the number of members the participating physician group (PPG) has enrolled, and identifies each employer group's plan code and rerate month. The PPG may use this report to verify a group's open enrollment, plan code, benefits, or copayment amount.

The Eligibility Summary by Group Report is distributed to all PPG sites monthly.

Additional information on file layouts and formatting of the Eligibility Summary by Group Report is available as follows:

- [Sample Eligibility Summary by Group Report - Group Name/Provider \(PDF\)](#)
- [Sample Eligibility Summary by Group Report - Group ID/Provider \(PDF\)](#)
- [Sample Eligibility Summary by Group Report Field Descriptions - Report key \(PDF\)](#)
- [Electronic Media Format - Eligibility Summary by Group \(PDF\)](#)

## Remittance Detail Report

The Remittance Detail Report (BRM 12A) displays the capitation remittance for each member and is used to reconcile monthly capitation payments and review adjustments made to capitation. The amounts reported are the current month capitation amounts plus any retroactive or current adjustment amounts. The report lists all members.

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The summary portion of the report helps participating physician groups (PPGs) maintain accrual-based accounting records. It specifies the total capitation paid for the reporting month in the Net Remittance field. The report also summarizes adjustments made to this amount by adjustment type and month.

The Remittance Detail Report is distributed monthly by site level but may be requested at the consolidated or physician level.

Additional information on file layouts and formatting of the Remittance Detail Report is available as follows:

- [Sample Remittance Detail Report \(PDF\)](#)
- [Sample Remittance Detail Report Field Descriptions - Report key \(PDF\)](#)
- [Electronic Media Format - Remittance Detail Report \(PDF\)](#)

## Emergency Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on emergency care services.

Select any subject below:

- [Overview](#)
- [Additional Monitoring Responsibilities](#)
- [Instructions to Members Regarding Authorization](#)
- [Non-Participating Hospital Request for Authorization to Provide Post-Stabilization Services](#)
- [Out-of-Area Emergency or Urgently Needed Care](#)
- [PPG Responsibilities](#)

## Overview

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

Emergency care is covered for an emergency medical condition, which is defined as a medical condition manifesting itself by acute symptoms of sufficient severity (including severe pain) such that the absence of immediate medical attention could reasonably be expected to result in any of the following:

- Placing the patient's health in serious jeopardy.
- Serious impairment to bodily functions.
- Serious dysfunction of any bodily organ or part.

Active labor is considered an emergency medical condition. Active labor means labor at the time that either of the following could reasonably be expected to occur: (1) there is inadequate time to effectively transfer safely to another hospital prior to delivery; or (2) a transfer poses a threat to the health and safety of the member or unborn child.

Emergency care includes:

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- Medical screening, examination and evaluation by a physician (or other personnel to the extent permitted by applicable law and within the scope of his or her license and privileges) to determine if an emergency medical condition or active labor exists and, if it does, the care, treatment, and surgery, if within the scope of that person's license, necessary to relieve or eliminate the emergency medical condition, within the capability of the facility.
- Additional screening, examination and evaluation by a physician (or other personnel to the extent permitted by applicable law and within the scope of his or her license and privileges) to determine if a psychiatric emergency medical condition exists, and the care and treatment necessary to relieve or eliminate the psychiatric emergency medical condition, either within the capability of the facility or by transferring the member to a psychiatric unit within a general acute hospital or to an acute psychiatric hospital as medically necessary.
- Air and ground ambulance and ambulance transport services provided through the 911 emergency response system.

Health Net makes final decisions about emergency care.

Refer to definition of [psychiatric emergency medical condition](#) for more information.

## Additional Monitoring Responsibilities

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

When a participating primary care physician (PCP) is contacted by an out-of-area provider to determine benefit coverage for a Health Net member, the participating PCP must:

- Verify that the member has Health Net coverage.
- Verify that the member receives health care services from the PCP.
- Inform the out-of-area provider that Health Net only covers out-of-area emergency admissions (less any applicable copayments or deductibles).
- Provide any follow-up care or obtain out-of-area authorization from Health Net.

The out-of-area provider or PCP is responsible for notifying the [Hospital Notification Unit](#) of all out-of-area emergency hospitalizations. The Medical Management Department monitors the out-of-area emergency hospital care, conducts concurrent review and determines whether the member can be transferred safely into the service area.

Claims are retrospectively reviewed to determine medical necessity and eligibility for payment of out-of-area services.

## Instructions to Members Regarding Authorization

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals

According to the Evidence of Coverage (EOC) or Certificate of Insurance (COI), members are required to adhere to the following instructions regarding emergency services and urgently needed care:

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- Emergency services do not require prior authorization; however, the member is required to notify their participating physician group (PPG), primary care physician (PCP) or Health Net as soon as possible so that follow-up care can be coordinated.
- Hospitals are responsible for notifying Health Net of the admission of a Health Net member.
- PPGs and PCPs are available 24 hours a day, seven days a week, to respond to member telephone calls regarding medical care that the member believes is needed immediately. The member's PPG or PCP should evaluate the member's situation and recommend where the member should obtain emergency or urgent care.

## Hospital Request for Authorization to Provide Post-Stabilization Services

### Participating Physician Groups (PPG)

Participating physician groups (PPGs) who receive a request for authorization for post-stabilization services from a non-participating hospital, or if the request comes from a participating hospital but the PPG is not delegated for inpatient services, must immediately notify the Health Net [Hospital Notification Department](#) upon receipt of any request from a hospital for authorization to provide post-stabilization services to Health Net members who have received emergency services. Do not issue an authorization or tracking number or confirmation of eligibility to the non-participating hospital.

A PPG in a dual-risk relationship with a hospital is responsible for complete utilization management (UM) for Health Net members to which the dual-risk relationship applies. Such UM includes confirming eligibility, issuing authorizations or tracking numbers, and arranging for member transfers or discharges, as appropriate. A PPG participating in a dual risk relationship should notify Health Net of any member admissions to non-participating hospitals.

Health Net calls the hospital back with the information necessary to initiate transfer of the member or provide an authorization for post-stabilization care. Pursuant to enactment of Assembly Bill 1203 (2008), which amended Health and Safety Code section 1262.8 (b)(3), after the emergency condition of the patient has been stabilized, a non-participating hospital is required to provide Health Net with the identity of the treating physician and surgeon's diagnosis and relevant medical information reasonably necessary for Health Net to coordinate with the PPG to assume management of the member's care by arranging for transfer of the member, or to provide authorization for medical necessity post-stabilization care. Under Health and Safety Code section 1317.1(j) a patient is "stabilized" or "stabilization" has occurred when, in the opinion of the treating provider, the patient's medical condition is such that, within reasonable medical probability, no material deterioration of the patient's condition is likely to result from, or occur during, the release or transfer of the patient.

Refer to [Emergency Services](#) for more information specific to the member's health plan.





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# Out-of-Area Emergency or Urgently Needed Care

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Health Net's definition for out-of-area services in HMO plans is care obtained outside a 30-mile radius from the member's primary care physician (PCP) office location or as defined in the Provider Participation Agreement (PPA).

To be covered, out-of-area care must be an emergency or urgently needed at a physician's office. Final determination of whether the services provided meet emergency criteria rests solely with Health Net.

## Direct Members to the Nearest Participating Physician Group or Primary Care Physician

If an injury or illness requires emergency services, members are instructed to call 911 or go to the nearest hospital or urgent care center. When members receive emergency services, they must contact their PCPs or participating physician groups (PPGs) as soon as possible to notify them of the emergency services received. Members traveling out-of-area, but within California, who call their PPG or PCP for assistance with an emergency or urgent care need should be directed to the nearest PPG or PCP when possible. Instruct out-of-area providers to contact Health Net directly for authorization.

The PPA states that a participating provider must provide emergency or urgently needed care to Health Net members who are temporarily outside their service area. Providers should verify a member's eligibility with Provider Services or the member's selected PCP specified on the member's Health Net identification card.

The Health Net provider directory lists all PPGs and PCPs and is available online and updated daily. Participating providers may also log in to the [Health Net provider portal](#) and locate a provider or contact their Health Net provider relations or contracting specialist (formally known as the provider network administrator) or regional network manager.

## PPG Responsibilities

Provider Type: Participating Physician Groups (PPG)

This section describes participating physician groups' (PPGs') responsibility when a member seeks emergency services.

Select any subject below:

- [Notification of Admission](#)
- [Emergency Room Closures](#)

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## Notification of Admission

Provider Type: Participating Physician Groups (PPG)

The treating emergency department of a participating hospital is required to complete and send the hospital face sheet to Health Net's [Hospital Notification Department](#) for hospital admissions. The participating physician group (PPG) is required to notify the Health Net Medical Management Department and supply the PPG authorization number if treatment has been authorized.

For notification of hospital admission from a non-participating hospital, refer to the [non-participating hospital request for authorization to provide post-stabilization services](#).

## 24-Hour Access

The California Health and Safety Code and the California Code of Regulations, Title 28 section 1300.67(g)(1) requires that the participating physician group (PPG) provide uninterrupted access to medical services 24 hours a day, seven days a week.

## Emergency Room Closures

Participating Physician Groups (PPG)

Within 30 days of Health Net or its participating physician groups (PPGs) receiving notice that an acute care hospital intends to reduce or eliminate its emergency services, affected PPGs must notify members by mail. Health Net works with affected PPGs to help them comply with this requirement.

## Encounters

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information about encounter data submission.

Select any subject below:

- [Overview](#)
- [Dual-Risk Contracts Encounter Data Submission](#)
- [Error Notification](#)
- [Lien Recoveries](#)
- [Noncompliance with Encounter Data Submission](#)
- [Professional and Institutional Capitated Encounter Submission Requirements](#)

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Provider Type: Participating Physician Groups (PPG) | Ancillary | Hospitals

To comply with the requirements of the Department of Health and Human Services (DHHS), the Centers for Medicare & Medicaid Services (CMS), the California Department of Health Care Services (DHCS), the California Disproportionate Share Hospital (DSH) Program, the Managed Risk Medical Insurance Board (MRMIB), and the National Committee for Quality Assurance (NCQA), Health Net requires information from its providers on members' use of health services.

Capitated participating physician groups (PPGs), hospitals and ancillary providers are required to provide complete encounter data about professional services rendered to Health Net members. These services include office visits; X-rays; laboratory tests; surgical procedures; anesthesia; physician visits to the hospital; inpatient, outpatient, emergency room, out-of-area, or skilled nursing facility (SNF) services; and all professional referral services. Capitated participating facilities (and physician groups with dual-risk contracts) are required to provide encounter data no less than monthly about institutionally-based services rendered to Health Net members.

Encounter data submissions must include all member-paid cost-share amounts, such as copayments, coinsurance and deductibles, applicable to the member's benefit. In addition, any rejected encounter data must be corrected and resubmitted in order for complete information and correct member-paid cost-share amounts to be captured and accumulated. Encounter data submission is also an integral part of the Health Net Quality of Care Improvement Program (QCIP) (applicable only for HMO and Point of Service (POS) products) and Healthcare Effectiveness Data and Information Set (HEDIS®). Refer to the Quality Improvement (QI) topic for more information about QCIP.

## Dual-Risk Contracts Encounter Data Submission

Participating Physician Groups (PPG) | Hospitals

Participating physician groups (PPGs) who are contracting for dual risk are responsible for submitting encounter data to Health Net monthly for all professional and hospital services in a complete, accurate and timely manner. Health Net requires PPGs to submit their encounter data according to the terms of the Provider Participation Agreement (PPA).

The following applies to Medicare dual-risk contracts:

- The Centers for Medicare & Medicaid Services' (CMS') payment methodology is a risk-adjusted payment rate based on hospital encounter data submitted to the health plans. Payment is based on demographic factors and reported health conditions. Payments for members with no reported conditions are reduced, while payments for members with specific reported conditions can be significantly increased. For the hospital to receive increased payments, the condition needs to be reported via encounter data. Failure to report these encounters can have significant impact on the PPG's and hospital's revenues.
- CMS requires hospitals to submit full UB-04 data. Providers needing assistance should contact the [Capitated Claims/Encounter Department](#).

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- Upcoding of ICD-10 diagnosis codes is not allowed. CMS audits hospital medical records to ensure that this does not occur.
- Continue to include the Medicare HCPCS code on the UB-04 form for each hospitalized member.

## Inpatient Admissions

In accordance with the PPA, Health Net and the member's PPG require notification to Health Net and the applicable PPG of a member's inpatient admission within 24 hours for the following types of admissions:

- Acute inpatient
- Skilled nursing facility (SNF)
- Inpatient rehabilitation
- Inpatient hospice

## Error Notification

Participating Physician Groups (PPG) | Ancillary | Hospitals

Encounter data submitted to Health Net can fail at the file level or the encounter level. If there is a file failure, the submitter is notified by the Capitated Claims/Encounter Department. The file must be corrected and resubmitted.

If the encounter file passes on to encounter level edits, the following reports are produced:

- Claims/Encounters Control Summary Reports - reports receipt/accept/reject totals for reconciliation.
- Encounter/Claims Rejection Report - identifies specifics for encounters that failed edits and require correction and resubmission.

Contact the [Capitated Claims/Encounter Department](#) if record-specific resubmission cannot be generated.

## Lien Recoveries

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Some hospitals assume the responsibility for collecting third-party recoveries through their contract with Health Net. The hospital may have its own lien right independent of the contractual lien described in Health Net's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#), in which case the hospital asserts its own lien. It is the [participating provider's](#) staff responsibility to coordinate assertion of liens with the hospital and Health Net to avoid duplication or confusion. In the assertion of any lien, the hospital and the participating providers staffs must be clear about the nature and basis of the third-party recovery right they are asserting and any limitations on the lien under the law.

## Member Cooperation

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If the member refuses to honor the obligation to sign and return the lien form and declines to reimburse Health Net and the participating provider after settling with the third party, the participating provider should not delay or deny providing services or reimbursing the member's claims.

## Noncompliance with Encounter Data Submission

Participating Physician Groups (PPG) | Ancillary | Hospitals

Capitated providers, facilities and facilities with dual-risk contracts are contractually required to submit data for all services provided. Ongoing, uncorrected noncompliance with encounter data requirements is reported to the Health Net Delegation Oversight Committee (DOC).

## Professional and Institutional Capitated Encounter Submission Requirements

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Providers may submit encounters to Health Net through an authorized electronic data interchange (EDI) clearinghouse, utilizing Snip level 1-5. To initiate or discuss the submission of encounter data files, contact the [Capitated Claims/Encounter Department](#).

All professional and institutional encounters must be submitted in an electronic format. For additional information about how to submit encounters electronically, refer to [837 Institutional Transaction Standard Companion Guide \(PDF\)](#), [837 Professional Standard Companion Guide \(PDF\)](#) or [837 5010 Professional and Institutional Submissions Guidelines \(PDF\)](#).

Capitated providers are contractually required to submit complete and correct data for all professional and institutional services performed. Before submitting encounter data, the submitter should contact the Health Net Encounter Department to discuss submission format and data requirements. Health Net currently accepts the ANSI 837 5010 X12 format.

All data should be submitted according to the terms of the *Provider Participation Agreement (PPA)*. If the participating physician group (PPG) does not submit data within this time frame, the PPG is excluded from incentive programs.

## Enrollment

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information and procedures regarding member enrollment.

Select any subject below:

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- [Dependent Documentation Provided to Non-Subscriber](#)
- [Subscriber and Member Identification Numbers](#)
- [Use of Social Security Numbers](#)
- [Administration of New Member Procedure](#)
- [Conditions for Transfer Between PPGs](#)
- [Late Enrollment Rules Waived](#)
- [Member Terminations](#)

## Dependent Documentation Provided to Non-Subscriber

Provider Type: Physicians | Participating Physician Groups (PPG)

AB 2130 (ch. 809, 2000) and SB 943 (ch. 755, 2001) require Health Net to provide a copy of a dependent's identification (ID) card, disclosure form, Evidence of Coverage (EOC) or Certificate of Insurance (COI), and any other information regarding the dependent's health care coverage to a non-covered parent or any person having legal custody of the dependent. The information must also be provided to the local child support agency when requested.

## Subscriber and Member Identification Numbers

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The plan develops unique identification (ID) numbers for all subscribers. The group subscriber ID number is formatted as an alphanumeric code, beginning with the letter "R" followed by eight digits. The individual Medicare subscriber ID number is formatted as an alphanumeric code, beginning with the letter "C" followed by eight digits.

With the exception of Medicare members, individual members of a subscriber's household are assigned the same subscriber ID number as the subscriber and a unique member code identifying the relationship of the member to the subscriber. Medicare members have one enrollee per subscriber ID number.

In compliance with California law (SB 168 (ch. 720, 2001)), the subscriber ID number replaces the member's Social Security number (SSN) on most member-oriented materials and communications, including member ID cards.

Provider-oriented materials, including eligibility reports and other health plan correspondence, include both the subscriber's ID number and SSN for identification purposes. The plan also continues to use SSNs for internal verification and administration purposes as allowed by law.



# Use of Social Security Numbers

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The plan has implemented the use of alternate identification (ID) numbers for all members to replace the member's Social Security number (SSN) as the subscriber or member ID number on most member-oriented materials and communications, including member ID cards.

The purpose of this change is to comply with SB 168 (ch. 720, 2001), which prohibits any person or agency (excluding state or local agencies) from any of the following:

- Publicly posting or displaying an individual's SSN.
- Printing a member's SSN on any card needed to access products or services, such as a member ID card.
- Requiring members to transmit their SSNs over the Internet unless the connection is secure or the SSN is encrypted.
- Requiring members to use their SSNs to access a website, unless a password or unique ID number is also required to access the website.
- Printing a member's SSN on any materials that are mailed to the member, unless required by state or federal law.

Exceptions established by SB 1730 (ch 786, 2002) include applications, forms and other documents sent by mail for the following:

- As part of an application or enrollment process.
- To establish, amend or terminate an account, contract or policy.
- To confirm the accuracy of the SSN.

These exceptions are subject to restrictions established by AB 763 (ch. 532, 2003), which prohibits the printing of the SSN, in whole or in part, on a postcard or any other type of mailer that does not require an envelope and allows the SSN to be visible without opening the mailer.

Provider-oriented materials, including eligibility reports and other health plan correspondence, includes both the member's alternate ID number and SSN for identification purposes. The plan also continues to use SSNs for internal verification and administration purposes as allowed by law.

[Participating providers](#) are subject to the same regulations.

Refer to the discussion of subscriber/member ID numbers under the Enrollment topic for more information on ID number format.

## Administration of New Member Procedure

Provider Type: Participating Physician Groups (PPG)

A new member may require medically necessary services before receiving their identification (ID) card. Health Net has developed the following standard new member procedure:

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- Health Net charges applicable hospital fees to the member's selected participating physician group's (PPG's) shared risk. If the PPG finds a hospital claim has been erroneously added to the monthly Shared Risk Report after the member has been retroactively canceled, the PPG must notify the Health Net auditor in writing to remove the claim from the Shared Risk Report.
- Health Net pays professional charges administratively. If the PPG has determined eligibility by the member's ID card, Enrollment form, Eligibility Report, Eligibility Certification form, or a telephone call to Health Net and care is provided to an ineligible patient, Health Net is liable for any professional care provided prior to notification of the patient's ineligibility.

Health Net verifies eligibility guarantee requests for reimbursement for professional services provided in the hospital or emergency room. Health Net then determines whether eligibility was given to the PPG.

A member ID card is not a guarantee of eligibility; therefore, the PPG must always contact the Health Net Provider Services Department ([commercial HMO](#) or [Medicare Advantage](#)) to verify eligibility prior to rendering services. PPGs retain a copy of the fax-back confirmation. If speaking directly with a representative, the PPG must also include the date the PPG called Health Net for verification of eligibility and the name of the representative.

Members must re-establish eligibility with Health Net for any services provided 60 days after the initial visit if the member still does not appear on the Eligibility Report.

## Conditions for Transfer Between PPGs

Provider Type: Participating Physician Groups (PPG)

This section contains general information and guidelines for the transfer of members between participating physician groups (PPGs).

Select any subject below:

- [Guidelines for Transfer](#)
- [Just-Cause Request to Transfer](#)

## Guidelines for Transfer

Provider Type: Participating Physician Groups (PPG)

### Procedure for Member initiated Transfer

The monthly participating physician group (PPG) transfer policy allows the subscriber and each family member one PPG transfer each month for any reason; however, such transfer request is denied if the member is inpatient.

The member must request the provider change on or before the last day of the month in order for the change to be effective on the first of the following month. For example, a request received on July 31<sup>st</sup> is effective August 1<sup>st</sup>.

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## Procedure for PPG Initiated Transfer

The [Health Net Member Services Department](#) screens all transfer requests. The participating physician group (PPG) can only request transfer in case of just cause and must forward any requests for transfer to the Health Net Member Services Department for review. Only Health Net may approve or deny the transfer requests.

The Health Net Member Services Department may call the existing PPG to determine whether the member is hospitalized or pregnant. If the member is pregnant and gives birth within six months of the effective date with Health Net and is still enrolled in the new PPG at time of delivery, the delivery is covered under insured services.

The existing PPG assumes the expense for reproducing the member's medical records when the member transfers to another PPG.

## Transfer of Newborns

A newborn, whether the subscriber's or subscriber's spouse's natural child, an adopted child or a child for whom the subscriber or spouse has become the legal guardian, is eligible for covered services for the first 30 days from birth regardless of whether the child is enrolled in the plan within those 30 days if the subscriber's or spouse's plan includes coverage for dependents. If the child is not added to the plan within 30 days from birth, the child is no longer covered and any services incurred after the 30th day are the financial responsibility of the child's parent or guardian.

When the biological mother of a newborn child is an enrolled member, the newborn must also be enrolled with the mother's participating physician group (PPG) at least until the first of the month following the date of birth. If the baby is ill at birth and confined to a hospital, the baby can be transferred on the first day of the month following discharge from the hospital. If the biological mother moves within the first 30 days of the baby's life and her PPG changes, the baby's PPG also changes, as long as the baby is not hospitalized at the time of the move.

When the biological mother of the newborn child is not enrolled, the child is automatically assigned to the subscriber's PPG until the first of the month following the date of birth. If the subscriber moves within the first 30 days of the baby's life or if the baby is ill at birth, the same conditions apply as noted above.

When the parents elect subsequently to transfer the child to a different PPG, they may do so, effective the first of the month following the newborn's date of birth, provided the newborn is a well baby. If a well baby becomes ill during the birth month and is hospitalized, transfer to another PPG is delayed until the first day of the month following hospital discharge.

## Just-Cause Request to Transfer

Provider Type: Participating Physician Groups (PPG)y

## Member-Initiated Just-Cause Transfers

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The following situations are considered just-cause reasons for members to request a participating physician group (PPG) transfer at any time:

- Legal action - The subscriber has initiated legal action against the PPG or primary care physician (PCP) and the action has caused a breakdown in the relationship between a physician in the PPG and the member, with all physicians refusing to treat the subscriber and members enrolled by the subscriber.
- Member dissatisfaction - In rare instances where the relationship between the PPG and the member breaks down and the member requests a transfer based on this breakdown, the plan researches all the facts surrounding the case. On some occasions, transfers may be arranged by the plan in order to accommodate the member's request to transfer at a non-standard time.

## PPG-Initiated Just-Cause Transfers

The PPG may request that a member be transferred only when there is just-cause for the transfer. Just-causes are those circumstances that result in a breakdown in the relationship between the member and provider, such as legal action or member behavior.

The PPG is asked to supply documentation and an opinion on the merits of the case. The plan expects the PPG to take reasonable action to satisfy the member by arranging a transfer to a different physician or attempting to remedy the problem before the plan arranges a transfer.

Case documentation must include the PPG's written notification to the member, as required according to the procedures for [level A behavior](#), [level B behavior](#) and [level C behavior](#). The written member notification must include:

- Specific information concerning the member's unacceptable behavior.
- Reasons why the behavior is unacceptable.
- Actions the member has to take in order to correct the unacceptable behavior.
- Possible consequences to the member if the member does not comply.

The plan reviews all information and decides whether to honor the request based on the compiled results of all research. In cases involving legal action or member dissatisfaction, the PPG initiates the transfer request by sending the [Transfer and Termination Incident Report - Commercial \(PDF\)](#) or [Transfer and Termination Incident Report - CalMediConnect/Medicare \(PDF\)](#) to the [Transfer/Termination \(T/T\) Request Unit](#), outlining the problem and attaching all supporting documentation. The plan researches the situation and informs the affected PPG of its decision. The effective date of the transfer is determined on a case-by-case basis depending on the circumstances; however, a current date is always the optimum choice.

When the plan approves a transfer for just cause, the PPG to which the subscriber is being transferred is informed of the transfer and when it will occur. In these instances, as with open enrollment and address changes, the receiving PPG must accept the member. Refer to the Provider Participation Agreement (PPA) provisions addressing the PPG's acceptance of all HMO members provided that the PPG and its participating physicians have the capacity to provide contracting services, and PPG and participating physicians continue to accept new members from any other health care service plan.

The plan, at its own discretion, determines whether a member is transferred for just-cause without receiving PPG approval. Such transfers are arranged as necessary.

Each month, the plan mails each PPG copies of letters sent to members indicating a PPG transfer. The PPG is expected to review these letters and use them to update the current eligibility list. The PPG is also expected to provide or deny services.

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## PCP-Initiated Just-Cause Transfers

When a PCP or specialist determines that they are unable to continue to provide care to a member because the patient-physician relationship has been compromised and mutual trust and respect are lost, a just-cause member transfer may be appropriate. In the United States, the treating physicians and PPGs must always work within the code of ethics established through the American Medical Association (AMA). For information regarding the AMA code of ethics, refer to the AMA website at [www.ama-assn.org](http://www.ama-assn.org).

Under the code of ethics, the physician must provide the member with notice prior to discontinuing as the treating physician to enable the member to contact the plan and make alternate care arrangements. However, prior to sending such notice, physicians must also coordinate such transfers with their PPGs' administration department. The plan conducts a fair investigation of the facts before any involuntary transfer for any reason is carried out.

## Late Enrollment Rules Waived

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The late enrollment rule does not apply when members decline Health Net HMO coverage because they were, or a family member was, enrolled in Medi-Cal, and lost coverage because they exceeded Medi-Cal's income limits

Late enrollment rules are waived if the individual meets all of the following requirements:

- Requests enrollment within 30 days after termination of coverage or employer contribution toward coverage provided under another employer health benefit plan
- Requests enrollment within 60 days after termination of Medi-Cal program coverage

## Member Terminations

Provider Type: Physician | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on termination of member coverage.

Select any subject below:

- [Termination Effective Date](#)
- [Process for Requesting Termination or Transfer](#)

## Termination Effective Date

Provider Type: Participating Physician Groups (PPG)

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After the decision to terminate has been made, the subscriber is sent written notice by first-class mail. The termination is effective the first of the month following the date of the notice (but in no case with less than 10-day notice), allowing the subscriber an opportunity to obtain other health care coverage. Under no circumstances is a termination retroactive.

## Employer Group Is Given Termination Date

The subscriber's employer group is notified of a member's termination, along with the effective date of the termination and a reminder to the employer group to remove the member from the next bill.

Submit copies of all notification letters, requests for termination or transfer, and supporting documentation to the [Health Net Transfer/Termination \(T/T\) Request Unit](#).

The Federal Employee Health Benefits Program (FEHBP, also known as FEP) is controlled by complex laws enforced by governmental agencies. Health Net may not dispose of cases involving disruptive FEHBP/FEP members or terminate members who refuse to cooperate with prudent medical advice, unless the individual employer agrees with this action. As a result, it may take longer to work out such problems when the member belongs to a FEHBP/FEP group.

## Process for Requesting Termination or Transfer

Provider Type: Participating Physician Groups (PPG)

### All Levels of Behavior

Formally document each incident of unacceptable behavior on the [Transfer/Termination \(T/T\) Incident Report form \(PDF\)](#) and send the [T/T Request Unit](#). Include documentation of any counseling sessions with the member regarding unacceptable behavior and any follow-up written notifications. If the counseling session is documented in the member's medical record by the physician, physician assistant (PA) or registered nurse practitioner (RNP), attach a copy of this documentation to the T/T Incident Report. Incidents of unacceptable behavior can often occur in rapid succession, so it is important that the participating physician group (PPG) remain current in its discussions and notification letters. Incidents must be documented as they occur, not retroactively.

When a primary care physician (PCP) or specialist determines that he or she is unable to continue to provide care to a member because the patient-physician relationship has been compromised and mutual trust and respect are lost, a just-cause member transfer may be appropriate. In the United States, the treating physicians and PPGs must always work within the code of ethics established through the American Medical Association (AMA). For information regarding the AMA code of ethics, refer to the AMA website at [www.ama-assn.org](http://www.ama-assn.org).

Under the code of ethics, the physician must provide the member with notice prior to discontinuing as the treating physician to enable the member to contact the plan and make alternate care arrangements. However, prior to sending such notice, physicians must also coordinate such transfers with their PPGs' administration



department. The plan conducts a fair investigation of the facts before any involuntary transfer for any reason is carried out.

Legally, the plan cannot consider termination unless the PPG or PCP follows the proper procedures outlined below for the applicable level of behavior. The plan must have time for follow-up communication with the member and must allow the member a reasonable time to respond.

- When sending the T/T Request Unit, the notification letters and T/T Incident Report, include all documentation relating to the incident. The plan and the PPG must have thorough documentation of each occurrence as a former member may take legal action. To ensure that all documentation is current, it is important for the PCP to go through the PPG administration department in contacting the plan.
- Any T/T Incident Report received in the T/T Request Unit without a copy of the member notification letter is considered incomplete and is returned to the originating PCP or PPG
- The T/T Request Unit staff assesses the member's warning level and any possible transition of care concerns.
- A copy of the T/T Incident Report is forwarded to the appropriate provider relations & contracting specialist (formally provider network administrator).
- The plan must receive the member's statement within 20 calendar days from the time of the plan's receipt of the PPG's notification letter to allow the plan an opportunity to mediate the situation informally

For commercial lines of business, these behaviors can result in a transfer, but not in termination of coverage, except in the case of fraudulent activity.

## Level A Behavior

Level A behavior is:

- Failure to pay the required copayments after at least two billings. The copayment balance (if applicable) must exceed \$50 before the plan considers transfer of the member.
- Three missed appointments within 12 consecutive months without timely cancellation.

Level A behavior must occur at least three separate times within 12 consecutive months and persist despite the following warnings of both the participating physician group (PPG) and the plan to warrant termination:

- First occurrence of level A behavior - The PPG must counsel the member, including asking for the member's perspective, and document the counseling session. A letter must be written to the member indicating that such behavior is unacceptable. If the member is under age 18, the subscriber must be notified of the incident. It is recommended that the letter be sent by registered mail with return receipt requested. The PPG is required to keep a copy of the letter and the [Transfer/Termination \(T/T\) Incident Report \(PDF\)](#).

In addition, a copy of the letter, documentation and the T/T Incident Report must be mailed or faxed to the [T/T Request Unit](#).

The provider relations & contracting specialist (formally provider network administrator) must receive a copy of the T/T Incident Report.



- Second occurrence of level A behavior - The PPG takes the same action as with the first occurrence. At this point, the plan sends the member a warning letter outlining the behavior problem and the possible consequences if the behavior persists.
- Third occurrence of level A behavior - The PPG may request, in writing, a transfer or termination of the subscriber or member from the contract. The plan reviews the PPG documentation outlining the continued unacceptable behavior.

The plan is allowed up to 60 calendar days to mediate the situation again on receipt of the second warning letter.

For commercial lines of business, these behaviors can result in a transfer, but not in termination of coverage.

## Level B Behavior - HMO & Medicare

Level B behavior is:

- A provider's request to transfer a member to another provider if the member and current provider cannot agree on a treatment plan (note: members have the right to refuse care), and after reasonable notification is made to the member and an alternate provider is obtained
- Disruptive or abusive behavior exhibited to the primary care physician (PCP) office staff, a referral physician, or a hospital emergency department. This behavior must be deemed so disruptive or abusive that the physicians involved determine that the member-physician relationship has deteriorated to such a level that it cannot be resolved satisfactorily to both parties

Level B behavior must occur twice to two different providers in the participating physician group (PPG) within 12 consecutive months to warrant termination from the PPG. Upon first occurrence, the PPG must counsel the member, including asking for the member's perspective, and write to the member stating that such behavior is unacceptable. The counseling session must be documented. Mail or fax a copy of the letter, documentation of the incident and a copy of the [Transfer/Termination \(T/T\) Incident Report \(PDF\)](#) to the [T/T Request Unit](#).

A copy of the T/T Incident Report is sent to the provider relations & contracting specialist (formally provider network administrator). The PPG keeps a copy of the letter and the T/T Incident Report. The plan sends the member a warning letter, outlining the behavior problem and the possible consequence (termination) if such behavior persists.

For Level A or B behavior, the plan is allowed up to 60 calendar days after receipt of the request for transfer or termination (sent only after the above procedure for the previous occurrence is followed) before the subscriber is officially notified of the transfer or termination. This is to allow the plan adequate time to:

- Review the supporting documentation.
- Allow legal counsel to review the case, if needed.
- Attempt another informal transfer or removal of the member.
- Allow the Case Management Department and regional medical director review as appropriate.

For commercial lines of business, these behaviors can result in a transfer, but not in termination of coverage.

## Level C Behavior

Level C behavior is:

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- Fraudulently applying for any benefits under the plan contract.
- Dangerous behavior exhibited in the course of seeking or receiving care (for example, threatened or attempted physical abuse of participating physician group (PPG) staff or other patients). There must be an eyewitness to the occurrence who is willing to document the incident in writing.
- Receipt of a notice of a subscriber's intent to pursue legal action. Refer to the Just-Cause Request to Transfer discussion under the Guidelines for Transfer discussion for additional information.

Level C behavior need only occur once for the PPG to request immediate transfer or termination. The PPG must formally document the incident, including written notification to the member. Mail or fax the PPG's transfer or termination request with all supporting documentation to the [Transfer/Termination \(T/T\) Request Unit](#).

As this is the plan's first awareness of a problem with the subscriber or member, and given the seriousness of level C behavior, the plan is allowed up to 60 calendar days to review the case and respond. During this time, the plan may:

- Obtain legal counsel to determine the validity of the charge (fraud cases).
- Inform the member by certified mail that the PPG has requested transfer or termination and offer the member an opportunity to respond.
- Inform the provider relations & contracting specialist (formally provider network administrator) of the incident.
- Examine documentation to determine if transfer or termination is warranted with assistance from the regional medical director, Legal Department and Case Management Department, as appropriate.

For commercial lines of business, these behaviors can result in a transfer, but not in termination of coverage, except in the case of fraudulent activity.

## ID Cards

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains general information about member identification (ID) cards for Health Net plans, as well as sample ID cards.

Select any subject below:

- [Member ID Card](#)

## Member ID Card

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

A new identification (ID) card is automatically sent when:

- A member enrolls
- A member changes their name, physician or participating physician group (PPG)
- A dependent is added or deleted from the policy and the group number changes
- The medical plan changes at renewal (applies to commercial ID cards only)

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Refer to the following samples to view a picture and descriptions of the fields on the Health Net member ID card:

- [Identification card \(IFP Ambetter HMO\) \(PDF\)](#)
- [Identification card \(Elect Open Access\) \(PDF\)](#)
- [Identification card \(HMO\) \(PDF\)](#)
- [Identification card \(Select POS\) \(PDF\)](#)

**These are sample ID cards only. The information included in them is subject to change. Providers should refer to a member's ID card when they present for services for current benefit and health plan information.**

## Medical Records

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

**Participating providers** are required to maintain member medical records in a manner that is current, detailed, complete, and organized. In addition, medical records must reflect all aspects of member care, be readily available to health care providers and provide data for statistical and quality-of-care analysis. Health Net and its participating providers must maintain active books, records, documents, and other evidence of accounting procedures and practices for 10 years. An active book, record or document is one related to current, ongoing or in-process activities and referred to on a regular basis to respond to day-to-day operational requirements.

The following retention events must also be considered in reference to the required timeframes in which medical records must be maintained by providers. These retention requirements are based on Health Net's current Corporate Records Retention Schedule:

- Pediatric medical records must be maintained for seven years after age 21
- Hospitals, acute psychiatric hospitals, skilled nursing facilities (SNFs), primary care clinics, and psychology and psychiatric clinics must maintain medical records and exposed X-rays for a minimum of seven years following patient discharge, except for minors
- Records of minors must be maintained for at least one year after a minor has reached age 18, but in no event for less than seven years

Health Net must ensure maintenance of all records and documentation (including medical records) necessary to verify information and reports required by statute, regulation or contractual obligation for five years from the end of the fiscal year in which Health Net's contract expires or is terminated with a member.

Standards for the administration of medical records by participating providers are established by the Health Net Quality Improvement Committee (HNQIC). The standards form the basis for the evaluation of medical records by Health Net. Medical records for primary care physicians (PCPs) may be selected for evaluation as part of the annual delegation oversight assessment.

Health Net requires participating providers to have a written policy in place that provides for the protection of confidential protected health information (PHI) in accordance with the Health Insurance Portability and Accountability Act (HIPAA). The policy must be kept in hard copy or electronic format and must include a functioning mechanism designed to safeguard medical records and information against loss, destruction, tampering, unauthorized access or use, and verbal discussions about member information to maintain confidentiality.

## Provision of Medical Records

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Participating physician groups (PPGs), physicians, hospitals and ancillary providers are required to provide Health Net with copies of medical records and accounting and administrative books and records, as they pertain to the Provider Participation Agreement (PPA).

The provider has financial responsibility to provide copies of medical records so that Health Net can make claims and benefit determinations for Health Net utilization management, quality improvement, Healthcare Effectiveness Data and Information Set (HEDIS®), and appeals and grievance programs.

Medical records may be required for regulatory reviews by the Centers for Medicare & Medicaid Services (CMS), Department of Health Care Services (DHCS), Department of Managed Health Care (DMHC), National Committee for Quality Assurance (NCQA), Independent Quality Review and Improvement Organization (QIO), and other regulatory bodies.

## Right to Audit and Access Records, including Electronic Medical Records (EMR)

### Access to Records and Audits by Health Plan

Subject only to applicable state and federal confidentiality or privacy laws, the provider must share records when Health Net or its designated representative requests access to them in order to audit, inspect, review, perform chart reviews, and duplicate such records.

For on-Exchange plans and Medicare line of business, if performed onsite, access to records for the purpose of an audit must be scheduled at mutually agreed upon times, upon at least 30 business days prior written notice by the health plan or its designated representative, but not more than 60 days following such written notice.

For Medi-Cal and Cal MediConnect, if performed onsite, access to records for the purpose of an audit must be scheduled at mutually agreed upon times, upon at least 30 business days prior written notice by Health Net or its designated representative, but not more than 60 days following such written notice. However, access to records and audits that are part of a facility site review audit, grievance visit or potential quality issue (PQI) visit can be unannounced.

### EMR Access

When Health Net requests access to electronic medical records (EMR), the provider will grant the health plan access to the provider's EMR in order to effectively case manage members and capture medical record data for risk adjustment and quality reporting. There will be no other fees charged to the health plan for this access.

## Written Protocols

**Participating providers** are required to have systems and procedures in place that provide consistent, confidential and comprehensive record-keeping practices. Written procedures must be available upon Health Net's request for:

- Confidentiality of patient information - Policy and procedure must address the protection of confidential protected health information (PHI) of the patient in accordance with the Health Information Portability and Accountability Act (HIPAA). The policy must include a written or electronic functioning mechanism designed to safeguard records and information against loss, destruction, tampering, unauthorized access or use, and additional safeguards to maintain confidentiality during verbal discussions about patient information. Information about written, electronic and verbal privacy, periodic staff training regarding confidentiality of PHI, and securely stored records that are inaccessible to unauthorized individuals must also be included

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- Release of medical records and information, including faxes
- Medical record organization standards - Policy and procedure must include information about individual medical records; securely fastened medical records; medical records with member identification on each individual page; and a consistent area in the medical record designated for the member's history, allergies, problem list, medication list, preventive care, immunizations, progress notes, therapeutic, diagnostic operative, and specialty physician reports, discharge summaries, and home health information
- Filing system for records (electronic or hardcopy)
- Formal system for the availability and retrieval of medical records - Policy and procedure must allow for the ease of accessibility to medical records for scheduled member encounters within the facility or in an approved health record storage facility off the facility premises
- Filing of partial medical records - Policy and procedure must outline the process for filing partial medical records offsite, including a process that alerts authorized staff regarding the offsite filing of the partial record
- Retention of medical records in accordance with state laws and regulations (for providers who see commercial health plan patients)
- Retention of medical records in accordance with federal laws and regulations (for providers who accept Medicare patients)
- Preventive care guidelines for pediatric and adult members
- Referrals to specialists
- Accessibility of consultations, diagnostic tests, therapeutic service and operative reports, and discharge summaries to health care providers in a timely manner
- Inactive medical records - Policy and procedure must include guidelines that describe how and when a medical record becomes inactive. Member medical records may be converted to microfilm or computer disks for long-term storage. Every provider of health care services who creates, maintains, preserves, stores, abandons, or destroys medical records shall do so in a manner that preserves the confidentiality of member information

## Provision of Medical Records (CalViva Health)

Participating physician groups (PPGs), physicians, hospitals, and ancillary providers are required to provide Health Net and CalViva Health with copies of medical records and accounting and administrative books and records, as they pertain to the Provider Participation Agreement (PPA).

The provider has financial responsibility to provide copies of medical records so that Health Net and CalViva Health can make claims and benefit determinations for utilization management, quality improvement, Healthcare Effectiveness Data and Information Set (HEDIS®), and appeals and grievance programs.

Medical records may be required for regulatory reviews by the Centers for Medicare & Medicaid Services (CMS), Department of Health Care Services (DHCS), Department of Managed Health Care (DMHC), National Committee for Quality Assurance (NCQA), Independent Quality Review and Improvement Organization (QIO), and other regulatory bodies.

## Right to Audit and Access Records, including Electronic Medical Records (EMR)

### Access to Records and Audits by Health Plan

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Subject only to applicable state and federal confidentiality or privacy laws, the provider must share records when the health plan or its designated representative requests access to them, in order to audit, inspect, review, perform chart reviews, and duplicate such records.

If performed onsite, access to records for the purpose of an audit must be scheduled at mutually agreed upon times, upon at least 30 business days prior written notice by the health plan or its designated representative, but not more than 60 days following such written notice. However, access to records and audits that are part of a facility site review audit, grievance visit or potential quality issue (PQI) visit can be unannounced.

## EMR Access

When the health plan requests access to electronic medical records (EMR), the provider will grant the health plan access to the provider's EMR in order to effectively case manage members and capture medical record data for risk adjustment and quality reporting. There will be no other fees charged to the health plan for this access.

For more information, select any subject below:

- [Confidentiality of Medical Records](#)
- [Medical Record Documentation](#)
- [Medical Record Forms and Aids](#)

# Confidentiality of Medical Records

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

Members are entitled to confidential treatment of member communications and records. Case discussion, consultation, examination, claims and treatment are confidential and must be conducted discreetly. A provider shall permit a patient to request, and shall accommodate requests for, confidential communication in the form and format requested by the patient, if it is readily producible in the requested form and format, or at alternative locations. The confidential communication request shall apply to all communications that disclose medical information or provider name and address related to receipt of medical services by the individual requesting the confidential communication. Written authorization from the member or authorized legal representative must be obtained before medical records are released to anyone not directly concerned with the member's care, except as permitted or as necessary for administration by the health plan.

Health Net requires [participating providers](#) to have a written policy in place that provides for the protection of confidential protected health information (PHI) in accordance with the Health Insurance Portability and Accountability Act (HIPAA). The policy must be kept in hard copy or electronic format and must include a functioning mechanism designed to safeguard records and information against loss, destruction, tampering, unauthorized access or use, and verbal discussions about member information to maintain confidentiality.

Provider agrees that all health information, including that related to patient conditions, medical utilization and pharmacy utilization, available through the portal or any other means, will be used exclusively for patient care and other related purposes as permitted by the HIPAA Privacy Rule.

PHI is considered confidential and encompasses any individual health information, including demographic information collected from a member, which is created or received by Health Net and relates to the past, present or future physical, mental health or condition of a member; the provision of health care to a member; or

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the past, present or future payment for the provision of health care to a member; and that identifies the member or there is a reasonable basis to believe the information may be used to identify the member. Particular care must be taken, as confidential PHI may be disclosed intentionally or unintentionally through many means, such as conversation, computer screen data, faxes, or forms. Disclosure of PHI must have prior, written member authorization.

## Confidentiality of Medical Information

Sensitive services are defined as all health care services related to mental or behavioral health, sexual and reproductive health, sexually transmitted infections, substance use disorder, gender affirming care, and intimate partner violence, and includes services described in Sections 6924-6930 of the Family Code, and Sections 121020 and 124260 of the California Health and Safety Code, obtained by a patient at or above the minimum age specified for consenting to the services.

Assembly Bill 1184 (2021), amends the Confidentiality of Medical Information Act to require health care plans to take additional steps to protect the confidentiality of a subscriber's or enrollee's medical information regardless of whether there is a situation involving sensitive services or a situation in which disclosure would endanger the individual.

These steps include:

- A protected individual (member) is not required to obtain the primary subscriber or other enrollee's authorization to receive sensitive services or to submit a claim for sensitive services if the member has the right to consent to care.
- Not disclose a member's medical information related to sensitive health care services to the primary subscriber or other enrollees, unless the member's authorization is present.
- Notify the subscriber and enrollees that they may request confidential communications and how to make the request. This information must be provided to "enrollees" at initial enrollment and annually.
- Respond to confidential communications requests within:
  - 7 calendar days of receipt via electronic or phone request or
  - 14 calendar days of receipt by first-class mail
- Communications (written, verbal or electronic) regarding a member's receipt of sensitive services should be directed to the member's designated mailing address, email address, or phone number. For protected individuals who may not have designated an alternative mailing address, the provider and/or Plan is required to send the communications to the address or phone number on file in the name of the protected individual.
- Confidential communication includes:
  - Bills and attempts to collect payment.
  - A notice of adverse benefits determinations.
  - An explanation of benefits notice.
  - A plan's request for additional information regarding a claim.
  - A notice of a contested claim.
  - The name and address of a provider, description of services provided, and other information related to a visit.
  - Any written, oral, or electronic communication from a plan that contains protected health information.



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## Agencies Must Be Authorized To Receive Medical Records

The relationship and communication between a [participating provider](#) and member is privileged and the medical records containing information about the relationship is confidential. The participating provider's code of ethics, as well as California and federal law, protect against the disclosure of the contents of medical records and protected health information (PHI), whether written, oral or electronic, to individuals or agencies that are not properly authorized to receive such information.

## Requirements for a Valid Authorization for Release of Information

Providers must obtain signed authorization from the member to use or disclose the member's [medical information](#). You also need to give instructions to members on how to access additional copies or digital versions of the signed authorization. The signed authorization must:

- Be written in plain language and no smaller than 14-point font.
- Be dated and signed with an electronic or handwritten signature by the member or person authorized to act on behalf of member.
- Specify the type of individuals authorized to disclose information about the member.
- Specify the nature of the information authorized to be disclosed.
- State the name or functions of the persons or entities authorized to receive the information.
- Specify the purposes for which the information is collected.
- Specify the length of time the authorization shall remain valid.
- State an expiration date or event. The expiration date for a valid signature is up to one year unless the person signing the authorization requests a specific date beyond a year, or the authorization is related to an approved clinical trial<sup>1</sup> after which the provider, health care service plan, pharmaceutical company, or contractor is no longer authorized to disclose the medical information.

## Real Time Data Exchange of Health Information

The following entities shall exchange health information or provide access to health information to and from every other of these same entities in real time as specified by the California Health and Human Services Agency pursuant to the California Health and Human Services Data Exchange Framework data sharing agreement for treatment, payment, or health care operations.

- General acute care hospitals.
- Physician organizations and medical groups.
- Skilled nursing facilities that currently maintain electronic records.
- Health care service plans and disability insurers that provide hospital, medical, or surgical coverage that are regulated by the Department of Managed Health Care or the Department of Insurance, and Medi-Cal managed care plans contracted with the State Department of Health Care.
- Clinical laboratories regulated by the State Department of Public Health.
- Acute psychiatric hospitals.

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## Exceptions

The exchange of health information described above does not apply to:

- Physician practices of fewer than 25 physicians, rehabilitation hospitals, long-term acute care hospitals, acute psychiatric hospitals, critical access hospitals, and rural general acute care hospitals with fewer than 100 acute care beds, state-run acute psychiatric hospitals, and any nonprofit clinic with fewer than 10 health care providers until January 31, 2026.
- Abortion and abortion-related services.

## Basic Principles

Protected health information (PHI) may be shared with [participating providers](#) in the same facility only, on a need-to-know basis, and may be disclosed outside the facility only to the extent necessary such release is authorized.

In accordance with the Health Insurance Portability and Accountability Act (HIPAA), PHI, whether it is written, oral or electronic, is protected at all times and in all settings. Disclosure of PHI must have prior written member authorization. Health Net participating providers only release PHI without authorization when:

- Needed for payment
- Necessary for treatment or coordination of care
- Used for health care operations (including, but not limited to, Healthcare Effectiveness Data and Information Set (HEDIS<sup>®</sup>) reporting, appeals and grievances, utilization management, quality improvement, and disease or care management programs)
- Where permitted or required by law

Health Net and participating providers may transmit PHI to individuals or organizations, such as pharmacy or disease management vendors, who contract to provide covered services to members. PHI cannot be intentionally shared, sold or otherwise used by Health Net, its subsidiaries, participating providers, or affiliates for any purpose other than for payment, treatment or health care operations or where permitted or required by law without an authorization from the member.

AB 715 (ch. 562, 2003) supports compliance with HIPAA and applicable state laws relating to use of PHI for marketing. Marketing is defined as a communication about a product or service that encourages recipients to purchase or use the product or service. Health plans, providers, pharmaceutical benefit managers, and disease management entities are prohibited from using PHI to market a product or service unless the communication meets one of the exceptions described below:

- Written or oral communication whereby the communicator receives no compensation from a third party
- Communications made to a current member solely for the purpose of describing a provider's participation in an existing health care provider network or health plan network to which the member subscribes
- Communications made to a current member solely for the purpose of describing products, services, payment, or benefits for the health plan to which the member subscribes
- Communication to describe a plan benefit or an enhancement or replacement to a benefit
- Communications describing the availability of more cost-effective pharmaceuticals
- Compensation communications tailored to a specific individual that educate or advise them about disease management or life-threatening, chronic or seriously debilitating conditions if:

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- The member receiving the communication is notified in writing that the provider, contractor or health plan has been compensated, and identifies the source of the compensation
- The communication must include information on how the member can opt out of receiving further communications by calling a toll-free number and must be written in 14 point font or larger. No communication can be made to a member who has opted out after 30 days from the date of the request
- Special authorization is required for uses and disclosures involving sensitive conditions, such as psychotherapy notes, AIDS or substance abuse. To release PHI regarding sensitive conditions, Health Net and participating providers must obtain written authorization from the member (or authorized representative) stating that information specific to the sensitive condition may be disclosed.

In the event the member is unable to give authorization, Health Net or the participating provider accepts the authorization of the person holding power of attorney or any other authorized representative in order to release information or have access to information about the member. Refer to the Procedure discussion for more information regarding authorized representatives.

Members may obtain their own medical records upon request. Adult members have the right to provide a written addendum to the medical record if the member believes that the record is incomplete or inaccurate. Members may request that their PHI be limited or restricted from disclosure to outside parties or may request the confidential communication of their PHI to an alternate address. Members may file a grievance with respect to any concerns they have regarding confidentiality of data.

## Procedure

[Participating providers](#), policies and procedures governing the confidentiality of medical records and the release of protected health information (PHI) must address levels of security of medical records, including the:

- Assurance that the files are secure and not accessible to unauthorized users
- Indication of who has access to the medical records
- Identification of who may execute different database functions for computerized medical records
- Assurance that staff is trained with respect to the Health Insurance Portability and Accountability Act (HIPAA), privacy requirements and related policies
- Signed confidentiality agreements on file from staff who have access to medical records
- Assurance that photocopies or printouts of the medical records are subject to the same control as the original record
- Designation of a person to destroy the medical record when required

Release of medical information guidelines must address:

- Requests for PHI via the telephone
- Demands made by subpoena duces tecum
- Timely transfer of medical records to ensure continuity of care when a Health Net member chooses a new primary care physician (PCP)
- Availability and accessibility of member medical records to Health Net and to state and federal authorities or their delegates involved in assessing quality of care or investigating enrollee grievances or other complaints
- Availability and accessibility of member medical records to the member in a timely manner in accordance with industry standards and best practices
- Requirements for medical record information between providers of care:

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- A physician or licensed behavioral health care provider making a member referral must transmit necessary medical record information to the provider receiving the member referral
- A physician or licensed behavioral health care provider furnishing a referral service provides appropriate information back to the referring provider
- A physician or licensed behavioral health care provider requesting information from another treating provider as necessary to provide care. Treating physicians or licensed behavioral health care providers may include those from any organization with which the member may subsequently enroll

An authorization form must be in plain language and contain the following to be HIPAA-compliant:

- A specific and meaningful description of the information to be used or disclosed
- The name of the person or entity authorized to make the requested use or disclosure
- The name of a person or entity to which the use or disclosure may be made
- A description of each purpose or use for the information. If the individual requests the authorization for their own purposes, the description here may read simply "at the request of the individual"
- An expiration date or an expiration event that relates to the individual or the purpose of the use or disclosure
- The signature of the individual and the date
- If the personal representative signs for the individual, a description of such representative's authority to act for the individual must be provided
- A statement about the individual's right to revoke the authorization at any time if the revocation is in writing, the exceptions to the revocation right, and a description of how the individual may revoke the authorization. Alternatively, the revocation statement may state the individual's right to revoke and instruct the individual to refer to the covered entity's Notice of Privacy Practices for instructions and limitations on revocation
- A statement that treatment, payment, enrollment, or eligibility for benefits may not be conditioned on obtaining the authorization, unless a valid exception applies (such as, pre-enrollment underwriting or information needed for payment of a specific claim for benefits), but the authorization cannot require release of psychotherapy notes for either exception
- The consequences to the individual of a refusal to sign when the plan can condition enrollment in the health plan, eligibility for benefits or payment on failure to obtain such authorization
- A statement that the information used or disclosed pursuant to the authorization may be subject to redisclosure by the recipient and no longer protected by the privacy rule

## Medical Record Documentation

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The Health Net Quality Improvement Committee (HNQIC) develops standards for the administration and evaluation of medical records. [Participating providers](#) are required to comply with all medical record documentation standards.

Health Net requires participating providers to maintain medical records in a manner that is accurate, current, detailed, complete, organized, in accordance with industry standards and best practices, and permits effective and confidential member care and quality review. Medical records must reflect all aspects of member care, be readily available to health care providers and provide data for statistical and quality-of-care analysis. Medical records may be selected for evaluation as part of the annual delegation oversight assessment.

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For more information, select any subject below:

- [Advance Directives](#)
- [Medical Record Documentation Standards](#)
- [Medical Record Performance Measurements](#)

## Advance Directives

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net complies with all state and federal laws regarding advance directives. [Participating providers](#) are required to provide information regarding advance directives to members ages 18 and older to educate them about their rights to create an advance directive. Advance directives education provided to the member, and whether a member has executed an advance directive, must be documented in a prominent part of the member's medical record. Health Net monitors medical records to ensure compliance with requirements regarding advance directives.

## Medical Record Documentation Standards

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

[Participating providers](#) are required to meet Health Net medical record documentation standards. The following documentation guidelines must be followed and all of the elements must be included in the medical records of members.

- Format - The primary language and linguistic service needs of non- or limited-English proficient (LEP) or hearing impaired persons, individual personal biographical information, emergency contact, and identification of the member's assigned primary care physician (PCP)
- Documentation - Medical record entries and corrections must be documented in accordance with acceptable legal medical documentation standards; allergies, chronic problems, and ongoing and continuous medications must be documented in a consistent and prominent location; all signed consent forms and the offerance of advance health care directive information and education to members ages 18 and older must be included
- Routine record keeping - Department of Managed Health Care (DMHC) regulations require that the refusal of interpreter services for a Health Net member must be documented in the medical record. Department of Insurance (CDI) regulations also require that, when a minor, or friend or family member interprets at a member's request, even when a qualified interpreter is offered and available at no charge, the offer and the refusal at each visit it occurs shall be documented in the member's medical record
- Coordination of care - Notation of missed appointments, follow-up care and outreach efforts, practitioner review of diagnostic tests and consultations, history of present illness, progress and resolution of unresolved problems at subsequent visits, and consistent diagnosis and treatment plans
- Preventive care
  - Adult preventive care - Notation of periodic health evaluations according to the United States Preventive Services Task Force (USPSTF); assessment of immunization status and the year of the immunization(s); tuberculosis screenings and testing; blood pressure and cholesterol

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- screenings; Chlamydia screenings for sexually active females to age 25 or at risk; and mammograms and Pap tests for females
- Pediatric preventive care - Notation of age-appropriate physical exams according to the American Academy of Pediatrics (AAP); immunizations specified and within AAP and Healthcare Effectiveness Data and Information Set (HEDIS<sup>®</sup>) requirements; anticipatory guidance for age-appropriate levels; vision, hearing, lead, and tuberculosis screenings and testing; and nutrition and dental assessments
- Perinatal preventive care - Notation of prenatal care visits according to the most recent American Congress of Obstetrics and Gynecology (ACOG) standards, including a timely prenatal visit within the first trimester; postpartum visit three to eight weeks after delivery - this interval may be modified according to the needs of the patient, such as HEDIS timelines of 21-56 days after delivery; domestic violence and abuse screenings; HIV, alpha fetoprotein (AFP) and genetic screenings; and assessments of infant feeding status

## Medical Record Performance Measurements

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net monitors medical record documentation through a variety of measures, which includes, but is not limited to, various quality initiatives, data collection by way of primary care physician (PCP) medical record audits, and records collected through the Healthcare Effectiveness Data and Information Set (HEDIS<sup>®</sup>) process. Data is aggregated and analyzed at least annually. Opportunities for improvement are identified and appropriate interventions are implemented based on compliance levels established for each individual activity. Interventions may include sending providers updates, educational or reference materials, creating template medical record forms, and provider and staff education and training. [Participating providers](#) are required to obtain a performance level of at least 80% on the medical record performance measures for a conditional pass.

## Medical Record Forms and Aids

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section contains references and links to a variety of forms and aids for use and reference to help providers meet medical record documentation standards and requirements.

Select any subject below:

- [Medical Record Forms and Aids](#)

## Medical Record Forms and Aids

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

The information provided is the most up-to-date as of the date of this publication. For the most up-to-date information, visit [providerlibrary.healthnetcalifornia.com](https://providerlibrary.healthnetcalifornia.com)

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Health Net has various medical record documentation forms and aids for [participating providers](#).

- [Advance Directive Labels \(PDF\)](#)
- [Adult Health Maintenance Checklist with Standards \(PDF\)](#)
- [Annual Care for Older Adults \(COA\)/Advance Care Planning \(ACP\) Form \(PDF\)](#)
- [Audiometric Screening form \(PDF\)](#)
- [Chronic Problem List \(PDF\)](#)
- [History Form - English \(PDF\)](#)
- [History Form -Spanish \(PDF\)](#)
- [Initial Health Appointment \(IHA\) Tickler Log \(PDF\)](#)
- [Language Labels \(PDF\)](#)
- [Medication and Chronic Problem Summary \(PDF\)](#)
- [Message Log \(PDF\)](#)
- [Preventive Care Forms \(PDF\)](#)
- [Referral Log \(PDF\)](#)
- [Signature Page \(PDF\)](#)

## Member Rights and Responsibilities

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on member rights and responsibilities.

Select any subject below:

- [Advance Directives](#)
- [Member Rights and Responsibilities](#)

## Advance Directives

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Providers should consider discussing advance directives during routine office visits with Health Net members, instead of waiting until a member is acutely ill.

Health Net and its participating providers are required to comply with the PSDA for all new and renewing members. Health Net's policy is that any adult member has the right to make an advance directive concerning health issues. Additionally, in accordance with Title 22 of the California Code of Regulations and 422.128(b)(1)(ii)(E) of the Code of Federal Regulations, providers must document in a prominent place in the member's medical records (adult members only), whether the member has been informed of, or has executed, an advance directive.

An advance directive is a written document signed by a member, such as a durable power of attorney for health care (DPAHC), a declaration pursuant to the Natural Death Act, or a living will that explains the member's wish concerning a given course of medical care should a situation arise where they is unable to make these wishes

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known. The member may specify guidelines for care or delegate the decision-making authority to a family member, close friend, or other representative.

According to AB 2805 (ch.579, 2006), a written advance health care directive is legally sufficient if all the following requirements are satisfied:

- The advance directive contains the date of its execution
- The advance directive is signed either by the member or in the member's name by another adult in the member's presence and at the member's direction
- The advance directive is either acknowledged before a notary public or signed by at least two witnesses who satisfy the requirements of Sections 4674 and 4675 of the California Probate Code
- If the advance directive is acknowledged before a notary public, and a digital signature is used, the digital signature must meet all of the following requirements:
  - It either meets the requirements of Section 16.5 of the Government Code and Chapter 10 (commencing with Section 22000) of Division 7 of Title 2 of the California Code of Regulations, or the digital signature uses an algorithm approved by the National Institute of Standards and Technology
  - It is unique to the person using it
  - It is capable of verification
  - It is under the sole control of the person using it
  - It is linked to data in such a manner that if the data are changed, the digital signature is invalidated
  - It persists with the document and not by association in separate files
  - It is bound to a digital certificate

For more information, select any subject below:

[Provider Responsibilities and Procedures](#)

## Provider Responsibilities and Procedures

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

[Participating providers](#) must establish procedures ensuring that any advance directive is brought to the attending provider's immediate attention if, in the opinion of that provider, the member is unable to make health care decisions. If any adult Health Net member has such a directive in force, the following must occur:

- Each health care provider must honor advance directives to the fullest extent permitted under California and federal law
- Primary care physicians (PCPs) must be open to any discussion with a member and provide medical advice if the member desires guidance or assistance regarding this matter. Direct inquiries to the regional office or the [Health Net Provider Services Center](#)
- In no event may the participating provider refuse to treat a member or otherwise discriminate against a member because the member has completed an advance directive

For additional information on Advance Directive, refer to the member's Evidence of Coverage (EOC).



# Member Rights and Responsibilities

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Members have the right to expect a certain level of service from their health care providers. Members are also responsible for cooperating with providers in obtaining health care services. Health Net developed member rights and responsibilities statements in accordance with the National Committee for Quality Assurance (NCQA) and the Centers for Medicare and Medicaid Services (CMS). These member rights and responsibilities apply to member's relationships with Health Net, and all [participating providers](#) responsible for member care. In addition to member rights and responsibilities, medical services must be provided in a culturally competent manner without regard to race, color, national origin, ancestry, religion, sex, marital status, sexual orientation, age, health status, physical or mental handicap, or disability.

Health Net members are notified annually of their rights and responsibilities via the member's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#) and are listed below for reference. The actual statements of member rights and responsibilities may vary slightly from what is included in the EOC or COI. Health Net members with questions regarding their rights and responsibilities should be directed to their specific member materials.

Members have the right to:

- Receive information about Health Net, its services, its providers and member rights and responsibilities.
- Be treated with respect and recognition of their dignity and right to privacy;
- Participate with providers in making decisions about their health care;
- A candid discussion of appropriate or medically necessary treatment options for their conditions, regardless of cost or benefit coverage;
- Use interpreters who are not your family members or friends;
- File a grievance in your preferred language by using the interpreter service or by completing the translated grievance form that is available on [www.healthnet.com](http://www.healthnet.com);
- File a complaint if your language needs are not met;
- Voice complaints or appeals about the organization or the care it provides; and
- Make recommendations regarding the organization's member rights and responsibilities policies.

Members have the responsibility to:

- Supply information (to the extent possible) that the organization and its providers need in order to provide care;
- Follow plans and instructions for care that they have agreed on with their providers;
- Be aware of their health problems and participate in developing mutually agreed-upon treatment goals to the degree possible; and
- Refrain from submitting false, fraudulent, or misleading claims or information to Health Net or your providers.



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## Prescription Drug Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general member benefit information on the prescription drug program.

Select any subject below:

- [Compounded Medications](#)
- [Diabetic Supplies](#)
- [Exclusions and Limitations](#)
- [Generic Medications](#)
- [Off-Label Medication Use](#)
- [Participating Pharmacy](#)
- [Physician Self-Treatment](#)
- [Prescription Mail-Order Program](#)
- [Prior Authorization Process](#)
- [Quantity of Medication to Be Prescribed](#)
- [Recommended Drug List](#)

## Exclusions and Limitations

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

The following list of exclusions and limitations (benefits vary by plan) applies to the Health Net prescription drug program:

- Medications prescribed by a non-participating physician are not covered except when the physician's services have been authorized because of a medical emergency, illness or injury, or the physician is the authorized referring physician.
- Allergy serum.
- Appetite suppressants or medications for body weight reduction, unless medically necessary for morbid obesity, require prior authorization.
- Blood.
- Compounded medications - Prescription orders that are combined or manufactured by the pharmacist and placed in an ointment, capsule, tablet, solution, suppository, cream or other form using Food and Drug Administration (FDA)-approved medications, are covered at the Level III copayment. Coverage for compounded medications is subject to prior authorization by the plan and medical necessity. Compounded medications are not covered if there is a similar proprietary product available.
- Devices other than diaphragms.
- Dietary or nutritional supplements - Medications used as dietary or nutritional supplements, including vitamins and herbal remedies, are limited to medications that are listed in the Recommended Drug List (RDL). Phenylketonuria (PKU) is covered under the medical benefit.

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- Medications prescribed for cosmetic purposes - Medications that are prescribed for the following non-medical conditions are not covered: hair loss, sexual performance, athletic performance, anti-aging, and mental performance. Examples of medications that are excluded when prescribed for such conditions include, but are not limited to Penlac<sup>®</sup>, Renova<sup>™</sup>, Retin-A<sup>®</sup>, Vaniqua<sup>®</sup>, Propecia<sup>®</sup>, and Lustra.<sup>™</sup>
- Supply amounts (for any number of days), which exceed the Food and Drug Administration's (FDA's) or Health Net's usage recommendations.
- Hypodermic syringes and needles - Hypodermic syringes and needles are limited to disposable insulin needles and syringes and reusable pen devices.
- Medications prescribed for non-FDA-approved use.
- Medications prescribed for non-covered services.
- Lost, stolen or damaged medications.
- Prescriptions from non-participating pharmacies.
- Non-prescription (over-the-counter) medications, equipment and supplies (except insulin, diabetic supplies and as required under preventive care coverage).
- Oxygen.

## Compounded Medications

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Most Health Net pharmacy benefit plans cover medically necessary and appropriate compounded prescriptions that meet all of the following conditions:

- Includes at least one federal legend medication listed on the Health Net Recommended Drug List (RDL) as one of its main compounded ingredients.
- There is scientific evidence and peer-reviewed literature demonstrating safety and effectiveness for the specific medical condition.
- There is no acceptable proprietary alternative medication.

## Diabetic Supplies

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Health Net covers specific brands of blood glucose meters at no charge and test strips at Tier II of the Recommended Drug List (RDL). The selected brands meet the needs of the majority of members and physicians. The following blood glucose meters and test strips are available with a primary care physician (PCP) prescription at participating pharmacies:

- OneTouch<sup>®</sup> Verio<sup>®</sup> IQ meter and test strips
- OneTouch<sup>®</sup> Ultra<sup>®</sup> Mini meter
- OneTouch<sup>®</sup> Ultra<sup>®</sup> 2 meter
- One Touch<sup>®</sup> Ultra<sup>®</sup> Blue test strips
- FreeStyle<sup>®</sup> test strips

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- Freestyle Lite<sup>®</sup> meter and test strips
- Freestyle InsuLinx<sup>®</sup> meter and test strips
- Precision Xtra<sup>®</sup> meter and test strips

No other meters or test strips are covered at Tier II on the Health Net RDL.

Test strips are available in packages of 50 and 100 and may be prescribed to allow for up to a 30-day supply. Prior authorization is required if more than 200 test strips per month are prescribed.

Most members have coverage for diabetic supplies under their pharmacy benefit. Insulin-dependent and noninsulin-dependent diabetics are eligible for blood glucose monitoring supplies.

Insulin needles and syringes are covered under the Health Net Prescription Drug Program.

## Generic Medications

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

A generic-equivalent medication is the pharmaceutical equivalent of a brand-name medication for which the brand-name medication's patent has expired. The Food and Drug Administration (FDA) must approve the generic medication as meeting the same standards of safety, purity, strength, and effectiveness as the brand-name medication.

## Generic Substitution Program

If a generic product cannot be used due to medical necessity, a prescriber may:

1. Clearly indicate on the prescription "do not substitute" (DNS) or "dispense as written" (DAW). The pharmacist must make the indication on the prescription claim, and the member may be charged the higher copayment, or
2. Request prior authorization for the brand-name medication documenting failure or clinically significant adverse effects to the generic equivalent.

## Off-Label Medication Use

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

A medication prescribed for a use that is not stated in the indications and usage information published by the manufacturer is covered only if the medication is:

- Approved by the Food and Drug Administration (FDA).
- On the Recommended Drug List (RDL) and prescribed or administered by a participating licensed health care professional for the treatment of:
  - A life-threatening condition

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- A chronic and seriously debilitating condition for which the medication is determined to be medically necessary to treat such condition
- Recognized for treatment of the life-threatening or chronic and seriously debilitating condition by one of the following:
  - The American Hospital Formulary Service (AHFS) Drug Information.
  - One of the following compendia, if recognized by the federal Centers for Medicare & Medicaid Services (CMS) as part of an anticancer therapeutic regimen:
    - Elsevier Gold Standard's Clinical Pharmacology..
    - National Comprehensive Cancer Network Drug and Biologics Compendium.
    - Thomson Micromedex DrugDex.
- Two articles from major peer-reviewed medical journals that present data supporting the proposed off-label use as generally safe and effective unless there is clear and convincing contradictory evidence presented in a major peer-reviewed medical journal.

The following definitions apply to the terms mentioned in this provision only.

Life-threatening means either or both of the following:

- Diseases or conditions where the likelihood of death is high unless the course of the disease is interrupted.
- Diseases or conditions with potentially fatal outcomes, where the end-point of clinical intervention is survival.

Chronic and seriously debilitating refers to:

- Diseases or conditions that require ongoing treatment to maintain remission or prevent deterioration and cause significant long-term morbidity

## Participating Pharmacy

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Members are required to obtain medications from Health Net participating pharmacies, with a few exceptions. Health Net contracts with many major pharmacy chains, supermarket-based pharmacies and independently owned neighborhood pharmacies.

For a complete and up-to-date list of participating pharmacies, contact the Health Net Provider Services Center ([Commercial](#), or [Medicare](#)), or go to [ProviderSearch](#).

## Physician Self-Treatment

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net does not cover physician self-treatment rendered in a non-emergency. This includes treatment of immediate family members. Physician self-treatment occurs when physicians provide their own medical services, including prescribing their own medication, ordering their own laboratory tests and self-referring for their own services. Claims for emergency self-treatment are subject to review by Health Net.

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# Prescription Mail-Order Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

A prescription mail-order program is available to Health Net members. Members are required to pay their mail-order copayments for up to a 90-day supply of medication depending on their plan. The member copayment applies to a 90-consecutive-calendar-day supply of maintenance medications (prescription medications used to manage chronic or long-term conditions when members respond positively to medication treatment and dosage adjustments are either no longer required or made infrequently) and each refill allowed by that order when prescribed by a Health Net participating physician or an authorized specialist. The 90-day-supply maximum is subject to the physician's judgment, the Food and Drug Administration (FDA) and Health Net's recommendations for use. In cases where a 90-day supply is not recommended by the FDA, the prescriber or Health Net, the mail order pharmacy dispenses the correct quantity. Prescriptions filled through the mail-order program should be written for a 90-day supply whenever possible.

- **For members with Commercial HMO and PPO products:** New prescription medication requests may be mailed by the member to the mail order pharmacy [CVS Caremark Pharmacy](#), or faxed or e-prescribed to the mail order pharmacy by the prescribing physician. The member's Health Net identification (ID) number, date of birth, phone number including area code, and Health Net should appear on the prescription request to ensure it is processed correctly. If available, a generic equivalent medication is automatically substituted unless the prescriber indicates DAW (dispense as written) or DNS (do not substitute). Members are charged a higher copayment. Specialty drugs are not available through mail order.
- **For members with Ambetter HMO or Ambetter PPO:** New prescription medication requests may be mailed by the member to [Express Scripts® Pharmacy](#), faxed to [Express Scripts Pharmacy](#) by the prescribing physician at 800-837-0959, or e-prescribed by the prescribing physician to Express Scripts Pharmacy. Members can request mail order service for prescription medications and refills from Express Scripts Pharmacy by phone, mail or online at [express-scripts.com/rx](https://www.express-scripts.com/rx). The member's Health Net ID number, date of birth, phone number including area code, and Health Net should appear on the prescription request to ensure it is processed correctly. If available, a generic equivalent medication is automatically substituted unless the prescriber indicates DAW or DNS. Members are charged a higher copayment. Specialty drugs are not available through mail order.

## Prior Authorization Process

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Prior authorization is needed for prescription medications when:

- A medication is listed on the Health Net Drug List (Formulary) as needing prior authorization.
- A medication is not listed on the Formulary.
- A step therapy exception is requested.

There are three options for submitting a prior authorization form:

1. Submit the prior authorization electronically through [CoverMyMeds](#).

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2. Complete the [Prescription Drug Prior Authorization or Step Therapy Exception Request Form \(PDF\)](#) and submit to [Pharmacy Services](#).
3. Contact [Pharmacy Services](#) directly via telephone.

When using the [Prescription Drug Prior Authorization or Step Therapy Exception Request Form \(PDF\)](#) it must be electronically submitted, faxed to [Pharmacy Services](#) or submitted by any reasonable means of transmission. Faxes are accepted 24 hours a day, and each request is tracked to ensure efficient handling of inquiries from physicians and members. Requests for prior authorization may also be called into [Pharmacy Services](#). Requests are processed within 24 hours for urgent requests and 72 hours for standard requests. If a health care service plan, contracted physician group or utilization review organization fails to notify a prescribing provider of its coverage determination within 72 hours for nonurgent requests, or within 24 hours if exigent circumstances exist, upon receipt of a completed prior authorization or step therapy exception request, the prior authorization or step therapy exception request shall be deemed approved for the duration of the prescription, including refills.

[Pharmacy Services](#) will respond via fax to advise providers the status of the request.

The [Prescription Drug Prior Authorization or Step Therapy Exception Request Form \(PDF\)](#) and medication-use guidelines are also available through [Pharmacy Services](#) fax-back system: select option 2, for commercial claim form.

## Exigent Requests

Exigent circumstances take place when a member is suffering from a serious health condition that may jeopardize their life, health or ability to regain maximum functions, or is undergoing a current course of treatment using a non-formulary medication.

Providers may request an expedited medication review based on exigent circumstances by contacting [Pharmacy Services](#). The request must include an oral or written statement, which includes the following:

- An exigency exists and the basis for the exigency.
- A justification supporting the need for the non-formulary medication to treat the member's condition, including a statement that covered formulary medications on any tier would not be as effective as the non-formulary medication, or would have adverse effects.

Health Net makes a coverage determination and notifies the member and prescribing physician or other prescriber, as appropriate, of the determination no later than 24 hours after receiving the request or any additional information requested by Health Net that is reasonably necessary to make the determination. If approved, Health Net continues to provide the requested medication throughout the duration of the member's health condition.

### Participating physician group (PPG) step therapy and exception process

For PPGs delegated as financially responsible through capitation or other financial arrangement, or for which medical management (medical necessity review) is done by other than the health plan, the utilization review organization must comply with state law<sup>1</sup> relating to self-injectable medications and self-injectable step therapy exception determinations and procedures.

<sup>1</sup>Health and Safety Code Sections 1367.206 and 1367.241.



- The provider may appeal a denial of an exception request for coverage of a nonformulary drug, prior authorization request or step therapy exception request consistent with the plan's current utilization management processes. The law requires the provider to submit justification and supporting clinical documentation supporting the provider's determination that the required prescription drug is inconsistent with good professional practice for provision of medically necessary covered services.
- PPGs that do their own utilization review on behalf of the plan, or between the plan and another contracted entity, are required to comply with the specified provisions of state law relating to step therapy determinations and procedures. Denial of step therapy exception requests require a notification to the prescribing provider and member on the external appeal process through the plan (independent medical review) or request additional or clinical documentation to make a coverage determination. In addition, notification of an incomplete or missing clinical documentation step therapy exception request requires notification to the prescribing provider.

**PPGs must ensure that they have this process in place.**

As a result, a financially responsible PPG **cannot deny, as standard practice:**

- PA for a nonformulary drug only because the member has not tried and failed with a formulary drug, and
- PA for a step therapy exception only because the member has not tried and failed with a preferred drug in the step therapy process.
- **Denial or approval must be based on the medically necessary documentation provided with the PA.**

## Quantity of Medication to Be Prescribed

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Maintenance medication should be prescribed for a 30-day supply unless the member wants to use the Health Net mail-order program; then a 90-day supply of maintenance medication should be prescribed.

Up to a 30-day supply is covered for medications that come in specific quantities, such as inhalers or insulin vials. In some cases, this may be less than a 30-day supply.

For acute treatment, a standard course of therapy should be prescribed. Medications that are used as needed or come packed in small quantities, such as Imitrex<sup>®</sup>, should be prescribed for the smallest package size. The Health Net Recommended Drug List (RDL) indicates quantity limits on specific medications. Quantities larger than a 30-day supply or dosing greater than that approved by the Food and Drug Administration (FDA) or Health Net's medication usage guidelines require prior authorization.

Copayments are charged per 30-day supply for maintenance medications and per course of therapy or individual package for acute medications. Some medications have a specific quantity per copayment. Refer to the RDL for specific quantity limitations.

## Recommended Drug List

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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The Health Net Recommended Drug List (RDL) is the approved list of covered medications. In addition, they identify whether a generic version of a brand-name medication exists and whether prior authorization is required.

Medications that are listed in the RDL are covered if the member has a prescription benefit plan; however, the prescription medication must be dispensed for a condition, illness or injury that is covered by Health Net. Some medications may require prior authorization from Health Net in order to be covered.

The Health Net RDL is available for review or download from the [provider portal](#).

## Prior Authorizations

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on prior authorizations requirements.

Select any subject below:

- [Overview](#)
- [Authorization for Admission to Hospital or SNF](#)
- [Ambetter HMO PPGs](#)
- [Hospice Authorization](#)
- [How to Secure Prior Authorization on Health Net Provider Portal](#)
- [NIA - Prior Authorization](#)
- [PPGs' Responsibilities for Authorization](#)
- [Prior Authorization Process for Direct Network Practitioners](#)

## Overview

Provider Type: Participating Physician Groups (PPG)

Delegated participating physician groups (PPGs) are responsible for providing all professional services to members. At times, PPGs may be required to use non-participating physicians, health care professionals, or facilities in order to provide a full scope of services.

Health Net has developed prior authorization request forms [Inpatient California Health Net Commercial Prior Authorization \(PDF\)](#) and [Outpatient California Health Net Commercial Prior Authorization \(PDF\)](#) to assist PPGs with their processes for using non-participating providers. PPGs may use their own systems and authorization forms if they have been approved by Health Net.

## Authorization for Admission to Hospital or SNF

Provider Type: Participating Physician Groups (PPG)

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When a participating physician determines that inpatient or outpatient hospital services are necessary for a member, the participating physician group (PPG) coordinator makes the necessary arrangements following established procedures for review and approval.

## Authorization Requirements for Maternity Inpatient Stay

As required by law, Health Net provides mother and newborn coverage for minimum hospital stays of at least 48 hours following a vaginal delivery or at least 96 hours following a cesarean section without authorization. Coverage for inpatient hospital care may be for less than 48 or 96 hours, respectively, only if both the treating physician and the member agree to an earlier discharge. Refer to the Maternity discussion under the Benefits topic for additional information.

If a member is discharged earlier than the 48 or 96 hours allowed by law, the treating physician has discretion to prescribe a post-discharge follow-up visit at home, in a facility, or in the physician's office within 48 hours after discharge. This covered visit must be provided by a licensed health care provider whose scope of practice includes postpartum and newborn care.

Length of stays longer than noted above require authorization and notification in order to conduct utilization management activities.

## PPG Must Report SNF - Confined Members to Health Net

PPGs are required to identify and report to the [Hospital Notification Unit](#) all members who are scheduled for admission to a skilled nursing facility (SNF) or are confined to an SNF.

### Ambetter HMO PPGs

Provider Type: Physicians | Participating Physician Groups (PPG)

Health Net Ambetter HMO members may select and be assigned to a primary care physician (PCP) affiliated with a participating physician group (PPG) that is participating in the Ambetter HMO network. For these members, the PPG is responsible for medical management. Providers are required to adhere to the prior authorization requirements included in the [Commercial Prior Authorization Requirements](#) and contact the PPG for prior authorization requests.

### Hospice Authorization

Provider Type: Participating Physician Groups (PPG)



When requesting authorization for hospice services, attach the member's signed election statement and the physician's signed certification of terminal illness and medical prognosis. Refer to the Hospice Care discussion under the Benefits topic for additional information.

## How to Secure Prior Authorization on the Provider Portal

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

To obtain step-by-step guidance on how to determine whether services require prior authorization and how to secure prior authorization on Health Net's provider portal, download the [Save Time Navigating the Provider Portal \(PDF\)](#), [Save Time Navigating the Provider Portal – Community Health Plan of Imperial Valley \(PDF\)](#), [Save Time Navigating the Provider Portal – CalViva \(PDF\)](#) or [Save Time Navigating the Provider Portal – WellCare by Health Net](#) booklet.

## NIA - Prior Authorization

Provider Type: Physicians

Health Net partners with [Evolent Specialty Services, Inc.](#) to provide utilization management (UM) services, including prior authorization determinations for certain advanced and cardiac imaging for fee-for-service (FFS) members.

Go to the [Health Net provider website](#) for more information.

## PPGs' Responsibilities for Authorization

Provider Type: Participating Physician Groups (PPG)

Delegated participating physician groups (PPGs) perform the initial utilization review and authorization functions, while Health Net Medical Management staff manages services performed by non-delegated providers. Health Net is jointly responsible with the PPG for such functions when services are covered under shared-risk agreements.

Each PPG is responsible for:

- Contracting or arranging with licensed and certified providers for a full range of primary and specialty care services, as well as with key ancillary and subspecialty providers such as psychologists, family counselors, social workers, chiropractors, podiatrists, audiologists, and physical therapists
- Submitting copies of all referral provider contracts to Health Net for review and approval
- Monitoring the quality of care and the cost associated with services based on referrals to non-participating providers
- Obtaining encounter data from each referred physician

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- Assuring timely payment to referral providers for covered services

PPGs must pay referred providers for covered services as soon as possible, and within 45 business days from receipt of the bill or as otherwise required under the PPGs' contracts with such providers in cases involving services to Medicare Advantage HMO members. If the PPG does not pay the referred provider within 45 business days of the date billed, Health Net has the option to pay the charges and deduct the amount from any payment due the PPG under the Health Net Provider Participation Agreement (PPA).

PPGs are responsible for using the following guidelines when authorizing services:

- Records of authorized services - The PPG must keep records of all authorized member services. This allows the PPG to monitor utilization of services by participating physicians and to compare the PPG records to the monthly reports provided by Health Net. Refer to the [Medical Data Management Reporting](#) discussion for additional information
- PPGs may not withdraw authorization after services are provided or when a member acts against medical advice - After a PPG authorizes a hospitalization, authorization cannot be withdrawn or payment denied because the member refuses to follow the directions of the attending physician. An example is a member self-discharging from the hospital against the attending physician's medical advice. Refer to the conditions for transfer between PPGs information under the Enrollment topic for additional information
- Collection of copayments for referrals - Refer to the plan chart in the Health Net [Schedule of Benefits](#) for each service provided to determine if a copayment is to be collected

PPGs may collect copayments or arrange collection of copayments for services based on referrals to non-participating providers, other than those mentioned above, with the providers of service. Health Net recommends, however, that the member pay copayments directly to the PPG for services based on referrals to non-participating providers so the PPG can monitor the fees charged and determines the correct copayments to be collected from the member. The PPG then reimburses the referred provider for their services.

## Prior Authorization Process for Direct Network Providers

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Selected specialty and outpatient services that cannot be provided in a primary care physician's (PCP's) or specialist's office require prior authorization as outlined in the [Commercial Prior Authorization Requirements](#) or the [Medicare Prior Authorization Requirements](#).

PCPs and specialists must fax requests for prior authorization to the [Health Net Medical Management Department](#) using the appropriate form listed below:

- [Inpatient California Health Net Commercial Prior Authorization \(PDF\)](#)
- [Outpatient California Health Net Commercial Prior Authorization \(PDF\)](#)
- [Inpatient California Health Net Medicare Authorization \(PDF\)](#)
- [Outpatient California Health Net Medicare Authorization \(PDF\)](#)

The Health Net Medical Management Department accepts prior authorization requests for elective and urgent services by fax only.

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To initiate the prior authorization process, PCPs and specialists must:

- Verify member eligibility and benefit coverage by accessing the [Health Net provider portal](#) or by contacting the [Health Net Provider Services Center](#).
- Complete the prior authorization form, including CPT codes and sufficient clinical information to support the medical necessity of the request. Incomplete forms or forms with insufficient information at the time of submission delay processing (some surgical requests, such as requests for reconstructive surgery or repair require submission of non-returnable color photos, models or X-rays).

Contact the [Health Net Medical Management Department](#) or visit the [Health Net provider website](#) to obtain the status of an authorization.

Allow 14 calendar days for routine organization determinations and 72 hours for expedited organization determinations.

Emergency services do not require prior authorization.

## Product Descriptions

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information about Health Net health plans.

Select any subject below:

- [Point of Service \(POS\) Product](#)
- [Ambetter HMO](#)
- [Elect Open Access Two Tier Plan](#)
- [Elect Two Tier Plan](#)
- [ExcelCare](#)
- [HMO](#)
- [HMO SmartCare](#)
- [Leased PPO Benefit Program](#)
- [Select Three Tier Plan](#)
- [Select Two Tier Plan](#)

## Point of Service (POS) Product

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net's Point of Service (POS) product offers a two- or three-tier plan. Members decide which tier to access based on the provider they use and how they obtain care. Members receiving care from HMO in-network providers have lower out-of-pocket costs than when receiving care from out-of-network providers. Members may choose either benefit level when seeking care.

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Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Plan offers Ambetter HMO plans through Covered California and directly through the Plan to residents of Imperial, Kern, Los Angeles, Orange, Riverside, San Bernardino and San Diego counties. The Ambetter HMO product consists of platinum, gold and silver benefit plans provided through a tailored network of select providers to offer an affordable product for California residents in these counties. The Ambetter HMO product also includes bronze and minimum coverage plans in Kern County only.

Members who enroll in a Ambetter HMO plan are assigned to a primary care physician (PCP) and obtain professional and institutional services through the tailored network of Ambetter HMO participating providers. A key difference between Ambetter HMO plans and other Plan HMO plans is that many physicians participating in the Ambetter HMO network are directly contracting with the Plan.

## Members Assigned to Direct Network

Some Ambetter HMO members may select and be assigned to a directly contracting PCP for primary care services. In these cases, the [member identification \(ID\) card](#) reflects the participating physician group (PPG) name: "Direct Network: So. Calif." or "Health Net Direct FFS Commercial". For these members, the Plan is responsible for medical management, providers are required to adhere to Plan prior authorization requirements and request prior authorization from the Plan, and providers are required to submit claims directly to the Plan. Direct Network PCPs and Essential Community Providers (in-network clinics) may refer members to any directly contracted provider in the Ambetter HMO network.

## Members Assigned to a PCP Affiliated with a PPG Participating in Ambetter HMO

Some Ambetter HMO members may select and be assigned to a PCP affiliated with a PPG that is participating in the Ambetter HMO network. The member ID card reflects a specific PPG name. The PPG is responsible for medical management for these Ambetter HMO members, and providers are required to adhere to prior authorization requirements and contact the PPG for prior authorization requests. With the exception of select PPGs participating in the CommunityCare HMO network, the provider is required to submit claims directly to Health Net.

## Elect Open Access Two Tier Plan

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information about the Elect Open Access health plan.

Select any subject below:

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- [Elect Open Access Tier 1 \(HMO\) Benefit Level](#)
- [Elect Open Access Tier 2 \(PPO Limited Benefit\) Plan](#)

## Elect Open Access Tier 1 (HMO) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Elect Open Access Tier 1 (HMO) plan offers comprehensive care at a lower cost to members who use Health Net's participating providers for care. Elect Open Access Tier 1 is similar to a traditional HMO plan. The member chooses a primary care physician (PCP) who is responsible for providing or coordinating the member's care. If the member requires services outside the PCP's scope of practice, the PCP refers the member to a specialist or other ancillary provider.

## Elect Open Access Tier 2 (PPO Limited Benefit) Plan

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Under the Elect Open Access Tier 2 (PPO limited benefit) plan, the member can self-refer to obtain consultation services from any provider in the Health Net PPO network without a referral. Services are limited to physician office visits and care that can be performed in the physician's office (for example, laboratory and radiology services). All other covered services, including hospitalization, maternity care, outpatient surgery, and home health care, must be obtained through the member's HMO level of benefits under Elect Open Access Tier 1 (HMO). Members receiving care from PPO providers incur higher out-of-pocket expenses than they would at the Elect Open Access Tier 1 level.

## Elect Two Tier Plan

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information about the Elect Two-Tier health plan.

Select any subject below:

- [Elect Tier 1 \(HMO\) Benefit Level](#)
- [Elect Tier 2 \(PPO In-Network\) Benefit Level](#)



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## Elect Tier 1 (HMO) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Elect Tier 1 (HMO) plan offers comprehensive care at a lower cost to members who use Health Net's participating providers for care. Elect Tier 1 is similar to a traditional HMO plan. The member chooses a primary care physician (PCP) who is responsible for providing or coordinating the member's care. If the member requires services outside the PCP's scope of practice, the PCP refers the member to a specialist or other ancillary provider.

## Elect Tier 2 (PPO In-Network) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Under Elect Tier 2 (PPO in-network), the member is free to obtain treatment from any provider in the Health Net PPO network without a referral. Members receiving care from PPO providers incur higher out-of-pocket expenses than they would at the Elect Tier 1 (HMO) level. Certain prior authorization requirements may apply, refer to the [Prior Authorization](#) topic for more information.

## ExcelCare

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

In order to meet customer demands for lower-priced health care alternatives, Health Net expanded its portfolio of HMO products to include the Health Net ExcelCare plan, formerly known as HMO Silver Network. This HMO offering provides all the same benefits as existing Health Net HMO plans, but members access these benefits through a select network of providers.

ExcelCare is offered in all or parts of Kern, Los Angeles, Orange, Riverside, San Bernardino, San Diego, San Francisco, Santa Clara, Stanislaus, and Ventura counties, and is comprised of selected participating physician groups (PPGs) in these counties contracting with Health Net for the HMO line of business. PPGs were chosen to participate based on meeting Health Net's criteria of cost efficiency for assigned membership.

PPGs do not need to modify existing administrative procedures to accommodate ExcelCare members. Members enrolled in a Health Net ExcelCare plan receive and access services in the same manner as other Health Net HMO members. For example, members must obtain most services through their selected ExcelCare primary care physician (PCP) or PPG.

Employer groups may purchase acupuncture and chiropractic riders as additions to the ExcelCare plan, with these services provided through American Specialty Health Plans, Inc. (ASH Plans). Further, as for other Health Net HMO members, behavioral health benefits are administered by MHN, Health Net's behavioral health subsidiary.

Members receive an ExcelCare identification (ID) card, as applicable, to enable providers to readily identify the member's Health Net plan.

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Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net's HMO plan is a delivery system that offers comprehensive health care, including preventive services. In return, the member is responsible for a copayment, which is a fixed dollar amount per service. Members are required to select a primary care physician (PCP) and a participating physician group (PPG) upon enrollment. Each family member may select a different PCP. The PCP is responsible for providing or coordinating all health care services. If a member requires services outside the PCP's scope of practice, the PCP refers the member to a specialist or other ancillary provider. Members who bypass their PCP risk loss of coverage for the services provided without the PCP's referral.

## HMO SmartCare

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

In order to meet customer demands for lower-priced health care alternatives, Health Net expanded its portfolio of HMO products to include the Health Net HMO SmartCare plans. These HMO offerings provide all the same benefits as existing Health Net HMO plans, but members access these benefits through a select network of providers.

The HMO SmartCare plans for Small Groups are offered in all or parts of Los Angeles, Orange, Riverside, San Bernardino, San Diego, Santa Cruz, and Santa Clara counties. HMO SmartCare plans for Large and Custom Groups are offered in all or parts of Alameda, Contra Costa, Fresno, Kern, Kings, Los Angeles, Marin, Napa, Orange, Placer, Riverside, Sacramento, San Bernardino, San Diego, San Francisco, San Joaquin, San Mateo, Santa Clara, Santa Cruz, Solano, Tulare, and Yolo counties. HMO SmartCare is comprised of selected participating physician groups (PPGs) in these counties contracting with Health Net for the HMO line of business. PPGs were chosen to participate based on meeting Health Net's criteria of cost efficiency for assigned membership.

PPGs do not need to modify existing administrative procedures to accommodate HMO SmartCare members. Members enrolled in a Health Net HMO SmartCare plan receive and access services in the same manner as other Health Net HMO members. For example, members must obtain most services through their selected HMO SmartCare primary care physician (PCP) or PPG. An acupuncture and chiropractic rider is included as part of the HMO SmartCare plans, with these services provided through American Specialty Health Plans, Inc. (ASH Plans). Further, as for other Health Net HMO members, behavioral health benefits are administered by MHN, Health Net's behavioral health care subsidiary. Additionally, SmartCare plans include a \$50 wellness benefit administered by Alere.™ Some SmartCare members also have access to CVS® MinuteClinic as part of their plan design; refer to the member's identification (ID) card for more information on this benefit.

## Leased PPO Benefit Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Health Net may lease its provider network to other payors, including but not limited to, administrative services organizations (ASOs) or self-funded employer groups. Health Net notifies participating providers of payors utilizing the leased PPO. Members should be encouraged to utilize participating providers.

## Select Three Tier Plan

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information about the Select Three Tier health plan.

Select any subject below:

- [Select Tier 1 \(HMO\) Benefit Level](#)
- [Select Tier 2 \(PPO In-Network\) Benefit Level](#)
- [Select Tier 3 \(Indemnity Out-of-Network\) Benefit Level](#)

## Select Tier 1 (HMO) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Select Tier 1 (HMO) plan offers comprehensive care at a lower cost to members who use Health Net's participating providers for care. Select Tier 1 is similar to a traditional HMO plan. The member chooses a primary care physician (PCP) who is responsible for providing or coordinating the member's care. If the member requires services outside the PCP's scope of practice, the PCP refers the member to a specialist or other ancillary provider.

## Select Tier 2 (PPO In-Network) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Under Select Tier 2 (PPO in-network), the member is free to obtain treatment from any provider in the Health Net PPO network without a referral. Members receiving care from PPO providers incur higher out-of-pocket expenses than they would at the Select Tier 1 (HMO) level. Certain prior authorization requirements may apply, refer to the [Prior Authorization](#) topic for more information.

## Select Tier 3 (Indemnity Out-of-Network) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Health Net's Select Tier 3 (indemnity out-of-network) allows the member to obtain treatment from any licensed provider, including providers outside the Health Net HMO and PPO provider networks. In exchange, the coverage level is lower. The member's coinsurance amount is higher than at the PPO level. The member also incurs the highest out-of-pocket expense at the indemnity out-of-network level. Certain prior authorization requirements may apply, refer to the [Prior Authorization](#) topic for more information. The member is responsible for the deductible, coinsurance amount, and any amount billed by the provider above the maximum out-of-network amount covered by the plan.

## Select Two Tier Plan

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information about the Select Two Tier health plan.

Select any subject below:

- [Select Tier 1 \(HMO\) Benefit Level](#)
- [Select Tier 2 \(PPO/Indemnity Out-of-Network\) Benefit Level](#)

## Select Tier 1 (HMO) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Select Tier 1 (HMO) benefit level offers comprehensive care at a lower cost to the member who uses Health Net's participating providers for care. Select Tier 1 is similar to a traditional HMO plan. The member chooses a primary care physician (PCP) who is responsible for providing or coordinating the member's care. If the member requires services outside the PCP's scope of practice, the PCP refers the member to a specialist or other ancillary provider.

## Select Tier 2 (PPO/Indemnity Out-of-Network) Benefit Level

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net's Select Tier 2 (PPO/Indemnity out-of-network) plan allows the member to self-refer to obtain treatment from any licensed provider, including providers outside the Health Net HMO and PPO provider networks. In exchange, the coverage level is lower. The member incurs the highest out-of-pocket expense at the indemnity out-of-network level. Certain prior authorization requirements may apply, refer to the [Prior Authorization](#) topic for more information. The member is responsible for the deductible, coinsurance amount and any amount billed by the provider above the maximum out-of-network amount covered by the plan.



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# Provider Oversight

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on provider oversight requirements and monitoring.

Select any subject below:

- [Overview](#)
- [Calendar of Required PPG Submissions](#)
- [Corrective Action Plan](#)
- [Fraud, Waste and Abuse](#)
- [Member Appeals and Grievances](#)
- [Monitoring Provider Exclusions](#)
- [Monitoring Provider Sanctions for the Federal Employees Health Benefit Program](#)
- [Subdelegated Functions](#)
- [Contractual Financial and Administrative Requirements](#)
- [Delegated Medical Management](#)
- [Facility and Physician Additions, Changes and Deletions](#)
- [Service and Quality Requirements](#)

## Overview

### Participating Physician Groups (PPG)

Health Net measures, monitors and oversees provider compliance and requires corrective actions when deficiencies are verified. Delegation may be revoked and the provider's contract terminated if the corrective action process does not resolve the deficiency.

In addition to routine data collection, monitoring, evaluation, and analysis, the Health Net staff is available to assist providers with:

- Alerting the delegated entity regarding possible areas of non-compliance
- Furnishing information regarding regulations
- Developing corrective action plans (CAPs)
- Sharing best practices
- Offering guidance regarding on-site review by outside agencies

## Delegation Oversight Committee

The Health Net Delegation Oversight Department is under the direction of the Senior Vice President of Operations. The Delegation Oversight Committee (DOC) is chaired by the Vice President of Delegation Oversight . The committee meets bi-monthly and is comprised of but not limited to senior management representatives from the Health Net Provider Network Management, QI, Health Care Services, Medical

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Management, Provider Services, Member Services, Actuarial, Appeals and Grievances (A&G), Claims, Encounters, Credentialing, Delegation Oversight, Program Accreditation, and Finance departments. The committee reviews monthly compliance reports and hears recommendations from the Delegation Oversight Workgroup (DOW) and other departments regarding provider compliance deficiencies. The committee collaboratively makes decisions to remedy noncompliance as quickly as possible. Those actions may include closer monitoring by the oversight staff, developing CAPs, escalating to Joint Operations Committees revoking delegation of specific functions, imposing progressive sanctions (such as freezing enrollment and financial sanctions), and when necessary, notifying providers of contract breaches and contract termination.

## Credentialing and Recredentialing

Failure to meet compliance with Health Net standards for credentialing and recredentialing is reported to the Health Net DOC for review and discussion if actions to resolve deficiencies and may result in revocation of delegation status.

## HEDIS<sup>®</sup> Reporting

Participating physician groups (PPGs) are required to measure and report data elements necessary to determine compliance with Healthcare Effectiveness Data and Information Set (HEDIS) quality benchmarks.

## Member Complaints, Appeals and Grievances

The Health Net Member Services or Appeals and Grievances Departments work to resolve individual member complaints. All member complaints and inquiries are entered into a database for tracking, and reports are generated quarterly to allow for tracking and profiling within and between providers. The quarterly complaint report aggregates the type of complaint by PPG and by region. Health Net's Credentialing Committee, regional medical directors (RMDs), the Delegation Oversight director, and QI staff reviews the reports. A CAP is implemented, if necessary, and tracking and follow-up evaluations continue to monitor the success of the action plan.

Member complaints with potential quality of care issues are forwarded to the Health Net Clinical Appeals and Grievances Department, which conducts an investigation of each issue and tracks trends for quality of care issues by provider, PPG and type of issue. Provider-specific cases are prepared and presented to the Health Net Peer Review Committee for review and action. During the investigation of potential quality of care issues, the QI specialist may request information, medical records or implementation of provider-specific action plans from the PPG. Noncompliance with these requests may lead to sanctions, such as freezing enrollment of Health Net members until the issue is resolved or possible termination of the Health Net contract.

## Preventive Care Guidelines

Health Net provides feedback to PPGs on their preventive care services in an effort to encourage delivery of such services. Techniques include quality of care and service report cards, discussions at physician forums, onsite meetings with PPG staff, and financial incentives to increase the amount of preventive care services. Member education is also part of this effort.

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Health Net requires that PPGs and participating primary care physicians (PCPs) follow the clinical practice guidelines recommended by the United States Preventive Services Task Force (USPSTF), the American Congress of Obstetrics and Gynecology (ACOG), the American Cancer Society (ACS), the American Academy of Pediatrics (AAP), and the American Academy of Family Physicians (AAFP) in the treatment of Health Net members. A Health Net member's medical history and physical examination may indicate that further medical tests are needed. As always, the judgment of the treating physician is the final determinant of member care.

Refer to the preventive care guidelines discussion under the Benefits topic for more information.

## Notice to Change PPA

If a participating provider needs to request a change to the information currently in their Health Net Provider Participation Agreement (PPA), the request must be made in writing. The request can be made in one of the following ways:

- Certified U.S. mail with a return receipt requested, postage prepaid
- Overnight courier
- Fax

The request should be sent to [Health Net's main corporate address](#).

## Calendar of Required PPG Submissions

Provider Type: Participating Physician Groups (PPG)

Documents to be Submitted		Due Date		
Financial Statements (Annually Audited)		150 days after close of fiscal year		
Financial Statements (Quarterly Updates)		45 days after close of quarter		
Monthly Encounter Data Submission		Within 30 days of end of month of service		

Delegated Service	LOB Detail	Report Description	Frequency	Due Date
UM	Complex Case Management (COM, MCL, MCR)	Complex Case Management Report	Quarterly	15th of the month following the end of the quarter

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Delegated Service	LOB Detail	Report Description	Frequency	Due Date
UM	Commercial	UM Authorization Source Data - COMM	Monthly	15th calendar day of the following month
UM	Commercial	Specialty Referral Access Timeliness - COMM	Quarterly	15th of the month following the end of the quarter
UM	Special Needs Plan - Dual & Chronic	Special Needs Plan MOC Report - Case Management	Monthly	15th calendar day of the following month
UM	Medi-Cal, Medi-Cal CalViva, Medi-Cal Community Health Plan of Imperial Valley and Medi-Cal Molina	UM Authorization Source Data - MCAL, MOLN, CALV	Monthly	15th calendar day of the following month
UM	Medi-Cal, Medi-Cal CalViva, Medi-Cal Community Health Plan of Imperial Valley and Medi-Cal Molina	Specialty Referral Access Timeliness - MCAL, MOLN, CALV	Quarterly	15th of the month following the end of the quarter
UM	Medicare (HMO-H0562, SAP-H3561)	Standard and Expedited Organization Determinations (OD)	Monthly	15th calendar day of the following month

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Delegated Service	LOB Detail	Report Description	Frequency	Due Date
UM	Medicare (HMO-H0562, SAP-H3561,	UM Reopens	Quarterly	15th of the month following the end of the quarter
UM	Medicare (HMO-H0562, SAP-H3561), Commercial, Medi-Cal, Medi-Cal CalViva, Medi-Cal Community Health Plan of Imperial Valley and Medi-Cal Molina	UM Work Plan	Annually Semi-annual Quarterly	All LOB Initial - Annual: February 15 MCR & COMM - Semi-annual: August 15 Medi-Cal, Medi-Cal Molina and CalViva - Quarterly: Last day of the month following the end of the quarter
Claims	Medicare (HMO-H0562, SAP-H3561)	Provider Dispute Organization Determinations - MCR	Monthly	15th calendar day of the following month
Claims	Medicare (HMO-H0562, SAP-H3561)	Organization Determinations Claims - MCR	Monthly	15th calendar day of the following month
Claims	Medicare (HMO-H0562, SAP-H3561)	Claims Reopens	Quarterly	15th of the month following the end of the quarter
Claims	Commercial	AB72 IDRPs Delegated Contact List	Annually	31-Oct-22
Claims	Commercial	Claims Organization Determinations-COMM	Monthly	15th calendar day of the following month

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Delegated Service	LOB Detail	Report Description	Frequency	Due Date
Claims	Commercial	Provider Disputes Organization Determinations - COMM	Monthly	15th calendar day of the following month
Claims	Commercial	Federal Employee Health Benefit Program (FEHBP) Claim Reports	Semi-annual	Semi-annual - April 1 and October 1
Claims	Commercial	Provider Dispute Summary Report - COMM	Quarterly	15th of the month following the end of the quarter
Claims	Commercial	Claims Settlement Practice Report - COMM	Quarterly	15th of the month following the end of the quarter
Claims	Commercial	Timeliness Summary Reports - COMM	Quarterly	15th calendar day of the following month after each quarter end.
Claims	Medi-Cal, Medi-Cal_CalViva, Medi-Cal Community Health Plan of Imperial Valley and Medi-Cal_Molina	Claims Organization Determinations - MCAL, CALV, MOLN	Monthly	15th calendar day of the following month
Claims	Medi-Cal, Medi-Cal_CalViva, Medi-Cal Community	Provider Disputes Organization Determinations -	Monthly	15th calendar day of the following month

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Delegated Service	LOB Detail	Report Description	Frequency	Due Date
	Health Plan of Imperial Valley and Medi-Cal_Molina	MCAL, CALV, MOLN		
Claims	Medi-Cal	Provider Dispute Summary Report - MCAL	Quarterly	30th of the month following the end of the quarter
Claims	Medi-Cal	Claims Settlement Practice Report - MCAL	Quarterly	30th of the month following the end of the quarter
Claims	Medi-Cal	Timeliness Summary Reports - MCAL	Quarterly	30th calendar day of the following month after each quarter end.
Claims	Medi-Cal CalViva	Claims Settlement Practice Report - CALV	Quarterly	30th of the month following the end of the quarter
Claims	Medi-Cal CalViva	Provider Dispute Summary Report - CALV	Quarterly	30th of the month following the end of the quarter
Claims	Medi-Cal CalViva	Timeliness Summary Reports - CALV	Quarterly	30th calendar day of the following month after each quarter end.
Claims	Medi-Cal Molina	Claims Settlement	Quarterly	30th of the month following

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Delegated Service	LOB Detail	Report Description	Frequency	Due Date
		Practice Report - MOLN		the end of the quarter
Claims	Medi-Cal Molina	Provider Dispute Summary Report - MOLN	Quarterly	30th of the month following the end of the quarter
Claims	Medi-Cal Molina	Timeliness Summary Reports - MOLN	Quarterly	30th calendar day of the following month after each quarter end.
Claims	ALL LOBs	Notification - Change of Principal Officer	As applicable	Immediate upon change of officer
Credentialing	Medi-Cal	Credentialing Report	Quarterly	15th of the month following the end of the quarter.
Credentialing	Commercial Medicare	Credentialing Report	Semi-annual	February 15 August 15

**Organization Determinations**

If a participating physician groups (PPGs) or hospitals is delegated for Utilization Management (UM) they must submit monthly to the plan (delegation oversight team) the complete Organization Determination (OD) template provided by the plan, for each line of business, that includes all authorizations that a determination was completed in the previous month.

If a PPGs or hospitals is delegated for Claim processing they must submit monthly to the plan (delegation oversight team) the complete OD template and for each line of business that includes all claims (received and claims in addition where a determination was made in the previous month. Additionally, quarterly a summary report should be submitted for processed claims and disputes using the MTR, PDR & STML form posted on the [Industry Collaborative Effort \(ICE\)](#).

For UM & Claims the Plan is required to submit to Centers for Medicare and Medicaid Services (CMS) aggregates quarterly and annually from all delegated entities. Additionally for claims data is submitted quarterly and annually to Department of Managed Health Care (DMHC).

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## Reporting Elements & Submission

All reporting elements including instruction, data dictionary and template are included in the template workbook provided by the plan.

All reports should be submitted through the SFTP access granted to the PPG users responsible for reporting.

The plan does delegate responsibility for complex case management to those providers with a dual-risk contract who meet the requirement as delineated by the National Committee for Quality Assurance (NCQA). Although the plan does not delegate responsibility for QI functions, all PPGs are required to participate in and cooperate with QI activities, including Healthcare Effectiveness Data and Information Set (HEDIS®), access surveys, disease management, and other quality initiatives.

To access the current year UM/QI report templates, workplans and instruction, visit the [Industry Collaboration Effort \(ICE\)](#).

# Corrective Action Plan

Provider Type: Participating Physician Groups (PPG) | Hospitals

When a participating physician group (PPG) or hospital is not in compliance with plan policies, contractual obligations or regulatory requirements, the Delegation Oversight Department may implement a corrective action process to correct the deficiencies.

- Delegate is notified of deficiency and requested to submit a corrective action plan (CAP) to address the deficiency.
  - The delegation oversight compliance auditor reviews the CAP for appropriateness and completeness and notifies the provider of whether the CAP is approved.
  - If the plan does not approve the CAP, the provider is notified and asked to revise and resubmit the CAP to the plan.
- If the delegate does not submit a CAP, or complete the actions in their CAP in a timely manner, the deficiency is escalated to the Delegation Oversight Workgroup (DOW) to recommend further actions.
- If the delegate remains deficient it is escalated to the Delegation Oversight Committee (DOC) to take formal actions up to and including de-delegation.

# Fraud, Waste and Abuse

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Fraud is intentional misrepresentation or deception for the purpose of obtaining payment or other benefits not otherwise due. Abuse includes those practices that are inconsistent with accepted sound fiscal, business or medical practices. The following are examples of fraud and abuse:

- Intentional misrepresentation of services rendered.
- Deliberate application for duplicate reimbursement.
- Intentional improper billing practices.

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- Failure to maintain adequate records to substantiate services.
- Failure to provide services that meet professionally recognized standards of health care.
- Provision of unnecessary services .

Health Net is responsible for reporting to the state its findings of suspected fraud and abuse by participating providers or vendors under its Medi-Cal plans. Suspected fraud and abuse is identified through various sources that include aggregate data analysis, review of high-cost providers, review of CPT-4 codes with potential for over-use, members, the state, law enforcement agencies, other providers, and associates.

Providers and their office staff are legally required to report suspected cases of fraud and abuse to Health Net. Reports of suspected fraud may be made anonymously to the [Health Net Fraud Hotline](#).

## Member Appeals and Grievances

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net does not delegate member appeals or grievances. All grievances and appeals should be forwarded immediately to the Health Net Appeals and Grievances Department.

Health Net prefers receiving appeals and grievances by fax within one business day. This enables Health Net to receive, process and resolve the member's issue quickly in accordance with state and federal timeliness requirements. Contact the Health Net [Appeals and Grievances Department](#).

## Monitoring Provider Exclusions

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Centers for Medicare & Medicaid Services (CMS) and the California Department of Health Care Services (DHCS) both require contractors, their subcontractors and other delegated entities to monitor federal and state exclusion lists. The parties or entities on these lists are excluded from various activities, including rendering services to Medicare, Medicaid and any other federal health care program enrollees (unless in the case of an emergency, as stated in 42 CFR §1001.1901), and employing or contracting with excluded parties to provide services to these enrollees. Health Net requires that its participating physician groups (PPGs), hospitals, ancillary providers, and practitioners continuously monitor federal and state exclusion lists.

## Monitoring for Excluded Parties

The names of parties that have been excluded from participation in federal health programs are published in the Office of the Inspector General U.S. Department of Health and Human Services (OIG-HHS) List of Excluded Individuals and Entities (LEIE), CMS Preclusion List, Medi-Cal Suspended and Ineligible Provider List (SIPL), Medi-Cal Restricted Provider Database (RPD), Office of Personnel Management (OPM) under the Federal Employee Health Benefit Plan (FEHBP), and on the General Services Administration's (GSA) Exclusions Extract Data Package (EEDP) (or Excluded Parties List System (EPLS), which was replaced by the EEDP), as referenced through the [System for Award Management \(SAM\) website](#).

Providers on any of these lists, except for the RPD, will be terminated from all products, federal and non-federal. Providers on the RPD will only be terminated from the Medi-Cal line of business.

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## Health Net and Provider Responsibilities

Health Net is required to monitor federal and state exclusion lists to ensure that Health Net is not hiring, contracting or paying excluded parties or entities for services rendered to enrollees in Health Net plans. Health Net's contracted providers and their downstream subcontractors or delegated entities must check the LEIE, CMS Preclusion List, SIPL, FEHBP and EEDP federal exclusion lists prior to hiring or contracting with any new employee, temporary employee, volunteer, consultant, governing body member, subcontractor, or other delegated entity for Medicaid or Part C and Part D related activities. Health Net, its contracted providers, and their downstream subcontractors or delegated entities must continuously monitor these lists at least monthly to ensure parties or entities that were previously screened have not become excluded later.

### LEIE

The OIG-HHS imposes exclusions under the authority of sections 1128 and 1156 of the Social Security Act. A list of all exclusions and their statutory authority is available on the [Exclusion Authority website](#).

The current LEIE is available on the [OIG-HHS website](#). Refer to [Frequently asked questions \(FAQs\)](#) for additional information about the LEIE.

Providers on the OIG list will be terminated from all products, federal and non-federal.

### CMS Preclusion List

The CMS Preclusion List is published by the Centers for Medicare and Medicaid Services to identify precluded providers. It is updated monthly and available on the Healthnet.com site, after logging on, under the regulatory section.

Providers on the CMS Preclusion List will be terminated from all products, federal and non-federal.

### SIPL

The SIPL is published by DHCS to identify suspended and otherwise ineligible providers. It is updated monthly and available on the [DHCS Medi-Cal website](#) > References > [Suspended and Ineligible Provider List](#). Additional information about the list is located in the Medi-Cal Suspended and Ineligible Provider List introduction.

Providers on the SIPL will be terminated from all products, federal and non-federal.

### FEHBP

The OPM, under the OIG-HHS, imposes suspension and debarment actions for entities contracted with the FEHBP. The current FEHBP suspended and debarred report is available at Healthnet.com. Registered providers can log into the provider portal to access the reports located under the regulatory section.

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Providers on the FEHBP list will be terminated from all products, federal and non-federal. Additionally, a 12-month claims look-back review must occur for all identified participating and non-participating providers. Federal Employee Health Benefit Plan members identified through the claims review must receive notification that the provider is no longer available to receive services from.

## EEDP

The GSA's EEDP is a government-wide compilation of various federal agency exclusions, and replaces the Excluded Parties List System (EPLS). Exclusions contained in the EEDP are governed by each agency's regulatory or legal authority. The EEDP also includes parties and entities from other federal exclusion databases. All parties or entities listed on the EEDP are subject to exclusion from Medicare participation. The current EEDP is available on the [SAM website](#).

Providers on the EEDP list will be terminated from all products, federal and non-federal.

## Restricted Provider Database (RPD)

The RPD is published by DHCS to identify providers placed under a payment suspension while under investigation based upon a credible allegation of fraud (Title 42, Code of Federal Regulations (CFR) section 455.23 and Welfare and Institution Code (WIC) section 14107.11. Search [Part 455 of the CFR](#). Search the [WIC](#). The sanction action is specific to the individual rendering provider's National Provider Identifier and/or Tax Identification Number as listed on the database file. Subcontractors and delegated entities may continue contractual relationships with providers on the RPD that are listed under a "payment suspension only"; however, reimbursements for Medi-Cal covered services must be withheld. Contracts must be terminated with providers on the RPD that are not listed under a "payment suspension only." Subcontractors and delegated entities choosing to terminate a provider's contract must notify Health Net per the language in the *Provider Participation Agreement (PPA)* and within the required advance notification turnaround times included in the Medi-Cal provider operations manual under Provider Oversight > Facility and Physician Additions, Changes and Deletions > Closure and Termination available in the [Provider Library online](#). Providers under a payment suspension will be indicated as such under the "comment" column of the database file. The RPD data file is updated monthly and is available at [Healthnet.com](#). Registered providers can log into the provider portal to access the report located under the regulatory section.

## Claims Payment For Excluded Parties

Health Net, its PPGs, hospitals, and ancillary providers cannot pay participating and nonparticipating parties or entities included on these lists for any services using federal funds, except as documented in the CMS Internet Only Manual, publication 100-16, Chapter 6 - Relationships with Providers, which states, "The OIG has a limited exception that permits payment for emergency services provided by excluded providers under certain circumstances. See 42 CFR §1001.1901." FDRs contracting with Health Net must have a documented process in place to ensure compliance with these guidelines, and notify enrollees who obtain services from excluded parties and make claims payments as allowed under these exceptions. This documentation is subject to audit upon request from Health Net or CMS.

## Regulatory Citations for Excluded Requirements

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Medicare Advantage organizations (MAOs) and their FDRs must abide by the regulations documented in the Social Security Act 1862(1)(B), 5 CFR §890.1043(a)(b)(c), 42 CFR §422.503(b)(4)(vi)(F), 422.752(a)(8), 423.504(b)(4)(vi)(F), 423.752(a)(6), 422.222, 422.224 and 1001.1901. These federal exclusion requirements are further interpreted and communicated as guidance by CMS in the Medicare Manual, Volume 100-16, Chapters 9 and 21 §50.6.8.

Medicaid managed care programs, their subcontractors and other delegated entities must abide by the regulations documented in the Social Security Act 1862(e)(1)(B), 5 CFR §890.1043(a)(b)(c), 42 CFR §422.503(b)(4)(vi)(F), 422.752(a)(8), and 1001.1901, and California Welfare and Institutions Code sections 14043.6 and 14123.

Additional regulations that require sponsors to include CMS requirements in their contracts, as well as monitor their subcontractors and other delegated entities, are available in 42 CFR §422.504(i)(4)(B)(v) and 423.505(i)(3)(v).

## Monitoring Provider Sanctions for the Federal Employees Health Benefit Program

Provider Type: Participating Physician Groups (PPG)

The United States Office of Personnel Management (OPM) has statutory and regulatory authority to exclude health care providers from participation in the Federal Employees Health Benefit Program (FEHBP). Debarment and suspension prohibit a health care provider from receiving payment for services and supplies provided to an FEHBP member on or after the effective date of their debarment and suspension actions.

Under the authority of the Federal Employees Health Benefits Amendments Act of 1988 (5 USC §8902a) and the Government-wide Nonprocurement Debarment and Suspension Common Rule (Executive Order 12549 and 5 CFR Part 970), the Administrative Sanctions Branch debars from participation in the FEHBP health care providers who have lost professional licensure, been convicted of a crime related to delivery of or payment for health care services, violated provisions of a federal program, or are debarred by another federal agency.

## Monitoring for Suspended and Debarred Providers

The names of health care providers who have been debarred or suspended by the OPM are published in the Excluded Parties List System (EPLS) available online at [www.sam.gov](http://www.sam.gov). Select the Data Service tab, access the Exclusions folder, and select the Public V2 folder. As a contracting health plan for FEHBP, Health Net is required to monitor these providers to validate Health Net is not credentialing or paying debarred or suspended providers for services rendered to members enrolled in Health Net's FEHBP. Health Net requires its delegated participating physician groups (PPGs) to monitor this site as well, when credentialing physicians and paying claims.

PPGs must check this website through their credentialing departments when bringing these physicians on board, and also on a monthly basis going forward to ensure claims for these suspended or debarred providers are not paid.

Health Net and PPG claims departments also must check this list for non-participating providers who are suspended or debarred to ensure that they are not paid for services rendered to Health Net members while sanctioned.

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The current FEHBP suspended and debarred report is available at Healthnet.com. Registered providers can log into the provider portal to access the reports located under the regulatory section.

Providers on the FEHBP list will be terminated from all products, federal and non-federal. A 12 month claims look-back review must occur for all identified participating and non-participating providers. Federal Employee Health Benefit Plan members identified through the claims review must receive notification that the provider is no longer available to receive services.

## Claims Payment for Suspended and Debarred Providers

Health Net must notify FEHBP members who obtain services from a debarred or suspended provider. OPM regulations prescribe a 15-day grace period after issuance of the notice, during which time services rendered by the health care provider are still covered. No payments are made for services rendered more than 15 days after the date of notice to the member

Exceptions must be documented in the claims processing system. Exceptions include but are not limited to:

- Urgent/emergent.
- Approved member exception.
- Inpatient stays within 30 days after suspension/debarment.
- Good faith in cases where member was not aware of the sanction.
- Suspended/debarred provider or owner/administrator in a non-debarred/suspended facility.
- Services by a non-debarred/suspended provider at a debarred/suspended group or clinic.

## Subdelegated Functions

Provider Type: Participating Physician Groups (PPG)

For delegated entities that subcontract with another entity to carry out delegated quality management (QI), utilization management (UM), member connections, and credentialing and recredentialing functions, the Delegation Oversight Department is enforcing the following National Committee for Quality Assurance (NCQA) requirements:

- QI 13 for quality management
- UM 13 for utilization management
- MEM 9 for member connections
- CR 8 for credentialing and recredentialing

The plan performs audits and requires that delegated entities demonstrate how they ensure that the subcontractor performing delegated QI, UM, member connections, and credentialing and recredentialing functions on the delegated entities behalf is meeting NCQA standards and any additional regulatory state and/or federal requirements. More specifically, the plan requires proof of an agreement between the provider group and subcontractor entity that delineates the rights and responsibilities of each party and requirements for review of subdelegated activities.

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## Definitions

The current Health Plan Standard and Guidelines, published by NCQA, define delegation and subdelegation as follows:

- Delegation - Occurs when the organization (Health Net) gives another entity (such as a participating physician group (PPG) or independent practice association (IPA) the authority to carry out a function that the organization would otherwise perform.
- Subdelegation - Occurs when the organization's delegate (such as a PPG or IPA that contracts with Health Net to perform a specific function) gives a third entity the authority to carry out a delegated function.

# Contractual Financial and Administrative Requirements

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on contractual financial and administrative requirements.

Select any subject below:

- [Contracts with Ancillary Providers](#)
- [Discrimination against Health Care Professional Prohibited](#)
- [Financial Statements](#)
- [Financial Survey Filing Requirements](#)
- [PPG Networking Contractual Requirements](#)
- [Use of Performance Data](#)

## Contracts with Ancillary Providers

Provider Type: Hospitals | Ancillary

The plan may review copies of the hospitals' contracts with its ancillary providers to ensure the contracts meet regulatory requirements. Contracts must include language stating that:

- Members are not liable to the provider for any sums owed by the plan (hold-harmless language).
- Providers may not apply surcharges or any other charges, other than copayments, for covered services.
- Providers must maintain the confidentiality of member information and records.
- Providers must maintain timely, accurate and complete medical records.
- Providers must maintain records for a minimum of ten years.
- Providers must submit encounter data as required.

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- Providers must comply with the medical policy, quality improvement (QI) and medical management policies of the plan.
- Providers must allow open provider-member communication regarding appropriate treatment alternatives.
- Providers must comply with applicable state, federal, and Medicare laws, regulations and reporting requirements.
- Contracts may not contain any incentive plan that includes payment as an inducement to deny, reduce, limit, or delay specific, medically necessary and appropriate services.
- Contracts must include accountability provisions.
- Contracts must allow access to medical records, to the extent permitted by law.

## Discrimination against Health Care Professional Prohibited

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

In accordance with standards established by the Centers for Medicare & Medicaid Services (CMS), health plans may not discriminate against the following:

- Any health care professional who is acting within the scope of their license, in terms of participation, reimbursement or indemnification.
- Professionals who serve high-risk populations or who specialize in the treatment of costly conditions.

Health plans are also required to issue written notice to providers regarding the reason the plan is declining to accept the provider or participating physician group (PPG). For additional information regarding provider credentialing, refer to the Credentialing topic.

## Financial Statements

Provider Type: Participating Physician Groups (PPG) | Hospitals

Health Net monitors and evaluates the financial viability of its delegated and capitated participating providers and maintains adequate procedures to ensure providers' reports and financial information confirms each provider is financially solvent (section 1300.75.4.5(a)(1) of Title 28 of the California Code of Regulations (CCR)).

All providers with a capitated Provider Participation Agreement (PPA) are required to submit their annual financial statements to Health Net 150 days after the close of the participating physician group's (PPG's) or hospital's fiscal year. PPGs and hospitals are further required to submit to Health Net quarterly financial updates, prepared by the provider organization and reflecting year-to-date activity, within 45 business days after the close of the calendar quarter or most recent quarter, if provider's fiscal year is different from calendar year.

PPGs' and hospitals' financial statement packets should include:

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- Signed Health Net financial certification form (for quarterly unaudited financials only).
- DMHC quarterly and-or annual financial survey report forms as detailed in subsection 1300.75.4.2(b) and ( c) of Title 28 of the California Code of Regulations (CCR) including:
  - balance sheet
  - an income statement
  - a statement of cash-flow
  - a statement of net worth
  - cash and cash equivalent
  - receivables and payables
  - risk pool and other incentives
  - claims aging
  - notes to financial statements
  - enrollment information
  - mergers, acquisitions and discontinued operations
  - the incurred but not reported (IBNR) methodology
  - administrative expenses
  - footnote disclosures (for annual audited financial survey)

For nonprofit entities, refer to subsection 1300.75.4.2(b) and (c) of the California Code of Regulations for additional requirements.

PPGs and hospitals must submit these quarterly financial updates and annual audited financial statements to the [Financial Oversight Department](#)

PPGs and hospitals must also ensure compliance with Health Net's financial solvency standard benchmarks and related contractual requirements to make sure their financial status is stable and not deteriorating over time. If the PPGs and hospitals fail to meet the financial solvency standard, and it is determined by Health Net that a corrective action plan (CAP) is needed, the PPGs and hospitals must submit a CAP within 30 days from the date of request. Below are the 14 financial solvency review standard benchmarks that must be met:

Provider Type	Category	Standard
PPG, Hospital	Working Capital	Must be positive
PPG, Hospital	Tangible Net Equity	Must be positive
PPG	Required Tangible Net Equity	Refer to 1300.76(c)(1) of Title 28 of CCR
PPG	Cash to Claims Ratio	= or > 0.75
PPG, Hospital	Cash to Payable Ratio	= or > 0.50
PPG, Hospital	Profit Margin Ratio	> 0.00

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Provider Type	Category	Standard
PPG	Medical Loss Ratio	= or < 0.85
PPG, Hospital	Debt-to-Equity Ratio	= or < 1.0
PPG, Hospital	Accounts Receivable Turnover	= or > 11.81
PPG, Hospital	Average Days to Collect	= or < 30 days
PPG	Average Claims Liability	between 2.5 & 3.5 months
PPG	General and Administrative Expenses	= or < 0.15
Hospital	Total Operating Expense	= or < 1.0
PPG, Hospital	Total Z-Score	= or > 1.81

If the PPG is determined to be noncompliant, a corrective action plan (CAP) must be filed simultaneously with the financial survey to the Department of Managed Health Care (DMHC).

### PPGs With Sub-Delegating Risk Arrangements

PPGs with sub-delegating risk arrangements are required to monitor and evaluate the financial viability of their delegated and capitated participating providers and maintain adequate procedures to ensure providers' reports and financial information confirms each provider is financially solvent according with section 1300.75.4.5(a)(1) of Title 28 of the California Code of Regulations (CCR) and with Health Net's financial benchmark as outlined above. When requested by Health Net, PPGs are required to provide copies of their monitoring policies and procedures within 30 days of Health Net's request.

## Financial Survey Filing Requirements

### Participating Physician Groups (PPG) | Hospitals

The following Department of Managed Health Care (DMHC) filing requirements are included for those participating physician groups (PPGs) that assume financial risk on a capitated or fixed periodic payment basis for the cost of health care services rendered to health plan members (sections 1300.75.4, 1300.75.4.2, 1400.75.4.7, 1300.75.4.8, and 1300.76 of Title 28 of the California Code of Regulations (CCR)).

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PPGs and hospitals must submit the quarterly and annual audited financial statements to Health Net's [Financial Oversight Department](#).

Filing Types	Requirements	Filing Period	Filing Deadline
<p>Quarterly Financial Survey</p>	<p>PPGs submit an electronic quarterly financial survey report to DMHC and Health Net no later than 45 calendar days following the close of each quarter of its fiscal year. (Note: PPGs with financial statements prepared in the fiscal year submit the most recent quarter.)</p> <p>Hospitals submit quarterly financial surveys to Health Net directly. (Note: Hospitals with financial statements prepared in the fiscal year must submit the most recent quarter.)</p>	<p>Q1 Q2 Q3 Q4</p>	<p>May 15 August 15 November 15 February 15</p>
<p>Annual Financial Survey</p>	<p>PPGs submit an electronic annual audited financial survey including auditors notes and opinion letter to DMHC and Health Net not more than 150 calendar days after the close of PPG's fiscal year determined by the DMHC, and based upon PPG's annual audited financial statement prepared in accordance with</p>	<p>Annual</p>	<p>May 31</p>

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Filing Types	Requirements	Filing Period	Filing Deadline
	generally accepted auditing standards.  Hospitals submit annual audited financial surveys including auditors notes and opinion letter to Health Net directly.		

If a PPG organization reports deficiencies in any of the six DMHC grading criteria listed below, the PPG must submit a self-initiated corrective action plan (CAP) proposal in an electronic format to DMHC and Health Net (section 1300.75.4.8 of Title 28 of the CCRs). The grading criteria are:

- tangible net equity (TNE): must be positive
- required tangible net equity: Positive TNE shall be at least equal to the greater of:
  - (A) one percent (1%) of annualized revenues; or,
  - (B) four percent (4%) of annualized non-capitated medical expenses.
- working capital: must be positive
- cash-to-claims ratio: 0.75
- claims timeliness percentage: 95%
- incurred but not reported (IBNR) methodology, both documented and used in estimation of IBNR liabilities: three months

## Late Filing for Financial Survey Requirements

Health Net is required by the DMHC to follow up on late filing of the financial survey (section 1300.75.4.5 of Title 28 of the CCR). As soon as the PPG files with DMHC, the PPG must immediately submit the confirmation of the filing to the [Financial Oversight Department](#). Late-filing PPGs can be downloaded from the DMHC website.

## PPG Networking Contractual Requirements

### Participating Physician Groups (PPG)

Participating physician groups (PPGs) may contract with providers to furnish necessary services to members. The California Department of Managed Health Care (DMHC) and the Centers for Medicare & Medicaid Services (CMS) require health plans to collect and review the contract and subcontract templates at least annually to ensure that they contain required elements and wording and do not contain prohibited elements or wording. Contract and subcontract templates, with a cover letter, must be submitted on request and on issuance of a new template.

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## PPG Network

PPGs must provide the plan with a list of names, practice locations, federal tax identification numbers, professional practice names, and the business hours for all member physicians and other participating providers who contract with the PPG. The list must be submitted in a form acceptable to the plan as stated in the Provider Participation Agreement (PPA).

## Proof of Executed Contracts

DMHC requires the plan to ensure that all providers in the network have executed contracts. The plan requires that the cover page and signature page of each provider and physician contract be submitted on execution, on credentialing or re-credentialing, and on request to the provider relations and contracting specialist (formally provider network administrator (PNA)) assigned to the PPG.

## Provider Education

Each PPG is responsible for having a written process that assists in timely distribution of plan policies, procedures, manuals, updates, newsletters, and reports. PPGs are required to:

- Publish and distribute provider operations manuals and updates to all providers, taking steps to ensure that new providers receive these materials promptly.
- Maintain provider and member service education programs for each primary care physician's (PCP's) office.

## Use of Performance Data

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net is subject to various statutory, regulatory and accreditation requirements, and must ensure that all agreements comply with any such mandates. Accreditation from the National Committee for Quality Assurance (NCQA) is critical to both the health plan and network providers, and ensures that Health Net meets the highest possible standards of excellence and care.

One of the requirements of NCQA is that Health Net may use practitioner performance data for quality improvement activities. Therefore, Health Net's contract templates have been updated with the following language:

Provider agrees to cooperate with quality management and improvement (QI) activities; maintain the confidentiality of member information and records pursuant to this agreement; and allow Health Net to use provider's performance data.

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# Delegated Medical Management

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on delegated medical management.

Select any subject below:

- [Overview](#)
- [Delegation](#)
- [Delegated Utilization Management](#)
- [Delegation Oversight Interactive Tool](#)
- [Inpatient Denial Log Submission](#)

## Overview

Provider Type: Participating Physician Groups (PPG) | Hospitals

Participating physician groups (PPGs) with delegated utilization management (UM) status are required to consistently meet Health Net's UM standards related to inpatient care, outpatient care, discharge planning, case management, retrospective review, and timeliness of authorizations and denials. Health Net's UM standards are updated as necessary to comply with standards established by federal and state regulatory agencies and accreditation entities, such as the National Committee for Quality Assurance (NCQA). Delegation of UM activities allow for autonomy based on PPG capabilities and creates accountability to Health Net. Health Net audits PPGs for accountability and reporting of PPG activities.

Health Net conducts annual audits and ongoing oversight and monitoring of delegated activities.

Multidisciplinary medical management staff may perform additional ongoing operational assessments. Based on the PPGs performance and abilities, Health Net may modify delegation status.

The regional medical director (RMD), regional network director (RND) and/or Delegation Oversight staff contacts the PPG prior to a change in delegation status. The PPG may also request an additional assessment or change in delegation status from the RMD or RND.

## Program Description

PPGs with delegated responsibilities for UM are required to have a written UM program that documents all facets of the delegated authority. All decisions regarding approval or denial of health care services under delegation are made in accordance with the PPG UM program, which includes a UM committee review process.

PPGs with delegated functions are required to use standardized, nationally recognized UM criteria, such as InterQual® Guidelines, to ensure consistent decision-making at all levels of review. The UM program must specify the medical criteria and process used to determine medical necessity. The PPG must consider age,

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comorbidities, complications, treatment progress, psychosocial situation, and home environment (when applicable) when applying medical criteria. The PPG must also consider characteristics of the local delivery system available to a particular member, such as skilled nursing facilities (SNFs) and access to local hospitals and home health care.

The PPG UM program is evaluated annually by the UM Compliance Auditor for compliance with Health Net standards and is required to be approved by the governing board of the PPG annually, with written documentation of review and approval. Health Net's UM standards are updated as necessary to comply with standards established by federal and state regulatory agencies and accreditation entities, such as the NCQA when applicable.

A PPG's UM program should provide evidence that internal procedures for UM are operationally sound, and include documentation that:

- A specific person or position is designated to ensure that necessary authorization procedures are performed.
- Authorizations for elective and urgent health care services are within established time standards.
- Utilization deliberations and decisions are available and accomplished daily. A summary report of utilization activities is reviewed by the PPG UM committee.
- Documentation of the UM process includes the decision, member notification, and provider notification. In the case of a denial, the specific reason for the denial, including the specific utilization review criteria or benefit provision used in the determination, an alternative treatment plan and the appeal process must be included.
- Timely, documented member notification of approval or denial is on record.
- Weekly logs of hospital admissions and denials must be submitted to the Health Net Notification Unit.
- UM system controls are in place and meet NCQA guidelines.

Additional guidelines for elements that should be addressed in the PPG UM program description are incorporated in the [Delegation Oversight Interactive Tool \(DOIT\)](#) for evaluating structural and process elements. The responsibilities of Health Net and delegated providers are outlined in the UM-Delegation Agreement.

## Policy Development

The utilization management (UM) criteria or guidelines used to determine whether to authorize, modify, or deny health care services must be evaluated at least annually and updated, as necessary.

## UM Committee

Each PPG is required to have a UM committee that meets not less than quarterly, and more frequently if necessary. UM committees that are responsible for authorization decisions are required to meet more frequently. The UM committee's purpose and responsibilities must be written and on file. The committee minutes must be on file and available for review by Health Net on request.

## Delegated Prospective Review of Emergency Services

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If an injury or illness requires emergency services, members are instructed to call 911 or go to the nearest hospital or urgent care center. When emergency services are received, members must contact their primary care physician (PCP) or participating physician group (PPG) as soon as possible to notify them of the emergency services received.

Emergency services are a covered benefit if a prudent layperson, acting reasonably, believes that the condition requires emergency medical treatment or if an authorized representative, acting for the organization, has authorized the emergency services or directed the member to the emergency room. A physician reviews emergency claims for medical necessity, and considers presenting symptoms, as well as the discharge diagnosis, for the emergency services.

A prudent layperson is a person who is without medical training and who draws on their practical experience when making a decision regarding whether emergency medical treatment is needed. A prudent layperson is considered to have acted "reasonably" if other similarly situated laypersons would have believed, on the basis of observation of the medical symptoms at hand, that emergency medical treatment was necessary.

PPGs are required to notify the [Hospital Notification Unit](#) if an inpatient admission is required at a participating hospital. The plan requires notification from the PPG within 24 hours of admission if it occurs on a weekday, or the next business day if the admission occurs on a weekend or holiday. This applies to all shared-risk and fee-for-service (FFS) PPGs, inpatient facilities and PPGs regardless of risk arrangement.

## Encounter Data

Health Net requires submission of encounter data for the purpose of conducting a retrospective review. Encounter data is collected across the provider network for both outpatient and inpatient services. Participating physician group (PPG)-specific data is analyzed and compared to plan-wide data in order to identify more effective methods for management of health care resources.

Aggregate data analysis allows the PPG to assess overall trends of utilization. Reports of all services approved following the PPG utilization management (UM) program are submitted to Health Net through encounter data. The encounter data system assists in tracking and trending utilization patterns across Health Net's provider network. A successful encounter-reporting schedule is important to assure that service data is submitted to Health Net in an accurate and timely manner. Contact the [Encounter Department](#) for assistance. Failure of the PPG to submit timely and accurate data, as well as failure to meet these standards, results in development of a corrective action plan (CAP).

## Shared Risk UM Responsibilities

Shared risk is assigned to participating physician groups (PPGs) that have demonstrated the capacity to manage selected operational functions. These groups have agreed to a shared-risk agreement for institutional services. The plan performs selected oversight of the PPG management of delegated services and shared management responsibility. Refer to the discussions in the Provider Evaluation for Delegation section for more information about the standardized program reviews, including the use of the [Delegation Oversight Interactive Tool \(DOIT\)](#).

### PPG Responsibilities

In a shared-risk relationship, PPGs are responsible for the following:

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- Conducting prospective, concurrent and retrospective reviews with advice from and guidance by medical management when requested or needed.
- Cooperating with medical management on all out-of-area admissions, including but not limited to, repatriation.
- Reporting inpatient admissions within 24 hours or on the next business day.
- Conducting concurrent reviews and providing findings and recommendations on level of care and lengths of stay for each inpatient admission within 24 hours or on the next business day.
- Assisting in identification of coordination of benefits (COB) and third-party payer information.
- Having a written utilization management (UM) program description and plan approved by the plan. The program and plan are evaluated annually for effect on members and providers and are reviewed and approved by the governing body of the PPG, with signature and minutes documenting the approval.
- Establishing a UM committee comprised of board-certified providers, who make decisions regarding the approval or denial of health care services to members.
- Using standardized nationally recognized UM criteria to ensure consistent medical necessity determination at all levels of review and interrater reliability (IRR) for all individuals involved in the UM process.
- Having written specific procedures for prospective, concurrent and retrospective reviews and case management that are supervised by qualified medical professionals and physician consultants from the applicable specialties of medicine and surgery. Physicians used to assist in medical necessity determinations are certified by one of the American boards of medical specialties.
- Having UM program policies and procedures, which specifically outline member and provider notification of medically necessary determinations, including approvals and denials. The PPG clearly documents and communicates the reasons for each denial, including the specific utilization review criteria or benefits provision used in the determination. The denial process is clearly outlined and includes an appeal process.
- Having a denial policy and procedure and member letters that include required regulatory statements indicating how the member can appeal directly to the plan.
- Having a denial process that includes specific regulatory language indicating that participating providers (for example, physicians, inpatient facilities and ancillary providers) may appeal directly to the plan.
- Conducting daily inpatient reviews to provide review information to a designated utilization and/or care management nurse upon request. Review information can be submitted by telephone or fax. The plan, to the extent necessary and at its own discretion, may assist the PPG in performing concurrent reviews, coordinating the discharge plan, determining medical necessity and appropriate level of care, and consulting on quality improvement screening when the health plan identifies concerns related to under- or over-utilization.
- Administering member coverage based on member's [Evidence of Coverage \(EOC\)](#).
- Participating with the plan in meetings as scheduled.
- Actively collaborating with Care Management to maximize effectiveness in managing the member's care.
- Providing valid, reliable and timely encounter data as requested and complying with the UM program.
- Conducting reporting and analysis semi-annually for commercial members and quarterly for Medicare Advantage members, which includes:
  - Acute inpatient bed days/1,000, admits/1,000, average length of stay.
  - Skilled nursing facility (SNF) bed days/1,000, admits/1,000, average length of stay.
  - Emergency room visits/1,000.
    - Outpatient surgery cases/1,000
- Preparing action plans for any outlier UM indicators.





Refer to other discussions in the Provider Delegation topic for additional information, including a calendar of required submissions.

## PPG Responsibilities Regarding Nonparticipating Hospitals

If a nonparticipating hospital emergency room department or the nonparticipating provider calls the member's PPG or primary care physician (PCP) to request authorization for medically necessary post-stabilization care, the PPG or PCP should immediately notify the [Hospital Notification Department](#). Do not issue an authorization or tracking number or confirmation of eligibility to the nonparticipating hospital. (This does not apply to Medicare Advantage HMO members.)

(Note: A PPG in a dual risk relationship with a hospital is responsible for complete utilization management (UM) for members to which the dual risk relationship applies. Such UM includes confirming eligibility, issuing authorizations or tracking numbers to nonparticipating hospitals, and arranging for member transfers or discharges, as appropriate. A PPG participating in a dual risk relationship should notify the plan of any member admissions to nonparticipating hospitals.)

## Plan Responsibilities

In a shared-risk relationship, the plan is responsible for the following:

- Assigning a UM nurse to receive concurrent reviews from PPGs (by telephone or onsite) on selected cases, or, as required for the purpose of assisting in arranging for the provision of care at the correct level and in members' discharge planning.
- Assigning a regional medical directors (RMDs) and provider relations & contracting specialist (formally provider network administrator) to act as a liaison with network providers to resolve contractual, operational and service problems.
- Having the Member Services Department function as a liaison between members and the PPG.
- Performing member satisfaction surveys and initiating intervention as needed.
- Assigning a UM Compliance Auditor to conduct pre-contractual evaluations, annual evaluations, and perform oversight and monitoring of the PPG to evaluate the PPG's UM program using the [Delegation Oversight Interactive Tool \(DOIT\)](#), including a review of denial and appeal process, and assisting the PPG in complying with these policies, state and federal regulations and accreditation standards.
- Providing non-participating hospitals in California with one contact telephone number to call to request authorization to provide post-stabilization services to a patient who has received emergency services. After receiving the required information from the PPG, Health Net contacts the nonparticipating hospital with directions for transferring the patient or an authorization for medically necessary post-stabilization care. If the telephone call is not returned within 30 minutes, authorization is deemed to be granted (pursuant to enactment of Assembly Bill 1203 (2008), which amended Health and Safety Code section 1262.8 (b)(3) and section 1371.4. (This does not apply to Medicare Advantage HMO members.).

# Delegation

## Participating Physician Groups (PPG)

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Health Net uses the [Delegation Oversight Interactive Tool \(DOIT\)](#) to evaluate structural and process elements. Refer to the Utilization Management (UM)-Delegation Agreement for more information on these elements.

Health Net may delegate responsibility for activities associated with UM and Care Management services to its PPGs. Prior to participating with Health Net, and at least annually thereafter, Health Net conducts a review of each PPG. Health Net uses [DOIT](#) and other tools to evaluate the provider's facility and ability to deliver high-quality health care consistently and perform necessary administrative functions. Based on the audit scores and findings, if certain thresholds and criteria are met, the Delegation Oversight Committee (DOC) may deem it proper to delegate certain specific functions to the PPG to perform. If approved for delegation, a delegation agreement is forwarded to the PPG for signature. The delegation agreement includes a matrix that delineates the specific responsibilities delegated to, and accepted by, the PPG.

Upon delegation, Health Net may delineate specific and certain medical management functions for performance improvement. Performance improvement plans shall be shared with PPGs at regular intervals. Health Net and PPG medical directors are required to afford and actively participate in implementation of performance improvement plans.

Health Net systematically monitors and tracks provider compliance for all delegated providers because Health Net remains accountable to state and federal regulatory agencies for provider compliance even if certain functions are delegated.

## Delegation Program Monitoring and Evaluation

Health Net may delegate responsibility for activities associated with utilization management (UM) and Care Management to participating providers. The DOC determines delegation status for each of the above functions, based initially on the results of pre-delegation comprehensive evaluation.

The DOC renders delegation decisions and provides guidance regarding delegation responsibilities through reports of annual audit results, oversight and monitoring, and periodic reviews of PPG specific data as reported from the Health Net Quality Improvement (QI) staff. This data includes, but is not limited to, complaints, access audit performance, member satisfaction results, and other quality of care data. Health Net may revoke, partial or complete delegation at any time if the committee determines that the PPG is no longer capable of performing delegated functions.

The DOC communicates delegation decisions for new PPGs or additional lines of business, as well as any recommendations and requests for root cause analysis and/or corrective action plans, to the PPG in writing by a series of standardized letters. The letters describe the functions or activities for which delegation is approved or denied, a delegation agreement, a delineation of the responsibilities of the PPG and the health plan, and the time frames for responses and submission of any required corrective plans. Health Net always remains accountable for all care and service delivered to members.

Delegation agreements for existing delegates are updated and signed as needed.

Health Net and PPGs may schedule operations meetings based on PPG requests or business needs identified by Health Net. Other criteria affecting PPG performance may necessitate additional meetings as determined by representatives. The meetings are multidisciplinary and provide a forum for both parties to discuss operational issues and PPG performance measures, which may include: access audit results, accreditation updates, UM audit results, care management audit results, appeals and grievance issues, denial issues, medical management issues, claims issues, eligibility, encounter data submission, pharmacy issues, required submissions report, provider profiles, and other information relevant to the member population served. Representatives from the PPG, Health Net and participating hospitals (if any) are included in the meetings.

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Screening of prospective, concurrent and retrospective quality issues is conducted by the Quality Improvement staff upon notification of potential quality of care concerns. Indicators that may be reviewed include:

- Access - delay in authorization
- Access - delay in diagnosis
- Access - delay in service
- Communication
- Continuity of care
- Denial or delay of referral or authorization
- Denial of treatment
- Emergency services
- Encounter data submission
- Financial viability
- Inadequate care
- Inappropriate care or treatment
- Inappropriate denial of treatment
- Messy or unsanitary environment
- Misdiagnosis or inability to diagnose
- PPG claims and UM timeliness
- Physician incentive plan reporting
- Provider education
- Refusal to treat or care for members
- Rude, inappropriate or insensitive behavior
- Satellite addition and deletion
- Unprofessional and unethical behavior
- Urgent issues
- Utilization, credentialing and claims delegation oversight

## Transitioning Delegated Functions

Delegated providers interested in transitioning any of their delegated functions, such as utilization management, claims, care management, or credentialing, to a new or different subcontracted entity or management services organization (MSO) must request approval from Health Net a minimum of 90 calendar days in advance of the anticipated transition date.

Submit written requests to your Provider Network Management (PNM) representative at least 90 calendar days in advance of the transition with the following information:

- Name of the new entity
- Delegated functions to transition to the new entity
- Contact name with contact information at the new entity
- Date of proposed transition

Approval or denial of the delegation transition to another entity is provided by Health Net once Health Net performs a comprehensive assessment and evaluation of the new entity.

Delegated providers are prohibited from initiating any transition plans to the new entity without Health Net's prior approval. Failure to comply with adequate notification and approval can jeopardize a provider's participation in Health Net's provider network.

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## Revoking Delegation

The DOC may, prior to any of the steps discussed in the Corrective Action Plan topic, decide to revoke delegation or send Health Net staff to the PPG for oversight and to assist in achieving compliance. When revoking delegation, Health Net follows written policies and procedures to ensure that there is no adverse effect on members.

## Program Evaluation for Delegation

### PPG Oversight

Oversight of PPG operations includes annual ongoing review and monitoring of the written description of the utilization management (UM) program and operational assessment using the [Delegation Oversight Interactive Tool \(DOIT\)](#). PPG oversight includes, but is not limited to:

- Monitoring of denials.
- Compliance with health care criteria.
- Compliance with Health Net's approval and denial decision timelines standards.

During the assessment, the UM compliance auditor reviews policies and procedures, including the UM program to validate adherence to compliance standards. The UM compliance auditor will provide the PPG with details on all findings and request the PPG to outline a plan for improvement, where needed. The UM compliance auditor will review this plan and verify that it is appropriate based on the failures identified prior to approval.

Additional PPG documentation may be requested to complete the evaluation. The completed evaluation, with recommendations from the UM compliance auditor, is reviewed and presented to the Delegation Oversight Workgroup (DOW) and forwarded with recommendations to the Delegation Oversight Committee (DOC) for approval. On approval of the UM delegation or the recommended plan for improvement, written notification is sent to the PPG. PPGs with extensive improvement plans are monitored closely until the changes are effective. A non-compliant PPG may be referred to the DOC for further action. Status reports are made to the DOC. PPGs not able to maintain the required standards are referred to the DOC for possible revocation of specified delegated activities.

In the event that a PPG disagrees with audit findings or the delegation decision of the DOC, the PPG may present the issue in dispute, in writing, to the chairperson of the DOC within 10 business days of receipt of the determination.

### Delegation Assessments

Health Net evaluates the PPG's UM program pre-contractually and at least annually thereafter. To guide the assessment and provide consistency, Health Net uses a standard set of evaluation criteria driven by regulatory requirements and guidelines. Criteria is applied based on the lines of business delegated to the PPG.

The UM compliance auditors will perform these evaluations. The UM compliance auditor communicates with PPGs regarding the UM and care management (CM) program and standards. The UM compliance auditors are the principal liaison for regulatory requirements between Health Net and the PPGs and play an integral role in helping PPGs maintain compliance with Health Net's expectations.

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## Delineation of Delegation Responsibilities

Structural elements are basic requirements that must be developed in order to maintain an effective utilization management (UM) program. These elements are developed and approved to provide a process to support UM activities. The elements of a provider's UM program are reviewed, revised and approved annually. Health Net uses the [Delegation Oversight Interactive Tool \(DOIT\)](#) for evaluating structural and process elements. Refer to the Utilization Management (UM)-Delegation Agreement for more information.

### Revocation of Delegated Medical Management

Health Net reserves the right to revoke delegated status when the PPG has failed to meet and maintain established standards. Capitation payments may be adjusted when revocation of medical management functions occurs.

## Delegated Review Processes - Concurrent, Prospective and Retrospective

Participating physician group (PPG) utilization review (UR) staff should perform concurrent reviews daily. PPGs may be required to communicate their concurrent review findings to Health Net medical management staff daily, or as requested by the Utilization Management (UM) and Care Management (CM) staff. The objective of PPG concurrent reviews is to assess clinical information during a member's hospital stay, coordinate the discharge plan, assist in determining medical necessity at the correct level of care, and perform the quality improvement screening.

The first review occurs within 24 hours of admission to confirm that the member is in the appropriate setting and is receiving medically necessary care, and to begin discharge planning. The PPG utilization management nurses review the member's continued stay using standardized nationally recognized criteria, such as InterQual® Guidelines. If a concurrent review does not confirm the need for continued stay, alternative care or a less acute level of care must be considered.

PPGs must develop processes to identify and manage variant bed days and provide timely notification of denials to Health Net to facilitate claims adjudication.

Health Net is responsible for a concurrent review of out-of-area admissions for delegated PPGs, except for PPGs with financial responsibility for out-of-area services, according to the PPG's Provider Participation Agreement (PPA). Refer to the [Out-of-Area Services](#) discussion for more information. PPGs are responsible for working with Health Net to determine and facilitate the transfer of a member back into the network when appropriate, and the member is stable.

### Prospective Review Process

A prospective review is performed to determine the medical necessity of elective referrals to specialty or ancillary care, inpatient admissions and outpatient procedures.

Requests for prior authorization of elective referrals, admissions or procedures are received by the participating physician group (PPG) from the primary care physician (PCP) or specialist. The PPG determines medical



necessity through the use of standardized nationally recognized criteria and approves or denies the request. Refer to the Referrals and Prior Authorization topics for additional information.

Performance standards for turn-around times for review of, determination and decision notification for requests for prior authorization vary by line of business and the urgency of the request. Refer to the Utilization Management Timeliness Standards for Commercial, Medi-Cal and Medicare plans on the Industry Collaboration Effort (ICE) website at [www.iceforhealth.org/library.asp](http://www.iceforhealth.org/library.asp).

The PPG is obligated to provide oversight and documented monitoring of the utilization review process for medical appropriateness whenever this process is performed by a sub-delegated review organization. The PPG may not sub-delegate a function or activity to an entity whose delegation status with Health Net is currently denied or revoked for that function or activity. PPGs must notify Health Net prior to any sub-delegation agreement.

The UM Compliance Auditor periodically educates the PPG on plan tools, provides performance data, and evaluates performance using the provider assessment tools. Failure to meet the standards results in development of an issue in the [DOIT](#) and requires the PPG to create and action plan to remediate all findings. The PPG will submit an action plan for approval by the UM compliance auditor, who will review the action plan to ensure it is appropriate to address all findings. Once approved, the PPG must update the UM compliance auditors through [DOIT](#) of the status of each action plan. Once completed, the UM compliance auditor will decide if retesting is required for the issue.

## Retrospective Review Process

A retrospective review is conducted on individual cases and with aggregate decision data. An individual case review helps to identify specific matters arising from an episode of care (for example, emergency room claims are reviewed for medical necessity and coverage). Problems identified through the retrospective review process are communicated to the PPG to identify and manage variant bed days and provide timely notification of denials to Health Net to facilitate claims adjudication.

# Utilization Management Responsibilities

Dual risk is restricted to participating physician groups (PPGs) with a dual-risk capitation agreement with the plan for professional and hospital services that have successfully met the plan performance standards. These groups have comprehensive administrative systems and have demonstrated an ability to perform utilization and care management activities effectively. At least annually, Health Net performs standardized program reviews of these PPGs to assess performance. Refer to the discussions in the Provider Evaluation for Delegation section for more information about the standardized program reviews, including the use of the [Delegation Oversight Interactive Tool \(DOIT\)](#).

## PPG Responsibilities

In a dual-risk relationship, PPGs are responsible for the following:

- Having an effective, comprehensive utilization management (UM) and care management (CM) program in place that includes a UM committee comprised of actively practicing providers.
- Performing prospective, concurrent and retrospective reviews of medical care consistent with Health Net's goals and objectives.
- Cooperating with Health Net on medical management of all out-of-area admissions.
- Providing valid and reliable encounter data in a timely manner as requested and complies with the UM program.

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- Reporting and analysis, including, but not limited to, the following:
  - Bed days/1,000, admits/1,000, length of stay (semi-annually for commercial and quarterly for Medicare)
    - For Health Net membership
    - For all managed care membership
  - Mental health (not applicable to Medi-Cal)
    - Days/1,000
    - Admits/1,000
    - Length of stay
  - Adoption of UM criteria
  - Monitor quality and timeliness of UM decisions and notifications
  - Approval and denials
  - Communication with members
- Preparing action plans for any out-of-the-ordinary UM indicators.
- Identifying children with potential California Children's Services (CCS)-eligible conditions and making referrals to the appropriate CCS county programs (applicable to Medi-Cal only).
- Having a written UM program description and plan approved by Health Net. The program and plan are evaluated annually for effect on members and providers and are reviewed and approved by the governing body of the PPG with signature and minutes documenting the approval.
- Having specific written procedures for precertification, concurrent and retrospective reviews, and care management that is supervised by qualified medical professionals and physician consultants from the applicable specialties of medicine and surgery. Physicians used to assist in medical necessity determinations are certified by one of the American boards of medical specialties.
- Having a UM committee composed of providers that makes determinations regarding approval or denial of health care services to members.
- The PPG's UM program and policies and procedures specifically outline member and provider notification of medically necessary determinations, including for approvals and denials. The denial process is clearly outlined and includes an appeal process.
- The PPG denial policy and procedure and member letters include required regulatory statements that clearly indicate the reason for the denial, alternative treatment suggestions and how the member can appeal directly to Health Net.
- The PPG denial process includes required regulatory statements that inform participating providers (for example, physicians, inpatient facilities, and ancillary providers) that they may appeal directly to Health Net.
- The PPG uses standardized nationally recognized UM medical review criteria to ensure consistent medical necessity determinations and interrater reliability (IRR) for all individuals involved in the UM process.
- The PPG and PPG-hospital affiliates report encounter data monthly. Care management cases (shared risk only) are reported to the Medical Management staff at the point of identification. Dual-risk PPGs delegated to perform complex case management according to NCQA standards are assessed annually for compliance with those standards. Refer to the Care Management section in the Utilization Management section for additional information on criteria for referral to the care management program.
- The PPG assists in identification of coordination of benefits and third-party payer information (not applicable to Medi-Cal).
- The PPG participates with Health Net in meetings as scheduled.
- The PPG administers member coverage based on the member's Evidence of Coverage (EOC).
- Failure of the PPG to meet the under- and over-utilization standards results in development of a corrective action plan that is submitted to Health Net for review and approval.
- PPG representatives participate with Health Net medical management committees as requested.





Refer to other discussions in the Delegation Oversight topic for additional information, including a calendar of required submissions.

## Health Net Responsibilities

In a dual-risk relationship, Health Net is responsible for the following:

- Contracting with the PPG for delegated UM functions.
- Assigning a UM Compliance Auditor to conduct pre-contractual evaluations, annual evaluations, and perform oversight and monitoring of the PPG to evaluate the PPG's UM program using the [Delegation Oversight Interactive Tool \(DOIT\)](#), including a review of denial and appeal process, and assisting the PPG in complying with these policies, state and federal regulations and accreditation standards.
- During the pre-contractual assessment with the PPG, the UM compliance auditor validates the PPG UM program adheres to the plan utilization and care management delegation criteria.
- Review and approval of the PPG UM program and conducting an annual audit of the PPG using the [Delegation Oversight Interactive Tool \(DOIT\)](#), including a review of denial files. If the PPG is not able to maintain the required standard of medical management, the Delegation Oversight Committee (DOC) may recommend revocation of specific delegated activities.
- A provider relations and contracting specialist (formally provider network administrator) and a regional medical director (RMD) acts as a liaison with the PPG to resolve all contractual, operational and ongoing service problems.
- Oversight and monitoring when the PPG is delegated to perform complex care management for its dual-risk membership.
- PPG performance is monitored to determine if members are receiving timely medical services.

## Requirements for PPGs Utilization Management Process

Health care service plans (HCSPs) and participating physician groups (PPGs) to which utilization management (UM) functions are delegated are required to employ and designate a senior medical director with an unrestricted California license to be responsible for ensuring that the UM processes are in compliance with the statute.

The name and direct telephone number (or extension) of the health care professional making the decision to delay, deny or modify a request for authorization of payment of service must be included in the notification letter to the requesting provider.

Health care service plans and PPGs to which UM functions are delegated are required to maintain telephone access for providers to request authorization for payment of health care services.

### Timeliness Requirements for UM Decision Making

The health care service plan and its PPGs to which utilization review (UR) functions have been delegated are required to comply with standards established by the Centers for Medicare & Medicaid Services (CMS), the Department of Health Care Services (DHCS) and the National Committee for Quality Assurance (NCQA).

For current standards, refer to the Industry Collaboration Effort (ICE) website at [www.iceforhealth.org/library.asp](http://www.iceforhealth.org/library.asp) to locate the Approved ICE Documents for the appropriate UM Timeliness Standards.

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## Disclosure of UM and UR Processes

Health care service plans (HCSPs) (or delegated participating physician groups (PPGs)) and disability insurers are required to disclose the UM and UR processes and criteria the plan and its delegated PPGs use to authorize, modify, defer, or deny health care services when requested by health care providers, members or the public.

Disclosures must be accompanied with the following text in its entirety:

"The materials provided to you are guidelines used by this plan to authorize, modify, or deny care for persons with similar illnesses or conditions. Specific care and treatment may vary depending on individual need and the benefits covered under your contract."

Health care service plans and PPGs may charge reasonable fees for copying and postage costs and may make the information available electronically.

# Delegated Utilization Management - Behavioral Health

Provider Type: Participating Physician Groups (PPG) | Hospitals

Health Net and MHN, Health Net's behavioral health division, have written standards for centralized triage and referral functions for behavioral health services. They are intended to ensure that these functions are correctly adopted and monitored, as well as professionally managed. This is achieved by:

- Making triage and referral decisions according to protocols that define the level of urgency and care setting.
- Adopting triage and referral protocols that are based on sound clinical evidence and currently accepted practices in the industry.
  - Using protocols that specifically address mental health and substance abuse triage and referral.
  - Providing triage and referral staff with up-to-date protocols and guidelines.

Health Net, MHN and participating physician groups (PPGs) delegated for triage and referral follow these guidelines:

- Triage and referral decisions that do not require clinical judgment are made by staff who have relevant knowledge, skills and professional experience.
- Triage and referral decisions that require clinical judgment are made by a licensed behavioral health care provider with qualified experience.
- Triage and referral staff are supervised by a licensed behavioral health care provider with a minimum of a Master's degree and five years of post-degree clinical experience.
- A licensed psychiatrist or licensed doctoral-level clinical psychologist experienced in clinical risk management oversees triage and referral decisions.





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## Inpatient Denial Log Submission

Provider Type: Participating Physician Groups (PPG)

Hospitals must notify Health Net of a member's inpatient admission within 24 hours. In addition, Health Net requires delegated participating physician groups (PPGs) to submit information regarding denial of member inpatient admissions on a weekly basis.

Delegated PPGs are required to submit a [weekly inpatient denial log \(PDF\)](#) every Wednesday by close of business for the previous week's inpatient denials. If there are no denials, then the PPG must also submit a log that states that there were no denials for this time period. Providers must use the inpatient denial log and include the following information:

- member name
- member identification (ID) number
- admission and discharge dates
- number of days denied within the current length of stay and the date(s) of denied days
- type of service (for example, obstetrics (OB), skilled nursing facility (SNF), medical/surgical, or intensive care unit (ICU))
- admitting facility name
- authorization or denial number for each level of service during the length of stay
- disposition (such as discharged to home, SNF or hospice)

Submit weekly inpatient denial logs to Health Net via encrypted email, fax or mail.

## Delegation Oversight Interactive Tool

Participating Physician Groups (PPG)

The Delegation Oversight Interactive Tool (DOIT) is the web-based system for interacting with Health Net Delegation Oversight for utilization management annual compliance audit activities including:

- Audit scheduling and confirmation
- Pre-audit document submission
- Audit document submissions and additional requests
- Draft audit issue review
- Audit reports
- Issue management

For any questions about access, users, or use of the Delegation Oversight Interactive Tool, please contact the [Delegation Oversight Group](#).



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# Facility and Physician Additions, Changes and Deletions

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section includes information on requirements for adding or removing a participating provider.

Select any subject below:

- [Overview](#)
- [Closure and Termination](#)
- [Facility and Satellites](#)
- [Member Notification for Specialist Termination](#)
- [PPG and Hospital Termination](#)
- [Provider Online Demographic Data Verification](#)
- [Provider Outreach Requirements](#)

## Overview

Participating Physician Groups (PPG) | Ancillary | Hospitals

A [participating provider](#) that expands its capacity by adding new or satellite facilities or new participating physicians or other subcontracting providers must notify Health Net in writing at least 90 days before the addition. According to the terms of the Provider Participation Agreement (PPA), the participating provider agrees that Health Net has the right to determine whether the new or satellite facilities or the new participating physicians are acceptable to Health Net.

## Addition of New Physicians, Providers or Facilities

Until Health Net approves new subcontracting providers (for example, primary care physicians (PCPs), specialists and ancillary providers), the providers are not allowed to provide covered services under the Health Net PPA. Health Net must be notified in writing at least 90 days before the addition.

Health Net is free to deny participation to any new subcontracting providers and is not obligated to state a cause or explain the denial of the addition or provide the facility, provider or subcontracting providers with any right to appeal or any other due process. Health Net's decision in these cases is final and binding.

In addition, hospitals, ancillary providers and participating physician groups (PPGs) are responsible for providing Health Net with copies of the standard agreements used for their subcontractors. Health Net reviews these standard agreements to ensure compliance with regulatory requirements<sup>1</sup> and directs the facility to make any changes required in order to meet the requirements. Health Net requires hospitals, ancillary providers and

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PPGs to send sample forms to Health Net for review if they make any changes to their standard agreements or replace them with new standard agreements.

Hospitals, ancillary providers and PPGs must provide Health Net with a copy of the signature page for each subcontractor. Physicians or other subcontractors must be credentialed before they are added to Health Net's network. Hospitals, ancillary providers and PPGs must also provide Health Net a list of the names, locations and federal tax identification numbers (TINs) of all of its participating providers.

Hospitals, ancillary providers and PPGs are also responsible for informing Health Net when they cease to use a specific subcontractor or when they add a new subcontractor. Health Net periodically sends each hospital, ancillary provider and PPG a list of the physicians or subcontractors Health Net shows as active and under contract with the participating provider. Hospitals, ancillary providers and PPGs are required to review this list and notify Health Net of any additions or deletions. At least monthly, hospitals, ancillary providers and PPGs must provide Health Net with a list of additions, deletions and address changes, as well as a complete listing annually.

For PPGs only, the Active Physicians Listing is available monthly on the [Health Net provider website](#) as an administrative report. Select Provider Reports under Welcome. This report provides PPGs a means to review and revise their records on a monthly basis and communicate physician demographic changes and terminations to Health Net. Additionally, this listing is used by the Health Net Provider Network Management Department to validate PCP and specialist information with the PPG on a quarterly basis.

Hospitals, ancillary providers and PPGs must furnish Health Net copies of any amendments to a contract with a participating provider within 20 days of execution.

<sup>1</sup>Medicare Managed Care Manual, Chapter 11, Section 100.4.

## Closure and Termination

Provider Type: Participating Physician Groups (PPG)

Participating physician groups (PPGs) are required to notify the Health Net regional [Provider Network Management](#) Department in writing at least 90 days in advance of the date that a subcontracting provider does the following:

- closes the medical practice
- terminates the relationship with the PPG

For HMO and Medicare Advantage HMO, Health Net notifies affected members 30 days in advance, whenever possible, of a [primary care physician](#) (PCP) termination. The notification is sent by U.S. mail, and includes instructions on selecting a new PCP.

Health Net may allow a member to continue using a terminated provider when:

- A member had been receiving care for an acute or chronic condition, in which case care by the terminated provider is covered for 90 days or longer, if necessary, for a safe transfer of the member.
- A member is pregnant, in which case care by the terminated provider is covered until postpartum services related to the delivery are completed or longer, if necessary, for a safe transfer of the member.

The terminated provider is subject to the same contractual terms and conditions imposed prior to termination until medical care to the member is completed. These terms and conditions include, but are not limited to:

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- credentialing
- hospital privileging
- utilization review
- peer review
- compensation

Refer to the Transition of Care topic for more information.

## Facility and Satellites

Provider Type: Participating Physician Groups (PPG) | Hospitals

If a facility expands its capacity by adding new or satellite facilities, or new member physicians or other subcontracting providers, the facility must notify the plan in writing at least 90 days before the addition. The plan has the right, in its sole discretion, to determine whether the new or satellite facilities or the new member physicians are acceptable to the plan.

## Facilities and Satellite Contracts

According to the terms of the Provider Participation Agreement (PPA), participating physician groups (PPGs) agree not to add new or satellite facilities until the plan has approved them. The plan is free to deny participation under the PPA to any new or satellite facilities, and is not obligated to state a cause or explain the denial of the addition or provide the PPG with any right to appeal or any other due process. The plan's decisions regarding additions to the network are considered final and binding.

## Facility Terminations

Facilities are required to notify the regional Provider Network Management Department in writing at least 90 days in advance of the date that a subcontracting provider terminates its relationship with the facility.

## Member Notification for Specialist Termination

Participating Physician Groups (PPG)

Delegated participating physician groups (PPGs) must have a written policy regarding member notification when a specialist terminates their contract. The written policy must include the following elements:

- PPGs must notify the plan 90 days prior to a specialist terminating (or as stated in the PPG's Provider Participation Agreement (PPA)).
- PPGs must identify members who have regularly seen the terminating specialist or have an open authorization to receive services from the terminating specialist.

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- Identified members must be notified by the PPG in writing and the notification must be made immediately upon notification of termination, but no later than 30 calendar days prior to the effective date of the specialist's termination.
- PPGs must help members transition to a new specialist within the PPG's network of participating providers.

If a member with an acute care condition has questions or concerns regarding the continuation of services from the terminating specialist, advise the member to call the [Health Net Member Services Department](#), [Health Net Medi-Cal Member Services Department](#), [Community Health Plan of Imperial Valley Member Services Department](#) or [CalViva Health Member Services Department](#).

## PPG and Hospital Termination

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Participating physician groups (PPGs) and hospitals must notify the Health Net regional Provider Network Management Department in writing as stated in their Provider Participation Agreement (PPA).

Health Net offers transition of care assistance to members who request to complete a course of treatment of covered services by a terminated provider. Refer to the [Continuation of Care Assistance](#) discussion under the Utilization Management topic.

## Provider Online Demographic Data Verification

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

On a monthly basis, providers should validate that their demographic information is reflected correctly on the provider website under ProviderSearch. According to the terms of the Provider Participation Agreement (PPA), participating providers are required to provide a minimum of 30 days advance notice of any changes to their demographic information. If the change pertains to the status of accepting new patients or no longer accepting new patients, you must notify Health Net or the applicable PPG within five business days.

Providers directly contracting with Health Net must notify Health Net of changes to by completing the online form or by reaching out to your provider relations and contracting specialist (formally provider network administrator). The online form is available on the provider website. Providers must have privileges to update and submit changes online.

Providers contracting through a PPG must notify the PPG directly of changes, and the PPG notifies Health Net. PPGs must have policies in place that establish and implement processes to collect, maintain and submit their provider demographic changes to Health Net on a real-time basis. Real-time is within 30 days, as recently defined by the Centers for Medicare & Medicaid Services (CMS).

If a provider sees patients at multiple locations, the provider should review address, phone number, fax number, and office hours for all locations to ensure data accuracy.

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## Demographic Information

Providers' demographic data information should include the following:

- Name
- Alternate name
- Address
- Telephone number
- Fax number
- License number
- National Provider Identifier
- Office hours
  
- Patient age ranges (lowest to highest) seen by provider
- Specialty
- Email address - used for members and is Health Insurance Portability and Accountability Act (HIPAA) compliant
  
- Practice website
- Hospital affiliation
- Languages other than English spoken by the physician
- Languages other than English spoken by the office staff
  
- Panel status - Accepting new patients, accepting existing patients, available by referral only, available only through a hospital or facility, not accepting new patients
- Handicap accessibility status for parking (P), exterior building (EB), interior building (IB), restroom (R), exam room (ER), and exam table/scale (T) - if accessibility is not yes to all, then indicate no

## Provider Outreach Requirements

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net is required to contact directly contracting practitioners biannually, including physicians and other health professionals such as physical therapists (PTs), occupational therapists (OTs) and podiatrists; and annually contact PPGs, hospitals and ancillary providers to validate the accuracy of the information for each provider listed in Health Net's provider directories. The notification includes:

- The information Health Net has in its directories for the provider, including a list of networks and products in which the provider participates.
- A statement that the failure to respond to the notification may result in a delay of payment or reimbursement of a claim.
- Instructions on how the provider can update information including the option to use an online interface to submit verification or changes electronically which generates an acknowledgment from Health Net.
- A statement requiring an affirmative response from the provider acknowledging that the notification was received, and requiring the provider to confirm that the information in the directories is current and accurate or to provide an update to the information required to be in the directories, including

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whether the provider is accepting new patients for each applicable Health Net network or product. Note: this requirement does not apply to general acute care hospitals. If Health Net does not receive an affirmative response and confirmation from the provider that the information is current and accurate, or as an alternative, receive updated information from the provider within 30 business days, the following will occur:

- Health Net takes no more than an additional 15 business days to verify whether the provider's information is correct or requires updates. Health Net documents the receipt and outcome of each attempt to verify the information.
- If Health Net is unable to verify whether the provider's information is correct or requires updates, Health Net notifies the provider 10 business days prior to removal that the provider will be removed from provider directories. The provider is removed from the provider directories at the next required update of the provider directories after the 10 business-day notice period. A provider is not removed from the provider directories if they respond before the end of the 10 business-day notice period. This requirement does not apply to general acute care hospitals.

Health Net will sometimes work with an outside vendor (i.e., Symphony Provider Directory) to reach out to providers to validate practitioner participation and demographic data. Providers are required to respond to requests from Health Net, and/or may update changes as needed directly with Symphony.

## Provider Status Change Notification Requirements

Providers are required to inform Health Net or the applicable PPG within five business days when either of the following occurs:

- The provider is not currently accepting new patients, when they had previously accepted new patients.
- The provider is currently accepting new patients, when they had previously not accepted new patients.

Additionally, if a provider who is not accepting new patients is contacted by a member or potential enrollee seeking to become a new patient, the provider is required to direct the member or potential enrollee to both Health Net for additional assistance in finding a provider and to the appropriate regulator listed below to report any inaccuracy with the provider directories.

Regulator	Contact Information	Line of Business
Department of Managed Health Care (DMHC)	1-888-466-2219 1-877-688-9891 (TDD) www.hmohelp.ca.gov	HMO, POS, HSP, Medi-Cal
California Department of Insurance (CDI)	1-800-927-4357 www.insurance.ca.gov	EPO, PPO

PPGs must have policies in place that establish and implement processes to collect, maintain and submit provider demographic changes to Health Net within the required turnaround times.

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## Report of Inaccurate Information in Directories

When Health Net receives a report indicating that information listed in its provider directories is inaccurate by a potential enrollee, member, regulator or provider, Health Net promptly investigates the reported inaccuracy and, no later than 30 business days following receipt of the report, either verifies the accuracy of the information or updates the information in its provider directories, as applicable.

At a minimum, Health Net does the following:

1. Contacts the affected provider no later than five business days following receipt of the report.
2. Documents the receipt and outcome of each report, including the provider's name, location, and a description of Health Net's investigation, the outcome of the investigation, and any changes or updates made to the provider directories.
3. If changes to Health Net's directories are required as a result of the plan's investigation, the changes to the online provider directories must be made within the weekly turnaround time. For printed provider directories, changes must be made no later than the next required update or sooner if required by federal law or regulations.

Pursuant to Uniform Provider Directory Standards cited by Health and Safety Code (HSC) 1367.27(k) and Insurance Code 10133.15(k), Health Net will omit a provider, provider group or category of providers similarly situated from the directory if one of the below conditions is met.

- The provider is currently enrolled in the [Safe at Home program](#).
- The provider fears for his or her safety or the safety of his or her family due to his or her affiliation with a health care service facility or due to his or her provision of health care services.
- A facility or any of its providers, employees, volunteers, or patients is or was the target of threats or acts of violence within one year of the date of this statement.
- Good cause or extraordinary circumstances (must provide detailed information on the cause or circumstances).

Providers must complete and sign the [Directory Removal for At-Risk Providers form – Health Net \(PDF\)](#), [Directory Removal for At-Risk Providers form – Community Health Plan of Imperial Valley \(PDF\)](#) or [Directory Removal for At-Risk Providers form – CalViva Health \(PDF\)](#) to be omitted from the directory.

## Service and Quality Requirements

Provider Type: Participating Physician Groups (PPG) | Hospitals

This section includes information on requirements for adding or removing a participating provider.

Select any subject below:

- [Access to Care and Availability Standards](#)
- [Open Clinical Dialogue](#)
- [Threshold Languages and Language Assistance Codes](#)
- [Claims Denials](#)
- [Claims Payment Requirements](#)
- [Authorization and Referral Timelines](#)
- [Credentialing and Recredentialing](#)

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- [Eligibility and Data Entry Requirements](#)
- [Obtaining Interpreter Services](#)
- [Quality Improvement Problem Resolution](#)

## Access to Care and Availability Standards

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net's appointment accessibility and provider availability policies, procedures and guidelines for providers and health care facilities providing primary care, specialty care, behavioral health care, urgent care, ancillary services, and emergency care, are in accordance with applicable federal and state regulations, contractual requirements and accreditation standards. These access standards are regulated by the California Department of Managed Health Care (DMHC), the [Centers for Medicare & Medicaid Services \(CMS\)](#), the National Committee for Quality Assurance (NCQA) and the Department of Health Care Services (DHCS). The National Committee for Quality Assurance (NCQA) monitors medical standards for access to and availability of care and sets behavioral health time-elapsd appointment access standards.

Note: Behavioral health and chemical dependency services are administered by Health Net.

Health Net and its participating providers are required to demonstrate that, throughout the geographic regions of Health Net's service area, a comprehensive range of primary, specialty, institutional, and ancillary care services are readily available and accessible at reasonable times. Additionally, Health Net and its participating providers are required to demonstrate that members have access to non-discriminatory and appropriate covered health care services within a reasonable period of time, appropriate for the nature of the member's condition, and consistent with good professional practice. This includes, but is not limited to, practitioner/provider availability, waiting time and appointment access with established time-elapsd standards.

The following information delineates the medical appointment access standards, triage and/or screening access requirements, and telephonic access to health care services and the monitoring activities to ensure compliance:

### Member Notification

Members are notified annually, via member newsletters or the [Evidences of Coverage \(EOC\)](#), of time-elapsd appointment access standards, the availability of triage or screening services and how to obtain these services.

### Primary Care Physician and Specialist Office Hours

As required by applicable federal and state statutes and regulations, primary care physician (PCP) and specialist office hours must be reasonable and sufficient to ensure that members are able to access care within established time-elapsd access standards, and posted in the provider's office. To meet this requirement, Health Net requires a primary care's practice to be open at least 20 hours per week and a specialist's practice to be open at least 16 hours per week for members to schedule appointments within Health Net's established appointment access standards. During evenings, weekends and holidays, or whenever the office is closed, an answering service or answering machine should be utilized to ensure availability of services.

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## After-Hours Access Guidelines

As required by applicable statutes, PCPs must ensure that, when medically necessary, they have medical services available and accessible to members 24 hours a day, seven days a week. PCPs are required to have appropriate back-up for absences. Participating physician groups (PPGs) and PCPs who do not have services available 24 hours a day may use an answering service or answering machine to provide members with clear and simple instruction on after-hours access to medical care (urgent/emergency medical care).

PCPs (or on-call physicians) must return telephone calls and pages within 30 minutes and be available 24 hours a day, seven days a week. The PCP or on-call physician designee must provide urgent and emergency care. The member must be transferred to an urgent care center or hospital emergency room, as medically necessary.

Additionally, the plan provides triage and screening services 24 hours a day, seven days a week through medical/nurse advice lines. Refer to the Triage and Screening Services/Advice Lines section below for further information.

Note: Although the plan does not delegate triage and screening services, PCPs are still required to comply with these after-hours requirements since medically necessary services are required to be available and accessible 24 hours a day, seven days a week

## After-Hours Script Template

In times of high stress, when members may have an urgent or emergent situation, it is important to provide clear messaging with call-back time frames and directions on how to access urgent and emergency care to prevent potential quality of care issues. Directing members to the appropriate level of care using simple and comprehensive instructions can improve the coordination and continuity of the member's care, health outcomes and satisfaction. Health Net has designed an after-hours script template that PPGs or physicians who have a centralized triage service or another answering service can use as a guide for staff answering the telephone. For PPGs or physicians who use an automated answering system/answering machine, this template can be used as a script to advise members how to access care. The script includes basic information that members need to access after-hours care, and modifications can be made according to PPGs' and physicians' needs.

Health Net makes the script available in the following threshold languages:

- [Arabic \(PDF\)](#)
- [Armenian \(PDF\)](#)
- [Chinese/Cantonese \(PDF\)](#)
- [English \(PDF\)](#)
- [Farsi \(PDF\)](#)
- [Hmong \(PDF\)](#)
- [Khmer \(Cambodian\) \(PDF\)](#)
- [Korean \(PDF\)](#)
- [Russian \(PDF\)](#)
- [Spanish \(PDF\)](#)
- [Tagalog \(PDF\)](#)
- [Vietnamese \(PDF\)](#)

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After-hours scripts are available in additional languages upon request. Contact the [Provider Network Management, Access & Availability Team](#) for more information.

## Answering Services

The provider is responsible for the answering service they use. If a member calls after hours or on a weekend for a possible medical emergency, the provider is held liable for authorization of, or referral to, emergency care given by the answering service. There must be a message immediately stating, "If this is an emergency, hang up and call 911 or go to the nearest emergency room."

Answering service staff handling member calls cannot provide telephone medical advice if they are not a licensed, certified or registered health care professional. Staff members may ask questions on behalf of a licensed professional in order to help ascertain a member's condition so that the member can be referred to licensed staff; however, they are not permitted, under any circumstance, to use the answers to questions in an attempt to assess, evaluate, advise, or make any decision regarding the condition of the member, or to determine when a member needs to be seen by a licensed medical professional. Unlicensed telephone staff should have clear instructions on the parameters relating to the use of answers in assisting a licensed provider.

Additionally, non-licensed, non-certified or non-registered health care staff cannot use a title or designation when speaking to a member that may cause a reasonable person to believe that the staff member is a licensed, certified or registered health care professional.

Health Net encourages answering services to follow these steps when receiving a call:

- Inform the member that if they are experiencing a medical emergency, they should hang up and call 911 or proceed to the nearest emergency medical facility.
- If language assistance is needed, offer the member interpreter services
- Question the member according to the PCP's or PPG's established instructions (who, what, when, and where) to assess the nature and extent of the problem.
- Contact the on-call physician with the facts as stated by the member.
- After office hours, physicians are required to return telephone calls and pages within 30 minutes. If an on-call physician cannot be reached, direct the member to a medical facility where emergency or urgent care treatment can be given. This is considered authorization, which is binding and cannot be retracted.

In the event of a hospitalization, the PPG or hospital must contact the [Hospital Notification Unit](#) within 24 hours or the next business day of the admission.

The answering service should document all calls. Answering services frequently have a high staff turnover, so providers should monitor the answering service to ensure emergency procedures are followed.

## Triage and/or Screening Services/Nurse Advice Lines

As defined in 28 CCR 1300.67.2.2(b)(5), Health Net provides 24-hour-a-day, seven-day-a-week triage or screening services by telephone. This program is a service offered in conjunction with the PCP but does not replace the PCP's instruction, assessment and advice. According to community access-to-care standards, all

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PCPs must provide 24-hour telephone service for urgent/emergent instructions, medical condition assessment and advice. The [Member Services Department](#) coordinates member access to the service, if necessary.

The program allows registered nurses (RNs) and other applicable licensed health care professionals to assess a member's medical condition through conversation with the caller, take further action, and provide instruction on home and care techniques and general health information.

Health Net ensures that telephone triage or screening services are provided in a timely manner appropriate for the member's condition, and the triage or screening wait time does not exceed 30 minutes. Triage and/or screening services are available to members, 24 hours a day, seven days a week, through the Customer Service Department telephone number displayed in the back of the member's identification (ID) card. Members can select the triage or screening option to be connected.

## Facility Access for the Disabled

Health Net and its participating providers and practitioners do not discriminate against members who have physical disabilities. Participating providers are required to provide reasonable access for disabled members in accordance with the Americans with Disabilities Act of 1990 (ADA). Access generally includes ramps, elevators, restroom equipment, designated parking spaces, and drinking fountain design.

Providers must reasonably accommodate members and ensure that programs and services are as accessible (including physical and geographic access) to members with disabilities as they are to members without disabilities. Providers must have written policies and procedures to ensure appropriate access, including ensuring physical, communication and programmatic barriers do not inhibit members with disabilities from obtaining all covered services.

## Minor Consent Services

As defined in 42 CFR 2.14 (a) the term "minor" means a person who has not attained the age of majority specified in the applicable state law, or if no age of majority is specified in the applicable state law, age 18.

Under California state law, minor consent services are those covered services of a sensitive nature that minors do not need parental consent to access or obtain. The health care provider is not permitted to inform a parent or legal guardian without the minor's consent. Minors under age 18 may consent to medical care related to:

- Prevention or treatment of pregnancy (except sterilization) - California Family Code (CFC) §6925.
- Family planning services, including the right to receive birth control - CFC §6925.
- Abortion services (without parental consent or court permission) - American Academy of Pediatrics (AAP) v. Lungren, 16 Cal. 4th 307 (1997).
- Sexual assault, including rape diagnosis, treatment and collection of medical evidence; however, the treating provider must attempt to contact the minor's parent/legal guardian and note in the minor's treatment record the date and time of the attempted contact and whether or not it was successful. This provision does not apply if the treating provider reasonably believes that the minor's parent or guardian committed the sexual assault on the minor or if the minor is over age 12 and treated for rape - CFC §6927 and CFC §6928.
- HIV testing and counseling (for children ages 12 and older) - CFC §6926.
- Infectious, contagious, communicable, and sexually transmitted diseases diagnosis and treatment (for children ages 12 and older) - CFC §6926.

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- Drug or alcohol abuse (for children ages 12 and older) treatment and counseling except for replacement narcotic abuse treatment - CFC §6926(b).
- Outpatient behavioral health treatment or counseling services (for children ages 12 and older) if in the opinion of the attending provider the minor is mature enough to participate intelligently in the outpatient or residential shelter services and the minor would present a danger of serious physical or mental harm to self or to others without the behavioral health treatment or counseling or residential shelter services, or is the alleged victim of incest or child abuse - CFC §6924.
- Skeletal X-ray - a health care provider may take skeletal X-rays of a child without the consent of the child's parent/legal guardian, but only for the purposes of diagnosing the case as one of possible child abuse or neglect and determining the extent of it - Cal. Penal Code §11171.
- General medical, psychiatric or dental care if all of the following conditions are satisfied: (1) The minor is age 15 or older, (2) The minor is living separate and apart from their parents or guardian, whether with or without the consent of a parent or guardian and regardless of the duration of the separate residence, (3) The minor is managing their own financial affairs, regardless of the source of the minor's income. If the minor is an emancipated minor they may consent to medical, dental and psychiatric care - CFC § 6922(a) and§ 7050(e).

## Appointments and Referrals

Members are instructed to call their PCP directly to schedule appointments for routine care, except in the case of a life-threatening emergency. Health Net members must seek most care through their PCP. The PCP is responsible for coordinating all referrals for specialty care if the necessary services fall outside the scope of the PCP's practice. Exceptions to this process are:

- Emergency care
- Urgent care
- Obstetrics and gynecology (OB/GYN) for preventive care, pregnancy care or gynecological complaints
  - Female members have the option to directly access a participating women's health specialist (such as an OB/GYN or certified nurse midwife) for routine and preventive covered health care services for women (such as breast exams, mammograms and Pap smears).
- Member's self-referral to a behavioral health provider, which may be covered depending on the member's benefit coverage.
- Members with chronic life-threatening, degenerative or disabling conditions or diseases that require continuing specialized medical or behavioral health care, which qualify for a standing referral to a specialist under Health Net's national policy requirements. For example a member with HIV/AIDS, renal failure, or acute leukemia may seek a standing referral to a qualified, credentialed specialist
- Female members have the option of direct access to a participating women's health specialist (such as an OB/GYN or certified nurse midwife) within the network for women's routine and preventive covered health care services (such as breast exams, mammograms and Pap tests).

## Missed Appointments

According to Health Net's Medical Records Documentation Standards policies and procedures (KK47-121230), missed appointment follow-up and outreach efforts to reschedule must be documented in the member's record or chart. When an appointment is missed, providers are required to attempt to contact the member a minimum of three times, via mail or phone.



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## Appointment Rescheduling

According to the timely access regulations (28 CCR 1300.67.2.2) and to Health Net's Medical Records Documentation Standards policies and procedures (KK47-121230), when it is necessary for a provider or a member to reschedule an appointment, the appointment must be rescheduled promptly; in a manner that is appropriate for the member's health care needs. Efforts to reschedule the appointment must ensure continuity of care; and be consistent with good professional practice and with the objectives of Health Net's access and availability policies and procedures.

## Shortening or Extending Appointment Waiting Time

The applicable waiting time for a particular appointment may be extended if the referring or treating licensed health care provider, or the health professional providing triage or screening services, as applicable, acting within the scope of their practice and consistent with professionally recognized standards of practice; has determined and documented in the member's record that a longer waiting time will not have a detrimental impact on the member's health as well as the date and time of the appointment offered.

## Advanced Access

The PCP may demonstrate compliance with the established primary care time-elapsed access standards through the implementation of standards, policies, processes, and systems providing same or next business day appointments with a PCP, or other qualified health care provider, such as a nurse practitioner or physician assistant from the time an appointment is requested; and offers advance scheduling of appointments for a later date if the member prefers not to accept the appointment offered within the same or next business day.

## Advance Scheduling

Preventive care services and periodic follow up care appointments, including but not limited to, standing referrals to specialists for chronic conditions, periodic office visits to monitor and treat health conditions and laboratory and radiological monitoring for recurrence of disease, may be scheduled in advance consistent with professionally recognized standards of practice as determined by the treating licensed health care provider acting within the scope of their practice. For detailed standing referral information, refer to Operations Manuals > Referrals > Standing Referral to a Specialist > Regular Standing Referrals.

## Shortage of Providers

If it is determined that there is a shortage of one or more types of participating providers (including seldom-used or unusual specialty services) in a Health Net service area, Health Net and its participating providers are responsible for ensuring members are seen within the appropriate time-elapsed appointment standards [28 CCR 1300.67.2.2(c)(7)(B)]. To comply with applicable laws and regulations, and ensure timely access to covered health care services, a provider or PPG operating in a service area that has a shortage of one or more types of providers and cannot provide an appointment within the required time frame must:





- For primary care services - Refer members to available and accessible participating providers in neighboring service areas consistent with patterns of practice for obtaining health care services in a timely manner appropriate for the member's health care needs.
- For specialty services (including seldom-used or unusual specialty care) - Refer members to available and accessible participating providers in neighboring service areas. If a specialist is not available in neighboring areas within the network, the participating provider must refer the member to, and arrange for the provision of, an out-of-network specialist, when medically necessary for the member's condition for as long as the provider or PPG is unable to provide timely access within the network.
  - Member costs for medically necessary referrals to out-of-network providers must not exceed applicable copayments, coinsurance and deductibles.

These requirements do not prohibit Health Net or its delegated PPGs from accommodating a member's preference to wait for a later appointment from a specific participating provider. If a member prefers to wait for a later appointment, document it in the relevant record.

## Emergency and Urgent Care Services

Emergency and urgent care services are available and accessible to members within Health Net's service area 24 hours a day, seven days a week.

## Providing Emergency and Urgent Care Services in the PCP's Office

The physician, registered nurse (RN), or physician assistant (PA) on duty is responsible for evaluating emergency and urgent care members in the office and making the decision to further evaluate and treat, summon an ambulance for transport to the nearest emergency room, directly admit to the hospital, or refer to a same-day visit at another provider or urgent care facility.

## Provider Telephone Assessment

Telephone assessment of a member's condition, and subsequent follow-up, may only be performed by licensed staff (physicians, RNs, and nurse practitioners (NPs)) and only in accordance with established standards of practice.

## Telehealth

**Telehealth** services are subject to the requirements and conditions of the enrollee benefit plan and the contract entered into between Health Net and its participating providers. Prior to the delivery of health care via telehealth, the participating provider at the originating site must verbally inform the member that telehealth services may be used and obtain verbal consent from the member. The verbal consent must be documented in the member's medical record. To the extent that telehealth services are provided as described herein and as defined in Section 2290.5(a) of the Business & Professions Code, Section 1374.13 of the Health and Safety



Code, and Sections 14132.72 and 14132.725 of the Welfare and Institutions Code, these telehealth services comply with the established appointment access standards.

## Interpreter Services

In order to comply with applicable federal and state laws and regulations, Health Net requires providers to coordinate interpreter services with scheduled appointments for health care services in a manner that ensures the provision of interpreter services at the time of the appointment. If an appointment is rescheduled, it is very important to reschedule the interpreter for the time of the new appointment to ensure the member is provided with these services. Refer to Interpreter Services for more information.

## Cultural Considerations

Health Net and its participating providers must ensure that services are provided in a culturally competent manner to all members, including those who are limited-English proficient (LEP) or have limited reading skills, and those from diverse cultural and ethnic backgrounds. Refer to [Language Assistance and Cultural Competency \(Hospitals\)](#) for more information.

## Prior Authorization Processes

Health Net requires prior authorizations to be processed and completed in a manner that assures appointments for covered health care services are provided in a timely manner, appropriate to the member's condition and comply with the requirements of the time-elapsed appointment access standards. If the appointment type requires prior authorization, obtaining authorization must be completed within the time frame for that visit or service to be offered. For example, expedited utilization management (UM) review processes and appointment scheduling for urgent care appointments for services that require prior authorization, [28 CCR 1300.67.2.2(c)(5) (B)], more commonly known as urgent pre-service requests, must be conducted concurrently, or the prior authorization turnaround timeline must be shortened to allow sufficient time to communicate the outcome to the member and/or the referring provider and ensure an appointment is offered to the member within 96 hours of the request. Refer to the Prior Authorization section for more information.

### Routine Authorization (Pre-Service) – Deferral Needed

An initial decision may be deferred for 14 calendar days from the date of receipt of the original request if the referring provider, treating provider, or triaging health professional has determined and noted in the relevant record that a longer waiting time will not have detrimental impact on the health of the enrollee," in accordance with Section 1367.03(a)(5)(H), and:

- Additional clinical information is required.
- Consultation by an expert reviewer is required.
- Additional examination or tests are to be performed.
- The Plan can provide justification upon request by the State of the need for additional information and how it is in the member's interest. (42 CFR 438.210(d) 438.404).

The decision may be deferred for an additional 14 calendar days (not to exceed a total of 28 calendar days from the date of receipt of the original request) only if: The member or the member's provider requests an





extension, or the Plan can provide justification upon request by the State of the need for additional information and how it is in the member's interest.

Written Notification, Notice of Action – Deferral is sent to the enrollee and requesting provider within the initial five working days from receipt of the original request, or as soon as the Plan becomes aware that it will not meet the timeframe, whichever occurs first, and:

- Specify the additional information requested but did not receive; requesting only that information that is reasonably necessary to make a decision.
- Provide the anticipated date of decision.
- Advise the requesting provider that: “In accordance with Section 1367.03(a)(5)(H):
  - If this delay to obtain additional information and resulting delay will have a detrimental impact on the health of the member, you must contact the Plan.
  - If this delay will not have a detrimental impact on the health of the member, you must document this in the member record.”
- Advise the member that they have a right to file a grievance to dispute the delay.

### Determination Timeline for a Decision following a Deferral

- When additional information is received: If requested information is received, a decision must be made within five working days from the receipt of information, not to exceed 28 calendar days from the date of receipt of the original request.
- Decision when additional information received is incomplete or not received:

If the provider has not complied with the request for additional information, the Plan reviews the request with the information available and makes a determination within five working days of the expiration of the deferral notice, not to exceed 28 calendar days from receipt of the original request (Health & Safety Code 1367.01).

### Expedited Authorization (Pre-Service) - Deferral Needed

An initial decision may be deferred for 14 calendar days from the date of receipt of the original request if the referring provider, treating provider, or triaging health professional has determined and noted in the relevant record that a longer waiting time will not have detrimental impact on the health of the enrollee,” in accordance with Section 1367.03(a)(5)(H), and:

- Additional clinical information is required.
- Requires consultation by an expert reviewer.
- Additional examination or tests are to be performed.

Written Notification, Notice of Action – Deferral: Written notification is sent to the member and requesting provider within the initial 72 hours from receipt of the original request, or as soon as the Plan becomes aware that it will not meet the timeframe, whichever occurs first, and:

- Specify the additional information requested; requesting only that information that is reasonably necessary to make a decision.
- Provide the anticipated date of decision.
- Advise the requesting provider that:

“In accordance with Section 1367.03(a)(5)(H):

- If this delay to obtain additional information will have a detrimental impact on the health of the member, you must contact the Plan.

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- If this delay will not have a detrimental impact on the health of the member, you must document this in the member record.”

### Determination Timeline for a Decision following a Deferral

- When additional information is received: If requested information is received, a decision must be made within five working days from the receipt of information, not to exceed 28 calendar days from the date of receipt of the original request.
- Decision when additional information received is incomplete or not received:

If the provider has not complied with the request for additional information, the Plan reviews the request with the information available and makes a determination within five working days of the expiration of the deferral notice, not to exceed 28 calendar days from receipt of the original request (Health & Safety Code 1367.01).

## Quality Assurance

Health Net has a documented system for monitoring and evaluating practitioner/provider availability and accessibility of care. At least annually, Health Net monitors appointment access to care and provider availability standards through member and provider surveys. At least quarterly, Health Net reviews and evaluates the information available to Health Net regarding accessibility, availability, and continuity of care, through information obtained from appeals and grievances, triage or screening services, and customer service telephone access to measure performance, confirm compliance, and ensure the provider network is sufficient to provide appropriate accessibility, availability and continuity of care to Health Net members.

At least on a quarterly basis, the Plan will review reports from the Quality Improvement Department regarding Incidents of non-compliance resulting in substantial harm to an enrollee that are related to access. The Plan will address areas related to network non-compliance with the regional Provider Network Management teams. Corrective actions will be implemented as applicable.

PPGs are responsible to monitor data provided by Health Net regarding their provider adherence to the following standards, as corrective actions may be required of providers that do not comply. Refer to the Corrective Action section below for further information.

Health Net's performance goals for access-related, time-elapsd provider criteria are available for providers' reference.

### Health Net HMO and POS Plans Medical Appointment Access Standards

ACCESS MEASURE	STANDARD	PERFORMANCE GOAL
Non-urgent appointments for primary care - regular and routine care (PCP)	Appointment within 10 business days of request	70%

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ACCESS MEASURE	STANDARD	PERFORMANCE GOAL
Urgent care (PCP) services that do not require prior authorization	Appointment within 48 hours of request	70%
Non-urgent appointments with specialist (SCP)	Appointment within 15 business days of request	70%
Urgent care services (SCP and other) that require prior authorization	Appointment within 96 hours of request	70%
After-hours care (PCP)	Ability to contact on-call physician after hours within 30 minutes for urgent issues  Appropriate after hours emergency instructions	90%
Non-urgent ancillary services for MRI/mammogram/physical therapy	Appointment within 15 business days of request	70%
In-office wait time for scheduled appointments (PCP and SCP)	Not to exceed 15 minutes	70%

Compliance is measured by results from the Provider Appointment Availability Survey (PAAS) and Providers After-Hours Availability Survey (PAHAS) conducted via telephone by Health Net and the Consumer Assessment of Health Care Providers & Systems (CAHPS<sup>®1</sup>) survey.

1 CAHPS<sup>®</sup> is a registered trademark of the Agency for Healthcare Research and Quality (AHRQ).

### Health Net Commercial (HMO, POS, PPO, EPO, HSP) Plans Appointment Access Standards – Behavioral Health

ACCESS MEASURE	STANDARD	PERFORMANCE GOAL
Urgent care <sup>1</sup>	Within 48 hours	90% or more of members with a clinical risk rating of urgent

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ACCESS MEASURE	STANDARD	PERFORMANCE GOAL
		have access to urgent appointments within 48 hours
Non-life threatening emergency (NLTE) <sup>1</sup>	Within 6 hours	90% or more of members with a clinical risk rating of NLTE have access to an appointment within 6 hours
Access to care for life-threatening emergency <sup>1</sup>	Immediately	100% compliance with immediate referral to care
Rescheduled Appointments <sup>2</sup>	Appointment was scheduled to member's satisfaction	85% or more of members report their appointment was rescheduled to their satisfaction
Non-urgent appointments with behavioral health care physician (psychiatrist) for routine care <sup>3</sup>	Appointment within 15 business days of request	70%
Non-urgent appointment with non-physician behavioral health care provider for routine care <sup>3</sup>	Appointment within 10 business days of request	70%
Urgent care appointment with non-physician behavioral health care provider or behavioral health care physician (psychiatrist) that does not require prior authorization <sup>3</sup>	Appointment within 48 hours of request	70%
Urgent care appointment with non-physician behavioral health care provider or behavioral health care physician (psychiatrist) that requires prior authorization <sup>3</sup>	Appointment within 96 hours of request	70%

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ACCESS MEASURE	STANDARD	PERFORMANCE GOAL
Non-urgent follow-up appointment with non-physician behavioral health care provider <sup>3</sup>	Within 10 business days of request	80%

<sup>1</sup> Assessed through care management software.

<sup>2</sup> Assessed through annual BH member experience survey (ECHO).

<sup>3</sup> Assessed through annual Provider Appointment Availability Survey (PAAS).

## Availability Standards

Health Net provides established availability standards and performance goals for providers. At least annually, Health Net measures, evaluates and reports geo-access and provider availability. Listed below are Health Net's performance goals for geo-access and provider availability-related criteria:

### Health Net HMO and POS Geo-Access Standards\*

Availability Standards	Performance Threshold
One PCP within 15 miles or 30 minutes from residence or workplace (HMO/POS only)	90% or more of practitioner/provider network meet compliance rate
Two SCPs (including high-volume SCP) within 15 miles or 30 minutes from residence or workplace	90% or more of practitioner/provider network meet compliance rate
For each type of high volume specialist, 1 SCP within 15 miles or 30 minutes from residence or workplace (NCQA only)	90% or more of practitioner/provider network meet compliance rate
One behavioral health provider (BHP) within 10 miles from residence or workplace in urban areas; within 25 miles from residence or workplace in suburban areas; and 60 miles from residence or workplace in rural areas	95% or more practitioner/provider network meet compliance rate

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Availability Standards	Performance Threshold
One hospital within 15 miles or 30 minutes from residence or workplace	90% or more of practitioner/provider network meet compliance rate
One emergency room within 15 miles or 30 minutes from residence or workplace	90% or more of practitioner/provider network meet compliance rate
One ancillary care provider (lab, radiology or pharmacy) within 15 miles or 30 minutes from residence or workplace	90% or more of practitioner/provider network meet compliance rate
One ancillary care provider (lab, radiology or pharmacy) within 15 miles or 30 minutes from PCP (DMHC reporting purposes only)	90% or more of practitioner/provider network meet compliance rate
Practitioner/Provider Availability Standards	
Member to full time equivalent (FTE) PCP ratio	2,000:1
Member to FTE physician	1,200:1
Member to SCP ratio	1,200:1
Member to behavioral health physician ratio	5,000: 0.8
Member to psychologist ratio	2,300: 0.8
Member to master's level behavioral health provider ratio	1,150: 0.8
Percent PCPs open practice	85% of PCPs accepting new members
Percent SCPs open practice	85% of SCPs accepting new members
Member to hospital ratio	3,000:1

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Availability Standards	Performance Threshold
Member to emergency room ratio	3,000:1
Member to lab and radiology ratio	3,000:1
Member to pharmacy ratio	1,000:1

\*Certain rural portions of the plan service area may have a standard that differs from within 15 miles/30minutes based on lack of practitioner and hospital availability. Regulatory approval is required for areas that vary from within 15 miles/30 minute standard.

## Corrective Action

Health Net investigates and implements corrective action when timely access to care standards, as required by Health Net's Appointment Accessibility for commercial and SHP (Medi-Cal) appointment access policy and procedure (CA.NM.05), is not met.

Health Net uses the following criteria for identifying PPGs with patterns of noncompliance and will issue a corrective action plan (CAP) when one or more metrics are noted as being noncompliant:

- Appointment access - PPGs that do not meet Health Net's 90% rate of compliance/performance goal in one or more of the appointment access metrics.
- After-hours access - PPGs that do not meet Health Net's 90% rate of compliance/performance goal in one or more of the after-hours metrics.

## PPG Notification of CAP

Health Net provides the following:

- PPGs receive a description of the identified deficiencies, the rationale for the corrective action and the contact information of the person authorized to respond to provider concerns regarding the corrective action.
- Feedback to the PPGs regarding the accessibility of primary care, specialty care and telephone services, as necessary.

## CAP Minimum Requirements

- Each PPG is required to send in a written improvement plan (IP) to include what interventions will be implemented for each deficiency to improve access availability. The IP must include:
  - Date of implementation of the IP.
  - Department/person responsible for the implementation and follow-up of the IP.

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- Anticipated date that the IP is expected to produce outcomes that result in correcting the deficiency.
- The PPG is to return the IP within 30 calendar days.

## CAP Follow-Up Process

- If the PPG fails to return a completed IP within the prescribed time frame, the Provider Network Management (PNM) Department is asked to intercede.
- PPGs demonstrating a pattern of noncompliance with access regulations and standards are subject to an in-office audit and may be referred to PNM and the Contracting departments for further action.

## Open Clinical Dialogue

Participating Physician Groups (PPG) | Hospitals

The Provider Participation Agreements (PPAs) include a statement that providers can communicate freely with members regarding their medical conditions and treatment alternatives, including medication treatment options, regardless of coverage limitations. Providers' contracts and subcontracts are required to include this provision.

Additionally, Health Net may not prohibit or otherwise restrict a health care professional, acting within the lawful scope of practice, from advising, or advocating on behalf of, an individual who is a patient and enrolled under a Health Net plan.

## Threshold Languages and Language Assistance Codes

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

Health Net established its threshold languages of Spanish, Chinese and Korean through analysis of United States Census data and direct assessment of Health Net members' preferred spoken and written languages through member mailings.

Participating providers may request member race and ethnicity information from Health Net for lawful purposes, and may verify member language preferences by contacting the appropriate Provider Services Center. For reference, the Language Assistance Codes document is located in the [Health Net provider portal](#)> Provider Reports under Welcome.

## Claims Denials

Participating Physician Groups (PPG) | Hospitals

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The Delegation Oversight auditors review claim denial letters used by participating physician groups (PPGs) and other participating providers to ensure that notification letters to providers and members comply with accuracy and timeliness requirements. Providers may only send a denial notice to a member when the member is liable.

Refer to the Denial Notification topic for the requirements regarding timeliness and letter components.

## Claim Audit Check Cashing Requirement

The claims audit check cashing turnaround time requirement for checks mailed by the plan's hospitals and delegated PPGs to their participating and non-participating providers is 14 calendar days.

Seventy percent of checks mailed by the plan's hospitals and delegated PPGs to their participating and non-participating providers must clear within 14 calendar days of the date the check was mailed.

## Claims Payment Requirements

Provider Type: Participating Physician Groups (PPG) | Hospitals

Timely processing of claims is monitored via the participating physician group's (PPG's) self-reported monthly claims timeliness reports. Accuracy and timely processing of claims is verified by routine and targeted audits conducted by the Delegation Oversight staff.

PPGs are required to:

- Process 95 percent of commercial HMO claims within 45 business days of receipt.
- Pay 15 percent interest or \$15 per year, whichever is greater, on late paid claims for emergency services rendered in the United States.
- Pay 15 percent interest on late paid claims and include an additional penalty fee of \$10 if the interest is not included with the original claims payment.
- Pay 15 percent interest on late paid claims for non-emergency services rendered in the United States.
- Resolve 95 percent of provider disputes within 45 business days (if a provider dispute is in favor of the provider check, this needs to be mailed within five days of the resolution letter, including interest if applicable).

## Authorization and Referral Timelines

Participating Physician Groups (PPG) | Hospitals

### Hospitals Only

According to the [utilization management \(UM\) standards - Commercial \(PDF\)](#) or [utilization management \(UM\) standards- Medicare Advantage \(PDF\)](#), all hospitals are required to:

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- Approve or deny and process 95 percent of all elective authorization requests within five days from the time of receipt of all clinical information
- Approve or deny and process 100 percent of all urgent requests for authorization within 24 hours
- Review 90 percent of all inpatient admissions daily
- Initiate 90 percent of all discharge planning within 24 hours of admission

For current standards, refer to the Industry Collaboration Effort (ICE) website at [www.iceforhealth.org/library.asp](http://www.iceforhealth.org/library.asp) to locate the Approved ICE Documents.

## PPGs Only

According to the utilization management (UM) standards, all participating physician groups (PPGs) are required to:

- Approve or deny and process all routine authorization requests within the applicable regulatory time frame of the date of receipt of all information necessary to render a decision.
- If additional clinical information is required, the member and practitioner must be notified in writing within the applicable regulatory time frame of the extension.
- Communicate the decision to the member and practitioner within the applicable regulatory timeframe from the date of the original receipt of the request.
- Approve or deny and process all urgent requests for authorization within 72 hours after the receipt of the request for service.

The regulatory time frames begin when the delegated PPG's UM department receives a request for prior authorization. If the PPG's UM department receives a request for prior authorization of services and it is determined to be the plan's responsibility, the PPG must immediately forward the request to the plan as the regulatory time frames begin at the time of the original request. The [commercial Informational Letter to Member or Provider/Physician carve-out letter\(PDF\)](#) or [Medicare Advantage Informational Letter to Member or Provider/Physician carve-out letter \(PDF\)](#) serves to advise the member that the PPG's utilization management entity received a prior authorization request for which the PPG is not delegated to conduct a prior authorization review and notifies the member that the request has been forwarded to the plan. The regulatory time frame for the prior authorization review does not reset or stop when this letter is issued.

For additional information, refer to:

- [Utilization Management Timeliness Standards - Medicare \(PDF\)](#)
- [Utilization Management Timeliness Standards - Commercial \(PDF\)](#)

## Credentialing and Recredentialing

Provider Type: Hospitals

Hospitals are required to:

- Assure that the credentialing/recredentialing plan meets 100 percent of National Committee for Quality Assurance (NCQA) credentialing/recredentialing standards, and execute these activities according to that plan.

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- Achieve and maintain no less than 70 percent compliance with the plan's medical records criteria for each primary care physician (PCP).
- Measure and report, as a network, data elements necessary to determine compliance with Healthcare Effectiveness Data and Information Set (HEDIS<sup>®</sup>) quality benchmarks.
- Achieve and maintain compliance with Department of Health and Human Services (HHS) standards.
- Achieve and maintain compliance with Centers for Medicare and Medicaid Services (CMS) standards.
- As applicable, maintain compliance/certification with Joint Commission on Accreditation of Healthcare Organization (JCAHO).

Health Net retains the right, based on quality issues, to terminate or suspend individual practitioners, providers, and sites, regardless of the credentialing delegation status of the PPG, IPA or entity.

## Eligibility and Data Entry Requirements

Participating Physician Groups (PPG) | Hospitals

All participating physician groups (PPGs) and hospitals are required to enter the following into the PPG's or hospital's system:

- Eligibility and **primary care physician** (PCP) assignment information within two business days after receipt.
- New member information that is not yet on eligibility or capitation reports upon verification of eligibility.
- PCP changes requested by the member within two business days of receipt of requested change.

## Obtaining Interpreter Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

To obtain interpreter services for a Health Net member, call the telephone number on the member's identification (ID) card.

## Using Family, Friends and Minors and Interpreters Obtaining Interpreter Services

Department of Managed Health Care (DMHC) regulations state that participating providers must fully inform members that they have the right to not use family, friends or minors as interpreters, and that interpreters are available to them at no cost. Providers may not require members to use family, friends and minors as interpreters.

California Department of Insurance (CDI) regulations discourage the use of family members and friends, and strongly discourage the use of minors, as interpreters for members. In an emergency situation, a minor can

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only be used as an interpreter if the minor demonstrates the ability to interpret complex medical information and the member is fully informed that an interpreter is available to him or her at no cost. Providers must also fully inform the member that the member has the right not to use family, friends or minors as interpreters.

## Quality Improvement Problem Resolution

Participating Physician Groups (PPG) | Hospitals

Under the plan's quality improvement (QI) standards, all participating physician groups (PPGs) and hospitals are required to:

- Initiate research, within two business days, on quality of care problems identified by clinical staff.
- Provide feedback and information on the issue so that a determination can be made.
- Participate in the QI corrective action process, as applicable.

## Quality Improvement

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on Health Net's quality improvement (QI) programs, procedures and policies.

Select any subject below:

- [Disease Management Programs](#)
- [Health Education Program](#)
- [Health Management Programs](#)
- [Language Assistance Program and Cultural Competency](#)
- [Quality Improvement Program](#)

## Disease Management Programs

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP) | Hospitals | Ancillary

This section contains general information on Health Net's disease management programs.

Select any subject below:

- [Decision Power Disclaimer](#)
- [Decision Power Program](#)

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## Decision Power Disclaimer

Provider Type: Physicians | Participating Physician Groups (PPG)

Health Net members have access to Decision Power® through their current enrollment with Health Net of California, Inc., Health Net Community Solutions, Inc. and Health Net Life Insurance Company. Decision Power services, including clinicians, are additional resources that Health Net makes available to enrollees of the above listed Health Net companies. Decision Power is not affiliated with Health Net's provider network. Decision Power is not part of Health Net's commercial medical benefit plans and it may be revised or withdrawn without notice. However, Decision Power is part of Health Net's Medicare Advantage benefit plans for the plan year. Health Net and Decision Power are registered service marks of Health Net, LLC. All rights reserved.

## Decision Power Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Health Net's Decision Power® (HMO, EPO, PPO, Medicare Advantage) program provides an integrated, health management solution to improve the health and quality of life for Health Net members. Through personalized interventions and contemporary behavior change methodologies, Health Net's experienced clinical staff can assist members at-risk and diagnosed with chronic health conditions to better manage their conditions through education, empowerment and support. Decision Power includes a suite of services including wellness, disease management, care management and education and support tools for members.

## Nurse Advice Line

Health Net's nurse advice line provides effective, appropriate and timely triage for health-related problems through experienced registered nurses and industry-approved guidelines and protocols. Nurse advice line registered nurses accurately identify member needs and ensure they are directed to the appropriate level of care for their situation -- whether it be providing self-care guidance or recommending a visit to urgent care or the emergency room. The service is offered 24 hours a day, seven days a week, 365 days a year, in English and Spanish, with translation services available for other languages. The nurse advice line phone number is listed on the back of Health Net members' identification cards.

## Wellness Programs

Health Net offers members a number of wellness programs and resources through the Wellness Center on the Health Net member portal at [www.healthnet.com](http://www.healthnet.com). Members have access to the secure Health Profile, RealAge Test (health assessment) and Lifestyle Management Coaching through Sharecare. The Online RealAge program offers a variety of program health topics, including stress, nutrition, sleep and activity. Additional resources include online health challenges, trackers, videos and more.

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Providers may refer members using the Care Management Referral form ([Commercial/Medicare Advantage \(PDF\)](#)) to:

- The Craving to Quit tobacco cessation program, available to commercial members).
- The [Health Coaching Program](#) (available to Commercial and Medicare Advantage members only).

A fax cover sheet must accompany all fax transmissions of Protected Health Information. The cover sheet must be labeled "PROTECTED HEALTH INFORMATION."

## Disease Management Program

Health Net's high risk disease management program provides support to members with chronic conditions, including heart failure (HF), chronic obstructive pulmonary disease (COPD), coronary heart disease (CHD), diabetes, and asthma. Health Net disease management helps increase the efficiency and effectiveness of care, leads to more timely actions by the member, and helps develop more personalized and actionable solutions that ultimately lead to improved health outcomes. The goal of the disease management program is to support members' self-care skills, increase their self-confidence and help them work effectively with their providers to manage their health conditions. Health Net provides participants and their providers the programs, tools, connectivity, and information to make better health care decisions to:

- Slow the progression of the disease and the development of complications through proven program interventions.
- Change behaviors and improve lifestyle choices by using demonstrated behavior change methodologies.
- Improve compliance with guidelines and care plans.
- Manage medications and enhance symptom control.
- Educate members regarding recommended preventive screenings and tests in accordance with national clinical guidelines.
- Reduce emergency room visits, hospitalization and medication errors, and prevent future occurrences.

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## Care Management

Health Net's complex care management program targets members with the most complex cases including behavioral health, often those with life-limiting diagnoses, and assists members who have critical barriers to their care. Trained nurse care managers or licensed clinical social workers provide telephonic contact with Health Net members, their families and caregivers. These members often have multiple comorbid conditions and need assistance in planning, managing and executing their care.

Health Net's telephonic case management program is available to high-risk members with less complex needs. The initial assessment and subsequent outreach is conducted over the telephone and may be face-to-face contact as needed. The Case Management department will continue coordination and re-assessments until the member's needs are met and the case can be closed. Use the [Health Net Care Management Referral Form \(PDF\)](#) to refer members for complex case management.



**Health Nets Special Needs Plan (SNP) care management (CM)- All SNP and CMC members are automatically assigned CM during the month of CMC membership enrollment with the plan and becoming eligible with Health Net ( Health Net or PPG CM assigned per delegation).**

Health Net and its contracted providers are responsible for coordination and delivery of all dual special needs plan patients' Medicare and Medi-Cal benefits regardless of how the member receives their Medi-Cal benefits.

## Decision Power Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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# Health Education Program

Provider Type: Participating Physician Groups (PPG)

Health Net encourages participating physician groups (PPGs) to provide health education and disease management programs to their members based on identified risks and Healthcare Effectiveness Data and Information Set (HEDIS®) standards.

PPGs should offer health education programs at each PPG delivery site (including satellites) with 5,000 or more Health Net members. Each PPG plans health education programs based on the recommended program criteria and protocols included in the Health Education Program subtopic.

Providing health education programs is part of the contractual agreement between Health Net and the PPG. The PPG is responsible for planning, implementing and evaluating its health education programs.

## Health Education Program Offerings

All PPGs should recommend the following core topics: diabetes management, early prenatal education, baby care basics, and for Health Net Medicare Advantage (MA) members, a senior-specific health education or disease management program. Health Net encourages PPGs to provide additional program topics that reflect the breadth and depth of their members' needs. This includes efforts to identify members who smoke and to refer them to appropriate programs.

PPGs may select additional topics from the following list. PPGs are encouraged to select additional topics based on demographic and diagnostic data specific to their members.

Category	Examples
Maternal, infant and child health	VBAC, childbirth preparation, breastfeeding
Circulatory	hypertension, hypercholesterolemia
Respiratory	COPD, asthma
Musculoskeletal	back care, arthritis, osteoporosis
Weight management	adults, adolescents, children

## Advisory Committee and Program Coordinator

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## Advisory Committee

Participating physician groups (PPGs) should designate a standing health education advisory committee, including at least one physician and the health education coordinator, to be involved in program planning, evaluation, internal communication, and promotion. This committee can be the same as the PPG Quality Improvement Committee (QIC). The health education advisory committee is responsible for:

- Meeting at least once a quarter.
- Maintaining written records of the advisory committee.

Health Net recommends that PPGs:

- Select advisory committee members to achieve a wide representation of departments in the PPG or geographic locations in a PPG.
- Distribute meeting minutes widely within the PPG so that staff are kept informed about the program.
- Develop a supportive, enthusiastic advisory committee. This helps to ensure a quality program and win support from other physicians and staff.

## Health Education Coordinator

PPGs should designate a health education coordinator responsible for coordination and delivery of the health education programs, including PPG staff program orientation and record keeping.

Health education coordinators should spend the following number of hours per week coordinating the health education programs based on the PPG's Health Net membership.

PPG Membership	Hours Per Week
Fewer than 5,000 members	15
5,000 to 10,000 members	15 to 25
10,000 to 20,000 members	25 to 40
20,000 or more members	40 hours or more

The health education coordinator's responsibilities are to:

- Direct members into health education programs based on referrals from Health Net care managers or health risk assessment (HRA) results.
- Be accessible to Health Net members seeking information, suggestions and problem solving.
- Coordinate satellite programs (unless another coordinator is designated to do this).
- Maintain all program records and make them available for the site evaluation.

Health Net recommends that:

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- Health education coordinators have one of the following credentials: masters of public health (MPH), certified health education specialist (CHES), registered nurse (RN), physician assistant (PA), family nurse practitioner (FNP), registered dietitian (RD), or a Masters or Bachelors degree in health education, nutrition or exercise physiology.
- Health education coordinators receive administrative and medical staff support.

## Health Education Program Protocols

Health education program protocols are recommendations for success when providing classes on diabetes, early prenatal education and baby care basics. Program protocols also include disease-specific education programs and smoking cessation for participating physicians groups (PPGs).

## Diabetes Education Program Protocols

All diabetes education programs should encourage an active partnership between the member, the member's family and the health care provider. Such partnerships can improve member adherence to treatment plans and enable families to better support efforts to control the member's diabetes.

It is also important that all diabetes education programs emphasize the concept of self-management of diabetes rather than teaching individual skills.

The following topics are required for all diabetes education programs:

- Understanding diabetes:
  - Basic definition and facts about diabetes
    - Normal and abnormal glucose metabolism
    - Classifications: Type I and Type II
  - Factors in the development of Type I and Type II diabetes
  - Signs and symptoms of diabetes
  - Chronic complications
    - Retinopathy
    - Neuropathy
    - Nephropathy
    - Cardiovascular disease
    - Sexual dysfunction/impotence
- Medications (as indicated):
  - Oral medication
  - Insulin use
    - Review of insulin's action
    - Injection techniques
    - Dosage
    - Insulin reaction (hypoglycemia)
    - Hyperglycemia
- Strategies to control diabetes:
  - Blood glucose monitoring and interpretation of results
  - Nutrition and meal planning
  - Exercise and activity
  - Routine tests to measure control

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- Annual retinal examination
- Glycosylated hemoglobin (HbA1c) screening every three months
- Annual microalbumin creatinine urine screening
- Blood pressure screening at every visit
- Cholesterol screening once a year
- Foot examination at every visit
- Living with diabetes:
  - Preventing, detecting and treating complications
    - Skin, eye and dental care
    - Immunizations
    - Infections
    - Foot and leg care
    - "Sick day" rules
    - Identification (such as MedicAlert)
  - Psychological adjustment
  - Lifestyle considerations (nutrition, physical activity and smoking cessation)
  - Family involvement
  - Community resources
- Patient self-care:
  - Behavior change strategies
  - Goal setting
  - Risk factor reduction
  - Problem-solving

Adapted from the Journal of Clinical and Applied Research and Education, Diabetes Care, American Diabetes Association, Volume 38: Supplement 1, January 2015.

## Frequency

One-to-one counseling should be offered on an ongoing, as-needed basis. Health Net recommends that participating physician groups (PPGs) also offer seminars or classes at least monthly. The diabetes education program may also be a one-session class, multiple-session classes, one-to-one counseling, or any combination of these modes. The recommended minimum length for group programs is three to four sessions, each two hours in length. Classes and seminars should be followed by a one-hour, one-to-one follow-up appointment to develop individualized care plans.

## Participant Tracking

PPGs should give documented feedback regarding a member's program attendance to the physician for him or her to include in the member's medical chart.

# Disease-Specific Program Protocols

It is important that all disease-specific education programs encourage an active partnership between the patient, the patient's family, and the health care provider. Such partnerships can improve patient adherence to treatment plans and enable families to better support the patient's efforts to manage his or her disease.

Content may be expanded and additional components incorporated as indicated by the specific disease or condition.



All disease or condition-specific education programs should cover the following topics, as applicable:

- Understanding the disease:
  - Basic definition of the disease and affected physiological processes
  - Causes of the disease
  - Signs and symptoms of the disease
- Medications (if applicable):
  - Different types of medications
  - Purpose of medications and how they work
  - Common side-effects and coping strategies
  - Importance of medication compliance
  - Methods of maintaining compliance with the medication regimen
- Living with the disease:
  - Treatment of the disease:
    - Development of treatment/care plan
    - Routine medical visits and tests
    - Avoiding, detecting and treating complications, if applicable
- Lifestyle considerations:
  - Nutrition
  - Exercise
  - Other considerations specific to the disease
  - When to call a medical professional immediately
  - Psychosocial issues
  - Importance and role of family/caregivers
- Patient self-care:
  - Importance of patient compliance with treatment/care plan
  - Self-monitoring, as appropriate
  - Behavior change strategies
  - Individual goal setting

## Frequency

One-to-one counseling should be offered on an ongoing, as-needed basis and should be at least one hour in length. Health Net recommends that participating physician groups (PPGs) offer seminars or classes, which are at least two hours in length, at least monthly. Programs may be offered as a combination of quarterly group programs with one-to-one counseling available in the other two months, as long as both programs are equally available to members.

## Participant Tracking

PPGs should document feedback regarding a member's program attendance to be given to the physician for him or her to include in the member's medical chart.

# Patient Health Education

Patient health education is the effort to keep members fully informed about the availability and use of participating physician group (PPG) facilities and services.

PPGs must offer patient health education as a covered service to members in two main areas:

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- Proper use of Health Net and PPG services.
- Health maintenance and improvement, including personal health care measures and counseling.

Health Net has developed an enrollment packet, which includes a plan overview that explains to members how to use Health Net and PPG services. This enrollment packet is distributed to members, along with identification (ID) cards and the member's [Schedule of Benefits](#). Members are directed to contact their PPGs if they have questions.

## PPG Responsibilities

PPGs must make an effort to keep members fully informed about the availability and use of PPG facilities and services. New member interviews, letters of introduction and the Health Net Member Services Department provide sources of ongoing education and information.

Health education services, including educational activities and publications that contain instructions on achieving and maintaining physical and mental health and preventing illness or injury, should be developed by the PPG.

## Health Net's Pre-recorded Health Information

Health Net offers a library of pre-recorded information on a variety of health topics to all Health Net members through the AudioHealth Library<sup>®</sup>. Members may access the library by contacting the [Health Net Member Services Department](#).

# Responsibilities for Health Education Programs

## Program Delivery Site

Participating physician groups (PPG) and its participating providers should dedicate and maintain a physical environment or setting conducive to the delivery of health education programs and optimal learning and ensure that is appropriate for its Health Net membership. Specifically:

- Member education must not occur in an examination or a waiting room during clinic hours.
- All programs should be conducted onsite or at an appropriate offsite location.
- The sites must be accessible to individuals who have physical limitations.

## Program Evaluation and Tracking

Health Net recommends that groups evaluate all classes and seminars using a written participant evaluation form. The evaluation form should include an overall satisfaction question using a five-point rating scale, such as:

5	4	3	2	1
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Extremely Satisfied	Very Satisfied	Satisfied	Not Very Satisfied	Extremely Dissatisfied
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Written participant evaluation forms are not required for one-to-one counseling sessions.

PPGs should conduct follow-up telephone calls or use other means to evaluate the quality of one-to-one counseling sessions.

## Program Promotion

PPGs should promote all programs to Health Net members and PPG staff. Health Net encourages PPGs to mail promotional materials to Health Net members at least once per year to promote all health education programs. Suggested promotional activities include:

- Flyers and posters in waiting areas.
- Medical group newsletters via direct mail.
- Telephone recordings.

PPGs may not use the Health Net corporate logo on material without Health Net's permission.

## Record-keeping Responsibilities

PPGs should use and maintain appropriate medical and non-medical records (for example, attendance lists, evaluation forms, patient education sign-in sheets, and documentation of feedback to physicians).

Specifically, PPGs should maintain the following documentation:

- Attendance records or one-to-one education sign-in sheets identifying Health Net members.
- Written program evaluations for all programs (except one-on-one counseling).
- A system to document smoker identification and referrals to a smoking cessation program.
- Minutes from advisory committee meetings.
- A physician feedback system of participant attendance and progress in the diabetes and early prenatal programs, which provides a link between the referring physician, patient, and health education program:
  - Attendance feedback is documented in the member's medical record or in a central file.
  - A random sampling of medical records or copies of feedback records may be reviewed during the annual site evaluation.

PPGs may also document the member's progress, response to education and attendance in other programs and share this information with the member's physician.

## Speakers Bureau

Participation in Health Net's Speakers Bureau program is optional. Participating physician groups (PPGs) are asked periodically to provide presentations or screenings to Health Net employer groups.

For more information, select any subject below:

- [Baby Care Basics Protocol](#)

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[providerlibrary.healthnetcalifornia.com/medi-cal/provider-manual.html](http://providerlibrary.healthnetcalifornia.com/medi-cal/provider-manual.html)



- [Early Prenatal Education Program Protocol](#)
- [Smoking Cessation Program](#)

## Baby Care Basics Protocol

Provider Type: Participating Physician Groups (PPG)

The following topics are recommended for all baby care basics education programs:

- Normal newborn characteristics:
  - physical characteristics
  - reflex response
  - behavior patterns
  - sleep patterns
- Newborn care:
  - bathing, including: umbilical cord care, circumcision care, skin care, and proper bathing techniques
  - diapering and changing
  - nutrition, including: breast-feeding and bottle feeding tips on technique, positions, frequency, formula storage, bottle care and cost, and signs of hunger
- Caring for a sick baby:
  - before calling the doctor
  - newborn warning signs
- Well baby checkups:
  - importance of regular checkups
  - what to expect at pediatric visits
  - immunization guidelines
- Safety tips:
  - infant car seat use
  - home environment
- Resources for baby care information
  - community resources
  - websites
  - literature

## Frequency of Program

One-to-one counseling should be offered on an ongoing, as-needed basis. Health Net recommends participating physician groups (PPGs) offer seminars or classes at least monthly. The baby care basics education program may be a one-session seminar, a multi-session class, one-to-one counseling, or any combination of these modes.

Baby care basics education programs offered as classes or seminars should be at least two hours in length. One-to-one nutrition education programs should be at least one hour in length. A multi-session class is strongly recommended.





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# Early Prenatal Education Program Protocol

Provider Type: Participating Physician Groups (PPG) | Hospitals | Ancillary

The following topics, adapted from the American Congress of Obstetricians and Gynecologists and Gynecologists Guide to Planning for Pregnancy, Birth and Beyond, 1990, are recommended for all early prenatal education programs.

- Normal changes of pregnancy:
  - Physical (appropriate weight gain)
  - Emotional
  - Sexual
- Prenatal medical care:
  - Importance of regular care
  - What to expect at obstetrical (OB) visits
  - Genetic history
  - Maternal serum screening for birth defects
  - Recognizing problems
    - Warning signs
    - When to call the doctor
  - Special considerations
    - Pregnancy over age 35
    - The Rh factor
    - VBAC (vaginal birth after cesarean)
      - Recommended for most women
      - Benefits of vaginal deliveries
- Prenatal nutrition:
  - Balanced diet
  - Additional protein and calcium
  - Folic acid
  - Adequate fluid intake
  - Gestational diabetes
- Prenatal exercise:
  - Benefits
  - Exercises/activities to avoid
  - Recommendations for exercising safely
- Self-care:
  - Remedies for common discomforts
  - Stress management
  - Sexually transmitted diseases
- Lifestyle considerations:
  - Avoid or minimize use of harmful substances
    - Alcohol
    - Nicotine
    - Prescription medications
    - Over-the-counter medications
    - Recreational drugs
    - Caffeine

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- Herbal medicine
  - Working during pregnancy
  - Safety
  - Protection from environmental hazards
  - Check-up after delivery
- Infant car seat use:
  - Importance
  - How to use properly
  - Required by law

## Frequency

One-to-one counseling should be offered on an ongoing, as-needed basis and be at least one hour in length. Health Net recommends that participating physician groups (PPGs) offer seminars or classes at least monthly that are at least two hours in length.

The early prenatal education program can be a one-session seminar, a multi-session class, one-to-one counseling, or any combination of these modes.

## Participant Tracking

Documented feedback regarding program attendance should be given to the physician to be included in the member's medical chart.

## Smoking Cessation Program

Provider Type: Physicians | Participating Physician Groups (PPG)

Participating physician groups (PPGs) can implement an ongoing, systematic process for identifying members who smoke. Members may be referred to programs offered by the PPG or the Craving to Quit program.

## Craving to Quit Program<sup>1</sup>

Sharecare is a vendor that provides an enhanced wellness program to members. Sharecare's tobacco cessation program is designed to help users who are ready to quit to permanently break their addiction to tobacco. Participants will utilize a digital support approach that provides mobile and online tools, resources and messaging features with trained experts.

Craving to Quit is an evidence-based 21-day smoking and vaping cessation program delivering treatment via app or website. The program helps retrain the brain using mindfulness to break the habit loop.

In the United States, 70 percent of smokers want to quit smoking, but only 10 percent will do so successfully on their own. This program's tools and learning modules can maximize your odds of successfully quitting. Some of the tools available include:

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- Daily tracking
- Daily coaching
- Daily nudges
- An online community
- A quitting pact
- 40 additional optional modules
- Mindfulness tools

Enrollment in the tobacco cessation program is initiated by Eligible Users who are ready to quit smoking.

The digital service option provides up to twelve (12) months of unlimited support for eligible participants.

Refer members other than Medicare members to the Craving to Quit telephonic tobacco cessation program to speak to an enrollment specialist.

<sup>1</sup>Craving to Quit is not offered for Health Net Medicare members.

## Other Tobacco Cessation Resources

Kick It California (formerly California Smoker's Helpline) is a tobacco cessation program available to Health Net members. The program offers specialized services for teens, pregnant smokers, individuals who chew tobacco, and e-cigarette users, and extends information on how to help a friend or family member quit tobacco use. Telephonic coaching is available in six languages (English, Spanish, Cantonese, Mandarin, Korean, and Vietnamese) and text programs may be obtained in English or Spanish. Members can learn more by calling Kick It California at 800-300-8086 or online at [www.kickitca.org](http://www.kickitca.org).

## Recommendations

Providers should assess and document smoking status as part of the vital signs he or she collects at each clinical visit for every member. Adding smoking status to the vital signs assessment, an activity usually completed by a nurse or medical assistant prior to the physician's encounter, ensures that all smokers are identified.

## Nicotine Replacement Therapy

Health Net is responsible for the approval of nicotine replacement therapy (NRT) for prescription-only and other smoking cessation products for members who have smoking cessation benefits. If applicable, providers can complete the [Prescription Drug Prior Authorization or Step Therapy Exception Request Form \(PDF\)](#) (for approval of NRT), indicating that the member is using it for smoking cessation and is enrolled in a smoking cessation program.

## Health Management Programs

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains information on Health Net's health management programs.

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Select any subject below:

- [Overview](#)
- [Breast Cancer Health Initiative](#)
- [Behavioral Health Services](#)
- [Decision Power Program](#)

## Overview

Provider Type: Participating Physician Groups (PPG)

Health Net has developed innovative health management programs to measure and improve the health status and quality of life of members through collaborative relationships with employers, purchasing coalitions and participating physician groups (PPGs).

## Antibiotic Initiative

The primary objective of the Antibiotic Initiative is to promote judicious prescribing of antibiotic medications by providing a toolkit to assist providers in managing antimicrobial therapy.

In collaboration with the California Medical Association (CMA) Foundation's Alliance Working for Antibiotic Resistance Education (AWARE) campaign, select providers receive member educational materials and a toolkit developed by the AWARE Collaborative, including information on upper respiratory tract infection, pediatric pharyngitis, and acute adult bronchitis. Providers may download toolkit components from the AWARE website at [www.aware.md](http://www.aware.md).

## Management of Osteoporotic Fractures

The primary objective of the Osteoporosis Initiative is to improve the quality of care for post-menopausal women with osteoporotic fractures. Members who have not had a bone mineral density (BMD) test or an appropriate medication for osteoporosis treatment after a fracture are identified for intervention.

Targeted members receive a letter, the Are You at Risk for Osteoporosis? handout and a Decision Power flyer offering access to a Decision Power clinician. Members may also receive calls from Health Net to help schedule appointments for bone mineral density testing. Targeted providers receive a letter, the Are You at Risk for Osteoporosis? handout for reference, and member profiles. The program is a monthly intervention to reach high-risk members in a timely manner.

## Member Satisfaction Survey

Member satisfaction with the quality of care and services rendered by Health Net, participating physician groups (PPGs) and physician offices is measured at least annually. Health Net participates in the Consumer Assessment of Healthcare Providers and Systems (CAHPS®) Member Satisfaction Survey. CAHPS® assesses

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the level of member satisfaction with components of health care delivery such as access to care (routine, urgent and specialty care), wait time in the provider office, medical services, and overall member satisfaction.

## Behavioral Health Services

Provider Type: Participating Physician Groups (PPG)

Health Net has quality initiatives to improve members' physical and mental health outcomes. Health Net focuses on various psychotropic medications, including antidepressant medication management. For example, eligible members with gaps in their antidepressant medication refills, and who are diagnosed with depression, receive automated or live outreach conducted by clinical pharmacists to remind them to continue taking their medications, refill their prescriptions and report any medication problems or concerns to their providers.

Most Health Net members appropriately seek depression treatment from their primary care physicians (PCPs), which is why Health Net provides physicians and participating physician groups (PPGs) with tools, such as Provider Tip Sheets, to support the management and coordination of care for members diagnosed with behavioral health conditions.

In an effort to increase awareness of the importance of identification and management of behavioral health conditions, among both providers and members, Health Net has been developing and posting:

1. Member online news articles to educate members on behavioral health (i.e., mental health and substance use), how to recognize the need for help, the availability and types of treatments, and the importance of treatment, medication adherence, and communicating with their providers.
2. Provider online news articles on the importance of monitoring, managing, and coordinating care and information exchange between medical and behavioral health providers, and available resources for easy reference and assistance.

## Breast Cancer Health Initiative

Provider Type: Physicians | Participating Physician Groups (PPG)

The Breast Cancer Health Initiative is targeted toward members ages 40 through 74. Members in this age range should have mammography screenings. Health Net may place telephone calls, contract with a vendor to conduct either live or automated calls, send email, and text or mail reminders to members who have not had a mammogram in the past two years since turning age 40 to encourage them to complete the breast cancer screenings recommended for their age group. Health Net may also reach out to members eligible for the breast cancer screening measure (compliant or non-compliant) and survey them on what helped and could help keep them up with their care, in order to plan and strategize future interventions to better address members' needs. The effectiveness of these interventions is measured through the Healthcare Effectiveness Data and Information Set (HEDIS®) Breast Cancer Screening measure.

## Decision Power Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Health Net's member wellness portal is a central hub for all of the wellness programs and activities. The wellness programs were created to engage people in their health with personalized tools and achievable goals. Members can feel confident in their ability to make positive and lasting behavioral changes.

## Language Assistance Program and Cultural Competency

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on Health Net's cultural and linguistic services.

Select any subject below:

- [Language Assistance Program and Cultural Competency](#)
- [Language Assistance Program and Cultural Competency](#) (Hospitals only)

## Language Assistance Program and Cultural Competency

Provider Type: Physicians | Participating Physician Groups (PPG) | Ancillary

### Riverside and San Bernardino (Medi-Cal)

Riverside and San Bernardino County Medi-Cal providers must receive interpreter services through [Molina Healthcare](#).

### All Other Counties

The Health Care Language Assistance Regulations require all health plans to provide language assistance and culturally responsive services to members with limited English proficiency (LEP), limited reading skills, who are deaf or have a hearing impairment, or who have diverse cultural and ethnic backgrounds. To comply with this requirement, Health Net created the Language Assistance Program (LAP). Health Net's LAP offers interpreter services to members to ensure that Health Net members with LEP are able to obtain language assistance while accessing health care services. Health Net's LAP supports Health Net members' linguistic and cultural needs. Additionally, Health Net offers interpreter support and requires all participating providers to take cultural competency courses. Providers are encouraged to take courses through the U.S. Department of Health and Human Services (HHS) Office of Minority Health (OMH) as part of their continuing education. For more information, refer to [OMH Think Cultural Health](#).

Health Net participating providers must comply with Health Net's LAP as defined below.

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# Compliance Requirements

Health Net participating providers, including case management and utilization management (UM)-delegated providers, are required to support Health Net's LAP by using the following:

- Interpreter services - Use qualified interpreters for members with LEP. Interpreter services are provided by Health Net at no cost to providers or members. Telephone interpreters are available in more than 150 languages. Advance notice for telephone interpreters is not required.
- Translation services - Provide Health Net, upon request and in a timely manner, with the documents sent to members. If a Health Net member requests translation or an alternative format of an English document that was produced by a delegated PPG on Health Net's behalf, the provider must refer the member to the Health Net Member Services phone number listed on the member's identification (ID) card. When Member Services receives the request from the member, Health Net contacts the provider requesting a copy of the specific English document for translation or alternative format. The provider must submit the document within 48 hours of Health Net's request.
- Tagline and non-discrimination notice - Include a Health Net-specific tagline and non-discrimination notice with vital documents going to Health Net members.

Commercial	CalViva Health	Community Health Plan of Imperial Valley	Medi-Cal
Commercial Non-discrimination Notice (PDF)	Non-discrimination Notice CalViva Health (English) (PDF)	Non-discrimination Notice Community Health Plan of Imperial Valley (English) (PDF)	Non-discrimination Notice Medi-Cal (English) (PDF)
	Non-discrimination Notice CalViva Health (Hmong) (PDF)	Non-discrimination Notice Community Health Plan of Imperial Valley (Spanish) (PDF)	Non-discrimination Notice Medi-Cal (Arabic) (PDF)
	Non-discrimination Notice CalViva Health (Spanish) (PDF)		Non-discrimination Notice Medi-Cal (Armenian) (PDF)
			Non-discrimination Notice Medi-Cal (Cambodian) (PDF)
			Non-discrimination Notice Medi-Cal (Chinese) (PDF)

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Commercial	CalViva Health	Community Health Plan of Imperial Valley	Medi-Cal
			<a href="#">Non-discrimination Notice Medi-Cal (Farsi) (PDF)</a>
			<a href="#">Non-discrimination Notice Medi-Cal (Hmong) (PDF)</a>
			<a href="#">Non-discrimination Notice Medi-Cal (Korean) (PDF)</a>
			<a href="#">Non-discrimination Notice Medi-Cal (Russian) (PDF)</a>
			<a href="#">Non-discrimination Notice Medi-Cal (Spanish) (PDF)</a>
			<a href="#">Non-discrimination Notice Medi-Cal Tagalog) (PDF)</a>
			<a href="#">Non-discrimination Notice Medi-Cal (Vietnamese) (PDF)</a>

- Member complaint/grievance forms - Provide translated member grievance forms (provided under the Forms section of the provider library) to members upon request.
- PPO member complaints - Inform PPO members that they can submit grievances verbally or in writing by contacting the Health Net Member Services Department using the contact information provided on the back of the member identification (ID) card.
- Independent Medical Review (IMR) Application - Locate translated IMR applications on the Department of Managed Health Care (DMHC) website at [www.dmhc.ca.gov](http://www.dmhc.ca.gov) and make them available to members upon request.
- Medical record documentation - Document the member's language preference (including English) and the refusal or use of interpreter services in the member's medical record.

## Interpreter Services

Health Net offers 24-hour [access to interpreter services](#) at no cost. To obtain interpreter services, members and providers can contact Health Net Member Services at the phone number located on the member's ID card.

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Telephone interpreters are available at the time of the appointment without prior arrangement. Allow adequate time before the appointment to get the telephone interpreter on the line.

Non-English interpreter services include:

- Qualified interpreters trained on health care terminology and a wide range of interpreting protocols and ethics.
- Telephone interpreters available in more than 150 languages and on short notice in support of last-minute appointments to meet the revised access and availability standards.
- Sign language interpreter services are available when requested a minimum of 10 business days in advance of the appointment.
- Support to address common communication challenges across cultures.
- Oral translations of member materials in more than 150 languages.

## Provider Responsibilities

Participating providers must ensure that language services meet the established requirements as follows:

- Ensure that interpreters are available at the time of the appointment.
- Ensure that members with LEP are not subject to unreasonable delays in the delivery of services, including accessing providers after hours.
- Provide interpreter services at no cost to members.
- Extend the same participation opportunities in programs and activities to all members regardless of their language preferences.
- Provide services to members with LEP that are as effective as those provided to members without LEP.
- Record the language needs of each member, as well as the member's request or refusal of interpreter services, in their medical record. Providers are strongly encouraged to document the use of any interpreter in the member's record.
- Provide translated member grievance forms to members upon request.

Providers are prohibited from:

- Requesting or requiring an individual with LEP to provide their own interpreter.
- Relying on staff other than qualified bilingual/multilingual staff to communicate directly with individuals with LEP.
- Relying on an adult or minor accompanying an individual with LEP to interpret or facilitate communication except in the following scenarios:
  - A minor or an adult accompanying the patient may be used as an interpreter in an emergency involving an imminent threat to the safety or welfare of the individual or the public where there is no qualified interpreter for the individual with LEP immediately available.
  - An accompanying adult may be used to interpret or facilitate communication when the individual with LEP specifically requests that the accompanying adult interpret, the accompanying adult agrees to provide such assistance and reliance on that adult for such assistance is appropriate under the circumstances. Providers are encouraged to document in the member's medical record the circumstances that resulted in the use of a minor or accompanying adult as an interpreter.

Providers are responsible to provide translated care plans in threshold languages to members with LEP and/or their caretakers. Care plans must be written at a 6<sup>th</sup> grade reading level for Medi-Cal and 8<sup>th</sup> grade reading level for Commercial members. Health Net provides the translations in threshold languages upon request with

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documentation that the content is at the applicable reading level. Refer to the provider Interpreter Services Quick Reference Guide for assistance.

- [Commercial and Medi-Cal Interpreter Services Quick Reference Guide \(PDF\)](#)
- [CalViva Health Interpreter Services Quick Reference Guide \(PDF\)](#)
- [Community Health Plan of Imperial Valley Interpreter Services Quick Reference Guide \(PDF\)](#)

A Language Identification Poster is available to print and post in providers' offices.

- [Commercial, Medi-Cal Language Identification Poster \(PDF\)](#)
- [CalViva Health Language Identification Poster \(PDF\)](#)
- [Community Health Plan of Imperial Valley Language Identification Poster \(PDF\)](#)

For more information about how to work with an interpreter, refer to the [Health Industry Collaboration Effort \(ICE\): Provider Tools to Care for Diverse Populations – Health Net \(PDF\)](#), [Health Industry Collaboration Effort: Provider Tools to Care for Diverse Populations – Community Health Plan of Imperial Valley \(PDF\)](#) or [Health Industry Collaboration Effort: Provider Tools to Care for Diverse Populations – CalViva Health Industry Collaboration Effort \(PDF\)](#).

## Cultural Competency Training

All Health Net participating providers must take cultural competency training. We suggest that you take one of the trainings offered by the Office of Minority Health (OMH). The trainings are computer-based training for health care providers. OMH developed these no-cost trainings to give providers competencies to better treat an increasingly diverse population. The general training is available at [Think Cultural Health](#). OMH also has a no-cost, accredited maternal health care training available at [Think Cultural Health Education](#). Health Net does not sponsor these trainings or materials.

The Institute for Healthcare Improvement has free downloads to improve plain language communication with patients under the [Ask Me 3<sup>®</sup>](#) program.

You can also access [Health Net's cultural competency training](#) for providers and PPG staff or contact Health Net's Health Equity Department for customized training to meet your needs.

Medi-Cal providers may have the completion of cultural competency training listed in the provider directory. The provider directory indicates a "Y" if the provider has completed two hours of cultural competency training within the last 24 months. Notify Health Net by email after completing the training at [PSOps@healthnet.com](mailto:PSOps@healthnet.com). Include your practitioner's name, a certificate of completion, the National Provider Identifier (NPI), and a statement that you have completed the training.

Providers who would like information about interpreter services, cross-cultural communication, health literacy or to schedule a training, can contact [Health Net's Health Equity Department](#).

## Language Assistance Program and Cultural Competency

Provider Type: Hospitals

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## Riverside and San Bernardino

Riverside and San Bernardino County Medi-Cal providers must receive interpreter services through [Molina Healthcare](#).

## All Other Counties

Health Net maintains an ongoing Language Assistance Program (LAP) to ensure members with limited English proficiency (LEP), limited reading skills, who are deaf or have hearing impairment, or who have diverse cultural and ethnic backgrounds have appropriate access to language assistance while accessing health care services. Health Net encourages providers to consider cultural competency courses through the U.S. Department of Health and Human Services (HHS) Office of Minority Health (OMH) as part of their continuing education. For more information, refer to [OMH Think Cultural Health](#).

## Hospital Requirements

Health Net's participating hospitals are subject to requirements to provide language interpreter services for their patients pursuant to federal and state law. Health Net expects its participating hospitals to fully meet these obligations, notwithstanding Health Net's separate obligations to meet all requirements under the Health Care Language Assistance Regulations to provide language interpreter services for its members at all points of contact.

## Interpreter Services Requirements

Section 1557 of the Affordable Care Act (published as 45 CFR 92) provides new guidance on the use of bilingual staff that act as interpreters. The guidance is summarized below.

- Provide services to individuals with LEP and individuals with a hearing incapacity that are as effective as those provided to members without LEP.
- Providers may not request or require an individual with LEP to provide their own interpreter.
- Providers may not rely on staff other than qualified bilingual/multilingual staff to communicate directly with individuals with LEP.
- Providers may not rely on an adult or minor accompanying an individual with LEP to interpret or facilitate communication except in the following scenarios:
  - A minor or an adult accompanying the patient may be used as an interpreter in an emergency involving an imminent threat to the safety or welfare of the individual or the public where there is no qualified interpreter for the individual with LEP immediately available.
  - An accompanying adult may be used to interpret or facilitate communication when the individual with LEP specifically requests that the accompanying adult interpret, the accompanying adult agrees to provide such assistance and reliance on that adult for such assistance is appropriate under the circumstances. Providers are encouraged to document in the member's medical record the circumstances that resulted in the use of a minor or accompanying adult as an interpreter.

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- Health Net members have the right to file a grievance with Health Net if their language needs are not met. Members also have the ability to file a discrimination complaint with the Office of Civil Rights if their language needs are not met.

Health Net has processes in place to ensure that members with LEP can obtain Health Net's assistance in arranging for the provision of timely interpreter services to the extent its participating hospitals are not required under state and federal law to provide a particular Health Care Language Assistance Regulations-required interpreter service.

Health Net monitors its participating hospitals for deficiencies in interpreter services and takes appropriate corrective action to address these deficiencies in the delivery of interpreter services to Health Net members.

Providers who would like to schedule trainings on topics such as cross-cultural communication, health literacy or accessing interpreter services should contact [Health Net's Health Equity Department](#).

For additional information, refer to [Health Net's Interpreter Services](#) or the [Health Industry Collaboration Effort \(HICE\): Provider Tools to Care for Diverse Populations \(PDF\)](#).

## Quality Improvement Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on the Health Net Quality Improvement (QI) program.

Select any subject below:

- [Overview](#)
- [Health Net Quality Improvement Committees](#)
- [Monitoring Access Standards Compliance](#)
- [Participation in Public Reporting of Hospital Performance](#)
- [Quality Improvement HAC Program](#)
- [Quality Improvement Program](#)
- [Quality Improvement Program and Compliance and HEDIS](#)
- [Recognition for Quality Performance](#)
- [Quality of Care Issues](#)

## Overview

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Health Net Quality Improvement (QI) program includes the development and implementation of standards for clinical care and service, the measurement of compliance to the standards and implementation of actions to improve performance. The scope of these activities considers the enrolled populations' demographics and health risk characteristics, as well as current national, state and regional public health goals. Health Net's Population Health Management strategy provides usage risk stratification data compiled from a variety of data sources to help teams target the right members with the right resources to address member health and social determinants of health (needs at all stages of life. The QI program impacts the following:

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1. Health Net members in all demographic groups and in all service areas in which Health Net is licensed.
2. Network Providers, including physicians, facilities, hospitals, ancillary providers, and any other contracted or subcontracted provider types.
3. Aspects of Care, including level of care, health promotion, wellness, chronic conditions management, care management, continuity of care, appropriateness, timeliness, and clinical effectiveness of care and services covered by Health Net.
4. Health Disparities by supporting activities and initiatives that improve the delivery of health care services, patient outcomes, and reduce health inequities.
5. Communication to meet the cultural and linguistic needs of all members.
6. Behavioral Health Aspects of Care integration by monitoring and evaluating the care and service provided to improve behavioral health care in coordination with other medical conditions.
7. Provider/Provider Performance relating to professional licensing, accessibility and availability of care, quality and safety of care and service, including practitioner and office associate behavior, medical record keeping practices, environmental safety and health, and health promotion.
8. Services Covered by Health Net, including preventive care; primary care; specialty care; telehealth, ancillary care; emergency services; behavioral health services; diagnostic services; pharmaceutical services; skilled nursing care; home health care; Health Homes Program (HHP), long term care (LTC), Long-Term Services and Supports (LTSS): Community Based Adult Services (CBAS), and Multipurpose Senior Services Program (MSSP) that meets the special, cultural and linguistic, complex or chronic needs of all members.
9. Internal Administrative Processes which are related to service and quality of care, including customer service, enrollment services, provider relations, practitioner and provider qualifications and selection, confidential handling of medical records and information, case management services, utilization review activities, preventive services, health education, information services, and quality improvement.

Health Net does not delegate its QI program or oversight responsibilities to PPGs, participating providers, hospitals, or ancillary providers. PPGs, participating providers, hospitals, and ancillary providers are required to comply with the standards and requirements set forth by Health Net, included in this operations manual.

Health Net regularly communicates information about Health Net's QI program goals, processes and outcomes as they relate to member care through provider updates, committee meetings and other forums. QI program information is also available to providers by request through Health Net's Provider Services Center ([Commercial](#), [Medicare Advantage](#), [Medi-Cal](#), [CalViva Health](#), [Community Health Plan of Imperial Valley](#)).

## Health Net Quality Improvement Committees

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The Health Net Quality Improvement Committee (HNQIC) is responsible for oversight of the Quality Improvement (QI) program and monitoring the quality and safety of care and services rendered to Health Net members.

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The HNQIC structure ensures providers participate in the planning, design, implementation, and review of the QI program. External providers participate on the HNQIC along with representatives from MHN (Health Net's behavioral health division), the pharmacy department, Provider Network Management, Customer Service Operations, and Medical Management, including credentialing, peer review and utilization management.

HNQIC functions include the following:

- Review and approval of the annual QI and UM program description, work plan and evaluation.
- Reporting to the board of directors or executive management team at least annually.
- Ensuring external practitioner participation in the QI program through planning, design, implementation or review.
- Recommending policy decisions, evaluating the results of QI activities, instituting needed actions, and ensuring follow-up, as appropriate.
- Reviewing behavioral health care initiatives and outcomes.
- Analyzing and evaluating the results of focused audits, studies, quality of care, safety issues, and quality of service issues.
- Monitoring for compliance and other QI findings that identify trends and opportunities for improvement.
- Providing input and recommendations for corrective actions and monitoring previously identified opportunities for improvement.
- Overseeing the CMS QI program and receiving periodic reports on CMS-required QI activities.
- Overseeing the state and federal regulatory QI Program requirements by reviewing reports on required QI activities.
- Providing support and guidance to health plan associates on QI priorities and projects.
- Monitoring data for opportunities to improve member and practitioner perception of satisfaction with quality of service.
- Addressing utilization management and QI activities which affect implementation and effectiveness of the QI program and interventions.

## Health Net Community Solutions Utilization Management and Quality Improvement Committee

The Health Net Community Solutions Utilization Management and Quality Improvement (HNCS UM/QI) Committee encompasses the Medi-Cal line of business. The committee is charged with monitoring the medical management and quality of care and services rendered to members, including identifying and selecting opportunities for improvement, and monitoring and evaluating the effectiveness of interventions. The HNCS UM/QI Committee is chaired by a medical director identified by the chief medical officer and meets at least quarterly. The HNCS Dental UM/QI Committee reports to the HNCS UM/QI Committee and the HNCA board of directors.

HNCS UM/QI Committee functions include the following:

- Review and approval of the annual QI and UM program description, work plan and evaluation.
- Reporting to the HNCS board of directors at least annually.
- Recommending policy decisions, evaluating the results of QI activities, instituting needed actions, and ensuring follow-up, as appropriate.
- Analyzing and evaluating the results of focused audits, studies, quality of care issues, safety issues, and quality of service issues.

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- Monitoring for compliance and other QI findings that identify trends and opportunities for improvement.
- Providing input and recommendations for corrective actions and monitoring previously identified opportunities for improvement.
- Providing support and guidance to health plan associates on QI priorities and projects.
- Monitoring data for opportunities to improve member and practitioner perception of satisfaction with quality of service.

## Credentialing/Peer Review Committee

The Credentialing/Peer Review Committee verifies and reviews practitioners and organizational providers who contract to render professional services to Health Net members for training, licensure, competency, and qualifications that meet established standards for credentialing and recredentialing. The Credentialing Committee ensures Health Net's credentialing and recredentialing criteria for participation in the Health Net network are met and maintained for all lines of business, as defined by the regional health plans. The HNQIC delegates authority and responsibility for credentialing and recredentialing peer reviews to this committee. This committee is also responsible for peer review activities and decisions regarding quality improvement follow-up on service and clinical matters, including quality of care cases. The committee provides a forum for instituting corrective action as necessary, and assesses the effectiveness of these interventions through systematic follow-up for all lines of business for both inpatient and outpatient care and services.

This committee reports quarterly to the HNQIC and provides a summary of activities to the Health Net board of directors. Membership includes practicing medical directors or practitioners (representing primary and specialty disciplines) from PPGs representing each region (northern, central and southern California).

## Pharmacy and Therapeutics Committee

The Pharmacy and Therapeutics (P&T) Committee ensures appropriate and cost-effective delivery of pharmaceutical agents to Health Net membership. Committee responsibilities include the review and approval of policies that outline pharmaceutical restrictions, preferences, management procedures, explanation of limits or quotas, the delineation of Recommended Drug List (RDL) exceptions, substitution and interchange, step-therapy protocols, and the adoption of prescription safety procedures.

The P&T Committee includes a Health Net medical director, practitioners from PPGs that represent primary care and specialty disciplines, and clinical pharmacists.

A Pharmacy and Therapeutics (P&T) Committee is comprised of actively practicing physicians, medical directors and clinical pharmacists who review the efficacy and safety data of medications using an evidence based process in order to make clinically appropriate utilization management recommendations to health plans and pharmacy benefit managers. P&T Committee members also consider the potential for medication misuse or abuse, experimental or off-label use, and required level of laboratory or safety monitoring. P&T Committee utilization management tools include prior authorization criteria, quantity limits and step therapy.

## Delegation Oversight Committee

Health Net may delegate responsibility for activities associated with utilization management (UM) and administrative services to its PPGs.

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The Health Net Delegation Oversight Committee (DOC):

- Provides systematic oversight and regularly evaluates Health Net's PPGs or contracting vendors to assure compliance with delegated duties.
- Oversees PPG compliance with health plan and regulatory requirements pertaining to the delivery of care and services to members.
- Assesses and determines delegation for each component of the delegated responsibilities, including UM, claims, credentialing, and administrative services.
- Communicates in writing all delegation decisions, recommendations and requests for corrective action plans (CAPs) to the PPGs.
- Reports quarterly to the HNQIC.

## Specialty Network Committee

The Specialty Network Committee sets standards for the Health Net participating transplant performance centers and bariatric performance centers, guiding members to specialty network providers, monitoring performance, issuing requests for CAPs, and reporting to HNQIC. This committee meets at least six times per year and reports annually to HNQIC.

## Clinical Quality Improvement Workgroup

The QI Clinical and Service Workgroup is designed to monitor and evaluate the adequacy and appropriateness of health and administrative services on a continuous and systematic basis. The Clinical QI Workgroup also supports the identification and pursuit of opportunities to improve clinical health outcomes, safety, access to care, services, and member and provider satisfaction. The Clinical QI Workgroup consists of a core group of QI associates, a consulting physician and ad hoc members pertinent to the report topic. At each meeting, there is focused discussion on report findings, barriers, and interventions for the purpose of making and implementing decisions regarding QI activities. The Clinical QI Workgroup meets at least four times per year and reports significant findings to the HNQIC.

## Monitoring Access Standards Compliance

Provider Type: Participating Physician Groups (PPG)

Health Net measures participating physician group (PPG) performance with timely access standards through the Provider Appointment Access survey and the Provider After-Hours Access survey. Overall member satisfaction is measured through the Consumer Assessment of Healthcare Providers and Systems (CAHPS®) survey process.

Providers not meeting these standards are required to submit and follow a corrective action plan (CAP), which the Quality Improvement (QI) Department monitors. Refer to the Service and Quality Requirements discussion under the Provider Oversight topic for detailed information on access standards.

Health Net identifies a random representative sample of members each year and engages with an independent quality assurance entity to conduct interviews, using a semi-structured interview tool provided by the Department of Health Care Services (DHCS), with each member in the sample to:

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- Determine background and causes for emergency room visits, including the use or failure of long-term services and supports (LTSS) or if there was a lack of appropriate LTSS to adequately support the member in his or her environment
- Determine whether the member experienced any barriers to accessing health care and understand the nature of those barriers, including, but not limited to, inadequate access to language support services, alternative format materials, and physical accessibility for disabled members

Health Net analyzes results in order to identify issues within its system of care that require improvement to promote appropriate utilization of both LTSS and emergency room services, appropriate and timely access to care, and Americans with Disabilities Act (ADA) and language assistance program compliance. Health Net reports results as required to the Centers for Medicare and Medicaid Services (CMS) and DHCS.

## Participation in Public Reporting of Hospital Performance

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net requires that all urban, acute care participating hospitals annually report safety and quality data results to at least one readily available consumer outlet, such as the Leapfrog Group Patient Safety Survey and the Centers for Medicare & Medicaid Services (CMS) [Hospital Compare website](#).

### WebMD's Hospital Advisor and publiclyavailable hospital quality information

Health Net's Hospital Advisor Tool from WebMD offers members a wide range of details about the quality performance of individual hospitals, including rates of complications and mortality, the quantity of specific procedures performed at the facility, typical lengths of stay, average cost, and a variety of quality and patient safety indicators. The data is based on sources such as state reporting, survey results from The Leapfrog Group, CMS hospital quality indicators, and hospital patient satisfaction information. Health Net promotes member use of hospital quality data in mailed member letters and newsletters, online, by email, and in paid social media campaigns.

Similar data can be accessed by providers at the following publicly available websites:

- [Cal Hospital Compare](#)
- [The Centers for Medicare and Medicaid Services resource Care Compare](#)
- [The Leapfrog Group](#) (see below) for hospital ratings and Hospital Safety Grades

## The Leapfrog Group

The Leapfrog Group is an organization founded to promote patient safety and improve quality of care. As a Leapfrog Partner, Health Net promotes participation in the Leapfrog hospital and ambulatory surgery center (ASC) surveys, which offer consumers key information about a facility's quality and safety performance with respect to established patient safety practices and progress toward national quality standards. Examples of hospital survey measures include:

- Computerized physician order entry.
- Intensive care unit physician staffing.

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- Evidence-based hospital referral.
- Safe practices score based on National Quality Forum standards.

Participation in Leapfrog's surveys offers hospitals and ASCs the ability to assess their strengths and weaknesses in areas such as hospital-acquired infection scores and evidence-based care to address common acute conditions. In addition to making these survey findings publicly available, Leapfrog publishes a Hospital Safety Grade. This composite score assigns individual hospitals a letter grade to indicate hospital performance on patient safety according to an analysis of up to 27 quality measures. For more information, visit [The Leapfrog Group](#).

## Quality Improvement HAC Program

Provider Type: Hospitals

Health Net's Quality Improvement (QI) Hospital-Acquired Condition (HAC) program is designed to monitor patient care and to encourage quality improvement efforts in hospitals. The QI HAC program assesses member claims data to identify potential HACs; conducts outreach to hospitals to request details about each case; and follows up with further investigation through Potential Quality Issue referrals when appropriate. In the event that problems are identified, Health Net requests that hospitals assess their programs so that protocols can be revised to prevent such events in the future. The program is informed by guidance from CMS and The Leapfrog Group, which represents purchasers and employer groups, to help ensure that evidence-based protocols are followed for all members to ensure safe patient care. Refer to [hospital-acquired conditions](#) for more information on the HAC process and billing.

## Quality Improvement Program

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net's Quality Improvement (QI) program provides the infrastructure for all managed care products. The QI program is designed to monitor and evaluate the adequacy and appropriateness of health and administrative services on a continuous and systematic basis. The QI program also supports the identification and pursuit of opportunities to improve health outcomes and member and provider satisfaction. The purpose and goals of the QI program are to:

- Establish standards for both the quality and safety of clinical care and service, as well as monitor and evaluate the adequacy and appropriateness of health care and administrative services on a continuous and systematic basis. The QI program also supports the identification and pursuit of opportunities to improve health outcomes, and both member and provider satisfaction.
- Support Health Net's strategic business plan to promote safe, high quality care and services while maintaining full compliance with regulations and standards established by federal and state regulatory and accreditation agencies.
- Objectively and systematically monitor and evaluate services provided to Health Net members to ensure conformity to professionally recognized standards of practice and codes of ethics.
- Provide an integrative structure that links knowledge and processes together throughout the organization to assess and improve the quality and safety of clinical care with quality service provided to members.

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- Develop and implement an annual quality improvement work plan and continually evaluate the effectiveness of plan activities at increasing and maintaining performance of target measures, and act, as needed, to enhance performance.
- Support a partnership among members, practitioners, providers, regulators, and employers to provide effective health management, health education, disease prevention and management and facilitate appropriate use of health care resources and services.
- Design, implement and measure organization-wide programs that improve member, practitioner and provider satisfaction with Health Net's clinical delivery system. These programs are population-based ongoing clinical assessments and are evaluated to determine the effectiveness of clinical practice guidelines, preventive health guidelines and care management programs.
- Monitor and increase Health Net's performance in promoting quality of service to improve member, practitioner and provider satisfaction through the use of satisfaction surveys, focused studies, and analysis of data (e.g., administrative, primary care, high-volume specialists and specialty services, and behavioral health and chemical dependency services).
- Promote systems and business operations that provide and protect the confidentiality, privacy and security of member, practitioner and provider information while ensuring the integrity of data collection and reporting systems. This is done in accordance with state and federal requirements and accreditation guidelines.
- Anticipate, understand and respond to customer needs, be customer-driven and dedicated to a standard of excellence in all customer relationships.
- Provide a means by which members may seek resolution of perceived failure by practitioners and providers or Health Net personnel to provide appropriate services, access to care and quality of care. Identify, review and investigate potential quality of care issues and take corrective action, when appropriate.

Health Net utilizes several methods to measure access to care, including telephone-based surveys and member experience surveys. Provider satisfaction with the timeliness and usefulness of information received from other physicians and various care settings is also assessed on a regular basis to measure the coordination of care in the network. Opportunities for improvement are identified by examining provider ratings of key elements in the following functional areas: access and availability, case management, prior authorization, cultural and linguistic services, concurrent review, and discharge planning.

The Health Net QI program includes a written program description and an annually revised QI work plan that defines the activities and planned improvements for the year. The annual work plan is developed following an evaluation of the previous year's activities and accomplishments. The Health Net Quality Improvement Committee (HNQIC), Health Net Community Solutions (HNCS) UM/QI Committee, and the Health Net board of directors approves and monitors the annual Health Net QI program and the QI work plan. The board of directors receives quarterly reports regarding medical affairs, QI, utilization management (UM), and pharmacy.

## Quality Improvement Program and Compliance and HEDIS

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net tracks and monitors quality of care and service in a number of ways, including through the Healthcare Effectiveness Data and Information Set (HEDIS<sup>®</sup>). HEDIS was developed and is maintained by the National Committee for Quality Assurance (NCQA), a not-for-profit organization committed to assessing, reporting on and improving the quality of service and quality of care provided by organized delivery systems. It

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is the most widely used set of performance measures in the managed care industry. Participation in this effort allows health care purchasers and providers to compare Health Net’s performance relative to other health plans and to identify opportunities for improvement.

In addition, Health Net participates in various quality improvement collaboratives, including:

- California Quality Collaborative (CQC), a program that seeks to improve clinical care and service for all Californians by providing strategies at the point of care. Various programs are available to providers to improve chronic disease care, patient satisfaction and efficiency. For a listing of educational programs and patient satisfaction and condition management resources, providers can visit [www.calquality.org](http://www.calquality.org).
- The Leapfrog Group: Health Net works closely with The Leapfrog Group, purchases their data, and promotes their ratings and standards to network hospitals, members and the community.
- Cal Hospital Compare: Health Net collaborates with Cal Hospital Compare on a range of issues and contracts with them to obtain Poor Performer and Honor Roll reports and associated data files to inform hospital quality initiatives. .

## Recognition for Quality Performance

Provider Type: Physicians | Participating Physician Groups (PPG)

Participating physician groups (PPGs) and directly contracted primary care physicians (PCPs) participating in the Ambetter HMO and Ambetter PPO may participate in a financial incentive program for improving quality of care as quantified by Healthcare Effectiveness Data and Information Set (HEDIS®) measures.

Incentives will be based on the following 4 HEDIS measures for care gap closure:

CODE	HEDIS MEASURE DESCRIPTION	SUB-MEASURE
CBP	Controlling High Blood Pressure	
HBD	Hemoglobin A1c Control for Patients with Diabetes	HbA1c Control (< 8.0%)
COL	Colorectal Cancer Screening	
CIS	Childhood immunization status	Combination 10

Incentives are calculated and paid out in November of the calendar year and a final payment for the full calendar year by the following August. The incentive program is not part of the compensation under the Health Net Provider Participation Agreement (PPA); it is supplemental compensation in addition to, but separate from, contracting rates. As such, Health Net reserves the right to alter the incentive program on an annual basis or to terminate it at any time by notifying the provider in writing of such termination.

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Providers may contact their provider relations liaison or your provider network director for additional information about the incentive program.

## Quality of Care Issues

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Potential quality of care issues are reviewed by a Health Net medical director and, based on findings, are given a severity level and, as indicated, submitted to the peer review committee (PRC) for appropriate resolution. Annually, the number, severity, actions taken, and trends noted are aggregated and reported to the Health Net Quality Improvement Committee.

Providers use the Potential Quality Issue (PQI) Referral form [Health Net Referral Form \(PDF\)](#), [Potential Quality Issue \(PQI\) Referral form – Community Health Plan of Imperial Valley \(PDF\)](#) or [CalViva Health Referral Form \(PDF\)](#) to fax reports of potential or suspected deviation from standards of care that cannot be justified without additional review or investigation.

## Referrals

Provider Type: Physicians | Hospital | Participating Physician Groups (PPG) | Ancillary

This section contains general information on referrals.

Select any subject below:

- [Direct Network Referral Process](#)
- [Investigational and Experimental Treatment](#)
- [OB/GYN Self-Referrals](#)
- [Out-of-Network Referrals](#)
- [Post-Stabilization Care](#)
- [Referrals for Specialty Consultation](#)
- [Standing Referrals to a Specialist](#)

## Direct Network Referral Process

Provider Type: Physicians | Ancillary | Hospitals

Primary care physicians (PCPs) are responsible for coordinating member care and initiating specialty services. PCPs may refer a member directly to a participating specialist for specialty consultation, in-office services and selected outpatient services that do not require prior authorization.



# Investigational and Experimental Treatment

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

All participating providers must immediately inform Health Net when there is a request for investigational or experimental treatment. All pertinent documentation for investigational or experimental treatments must be sent to the [Health Net Medical Management Department](#) by fax or mail.

In accordance with standards established by the Department of Managed Health Care (DMHC), Health Net has five business days to respond to member requests for review of investigational or experimental treatment. Health Net is required to review all requests for these procedures and is responsible for issuing the denial letter if the treatment is denied.

Health Net's denial letter states the medical and, if applicable, scientific reasons for the denial and any alternative treatment that Health Net does cover. The denial letter also includes an application and instructions for the member to utilize the DMHC Independent Medical Review (IMR) Program.

Participating providers should not direct members to contact Health Net for approval of these services. It is the requesting provider's responsibility to provide all pertinent information and documentation directly to Health Net.

Experimental medical and surgical procedures, equipment and medications, are not covered by Original Medicare or under a Medicare-approved clinical research study. Experimental procedures and items are those items and procedures determined by Health Net and Original Medicare to not be generally accepted by the medical community.

## DMHC Notices of Translation Assistance, Forms and Applications

### DMHC Notices of Translation Assistance

Participating providers are required to insert a notice of translation assistance when corresponding with applicable members. DMHC Health Net-specific notices of translation assistance are available on the Health Industry and Collaboration Effort (ICE) website at [www.ICEforhealth.org](http://www.ICEforhealth.org) > Library > Approved ICE Documents > Cultural and Linguistic Services. For additional information, providers can contact [Health Net Cultural and Linguistic Services Department](#).

### Translated DMHC Complaint (Grievance) Forms

Physicians and ancillary providers must know how to locate and provide translated DMHC complaint (grievance) forms to members upon request. These forms are available in English, Chinese and Spanish and other languages on the DMHC website at [www.dmhc.ca.gov](http://www.dmhc.ca.gov) located under File a Complaint.

### Translated DMHC IMR Applications

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Physicians and ancillary providers must know how to locate and provide translated DMHC IMR applications to members upon request. This application is available in English, Chinese and Spanish on the DMHC website at [www.dmhc.ca.gov](http://www.dmhc.ca.gov) and search for IMR applications.

## OB/GYN Self-Referrals

Provider Type: Physicians | Participating Physician Groups (PPG)

### PPG Information

Health Net members have the right to self-refer for a screening mammography. In addition, members have direct access to participating women's health specialists for routine and preventive health care services provided as basic benefits.

If a member needs OB/GYN preventive care, is pregnant or has a gynecological concern, she may self-refer to an OB/GYN or family practice physician who provides such services within the member's participating physician group (PPG). If these services are not available within the PPG, the member may go to one of the PPG's referred physicians who provide OB/GYN services. Each PPG must be able to assist members by maintaining a list of its referral physicians. The OB/GYN consults with the member's PCP regarding the member's condition, treatment and any need for follow-up care.

### Physician Information

A female member may obtain obstetrician and gynecologist (OB/GYN) services without first contacting her primary care physician (PCP). If the member needs OB/GYN preventive care, is pregnant or has a gynecological concern, she may self-refer to an OB/GYN or family practice physician who provides such services within Health Net's participating provider network.

If these services are not available within Health Net's participating provider network, Health Net authorizes services to a qualified non-participating provider of OB/GYN services in accordance with the Health Net prior authorization procedures.

The OB/GYN consults with the member's PCP regarding the member's condition, treatment and any need for follow-up care.

## Out-of-Network Referrals

Provider Type: Participating Physician Groups (PPG)

A participating physician group (PPG) must refer members to participating providers except in emergencies or as otherwise required by law. PPGs are to use the following process when referring members to an out-of-network provider:

- Determine whether an out-of-network referral is necessary and request prior authorization.

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- Have the PPG coordinator make an appointment for referral. When Health Net authorizes the referral request, the PPG coordinator arranges an appointment with the referred physician or specialist. When arrangements have been completed for the member's referral, the PPG coordinator makes a notation in the member's medical chart and completes the appropriate form below:
  - [Inpatient California Health Net Commercial Prior Authorization \(PDF\)](#)
  - [Outpatient California Health Net Commercial Prior Authorization \(PDF\)](#)
  - [Inpatient California Health Net Medicare Authorization \(PDF\)](#)
  - [Outpatient California Health Net Medicare Authorization \(PDF\)](#)
- Enter all pertinent information and obtaining all required signatures. Verify that the referral services are covered by the member's plan, as, once Health Net authorizes a referral, the authorization cannot be withdrawn and payment is required for services rendered.
- Inform member of copayments before services are performed. Some referral services require copayments. If the PPG fails to notify the member of a required copayment before the services are performed, no copayment can be charged.
- Specify what services are being authorized. The PPG physician must specify at the time of the referral what services or treatments are being requested. Some PPGs find it useful to have the participating physician initially request an evaluation or consultation. After the results are returned, a treatment plan is reviewed and an extension of the authorization is requested.
- Confirm referral services. Before referral services are performed, the referred physician must be aware that authorization is necessary for payment by the PPG. Health Net suggests that the PPG develop a standard letter to accompany the referral, explaining to the referred physician that only authorized services are reimbursed and that a member may not be charged for services.
- Make a member aware of what services are being authorized and any limitations to the authorization. No reimbursement is provided for unauthorized follow-up visits.
- Report member encounter information relating to referral services.
- Provide assistance during the process to member as needed. The member cannot be expected to know all the steps in the referral process; the PPG must provide this information.

The PPG must inform referred physicians that they may not refer the member to, or otherwise obtain the services of, another physician or medical professional without authorization from the PPG.

## Post-Stabilization Care

Provider Type: Participating Physician Groups (PPG)

A participating physician group (PPG) must immediately contact or refer requests regarding authorization for post-stabilization services to the [Health Net Hospital Notification Unit](#).

## Referrals for Specialty Consultation

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)| Ancillary

Listed below are examples of services that are referred for specialty consultation. This list provides guidelines and is not intended to be all-inclusive.

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## Allergy

- Chronic rhinitis if the allergic cause is indicated by IgE or nasal eosinophils or if mechanical obstruction, such as adenoids or tonsils, is obvious
- Hives if urticaria becomes chronic (six to ten weeks or recurrent)
- Consultation if hospitalized, severe respiratory failure or member is steroid-dependent
- Asthma if difficult diagnostic dilemma, not well controlled with routine therapy, hospitalization or if severe respiratory failure has occurred or if the member has become steroid-dependent
- Significant reactions to stinging insects, chronic eczema, chronic sinusitis, and medication allergies
- Systemic allergic reactions, anaphylaxis

## Cardiology - Adult

- Candidates for thrombolysis, stress testing, catheterization, angioplasty, or surgery, and life-threatening arrhythmias, or hemodynamic complications requiring invasive monitoring
- Unstable angina
- Hemodynamically complicated murmur
- Constrictive pericarditis
- Complicated hypertension (failure to respond or adverse response to conventional therapy)
- Angina despite maximal pharmacological therapy with maximally tolerated doses of nitrates, beta-blockers, and calcium channel blockers
- Intractable heart failure and arrhythmias
- Pericardial effusion
- Congenital or valvular disease for non-invasive studies and to define appropriate follow-up
- Evaluate and treat recurrent syncope (cardiac)
- Initial consultation for acute and chronic CHF management

## Cardiology - Pediatric

- Evaluate and treat any non-soft, non-systolic cardiac murmur
- Evaluate cyanosis that does not clear with crying
- Evaluate tachypnea
- Evaluate diminished pulses in any extremity
- Consultation for any member with a syndrome known to have cardiac complications (Down's, Marfan's, etc.)

## Dermatology

- Acne that has not resolved or improved after three months
- Severe cystic acne
- Suspicious lesion suggesting melanoma
- Basal or squamous cell carcinomas
- Biopsy of suspicious lesions



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## Endocrinology

- Coma not rapidly reversible by glucose
- Instability in an established management program
- Brittle diabetes
- Diabetic complications, including retinopathy and nephropathy
- Exophthalmos, moderately severe or symptomatic
- Fine-needle aspiration of thyroid nodules
- Suspected disorders of calcium metabolism, adrenal, gonadal, or pituitary dysfunction
- Growth retardation (non-familial)
- Hyperlipidemia (no response to diet and medication, including two different medications, within one year)
- Radioiodine therapy

## Gastroenterology

- Bowel obstruction diagnosed
- Polyps or other abnormalities
- Chronic bleeding, acute GI hemorrhage
- Undiagnosed hepatocellular disease or biliary obstruction
- Jaundice complicated by fever
- Severe acute and chronic hepatitis
- Ascites when peritoneal fluid is an exudate, chylous or intractable or if fever persists
- Severely symptomatic hemorrhoids refractory to treatment, may be referred for additional nonsurgical treatment
- Complex inflammatory bowel disease
- Chemotherapy for carcinoma

## General Surgery

- Gallbladder disease, if significantly symptomatic
- Recurrent cysts, lumps or suspicious mammograms

## Neurology/Neurosurgery

- Myofascial pain syndromes if there is no improvement and an uncertain diagnosis after six to eight weeks of conservative treatment or a progressive neurological deficit
- Seizures that are recurrent or refractory to treatment
- Degenerative neurological disorders
- Confirmation of diagnoses and/or intermittent consultation
- Ischemic attack that is associated with a carotid lesion
- CNS malignancies
- Persistent cervical or lumbosacral herniated nucleus pulposa resistant to conservative management

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## Obstetrics/Gynecology

- Ectopic pregnancy
- Uncertain clinical diagnosis
- Higher risk members (for example, over-age)
- Menometrorrhagia

## Ophthalmology

- High index of suspicion for herpes
- Metallic foreign bodies
- Sudden visual change or loss
- Visual change accompanied by pain
- Sudden onset of flashing lights and floaters
- Any eye symptom not responding to treatment
- Unexplained abnormality on fundoscopic exam
- Sudden visual change or loss
- Pediatric members with dysconjugate gaze
- Lens opacification if associated with intolerable visual impairment

## Orthopedics

- Fracture
- Locked knee
- Unstable knee
- Foot problems (deep abscess, gangrene, osteomyelitis)
- Any diabetic foot
- Obvious or apparent ligament tear
- Progressive disability of the knee despite conservative treatment and X-ray showing joint narrowing or gross destruction of the articular surface

## Otolaryngology

- Tonsillectomy if three documented episodes within four months or six documented episodes within one year
- Tonsillar obstruction or recurrent peritonsillar abscess
- Acute otitis media, member toxic for 48 hours despite treatment
- Persistent middle ear effusion lasting more than three months with continuous treatment, or persistent infection after three courses of different antibiotics
- Persistent hearing loss or delayed speech and articulation in children under the age of three
- Persistent retraction of tympanic membranes
- Recurrent epistaxis
- Acute and chronic sinusitis after treatment with antibiotics for 20 days or if infection not responsive in 72 hours



- Nasal obstruction after three months of treatment
- Parotid masses
- Acute or persistent hearing loss not attributable to fluid or wax
- Hoarseness that persists for more than three weeks

## Psychiatry

- Diagnose, treat, and recommend medication regimen in difficult/complex cases, for example:
  - Depressions that do not respond to 60-day trial of selective serotonin re-uptake inhibitor (SSRI) medications or other antidepressants
  - Members who report feeling suicidal or homicidal
  - Panic disorders
- For example, continued:
  - Severe anxiety states
  - Clear somatoform disorders
  - Schizophrenic disorders where Clozaril or risperidone is being considered
  - Bipolar disorder where lithium, carbamazepine or thiorazine may be needed

## Psychologist

- Diagnosis, treatment and consultation regarding management of clearly emotional issues for which the member or PCP feels the need for consultation
- Psychological testing for clarification of diagnosis to establish a treatment plan

## Pulmonology

- Respiratory failure
- Percutaneous lung biopsies
- Pleural biopsies
- Supraclavicular node biopsies
- Pleural effusions not due to heart failure or acute pneumonia
- Unresolved pneumonia
- Neonatal lung disease
- Cystic fibrosis
- Lung masses
- Hemoptysis
- Interstitial disease
- Sarcoidosis
- Tuberculosis
- Unusual infections
- Dyspnea of uncertain etiology
- Sleep disorders
- Complicated asthma, advanced COPD, pulmonary vascular disease, including pulmonary hypertension vasculitis and pulmonary embolism



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## Rheumatology

- Osteoarthritis, if no response to treatment after three months
- Rheumatoid arthritis if manifestations are not controlled on the treatment program or treatment plan to include surgery
- Collagen vascular diseases depending on the extent and severity of manifestations or complications

## Social Workers/Other Credentialed Providers

- Brief psychotherapy, including post-traumatic stress disorder (PTSD), grief, recent losses

## Urology/Nephrology

- Scrotal mass, testicular, or does not transilluminate
- Prostate suspicious for malignancy or obstructive symptoms that may lead to surgical treatment
- Urinary stones that do not pass in a week (4 mm or less)
- Larger or proximal stones for consideration of removal, stenting or lithotripsy
- Male infertility
- Erectile dysfunction not obviously psychogenic
- Acute renal failure
- Obstructive uropathy
- 50 percent reduction in creatinine clearance
- Nephrotic syndrome
- Circumcision with recurrent balanitis or foreskin problems

## Vascular Surgery

- Arterial problems, such as gangrene, ischemic ulcers or ischemic rest pain
- Venous insufficiency with stasis ulcers
- Abdominal aortic aneurysms that are symptomatic, enlarging, or greater than 5 cm in diameter

## Infectious Disease

- Human immunodeficiency virus (HIV)
- AIDS



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# Standing Referrals to a Specialist

Provider Type: Physicians | Participating Physician Groups (PPG)

Health Net and its participating physician groups (PPGs) are required to have procedures for members to receive a standing referral to a specialist or specialty care center, including, but not limited to, HIV or AIDS specialists.

## Definitions

Standing referral is a referral by the primary care physician (PCP) to a specialist for more than one visit to the specialist, as indicated in a treatment plan, if any, without the PCP having to provide a specific referral for each visit.

Specialty care center is defined as a center that is accredited or designated by an agency of the state or federal government or by a voluntary national health organization as having special expertise in treating the life-threatening disease or condition or degenerative and disabling disease or condition for which it is accredited or designated.

## Standing Referral to a Specialist

Health Net and its delegated PPGs provide for a standing referral to a specialist if the member's PCP determines in consultation with the specialist, if appropriate, and medical director (associated with PPG or Health Net) that the member needs continuing care from the specialist as follows:

- If a treatment plan is deemed necessary in the course of care and is approved by Health Net (or the PPG), in consultation with the PCP, specialist and member, the referral is made subject to the terms of the treatment plan.
- A treatment plan may not be necessary if Health Net (or the PPG) approved a current standing referral to a specialist.
- The treatment plan may limit the number of visits to the specialist, limit the period of time that the visits are authorized, or require that the specialist provide the PCP with regular reports on the health care provided to the member.

## Prolonged Standing Referral

Health Net and its delegated PPGs provide members with standing referrals for specialized medical care over a prolonged period of time specifically for members who have conditions or diseases that are life-threatening, degenerative or disabling. These members may receive a referral to a specialist or specialty care center with expertise in treating the condition or disease for the purpose of having the specialist coordinate the member's health care as follows:

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- If a treatment plan is deemed necessary in the course of care and is approved by Health Net (or the PPG), in consultation with the PCP, specialist, specialty care center, and member, the referral is made, subject to the terms of the treatment plan.
- A treatment plan may not be necessary if Health Net (or the PPG) approves the appropriate referral to a specialist or specialty care center.
- The referral is made if the PCP, in consultation with the member's specialist or specialty care center, and the PPG, determines specialized care is medically necessary for the member.

## Time Limits

The determination of a standing referral request is made within three business days from receipt of request by the member or the member's PCP, and all appropriate medical records, and other information necessary is submitted.

Once Health Net or its delegated PPG make the determination, the referral authorization is issued within four business days of the date the proposed treatment plan, if any, is submitted.

Ordinarily PCPs or PPGs do not refer the member to a specialist that is not participating with the PPG or Health Net, unless there is no specialist within the PPG or Health Net's networks that are appropriate to provide treatment to the member, as determined by the member's PCP in consultation with PPG or Health Net's medical director, and documented in the treatment plan. If an out-of-network referral is necessary, benefits are provided at the in-network cost-share.

The PCP and PPG must track and monitor referrals requiring prior authorization. The tracking system must include authorized, denied, deferred, or modified referrals, the timeliness of the referrals, and referrals made to non-participating providers.

## Third-Party Liability

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on third-party liability responsibilities.

Select any subject below:

- [Coverage Explanation](#)

## Coverage Explanation

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

If a subscriber or member is injured through an act or omission of another person, the [participating provider](#) must provide benefits in accordance with the Evidence of Coverage (EOC) or [Certificate of Insurance \(COI\)](#). If the injured member is entitled to recovery, the plan and the participating provider rendering services to the

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member are entitled to recover and retain the value of the services provided from any amounts received by the member from third-party sources.

When the plan pays a claim with an injury or trauma diagnosis code that may be related to a motor vehicle accident, employment or possible other third-party liability, the plan may use an outside vendor, the Rawlings Company, to investigate for determination of other coverage liability. Rawlings' expertise and automated system capabilities are used to identify claims where a third party may be responsible for payment. Rawlings may directly correspond with providers requesting refunds when another liability coverage is determined to be primary. If a provider receives a refund request letter from the Rawlings Company that includes the primary coverage insurance information in the event that the provider has not already been provided the other coverage information by the member or billed the primary carrier, the provider is expected to bill the other coverage and refund the plan, via the Rawlings Company, within a reasonable time period. Failure to comply with timely filing guidelines when overpayment situations are the result of another carrier being responsible does not release the participating provider from liability.

Reimbursement to the plan or the participating provider under this lien is based on the value of the services the member receives and the costs of perfecting the lien. The value of the services depends on how the participating provider was paid and the lien amount is determined as permitted by law. Unless the money that the member receives comes from a workers' compensation claim, the following applies:

- The amount of the reimbursement that the member owes the plan or the participating provider is reduced by the percentage that the member's recovery is reduced if a judge, jury or arbitrator determines that the member was responsible for some portion of the member's injuries.
  - For plans subject to state law, when the member is represented by an attorney: the lien will be the lesser of a *pro rata* reduction for the member's reasonable attorney fees and costs paid by the member from the money received in the underlying third-party case, or one-third of the member's recovery.
  - For plans subject to state law, when the member is not represented by an attorney: the lien will be the lesser of the full amount of the lien otherwise due or one-half of the member's recovery.

## Provider and Member and Responsibilities

### Provider Responsibility

The [participating provider](#) must question the member for possible third-party liability (TPL) in injury cases. Often, the member does not mention that this liability exists, having received complete care without charge from the participating provider and may not feel that it is necessary. The participating provider must check for this liability where treatment is being provided. The participating provider must develop procedures to identify these TPL cases. After TPL has been established, the participating provider must provide the plan with the information using the Authorization to Treat a Member form or other correspondence.

## Submit Itemized Charges and Member's Statement of Liability for Reimbursement





When the participating provider seeks reimbursement from the third-party payer, it must do so by filing an appropriate lien. This may be done by submitting an itemized statement for paid claims or value of services rendered, whichever is appropriate, and a member's statement of third-party liability to any person or entity which may receive payments made in a settlement or judgment in the TPL case.

#### Lien Coordination

The participating provider must coordinate with any participating providers that assert a lien and ensure that all communication received by the member in this regard is consistent. In the event that the PPG is assigned recovery of a hospital lien, the plan must be advised promptly.

## Calculation of Lien Amount

The participating providers' staff is responsible for remaining current on legal developments regarding TPL recoveries. In determining the amount of the lien, follow guidelines prepared by counsel. Recoveries for coordination of benefits (COB), duplicate payments and the like should be reconciled promptly. Where the participating provider asserts the contractual lien based on [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#), it is subject to:

- A reduction by the percentage that the member's recovery is reduced if a judge, jury or arbitrator determines the member is responsible for some portion of the member's injuries.
  - For plans subject to state law, when the member is represented by an attorney: the lien will be lesser of a pro rata reduction for the member's reasonable attorney fees and costs paid by the member from the money received in the underlying third-party case, or one-third of the member's recovery.
  - For plans subject to state law, when the member is not represented by an attorney: the lien will be the lesser of the full amount of the lien otherwise due or one-half of the member's recovery.

It is the participating provider's responsibility to act reasonably in pursuing a lien.

## Member Responsibility

An injured member entitled to recovery is required to:

- Inform the plan and participating providers of the name and address of the third party, if known, the name and address of the member's attorney, if using an attorney, and describe how the injuries were caused.
- Complete any paperwork that the plan or the participating providers may reasonably require to assist in enforcing the lien.
- Promptly respond to inquiries from lien holders about the status of the case and any settlement discussions.
- Notify lien holders immediately upon the member or the member's attorney receiving any money from third parties or their insurance companies.
- Hold any money that the member or the member's attorney receives from third parties or their insurance companies in trust, and reimburse the plan and the participating providers for the amount of the lien as soon as the member is paid by the third party.

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Provider Type: Participating Physician Groups (PPG) | Hospitals | Ancillary

Coverage for urgent care varies depending on whether it is received inside or outside the participating physician group's (PPG's) service area. It is covered if it is:

- Performed or referred by the member's selected [primary care physician \(PCP\)](#) or PPG at the office visit copayment.
- Performed by an out-of-network provider inside the PPG's service area, but only if the services are authorized at the listed urgent care copayment.
- Performed outside the PPG's service area when a member's circumstances require it at the listed urgent care copayment. Services provided by other providers are covered if the diagnosis demonstrates that the member requires urgently needed care. The PPG's service area is within a 30-mile radius of the member's PCP's office.

Follow-up care is covered only when performed by a provider affiliated with or authorized by the selected PPG.

## HMO Physician or Practitioner only

The following document applies only to Physicians.

Coverage for urgent care varies depending on whether it is received inside or outside the member's service area, as defined in the member's [Evidence of Coverage \(EOC\)](#) or [Certificate of Insurance \(COI\)](#). It is covered if it is:

- Performed or referred by the member's [primary care physician \(PCP\)](#) at the office visit copayment.
- Performed by an out-of-network provider inside the member's service area, but only if the services are authorized and at the listed urgent care copayment.
- Performed outside the member's service area when a member's circumstances require it at the listed urgent care copayment. Services provided by other providers are covered if the diagnosis demonstrates that the member requires urgently needed care.

Follow-up care is covered only when performed by the member's PCP or authorized by the plan.

## Utilization Management

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG) | Ancillary

This section describes Health Net's utilization management program and processes.

Select any subject below:

- [Overview](#)
- [Care Management](#)
- [Clinical Criteria for Medical Management Decision Making](#)

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- [Continuity of Care](#)
- [Economic Profiling](#)
- [Hospital Discharge Planning](#)
- [Medical Data Management System](#)
- [Non-Delegated Medical Management](#)
- [Notification of Hospital Admissions](#)
- [Out-of-Area Services](#)
- [Separation of Medical Decisions and Financial Concerns](#)
- [Utilization Management Goal](#)
- [Utilization Management Program Components](#)

## Overview

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net's utilization management (UM) program is designed to ensure that members receive timely, medically necessary and cost-effective health care services at the correct level of care. The scope of the program includes all members and network providers. Prior authorization, concurrent review, discharge planning, care management, and retrospective review are elements of the UM process.

Refer to [definition of medical necessity](#) or [definition of investigational services](#) for additional information.

## Care Management

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

This section contains general information on care management.

Select any subject below:

- [Overview](#)
- [Program Description](#)
- [Care Manager](#)
- [Care Management at PPG](#)
- [NICU Levels of Care Criteria](#)
- [Palliative Care Services](#)
- [Targeting and Clinical Data Analysis](#)

## Overview

Provider Type: Physicians | Hospitals | Participating Physician Groups (PPG)

Health Net's care management program is available to all members to:

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- Create a comprehensive system of medical management,
- Use resources and managed health care expertise collaboratively, and
- Provide a full complement of coordinated cost-effective care.

The Health Net care management program provides individualized assistance to members experiencing complex, acute or catastrophic illnesses. The focus is on early identification of and engagement with high-risk members, applying a systematic approach to coordinating care and developing treatment plans that increase satisfaction, control costs and improve health and functional status, resulting in favorable outcomes.

Health Net's care management program uses qualified nurses, social workers and medical directors to provide a fully integrated network of programs and services for the management of high-risk, chronic and catastrophically ill or injured individuals.

High and moderate risk Special Needs Plans (SNPs) members who are actively engaged are managed by the health plan's case manager in order to implement their individual care plan which is designed to support the member's optimal level of wellness.

## Program Goals

The Health Net care management program goals are to achieve, in collaboration with providers, the following:

- **Quality health outcomes** - Identifies, manages, measures, and evaluates the quality of health care delivered to high-risk populations. This is accomplished by using identification tools and performance benchmarks that continually evaluate clinical, functional, satisfaction, and cost indicators.
- **Cost effectiveness** - Health Net is committed to measuring the effectiveness of the care management program. Additionally, with timely and accurate encounter reporting from participating physician groups (PPGs), Health Net can provide clinical and cost information feedback to PPGs to assist them in enhancing the performance of their medical management and disease-state management programs.
- **Resource efficiency** - The Health Net care management team works with internal and external stakeholders to develop outcome studies and educational programs to improve the efficiency and effectiveness of Health Net's and the PPG's care management activities.

## Program Description

Provider Type: Participating Physician Groups (PPG)

The Health Net care management program integrates the care management process, eliminates duplication of services between Health Net and its participating physician groups (PPGs), and facilitates communication and cooperation between Health Net, PPGs and members.

Health Net case managers, or delegated PPGs, assure that potential medically catastrophic cases are managed in cooperation with the member's [primary care physician](#) (PCP) to achieve optimum care and coverage benefits for the member. Case managers provide assistance by working with members, caregivers, physicians, and other members of the care team.

The following criteria are used for case management:

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1. Lack of an established or ineffective treatment plan – for example, a member with multiple providers and multiple services who continues to use the emergency room or continues to have multiple admissions for the same conditions.
2. Over-, under- or inappropriate utilization of services – for example, a member who inappropriately over-utilizes emergency room services, or who does not have an established PCP or specialty care provider, when appropriate.
3. Permanent or temporary alteration of functional status – for example, a member with a hip replacement who is discharged with no home support or is unable to get to medical appointments and/or physical therapy.
4. Medical/psychosocial/functional complications – for example, an elderly member with multiple medical conditions (comorbidity) and depression who is unable to manage activities of daily living, medications and diet.
5. Barriers to receiving appropriate care within the system – for example, a newly diagnosed cancer patient who has been educated by coaches, but who would also benefit from coordination of care services through Health Net's case management.
6. Nonadherence to treatment or medication regimens, or missed appointments – for example, a member with transportation needs who is unable to get to physician appointments, or who has transportation or financial barriers to filling medication prescriptions.
7. Compromised patient safety – for example, an elderly member, post hip replacement, who lives on the second floor requires home evaluation for safety concerns.
8. High-cost injury or illness – for example, a member in a severe motor vehicle accident with multiple injuries would require coordination of and authorization for multiple services for an extended period of time.
9. Lack of family or social support – for example, a post-operative member with wound care, but without family support to assist with dressing needs.
10. Lack of financial resources to meet health needs – for example, a member requiring extensive wound vacuum services but who has exhausted benefits, or a senior member who needs transportation, home help or other noncovered items.
11. Exhaustion of benefits – for example, a member with medical necessity for a specialized hospital bed, but the member's durable medical equipment (DME) benefit is exhausted.

Health Net case management functions operate according to Case Management Society of America standards.

## Assessment

Assessment is the first step in the care management process. The Health Net care management team gathers information to assess the member's care gaps and needs. Information may include health risk assessment results, medical records and interviews with the member and health care team. The care manager utilizes the results of the assessment to develop a care management plan in collaboration with the member, or their designated representative, to address care needs. For additional information, refer to [Case Management at PPG > Initial Assessment and Ongoing Management](#).

## Evaluation and Monitoring

The care management process continually evaluates quality of care, efficiency of services and cost-effectiveness. Monitoring occurs at:

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- Plan level - oversight of the member's care through periodic reviews of health status and needs, evaluation of satisfaction with and use of services, and reports on the ongoing savings of disease-specific care
- Member level - review of clinical status and problems, communication with the physician and other members of the health care team, and use of satisfaction surveys

## Implementation

Actions are taken to address the care needs identified in the assessment process and documented in the care management plan. The implementation of these actions includes working with the member's PPG to provide the needed services, referring members to community services or advocating provision of informal services by family and friends. The care manager supports the physician's plan of care through continually monitoring and finding new available resources.

## Planning

Successful planning involves a multi-disciplinary approach developed by the provider and the care manager. This may include disciplines from both internal and key external parties, because each brings a unique perspective. Planning can occur formally in a care conference or informally through working individually with other providers. A care plan may be limited to arranging temporary home care after a hospital discharge or it may serve to integrate long-term health care, social services and informal care.

## Care Manager

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The care manager acts in support of providers, members and families to improve health, assist with health care decisions and assist in obtaining other services that can improve the member's health and functional status.

The care manager is responsible for monitoring and managing effective and efficient use of health care services for Health Net members by identifying, coordinating, and managing members who require care management.

The care manager identifies candidates for care management from either internal or external referral sources. High-risk and high-volume cases are managed for the duration of the member's health care needs or until a care plan is no longer required.

## Care Management at PPG

Provider Type: Participating Physician Groups (PPG)

**The following information is not applicable to Dual Special Need Plans.**

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Health Net members who are experiencing catastrophic and chronic injuries or illnesses are evaluated for care management services. Health Net delegated participating physician groups (PPGs) can use a variety of population data sources to identify members for care management, including, but not limited to:

- Data collected through utilization management (UM) processes, such as prior authorization and concurrent review
- Hospital admission data
- Hospital discharge data
- Claims and encounter data
- Pharmacy data

In addition to data identification, the care management program must have multiple avenues for members to be referred for care management services. This includes discharge planner referral, UM or concurrent review referral, member self-referral, and practitioner referral.

## Care Management Vendors

For some conditions, ancillary providers contracting with Health Net to provide services can provide member care management related to those conditions. For specific ancillary provider information, contact the [Health Net Care Management Department](#).

## Initial Assessment and Ongoing Management

The care management process should be problem-focused and address risks. Goals should be actionable and address the member's needs. Documentation, typically kept in a care plan, needs to define issues, problems and appropriate interventions, and include follow-up evaluations. The care manager must document that the member was contacted and notified of their right to decline or disenroll from care management services.

The care management process must consider all of the following elements:

- Initial assessments of members' health status, including condition-specific issues
- Documentation of clinical history, including medications
- Initial assessment of activities of daily living (ADLs)
- Initial assessment of behavioral health status, including cognitive functioning
- Initial assessment of life-planning activities
- Evaluation of cultural and linguistic needs, preferences or limitations
- Evaluation of caregiver resources and involvement
- Evaluation of available benefits within the organization and from community resources
- Development of care management plan with prioritized goals that consider the member and care-givers' preferences and desired level of involvement in the care plan
- Identification of barriers to meeting goals or complying with the plan
- Development of a schedule for follow-up and communication
- Development and communication of self-management plans
- Process to assess progress in care management plans
- Evaluation of visual and hearing needs and limitations
- Facilitation of member referrals to resources and follow-up process to determine whether the members act on referrals

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In addition, Health Net may request feedback on members referred by the health plan to the PPG for care management screening.

## Providing Tools to Care Managers

To assist care managers in monitoring cases, Health Net can provide PPGs with forms, tracking tools and information on how to access community resources for its members. Care management must be evidence-based and the systems and processes to support care management should use algorithmic logic, such as scripts or other prompts to guide care managers through the assessment and ongoing management of members.

Health Net care managers and provider service specialists can assist PPGs in obtaining tools and information necessary to direct Health Net members through the care continuum.

## PPG Screening Criteria

Health Net members who meet the following criteria should be screened for care management services:

- Members with multiple admissions (two or more hospitalizations) within six months
- Members with multiple emergency room (ER) visits (three or more), or two hospital admissions, for the same condition within six months
- Members with multiple ER visits (five or more) for multiple conditions within six months
- Members who are eligible for public health programs
- Members who are accepted into clinical trials
- Pregnant members with high-risk conditions who require home health services
- Members identified through the health risk questionnaire process
- Members referred from Health Net's Care Management Department

For additional information, refer to [Care Management Program Description](#).

Note: All Health Net Special Needs Plan (SNP) members are assigned a care manager; therefore, screening to meet specific criteria for program participation is not necessary.

## Delineation of Care Management Responsibilities

To achieve the goals of the Health Net care management program, Health Net monitors care management processes to ensure there is no duplication of efforts between Health Net and participating physician groups (PPGs).

In some instances, the PPG or associated hospital has direct responsibility for specific tasks, such as authorization of professional services and on-site concurrent review. Other tasks are Health Net's responsibility, such as education of various key parties in the care management of members. Where shared responsibilities occur, communication between Health Net and the PPG becomes especially vital in ensuring that each operates as efficiently as possible.

## Health Net Care Management Responsibilities

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Health Net is responsible for the following care management activities when the PPG completes the member's care management functions:

- Provide oversight as required by regulatory agencies, such as the California Department of Managed Health Care (DMHC), the Centers for Medicare and Medicaid Services (CMS), and by accrediting entities, such as the National Committee for Quality Assurance (NCQA)
- Inform referral source of member's participation in the Health Net care management program
- Notify the provider that the member is assigned to the Health Net care management program
- Review the proposed plan of care with a Health Net regional medical director, as requested or indicated based on established processes
- Encourage providers and members to take responsibility for implementation of the care plan
- Monitor progress and service provided to the member
- Offer suggestions for revisions to the care plan to meet the changing health care needs of the member
- Serve as a source of information for the availability and costs of community resources within each geographic area
- Participate in meetings at hospitals, skilled nursing facilities (SNFs) and home health agencies as indicated when they pertain to member care management
- Evaluate the services provided and, with the provider and member, determine when the member should be discharged from the Health Net care management program (not applicable for SNP)
- Incorporate disease management into the care management program, as appropriate

## PPG Care Management Responsibilities

The PPG is responsible for the following care management activities:

- Utilize the Health Net designated care management program for members who meet guidelines, such as state management and transplants
- Provide care management program activities meeting Health Net and regulatory standards
- Provide treatment and member-care documentation to Health Net when requested
- Participate in Health Net's care management program evaluation activities when requested by Health Net
- Provide feedback to Health Net on members referred by Health Net to the PPG for care management

## Prospective Care Management

The Health Net prospective care management process begins with identification of at-risk members. Throughout this phase of the program, multiple modalities are used to evaluate the member's clinical and psychosocial status. Some of these modalities include health risk assessments, wellness programs, preventive measures, and evaluation of Healthcare Effectiveness Data and Information Set (HEDIS<sup>®</sup>) and risk management information. Identification and intervention is integrated with disease management programs.

Health Net's care managers collaborate with a team of Health Net medical directors, the [primary care physician \(PCP\)](#) and participating physician group (PPG) staff to coordinate identification and arrangement of care, the care plan, evaluation of the effectiveness of the care plan, and communication with the interdisciplinary team during all phases of treatment.

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## NICU Levels of Care Criteria

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals

Health Net's [neonatal intensive care unit \(NICU\) levels of care criteria \(PDF\)](#) is used by delegated participating physician groups (PPGs), contracting vendors and concurrent review staff when assessing, documenting and authorizing NICU care. These criteria apply to the HMO, PPO and Point of Service (POS) lines of business.

Health Net contracts with Alere care management services to provide NICU services for those PPGs who participate in the program. Alere provides onsite case management services for newborns who require admission into the NICU. Health Net's concurrent review department continues oversight and works collaboratively with Alere staff to ensure ongoing delivery of appropriate care, services and safe discharges when the infant is ready to transition from the hospital setting.

## Palliative Care Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Eligible members (including Dual Special Needs Plans (D-SNPs)) at any age may receive covered benefits and services while receiving palliative care. The member must be diagnosed with advanced cancer, congestive heart failure (CHF), chronic obstructive pulmonary disease (COPD), or liver disease. Life expectancy is 12 months or less, health status continues to worsen and the emergency department (ED) or hospital is used to manage the illness.

Members receiving palliative care may move to hospice care if they meet the hospice eligibility criteria. For members ages 21 and older, palliative care benefits and curative care are not available once the patient moves to hospice. For members under age 21, curative care is available with hospice care.

## Referrals

Palliative care services provide extra support to current benefits.

Providers can refer an eligible member to palliative care. Send a [Care Management Referral Form \(PDF\)](#) and related medical records by email or fax to the Care Management Department. To process the request correctly, the following information must be included on the request:

- Diagnosis code – Z51.5
- Procedure code – S0311
- Units – 6 (equals 6 months)
- Select the contracted provider of choice from the [Health Net Contracted Palliative Care Providers list \(PDF\)](#).

## Eligibility Criteria

Members of any age are eligible to receive palliative care services if they meet all of the criteria outlined in section A. below, and at least one of the four requirements outlined in section B.

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Members under age 21 who do not qualify for services based on the above criteria may become eligible for palliative care services according to the broader criteria outlined in section C. below, consistent with the provision of Early and Periodic Screening, Diagnostic and Treatment (EPSDT) services.

### A. General Eligibility Criteria:

1. The member is likely to, or has started to, use the hospital or emergency department as a means to manage the member's advanced disease; this refers to unanticipated decompensation and does not include elective procedures.
2. The member has an advanced illness, as defined in section B below, with appropriate documentation of continued decline in health status, and is not eligible for or declines hospice enrollment.
3. The member's death within a year would not be unexpected based on clinical status.
4. The member has either received appropriate patient-desired medical therapy or is an individual for whom patient-desired medical therapy is no longer effective. The member is not in reversible acute decompensation.
5. The member and, if applicable, the family/member-designated support person, agrees to:
  - a. Attempt, as medically/clinically appropriate, in-home, residential-based, or outpatient disease management/palliative care instead of first going to the emergency department; and
  - b. Participate in advance care planning discussions.

### B. Disease-Specific Eligibility Criteria:

1. Congestive heart failure (CHF): Must meet (a) and (b)
  - a. The member is hospitalized due to CHF as the primary diagnosis with no further invasive interventions planned or meets criteria for the New York Heart Association's (NYHA) heart failure classification III or higher; and
  - b. The member has an ejection fraction of less than 30 percent for systolic failure or significant co-morbidities.
2. Chronic obstructive pulmonary disease (COPD): Must meet (a) or (b)
  - a. The member has a forced expiratory volume (FEV) of one less than 35 percent of predicted and a 24-hour oxygen requirement of less than three liters per minute; or
  - b. The member has a 24-hour oxygen requirement of greater than or equal to three liters per minute.
3. Advanced cancer: Must meet (a) and (b)
  - a. The member has a stage III or IV solid organ cancer, lymphoma, or leukemia; and
  - b. The member has a Karnofsky Performance Scale score less than or equal to 70 or has failure of two lines of standard of care therapy (chemotherapy or radiation therapy).
4. Liver disease: Must meet (a) and (b) combined or (c) alone
  - a. The member has evidence of irreversible liver damage, serum albumin less than 3.0, and international normalized ratio greater than 1.3, and
  - b. The member has ascites, subacute bacterial peritonitis, hepatic encephalopathy, hepatorenal syndrome, or recurrent esophageal varices; or
  - c. The member has evidence of irreversible liver damage and has a Model for End Stage Liver Disease (MELD) score greater than 19.

### C. Pediatric Palliative Care Eligibility Criteria:

Must meet 1. and 2. listed below. Members under age 21 may be eligible for palliative care and hospice services concurrently with curative care.

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1. The family and/or legal guardian agree to the provision of pediatric palliative care services; and
2. There is documentation of a life-threatening diagnosis. This can include, but is not limited to:
  - a. Conditions for which curative treatment is possible, but may fail (e.g., advanced or progressive cancer or complex and severe congenital or acquired heart disease); or
  - b. Conditions requiring intensive long-term treatment aimed at maintaining quality of life (e.g., human immunodeficiency virus infection, cystic fibrosis, or muscular dystrophy); or
  - c. Progressive conditions for which treatment is exclusively palliative after diagnosis (e.g., progressive metabolic disorders or severe forms of osteogenesis imperfecta); or
  - d. Conditions involving severe, non-progressive disability, or causing extreme vulnerability to health complications (e.g., extreme prematurity, severe neurologic sequelae of infectious disease or trauma, severe cerebral palsy with recurrent infection or difficult-to-control symptoms).

If the member continues to meet the above minimum eligibility criteria or pediatric palliative care eligibility criteria, the member may continue to access both palliative care and curative care until the condition improves, stabilizes, or results in death.

## Targeting and Clinical Data Analysis

Provider Type: Physicians | Participating Physician Groups (PPG)

Initial identification of high-risk members is accomplished prospectively using health risk assessments, concurrently through Health Net's online databases of diagnostic information, and retrospectively based on medical and pharmacy claims and other data.

With early identification of potentially high-risk members, resources may be directed to those members at greater risk for poor health and higher costs. Certain factors, such as chronic health problems, lifestyle risks, family health, and quality-of-life considerations, influence medical care use. The Health Net care management program helps the member become a better-educated health care consumer and supports the provider by supplying vital information regarding the member and the member's care.

## Clinical Criteria for Medical Management Decision Making

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Clinical policies are one set of guidelines used to assist in administering health plan benefits, either by prior authorization or payment rules. They include, but are not limited to, policies relating to medical necessity clinical criteria for the evaluation and treatment of specific conditions and evolving medical technologies and procedures. Clinical policies help identify whether services are medically necessary based on information found in generally accepted standards of medical practice; peer-reviewed medical literature; government agency/program approval status; evidence-based guidelines and positions of leading national health professional organizations; views of physicians practicing in relevant clinical areas affected by the policy; and other available clinical information.

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Clinical policies do not constitute a description of plan benefits nor can they be construed as medical advice. These policies provide guidance as to whether or not certain services or supplies are cosmetic, medically necessary or appropriate, or experimental and investigational. The policies do not constitute authorization or guarantee coverage for a particular procedure, device, medication, service, or supply. In the event a conflict of information is present between a clinical policy, member benefits, legal and regulatory mandates and requirements, Medicare or Medicaid (as applicable) and any plan document under which a member is entitled to covered services, the plan document and regulatory requirements take precedence. Plan documents include, but are not limited to, subscriber contracts, summary plan documents and other coverage documents.

Clinical policies may have either a Health Net Health Plan or a “Centene” heading. Health Net utilizes InterQual<sup>®</sup> criteria for those medical technologies, procedures or pharmaceutical treatments for which a specific health clinical policy does not exist. InterQual is a nationally recognized evidence-based decision support tool. Clinical policies are reviewed annually and more frequently as new clinical information becomes available.

## Concurrent and Retrospective Review

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

### Concurrent Review

Concurrent review is the process of monitoring delivery of medical services at the time the care is being rendered (inpatient admissions). Concurrent review consists of pre-admission review, continued-stay review and discharge planning.

Concurrent review is initiated at the time prior authorization is requested for an inpatient admission or on notification to the Health Net Health Services Department that a member has been admitted (in the case of an urgent or emergency admission). Concurrent review includes an evaluation of:

- Quality of care
- Plan of treatment
- Severity of illness
- Intensity of treatment
- Length of stay
- Level of care
- Discharge plan

Based on the concurrent review process, the hospital stay is approved or denied. If the stay is approved, the hospital receives a prior authorization number. The authorization number must be indicated on the hospital claim to Health Net.

All potentially non-approved services identified by the Health Net care manager (registered nurse (RN) reviewer) are reviewed with a Health Net medical director or a specialty advisor. Physicians and members have the right of appeal all un-approved services.

### Retrospective Review

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Retrospective review is review of the quality and necessity of medical services after care has been rendered. Retrospective professional review involves an evaluation of services that fall outside Health Net's established guidelines for coverage. These claims are reviewed by Health Net's professional review specialists (RN reviewers) and a Health Net medical director or a specialty advisor where the initial reviewer recommends that a claim be denied for lack of medical necessity.

## Continuity of Care

Provider Type: Physicians | Participating Physician Groups (PPG) (does not apply to HSP)| Hospitals

Health Net provides for continuity of care (COC) for new and existing members due to termination of prior coverage and any health plan withdrawn from any portion of the market for a currently enrolled Health Net member. Health Net members who have been receiving care that meets certain criteria may continue with their existing out-of-network providers for up to 12 months.

A current member may also request COC to complete care with a departing Health Net provider after that provider leaves Health Net's network. Covered services are provided for the period of time necessary to complete a course of treatment and to arrange for safe transition of care to another provider. Health Net makes the decision in consultation with the member and the terminated provider or nonparticipating provider, and consistent with good professional practice.

## Continuity of Care

Member requests for COC assistance must meet certain criteria:

- There are no documented quality-of-care issues, or state or federal exclusion requirements where Health Net has determined the provider is ineligible to continue providing services to Health Net members.
- Compensated rates and methods of payment are the same as those currently used by Health Net or the participating physician group (PPG) unless a letter of agreement or letter of understanding is executed.
- Copayments, deductibles or other cost-sharing components during the period of completion of covered services with a terminated provider or a nonparticipating provider are the same the member would pay if receiving care from a provider currently contracting with Health Net.

## Types of clinical criteria where a member may be eligible for COC

- Acute condition – a sudden onset of symptoms due to an illness, injury, or other medical problem.
- Serious chronic condition – a medical condition due to a disease, illness, or other medical problem or medical disorder, not to exceed 12 months from the member's effective date of coverage.
- Pregnancy – for the duration of the pregnancy and the immediate postpartum period.
  - A maternal mental health condition is a mental health condition that can impact a woman during pregnancy, peri- or post-partum, or that arises during pregnancy, in the peri- or post-partum period, up to one year after delivery.

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- Terminal illness – an incurable or irreversible condition that has a high probability of causing death within one year or less. COC applies for the duration for the terminal illness.
- Newborn care – birth to 36 months, not to exceed 12 months from the member's effective date of coverage under the plan.
- Performance of a surgery or other procedure that has been recommended and documented by the provider to occur within 180 days of the contract's termination date or within 180 days of the effective date of coverage for a newly covered enrollee.
- Behavioral health conditions – all acute, serious or chronic mental health conditions, including treatment for children diagnosed with autism spectrum disorder (ASD). These services include applied behavioral analysis (ABA) – for up to 12 months.

## Exceptions

Some of the circumstances where COC is not available are:

- Services that are not a covered benefit of the plan.
- Out-of-network provider does not agree to Health Net's utilization management (UM) policies and payment rates.
- Provider type or service is for durable medical equipment (DME), transportation, other ancillary services, or carved-out services.

## Requesting Continuity of Care

New and existing members, their authorized representatives on file with Health Net, or their providers may request COC directly from Health Net. Refer to the [Health Net Member Services Department](#) for assistance.

Health Net reviews and completes COC requests within five business days after receipt of the request. When additional clinical information is necessary to make a decision, the COC request can be pended for an additional 45 days. The pend letter for the required information is generated and faxed to the requested provider. A hard copy will follow by mail to the provider and the member.

If there is an imminent and serious threat to the member's health, requests are completed within three calendar days.

Upon completion of the COC review, the provider and the member will be notified of the decision within 24 hours of the decision.

**Applies to EPO and PPO members only:** Health Net accepts and approves retroactive requests for COC that meet all requirements. The services must have occurred after the member's enrollment in the plan and Health Net must have the ability to demonstrate that there was an existing relationship between the member and provider prior to the member's enrollment into the plan.

Out-of-network providers cannot refer the member to another out-of-network provider without authorization from Health Net or a delegated PPG.

## PPG Process

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Health Net forwards the COC request to the delegated PPG's UM department if the PPG termed the requested provider. The delegated PPG:

- Works with the out-of-network provider to secure a care plan for the member
- Makes the decision whether to extend the COC services, or to redirect the services in-network.
- Works with the out-of-network provider to make sure they are willing to work with the PPG and Health Net.

## Economic Profiling

Provider Type: Physicians | Participating Physician Groups (PPG)

Economic profiling is defined as any evaluation of a provider or participating physician group (PPG) based in whole or in part on the economic costs or use of services associated with medical care provided or authorized by the provider or PPG.

To the extent that a PPG maintains economic profiles of its individual providers, it must provide on request a copy of the individual economic profiling information to the individual providers who are profiled. This information must be provided on request until 60 days after the contract between the PPG and provider terminates.

## Hospital and Inpatient Facility Discharge Planning

Participating Physician Groups (PPG) (does not apply to HSP) | Ancillary | Hospitals

Participating providers are required to work with hospitals and inpatient facilities (general acute care hospitals, long-term acute care hospitals and skilled nursing facilities) to create an appropriate discharge plan and care transition protocol for members, including post-hospital care and member notification of patient rights within seven days of post-hospitalization.

Each hospital or inpatient facility must have a written discharge planning policy and process that includes:

- Counseling for the member or family members to prepare them for post-hospital or post-inpatient facility care, if needed.
- A transfer summary that accompanies the member upon transfer to a skilled nursing facility (SNF), intermediate-care facility, or a part-skilled nursing or intermediate care service unit of the hospital.
- Information regarding each medication dispensed must be given to the member upon discharge.

The [Transitional Care Services](#) program is designed to aid in the transitional period immediately after hospital discharge, focusing on critical post-discharge follow-up appointments.

Members have the right to:

- Be informed of continuing health care requirements following discharge from the hospital or inpatient facility.

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- Be informed that, if the member authorizes, a friend or family member may be provided information about the member's continuing health care requirements following discharge from the hospital or inpatient facility.
- Actively participate in decisions regarding medical care. To the extent permitted by law, participation includes the right to refuse treatment.
- Appropriate pain assessment and treatment.

## Electronic medical records or administrative system

In accordance with the Provider Participating Agreement (PPA) and Federal regulation [42 CFR 482.24 section \(d\)](#), hospitals and facilities must ensure compliance and prompt electronic notification of patient discharges and transfers. The following organizations have been designated as qualified health information organizations (QHIOs) and are available to assist with Data Exchange Framework (DxF) requirements:

- [Los Angeles Network for Enhanced Services \(LANES\)](#)
- [Manifest MedEx](#)
- [SacValley MedShare](#)
- [San Diego Health Connect](#)
- [Applied Research Works, Inc.](#)
- [Health Gorilla, Inc.](#)
- [Long Health, Inc.](#)
- [Orange County Partners in Health-Health Information Exchange \(OCPH-HIE\)](#)
- [Serving Communities Health Information Organization \(SCHIO\)](#)

## Medical Data Management System

Provider Type: Physicians | Participating Physician Groups (PPG)

The Health Net utilization management (UM) program is supported by Unity, Health Net's medical management system. Unity provides an integrated database for Health Net UM activities. The system supports business management, drives regulatory compliance, and optimizes automation. It also provides medical management with the data to identify trends or patterns.

Health Net reviews encounter data to determine whether membership is accurately represented, to confirm that the data is submitted within contractual time frames and is within normative rates; for example, if an encounter rate is greater than 10 percent of a normative standard or the services provided per member per year is below six encounters. Health Net discusses actions for improved utilization management with the participating physician group (PPG).

## Non-Delegated Medical Management

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

Health Net does not delegate performance of the utilization management (UM) function to fee-for-service (FFS) [participating providers](#). Health Net performs UM, quality improvement (QI) and care management functions.

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Health Net uses InterQual criteria, Medicare guidelines, Hayes Medical Technology Directory®, Health Net medical policies, and MHN level-of-care criteria as the basis for making utilization decisions. Case-specific determinations of medical necessity are based on the needs of the individual member and the characteristics of the local network. Appropriate providers are involved in the adoption, development, updating (as needed), and annual review of medical policies and criteria. Delegated participating physician groups (PPGs) and MHN are required to use approved scientifically based criteria. Health Net national medical policy statements are currently available on the [Health Net provider portal](#). Medical policy statements and other clinical criteria, such as InterQual and Hayes Technology Assessments, are available to all Health Net PPGs upon request by calling the [Health Net Provider Services Center](#).

## Non-Delegated Concurrent Review

Health Net's concurrent review staff perform clinical reviews when UM functions are not delegated. The objective of concurrent review is to review clinical information for medical necessity during a member's hospital confinement, coordinate discharge plans, and screen for quality of care concerns.

The hospital is required to notify Health Net's [Hospital Notification Unit](#) within 24 hours of admission or one business day when an admission occurs on a weekend, whenever a Health Net member is admitted. Failure to notify according to the requirements in the Provider Participation Agreement (PPA) may result in a denial of payment. The first review occurs within 24 hours or one business day of admission and is performed either on-site or over the telephone by a Health Net concurrent review nurse.

Use of standardized review criteria is required to ensure consistency of decision-making. Health Net's concurrent review nurses use InterQual guidelines to determine medical necessity of the inpatient stay. Review of the medical records is performed as required on an ongoing basis.

If, based on available information, an acute level of care is determined to be no longer necessary, Health Net's concurrent review nurse reviews the clinical information with a Health Net regional medical director. The Health Net concurrent review nurse also notifies the Hospital Utilization Review Department that the continued stay is in question. Discussion with the Health Net regional medical director focuses on alternate levels of care and discharge plans.

If the Health Net regional medical director determines that based on available medical information the member is ready for discharge, the attending physician is contacted to discuss alternatives. If the attending physician agrees with the Health Net regional medical director, the member is discharged to home or transferred to an appropriate, lower level of care. Concurrent review staff work with the PPG staff to monitor the member's care, and coordinate transfers and any needed post-discharge services.

If the attending physician and the Health Net regional medical director disagree, Health Net may issue a denial letter to the hospital, with copies to the attending physician, the PPG or the member. A denial letter contains the basis for the denial and information on the appeals and grievance process, as required by state and federal law. For Medicare Advantage (MA) members, Health Net follows the Centers for Medicare and Medicaid Services (CMS) guidelines when issuing a denial letter.

## Non-Delegated Prospective Review

Under the terms of a member's coverage with Health Net, Health Net must provide pre-service authorization for elective inpatient services and selected outpatient procedures for PPO providers and participating fee-for-service (FFS) HMO providers. This also applies to contracting providers rendering services under Tier 2 Point



of Service (POS) benefits. Following review by a Health Net medical director, authorization is approved or denied and communicated in writing to the PPG or requesting physician and the member.

When requesting a pre-service authorization for elective services or selected outpatient procedures, documentation by the referring participating physician must include:

- Prior written authorization request for specified outpatient services, specifying:
  - Services requested and number of visits
  - Information about previously attempted but unsuccessful treatments
  - Sufficient clinical information to establish medical necessity

Providers may use the appropriate forms below or refer to the Prior Authorization topic for additional information.

[Inpatient California Health Net Commercial Prior Authorization \(PDF\)](#)

[Outpatient California Health Net Commercial Prior Authorization \(PDF\)](#)

[Inpatient California Health Net Medicare Authorization Form \(PDF\)](#)

[Outpatient California Health Net Medicare Authorization Form \(PDF\)](#)

- Prior written authorization request for hospitalization which is submitted by the PCP or specialist must include:
  - Necessity of admission
  - Pre-admission work-up
  - Number of medically necessary inpatient days
- If admission is denied, the requesting physician and member is sent the following information:
  - Written rationale for denial with the specific reason delineated
  - Information as to how to appeal Health Net's determination
  - Suggestions for alternative treatment

Health Net does not pay claims without a Health Net authorization number. Authorization and claims dates must correspond, and the service type must match before payment can be rendered. If the dates of service change after the authorization number has been issued, the provider is required to notify Health Net. When a claim is received without a Health Net authorization number or the dates and services do not match the recorded authorization, further investigation is conducted by the Medical Review Unit (MRU). MRU examines hospital records and authorization notes in Unity to reconcile the discrepancies.

## Non-Delegated Retrospective Review

Retrospective review is the review of medical services after care has been rendered. Retrospective review involves an evaluation of services that fall outside Health Net's established guidelines for coverage or require a medical necessity or benefit determination to authorize a request for payment of a claim.

## Notification of Hospital Admissions

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Hospitals are required to report any Health Net member's, including Individual Family Plan (IFP) Ambetter HMO and Ambetter PPO members inpatient admissions within 24 hours (or one business day when an admission occurs on a weekend or holiday), seven days a week to the [Hospital Notification Unit](#). Failure to notify according to the requirements in the Provider Participation Agreement (PPA) may result in a denial of payment.

On receipt of admission notification, Health Net creates a tracking number and provides it to the reporting party. The tracking number is not, by itself, an authorization that services are covered under a member's benefit plan. Any services authorized by Health Net at the time of notification or thereafter are noted in the Health Net notification system. The tracking number is also transferred electronically to the Health Net claims processing system. To report a Health Net member inpatient admission, contact the Health Net Hospital Notification Unit.

Notification of after-hours admissions may be made by phone (the information is recorded by voicemail), fax, or web. On the next business day, a Health Net representative verifies eligibility, obtains information regarding the admission and, if applicable, provides a tracking number for the case.

When reporting inpatient admissions, the following information must be provided:

- Member name.
- Subscriber identification (ID) number.
- Attending and admitting physicians' first name, last name and contact information.
- Admission date and time of admission.
- Admission type (such as emergency room, elective or urgent).
- Facility name and contact information.
- Level of care.
- Admitting diagnosis code.
- CPT procedure code, if available.
- Facility medical record number.
- Participating physician group (PPG) authorization number (if applicable).
- For obstetrical (OB) delivery admissions, include newborn sex, weight, apgar score, time of birth, and medical record number.
- Discharge date, if applicable.
- Other insurance information, if applicable.

Timely notification of Health Net member inpatient admissions assists with timely payment of claims, reduces retroactive admission reviews and enables Health Net to concurrently monitor member progress. Health Net requires hospitals to notify the Hospital Notification Unit and the PPG (if applicable) or provider of a member's inpatient admission within 24 hours (or one business day when an admission occurs on a weekend or holiday) for the following services:

- All inpatient hospitalizations.
- Skilled nursing facility (SNF) admissions.
- Inpatient rehabilitation admissions.
- Inpatient hospice services.
- Emergency room admissions.

## Electronic medical records or administrative system

In accordance with the Provider Participating Agreement (PPA) and Federal regulation [42 CFR 482.24 section \(d\)](#) , hospitals and facilities must ensure compliance and prompt electronic notification of patient discharges

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and transfers. The following organizations have been designated as qualified health information organizations (QHIOs) and are available to assist with Data Exchange Framework (DxF) requirements:

- [Los Angeles Network for Enhanced Services \(LANES\)](#)
- [Manifest MedEx](#)
- [SacValley MedShare](#)
- [San Diego Health Connect](#)
- [Applied Research Works, Inc.](#)
- [Health Gorilla, Inc.](#)
- [Long Health, Inc.](#)
- [Orange County Partners in Health-Health Information Exchange \(OCPH-HIE\)](#)
- [Serving Communities Health Information Organization \(SCHIO\)](#)

## Requests for Authorization for Post-Stabilization Care at Non-Participating and Participating Hospitals

Health Net is responsible for the coverage and payment of emergency services and post-stabilization care services to the provider that furnishes the services. This can be a participating provider, subcontractor, downstream subcontractor, or nonparticipating provider.

### Requests for post-stabilization authorization

When a member is stabilized after emergency services but needs continued care before safely being discharged or transferred, the health care provider must request an authorization for post-stabilization care. The request must clearly state that the patient has been stabilized and the hospital is requesting authorization for post-stabilization care. Notification to Health Net of emergency room treatment or admission does not satisfy the requirement. Notification of admission for inpatient care does not satisfy the requirement. Post-stabilization requirements do not apply if the member has not been stabilized after emergency services and requires medically necessary continued stabilizing care.

### Response time to requests

Health Net must approve or disapprove a request for post-stabilization care within 30 minutes. The post-stabilization care must be medically necessary for covered medical care. If the response to approve or disapprove the request is not given within 30 minutes, the post-stabilization care request is authorized. This applies to a participating provider, subcontractor, downstream subcontractor, or nonparticipating provider.

### Required documentation

All requests for authorization, and responses to requests, must be documented. The documentation must include, but is not limited to:

- Date and time of the request.
- Name of the provider making the request.
- Name of the Health Net representative responding to the request.

### Conditions of financial responsibility

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Health Net is financially responsible for post-stabilization care services that are not pre-authorized, but are administered to maintain, improve, or resolve the member's stabilized condition if the Plan:

- Does not approve or disapprove a request for post-stabilization care within 30 minutes.
- Cannot be contacted.
- Is unable to reach an agreement with the treating provider concerning the member's care and a Plan physician is not available for consultation.

If this situation applies, the Plan must give the treating provider the opportunity to consult with a Plan physician. The treating provider may continue with care of the member until a Plan physician is reached or one of the following criteria is met:

- A Plan physician with privileges at the treating provider's hospital assumes responsibility for the member's care;
- A Plan physician assumes responsibility for the member's care through transfer;
- The Plan and the treating provider reach an agreement concerning the member's care; or
- The member is discharged

A request for authorization for post-stabilization care can be made to the [Hospital Notification Unit](#). Hospitals are required to provide Health Net with the treating physician and surgeon's diagnosis and any other relevant information reasonably necessary for Health Net to make a decision to authorize post-stabilization care or to assume management of the patient's care by prompt transfer.

A hospital's contact with the patient's participating physician group (PPG) to request authorization to provide post-stabilization care does not satisfy the requirements of the above laws. Hospitals and other providers may not contact the patient's PPG for authorization for post-stabilization care.

## Out-of-Area Services

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals

Health Net provides authorization, concurrent and retrospective utilization review, and care management assistance to members who receive emergency inpatient care outside their service area. Members are encouraged, when possible, to contact their [primary care physician \(PCP\)](#) or participating physician group (PPG) to determine the best plan for obtaining medical care and follow-up when out of the service area. When Health Net is contacted, the [Utilization Management \(UM\) Department](#) notifies the PPG of the member's location and clinical condition. The Health Net UM staff assists the member's PCP, PPG and receiving facility in determining whether the member, in the opinion of the treating provider, can safely be transferred to a Health Net participating facility provider. If it is determined that the member can be safely transferred, Health Net nurses assist as needed with the transfer.

## Separation of Medical Decisions and Financial Concerns

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

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Under California Health & Safety Code Section 1367(g), medical decisions regarding the nature and level of care to be provided to a member, including the decision of who renders the service (for example, [primary care physician \(PCP\)](#) instead of specialist or in-network provider instead of out-of-network provider), must be made by qualified medical providers, unhindered by fiscal or administrative concerns. Utilization management (UM) decisions are, therefore, made by medical staff and based solely on medical necessity. Providers may openly discuss treatment alternatives (regardless of coverage limitations) with members without being penalized for discussing medically necessary care with the member. Health Net requires that each participating physician group (PPG) and hospital's UM program include provisions to ensure that financial and administrative concerns do not affect UM decisions, and that each member of the PPG's UM staff sign an acknowledgment of this. Failure to comply may result in withdrawal of delegated UM and ultimately, termination of the Provider Participation Agreement (PPA) with Health Net.

## Medicare Benefits and Beneficiary Protections

Health Net provides members, at a minimum, with all basic Medicare-covered services by furnishing benefits directly or through our PPG arrangements, or by paying for benefits. Health Net also provides mandatory and optional supplemental benefits. In addition, as a Medicare Advantage Organization (MAO), Health Net and its delegated PPGs must comply with Centers for Medicare and Medicaid Services (CMS) national coverage decisions, general coverage guidelines included in original Medicare manuals and instructions (unless superseded by regulations), and written coverage decisions of local Medicare contractors. Given that Health Net covers geographic areas encompassing more than one local coverage policy area, Health Net and its PPGs must apply the Medicare coverage policy specific to the member's service area

## Utilization Management Goal

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

The goal of the Health Net Utilization Management (UM) and care management (CM) programs is to provide members with access to the health services delivery system in order to receive timely and necessary medical care in the correct setting. Health Net's UM and CM programs comply with all applicable federal and state laws, regulations and accreditation requirements. The UM system is also intended to analyze and measure effectiveness while striving for improvement of services. Health Net's UM system separates medical decisions from fiscal and administrative management to assure that medical decisions are not unduly influenced by fiscal and administrative management.

Health Net gathers encounter data from participating physician groups (PPGs) (if applicable) and data from the Health Net Medical Management System to monitor potential indicators over- and under-utilization. Based on the classification of delegation, the following types of data are collected:

- System-wide data:
  - Member services complaints
  - Member satisfaction surveys
  - PPG transfer rates
- PPG data:
  - Encounter data
  - Unity system reports (such as Monthly Census and Detail reports)
  - PPG report card (profile reports of utilization statistics)
  - UM denial and appeal logs

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# Utilization Management Program Components

## Physicians | Participating Physician Groups (PPG)

Utilization management (UM) is provided through a comprehensive, multi-level and flexible managed care delivery system. Health Net delegates the UM function to participating physician groups (PPGs) following an evaluation of the operational capabilities and performance of each group in the areas of administration, UM, member services, quality improvement (QI), and encounter data submission. Based on Health Net's evaluation, the PPG is assigned delegation or non-delegation status. Health Net does not delegate UM functions to individual [participating providers](#).

These two performance categories define the interface between Health Net and the PPG and allow each PPG to be involved in the medical management process in a manner consistent with the PPG's current level of management sophistication and administrative resources. The categories are administered by Health Net and include different degrees of oversight and operational support.

When Health Net delegates UM operational functions to PPGs, PPGs are required to establish a formal UM program that describes how the delegated UM processes are performed and monitored. Health Net evaluates the effectiveness of the PPG program before UM is delegated and at least annually thereafter. Health Net staff perform UM functions when operational functions are not delegated.

Health Net regional medical directors and clinical program managers are the principal liaisons between Health Net medical management and PPGs. Health Net UM and QI staff located in the corporate and regional offices support these directors and managers. They play an integral part in helping PPGs meet the expectations of Health Net and its members.





# Contacts in Alphabetical Order

[A](#) | [B](#) | [C](#) | [D](#) | [E](#) | [F](#) | [G](#) | [H](#) | [I](#) | [J](#) | [K](#) | [L](#) | [M](#) | [N](#) | [O](#) | [P](#) | [Q](#) | [R](#) | [S](#) | [T](#) | [U](#) | [V](#) | [W](#) | [X](#) | [Y](#) | [Z](#)

## A

- [AcariaHealth](#)
- [Access to Interpreter Services](#)
- [American Specialty Health Plans](#)
- [Animas Diabetes Care, LLC](#)
- [Apria Healthcare, Inc](#)
- [ATG Rehab Specialists, Inc](#)

## B

[Behavioral Health Provider Services](#)

## C

- [Case Management Department](#)
- [Centene Vision Services](#)
- [Connect Hearing, Inc](#)
- [Coram](#)
- [Custom Rehab Network](#)

## D

[Department of Managed Health Care](#)

## E

- [Electronic Claims Clearinghouse Information](#)
- [EviCore Healthcare](#)
- [Evotent Specialty Services, Inc.](#)
- [EyeMed Vision Care](#)



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## F

Financial Oversight Department

## G

## H

- Health Net Care Management Department
- Health Net Claims Submission
- Health Net Continuity and Coordination of Care Department
- Health Net Credentialing Department
- Health Net Decision Power Referral Fax
- Health Net Delegation Oversight Department
- Health Net EDI Claims Department
- Health Net Elect Claims
- Health Net Encounter Department
- Health Net Fraud Hotline
- Health Net Health Equity Department
- Health Net Hospital Notification Unit
- Health Net Mail Order Prescription Drug Program
- Health Net Member Appeals and Grievances Department
- Health Net Member Services Department
- Health Net Provider Communications Department
- Health Net Overpayment Recovery Department
- Health Net Prior Authorization Department
- Health Net Program Accreditation Department
- Health Net Provider Services Center
- Health Net Quality Improvement Department
- Health Net's Regional Medical Directors
- Health Net Transfer/Termination Request Unity
- Health Net Transplant Care Manager
- Health Net Utilization Management Department
- Health Net Wellness and Prevention Department
- HNI Corporate Address
- Hoveround, Inc



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I

J

K

[Kick It California](#)

L

- [LabCorp](#)
- [Linkia, LLC](#)

M

- [Matria Health Care, Inc](#)
- [MiniMed Distribution Corp, Inc](#)
- [Modivcare](#)

N

- [National Seating and Mobility](#)
- [Nurse Advice Line](#)

O

P

- [Peer-to-Peer Review Request Line](#)
- [Pharmacy Services](#)
- [Provider Disputes and Appeals - Commercial](#)
- [Provider Network Management Department](#)
- [Pumping Essentials](#)



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## Q

Quest Diagnostics

## R

- Reinsurance Claims Unit
- Roche

## S

Smiths Medical, Inc

## T

- Transitional Care Services
- Transplant Team

## U

## V

## W

## X

## Y

## Z



# Glossary

Provider Type: Physicians | Participating Physician Groups (PPG) | Hospitals | Ancillary

- AIDS
- Appeal
- Certificate of Insurance (COI)
- Clean Claim
- Clinical Trials
- Complaint
- Emergency
- Evidence of Coverage (EOC)
- Facility Site Review
- Grievance
- Hospice Services
- Inquiry
- Investigational Services
- Medical Necessity
- Medical Waste Management Materials
- Medical Information
- Member Handbook
- Not Medically Necessary
- Offshore
- Opt Out Provider
- Participating Provider
- Primary Care Physician (PCP)
- Psychiatric Emergency Medical Condition
- Residential Treatment
- Telehealth
- Schedule of Benefits or Summary of Benefits (SOB)
- Serious Illness
- Subcontractor
- Unclean Claim



# PDF Forms and References in Alphabetical Order

# | [A](#) | [B](#) | [C](#) | [D](#) | [E](#) | [F](#) | [G](#) | [H](#) | [I](#) | [J](#) | [K](#) | [L](#) | [M](#) | [N](#) | [O](#) | [P](#) | [Q](#) | [R](#) | [S](#) | [T](#) | [U](#) | [V](#) | [W](#) | [X](#) | [Y](#) | [Z](#)

## #

- [837 5010 Professional and Institutional Standards \(PDF\)](#)
- [837 Institutional Companion Guide \(PDF\)](#)
- [837 Professional Companion Guide \(PDF\)](#)

## A

- [AAP Recommendations for the Preventive Pediatric Health Care \(PDF\)](#)
- [Adult AIDS/HIV Confidential Case Report \(PDF\)](#)
- [After-Hours Sample Script - Chinese \(PDF\)](#)
- [After-Hours Sample Script - English \(PDF\)](#)
- [After-Hours Sample Script - Spanish \(PDF\)](#)
- [Autoclave Log \(PDF\)](#)

## B

[Bariatric Surgery Performance Centers \(PDF\)](#)

## C

- [Care Management Referral Form - Commercial Medicare and CMC \(PDF\)](#)
- [Certification for Contracts Grants, loans, and Cooperative Agreements \(PDF\)](#)
- [Clinical Payment Policy CP.MP.152 - Measurement of Serum 1 25-dihydroxyvitamin D \(PDF\)](#)
- [Clinical Payment Policy CP.MP.153 - Helicobacter Pylori Serology Testing \(PDF\)](#)
- [Clinical Payment Policy CP.MP.154 - Thyroid Hormones and Insulin Testing in Pediatrics \(PDF\)](#)
- [Clinical Payment Policy, CCP.MP.155 - EEG in the Evaluation of Headache \(PDF\)](#)
- [Clinical Payment Policy CP.MP.156 - Cardiac Biomarker Testing for Acute Myocardial In farction \(PDF\)](#)
- [Clinical Payment Policy CP.MP.157 - 25-hydroxyvitamin D Testing in Children and Adolescents \(PDF\)](#)
- [Clinical Payment Policy CP.MP.38 - Ultrasound in Pregnancy \(PDF\)](#)
- [Cold Sterilization Log \(PDF\)](#)

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- [Confidential Morbidity Report \(PDF\)](#)

## D

- [Decision Power Referral Fax Form - Commercial and Medicare \(PDF\)](#)
- [Diagnostic Procedures Requiring Prior Authorization for Health Net of California \(PDF\)](#)
- [Directory Removal for At-Risk Providers Form \(PDF\)](#)
- [Disclosure of Lobbying Activities Form and Disclosure Form Instructions \(PDF\)](#)
- [Durable Medical Equipment, Prosthetics, Orthotics, and Supplies Coding Policies \(PDF\)](#)

## E

- [Edinburgh Perinatal/Postnatal Depression Scale \(EPDS\) Questionnaire \(PDF\)](#)
- [Eligibility Report Field Descriptions \(PDF\)](#)

## F

## G

## H

[Hepatitis B Vaccination Declination \(PDF\)](#)

## I

- [ICD-10-CM Codes for Abortion-Related Services \(PDF\)](#)
- [Identification card \(Primary EPO\) \(PDF\)](#)
- [Individual Family Plan member eligibility status displayed on the secure provider portal \(PDF\)](#)
- [Injectable Medication HCPCS/DOFR Crosswalk \(PDF\)](#)
- [Inpatient California Health Net Commercial Prior Authorization \(PDF\)](#)
- [Interpreter Service Quick Reference Card \(PDF\)](#)



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J

K

L

[Language Identification Poster \(PDF\)](#)

M

- [Medical Record - Adult Health Maintenance Checklist With Standards \(PDF\)](#)
- [Medical Record - Advance Directive Labels \(PDF\)](#)
- [Medical Record - Audiometric Screening \(PDF\)](#)
- [Medical Record - History Spanish \(PDF\)](#)
- [Medical Record - Medication and Chronic Problem Summary \(PDF\)](#)
- [Medical Record - Signature Page \(PDF\)](#)
- [Medical/Behavioral Comanagement/Coordination of Care Form \(PDF\)](#)

N

[Nondiscrimination Notice and Taglines \(PDF\)](#)

O

- [Offshore Subcontracting Attestation: Participating Provider \(PDF\)](#)
- [Outpatient California Health Net Commercial Prior Authorization \(PDF\)](#)

P

- [Palliative Care Providers \(contracted\)](#)
- [Physical or Speech Therapy \(PDF\)](#)
- [Potential Quality Issue Referral Form \(PDF\)](#)
- [PPG Professional Batch Form \(PDF\)](#)
- [Prescription Drug Prior Authorization or Step Therapy Exception Form \(PDF\)](#)
- [Prostate Cancer Treatment Information Sign \(PDF\)](#)
- [Provider Dispute Resolution Request - Commercial and Medi-Cal \(PDF\)](#)
- [Provider Dispute Resolution Request - IFP \(PDF\)](#)

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## Q

[Quick Reference Guide \(PDF\)](#)

## R

- [Reconstructive Surgery Decision Tree \(PDF\)](#)
- [Reportable Diseases \(PDF\)](#)
- [Request for Confidential Communication Form \(PDF\)](#)

## S

[Sample - Hospital Refusal Letter \(PDF\)](#)

## T

- [Transition of Care Management Worksheet](#)
- [Transplant Performance Centers \(PDF\)](#)

## U

- [Urgent Request for Continuing Home Health Services \(PDF\)](#)
- [Urgent Request for Continuing Occupational, Physical or Speech Therapy \(PDF\)](#)
- [Utilization Management Timeliness Standards - Commercial \(PDF\)](#)

## V

[Verifying Eligibility \(Individual and Family Plans\) \(PDF\)](#)



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W

X

Y

Z



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# **Health Net, LLC.**

HIPAA Transaction 837 Professional  
Standard Companion Guide

**Refers to the Implementation Guides  
Based on X12 version 005010X222A1**

**Companion Guide Version Number: 2.1**

**February 22, 2019**

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## Disclosure Statement

This Companion Guide describes the EDI requirements for the submission of CA and Arizona Encounters to Health Net. Throughout the remainder of this document Health Net, LLC. will be referred to HNT to describe the all regions of Health Net.

## Preface

This Companion Document to the ASC X12N Implementation Guides adopted under HIPAA clarifies and specifies the data content when exchanging electronically with Health Net, LLC. Transmissions based on this companion document, used in tandem with the X12N Implementation Guides, are compliant with both X12 syntax and those guides. This Companion Guide is intended to convey information that is within the framework of the ASC X12N Implementation Guides adopted for use under HIPAA. The Companion Guide is not intended to convey information that in any way exceeds the requirements or usage of data expressed in the ASC X12N 837 Implementation Guides.

EDITOR'S NOTE:  
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# 1 Introduction

## 1.1 Scope

This Companion document supports the implementation of a batch processing application.

HNT will accept inbound submissions that are formatted correctly in X12 requirements. The files must comply with the specifications outlined in this companion document as well as the corresponding HIPAA implementation guide.

HNT EDI applications will edit for these conditions and reject files that are out of compliance.

This companion document will specify everything that is necessary to conduct EDI for this standard transaction. This includes;

- Specifications on the communications link
- Specifications on the submission methods
- Specifications on the transactions

## 1.2 Overview

This companion guide complements the ASC X12N 837 Professional implementation guide currently adopted by HIPAA.

This companion guide will be the vehicle that HNT uses with its trading partners to further qualify the HIPAA adopted implementation guide. This companion guide is compliant with the corresponding HIPAA implementation guide in terms of data element and code sets standards and requirements.

Data elements that require mutual agreement and understanding will be specified in this companion guide. Types of information that will be clarified within this companion are:

- Qualifiers that will be used from the HIPAA implementation guides to describe certain data elements
- Situational segments and data elements that will be utilized to satisfy business conditions
- Trading partner profile information for purpose of establishing who we are trading with for the transmissions exchanged

## 1.3 References

### ASC X12N Implementation Guides

1. Health Care Claim: Professional
  - 837 (005010X222A1)

## 1.4 Additional Information

Electronic Data Interchange (EDI) is the computer-to-computer exchange of formatted business data between trading partners. The computer system generating the transactions must supply complete and accurate information while

the system receiving the transactions must be capable of interpreting and utilizing the information in ASC X12N format, without human intervention.

The transactions must be sent in a specific format that will allow HNT's computer application to translate the data. HNT supports the standard transactions adopted from HIPAA. Maintains a dedicated staff for the purpose of enabling and processing X12 EDI transmissions with its trading partners.

It is the goal of HNT to establish trading partner relationships and to conduct EDI as opposed to paper information flows whenever and wherever possible.

## 1.5 National Provider Identifier

The Health Insurance Portability and Accountability Act of 1996 (HIPAA) mandated that the Secretary of Health and Human Services adopt a standard unique health identifier for health care providers. On January 23, 2004, the Secretary published a Final Rule that adopted the National Provider Identifier (NPI) as this identifier.

HIPAA covered healthcare providers that choose to submit transactions electronically, whether they are individuals or organizations, must obtain an NPI for use to identify themselves in HIPAA standard transactions. Once enumerated, the National Provider Identifier (NPI) is meant to be a lasting identifier, and would not change based on changes in a health care provider's name, address, ownership, membership in health plans or Healthcare Provider Taxonomy classification.

HIPAA covered entities such as providers completing electronic transactions, healthcare clearinghouses, and large health plans (including Health Net), must use only the NPI in the primary identifier position to identify covered healthcare providers in standard transactions by May 23, 2007. Small health plans must use only the NPI by May 23, 2008.

This companion guide has been updated to reflect how the NPI will be integrated in the 837 X12 transaction.

## 2 Getting Started

### 2.1 Working with Health Net, LLC

Contact HNT EDI Dept. for all EDI related customer service requests. (Contact information is identified in section 5 below.)

There are three units within HNT that work internally to complete EDI service requests from our trading partners.

The first unit is HNT EDI Operations Dept. This group will serve as the trading partner's central point of contact. This group will also facilitate the implementation of trading partners through all steps of external testing.

The second unit is HNT EDI IT infrastructure group. This is a technical team that implements the communication link and ensures that trading partner to payer connectivity is established properly.

The third unit is HNT EDI IT Translator team. This group is responsible for our inbound and outbound X12 Translator applications.

## 2.2 Trading Partner Registration

To register as a trading partner with HNT the following sequence of events will take place.

1. Initial conversations are held between the trading partner and HNT
2. Verbal agreements are reached to agree on the transactions that will be conducted.
3. A trading partner agreement and associated companion guides are provided and reviewed.
4. Submitter Id and Receiver Id are established for the purpose of identification.
5. Required trading partner profiling is built into our HNT EDI translator.
6. Test files are exchanged and test runs conducted.
7. Once a brief testing phase is completed and a trading partner agreement is in place; the trading partner is registered.

## 2.3 Certification and Testing Overview

HNT requires its trading partners to show evidence of third party certification. This is consistent with industry standard conventions that have been adopted for HIPAA Transactions and Code Sets implementation.

HNT will also show evidence of third party certification for standard transactions.

This requirement exists so that the process to test and implement a trading partner for the purpose of conducting EDI with standard transactions is a smooth and efficient process.

The complexity of X12 files when not tested and certified by a third party will cause delays in the ability to enable the X12 submissions in a production environment.

HNT wants to spend the majority of the testing period time, working with prospective trading partners on the agreed components of this companion document rather than X12 or HIPAA implementation guide syntax.

HNT will be certified incorporating the following WEDI/SNIP levels of testing where applicable:

- Level 1, Integrity Testing (X12 Syntax)
- Level 2, Requirement Testing (HIPAA Implementation Guide Syntax)
- Level 3, Balancing Testing (i.e. 835 claim line balancing to the claim document)
- Level 4, Situation Testing (Use of Situational Segments that business relevant)
- Level 5, Code Sets Testing
- Level 6, Product Types/Types of Service Testing (i.e. provider specialties)

## 3 Testing with the Payer

HNT would like to establish with the trading partner a set of scenarios that are intended for testing. This can be a high level description of the contents of the transaction. It should be a representation or cross section of the majority of conditions that will be encountered with production data from these transactions.

HNT requires testing be completed with all trading partners. The testing phase will consist of several smaller phases of testing, as appropriate.

### **3.1 HIPAA Compliance Testing**

HNT uses an industry standard data translator to validate transactions meet the 6 levels of HIPAA compliance, and to translate them into an acceptable format for internal processing. The 997/999 Acknowledgement will be tested during this phase. Any issues identified during this phase of testing will have to be addressed in order for subsequent phases to continue. HNT will use the 277CA for claims acknowledgements.

### **3.2 Trading Partner Agreement Testing**

Trading partner specific setup, as defined in either the trading partner agreement or companion guide will be verified. Generally, this will be done in conjunction with Compliance testing.

### **3.3 Functional and Regression Testing**

Once the transactions have successfully tested through GXS and trading partner specifications, they will be processed through our internal system to ensure they are handled appropriately. Response transactions will be generated during this phase, where applicable.

### **3.4 Parallel Testing**

Depending on the stage of the HNT implementation, a period of parallel testing may be required. This would involve sending the current proprietary transaction format, as well as, sending the same transactions in the x12 format, to our test system. This phase will allow for the comparative analysis necessary to ensure appropriate handling by our system.

## **4 Connectivity with the Payer / Communications**

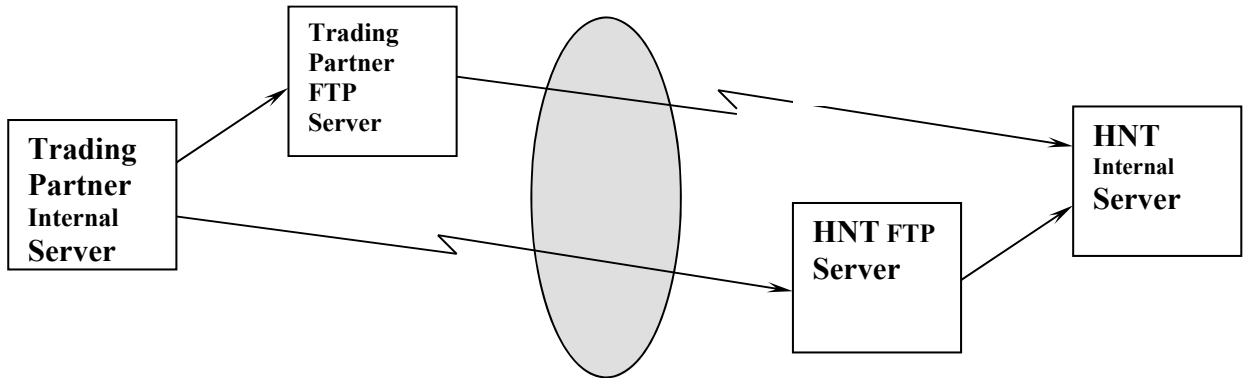
### **4.1 Process flows**

Three file exchange methods are supported to enable batch data file transmission; (1) FTP of encrypted data over the Internet, (2) use of Connect: Direct (NDM) over the AT&T AGNS (formerly Advantis) SNA network, and (3) FTP over frame relay for trading partners with very high volumes.

#### **4.1.1 FTP of Encrypted data over the Internet**

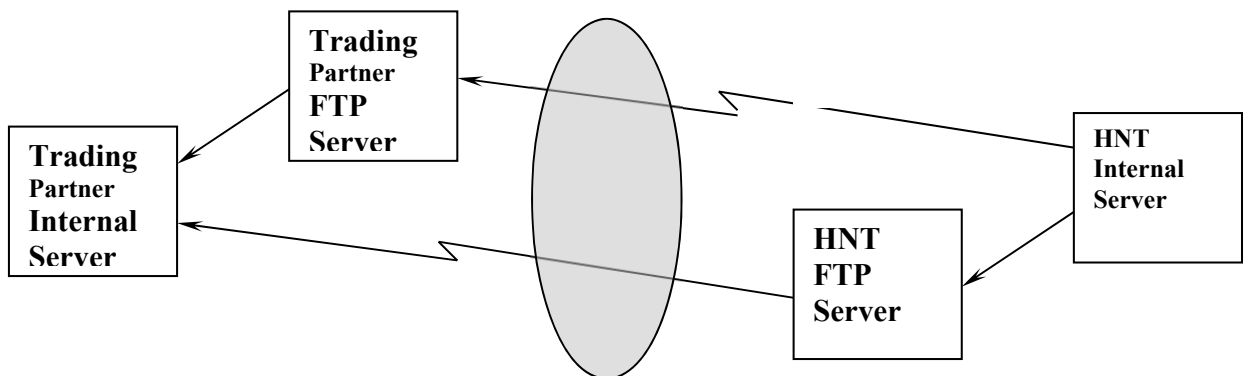
One method of exchanging data files is encrypting the file, sending it over the Internet where it is then decrypted. For data inbound to HNT (see Figure 4.1), the trading partner would encrypt the data on an internal server and then transfer to either a trading partner owned FTP server or to HNT FTP server. Then, HNT will retrieve the encrypted file from either the trading partner FTP server or from HNT FTP server to an internal server where the file is decrypted and processed.

**Figure 4.1.1A**  
**FTP of Encrypted Data over the Internet from Trading Partner to HNT**



For data outbound from HNT (see Figure 4.1.1B), HNT will generate the X12 data file and encrypt it. Once encrypted, the file will be sent either to HNT's FTP server or the trading partners FTP server. Then the trading partner can retrieve the file from the appropriate FTP server, transfer it to their internal system, encrypt it and process.

**Figure 4.1.1B**  
**FTP of Encrypted Data over the Internet from HNT to Trading Partner**



**4.1.2 Use of Connect: Direct (NDM) over the AT&T AGNS (Advantis) SNA Network**

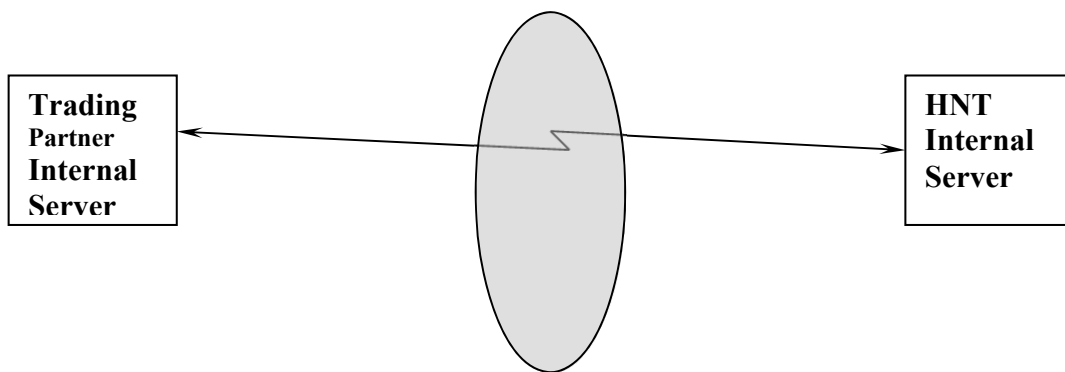
Data may also be exchanged over the AT&T AGNS (formerly Advantis) SNA network (see Figure 4.3). The transmission software must be Sterling

Commerce Connect:Direct (formerly NDM). For data inbound to HNT, the trading partner will make the data file available on their internal server. HNT will retrieve the data from the trading partner server with Connect:Direct (preferred) or the trading partner may initiate the transfer and send the data to HNT's internal server.

Data outbound from HNT takes just the opposite path with either HNT (preferred) or the trading partner initiating the file transfer.

Data transferred over the AGNS network may be encrypted or sent in clear text.

**Figure 4.1.2**  
**Connect:Direct Transfer over the AT&T AGNIS Network**



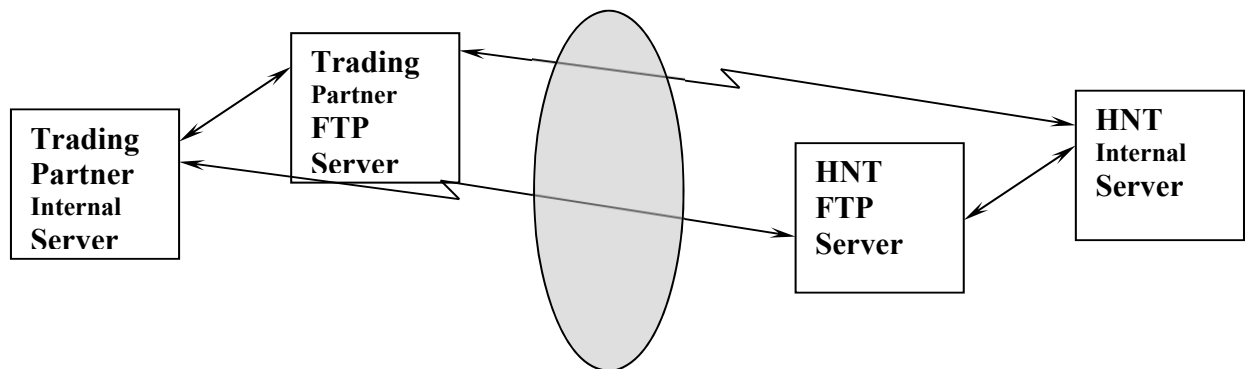
### 4.1.3 FTP Over Frame Relay

For trading partners with very large data volume to exchange with HNT, a private virtual circuit may be established over a frame relay link (see Figure 4.4). Once established, data will be exchanged similarly to the FTP over the Internet approach except the data will not flow over the Internet.

Data transferred over the frame relay network may be encrypted or sent in clear text.

**Figure 4.1.3**

### FTP Over Frame Relay



## 4.2 Transmission Administrative Procedures

Before establishing data communications with HNT, a trading partner relationship must exist. As part of the process establishing the relationship, HNT and the trading partner must exchange certain technical information. This information is needed by both parties in order to establish communications.

The information requested will include:

1. Contacts; business, data and communications
2. Dates; testing, production
3. File information; size, naming
4. Transfer; schedule, protocol
5. Server information; host name, userID, password, file location, file name
6. Notification; failure, success

### 4.2.1 Re-transmission procedures

When a file needs to be retransmitted, the trading partner will contact their primary contact at HNT. At that time, procedures will be followed for HNT to accept and re-transmit a file.

## 4.3 Communication protocol specifications

### 4.3.1 FTP over the Internet

The following items are required to exchange data with HNT utilizing FTP over the Internet. The trading partner is responsible for the acquisition and installation of these items. This list assumes that HNT FTP server will be used.

1. Internet Connectivity; if large files will be exchanged, then the trading partner should consider a broadband connection.
2. Computer with FTP client and connectivity to the Internet.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with HNT via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include confirming FTP connectivity, exchanging PGP public keys and performing end-to-end communications testing.

Before sending data to HNT, the data must be encrypted with PGP and then sent to the Health Net FTP using the FTP client over the Internet connection. When receiving data from Health Net, the FTP client will be used to get the data from the HNT FTP server after which PGP will be used to decrypt the data.

### **4.3.2 Connect: Direct over the AT&T AGNS Network**

The following items are required to exchange data with HNT utilizing Connect: Direct (formerly NDM) over the AT&T AGNS network (formerly Advantis).

1. SNA Connectivity to the AT&T AGNS network.
2. Connect:Direct software loaded and configured on an applicable host system. HNT runs Connect:Direct on an OpenVMS system. Not all Connect:Direct versions are compatible with Connect:Direct for OpenVMS. The trading partner must confirm that their version is compatible.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with HNT via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include the exchange of Connect:Direct parameters (APPLID, LUs, etc.), submission of security requests to AT&T and end-to-end communications testing.

Using Connect:Direct, data may be “pushed” or “pulled” by either party. HNT prefers to initiate the connection. Data is exchanged when one party initiates a Connect:Direct session with the other and either “pushes” or “pulls” a file to/from the other party.

### **4.3.3 FTP over Frame Relay**

This method of communications is only appropriate for trading partners with a very high and frequent volume. The initial setup of this method can be lengthy.

The following items are required to exchange data with HNT utilizing FTP over Frame Relay.

1. Connectivity to a Frame Relay network common with HNT.



2. Computer with FTP client and connectivity to the Internet.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with HNT via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include the exchange of Frame Relay PVC parameters and submission of a request to the frame relay carrier for connectivity. Once connectivity is established at the frame relay level, this method is similar to the FTP over the Internet method.

## 4.4 Passwords

HNT requires the use of UserIDs and Passwords to access its systems and servers. If HNT's FTP server is to be used to exchange data, HNT will assign each trading partner a unique UserID and Password. The UserID and other information will be communicated with the trading partner via e-mail. However, the password will be communicated via another method such as phone or fax.

In the event a trading partner forgets their password, HNT will change the password after verifying the authenticity of the request.

HNT will not utilize a trading partner owned FTP server that is not protected with a UserID and password.

## 4.5 Encryption

HNT requires the encryption of data that is exchanged via the Internet or any other public network. HNT utilizes PGP with 1024 or 2048 bit keys for file encryption.

# 5 Contact information

## 5.1 HNT EDI Department

HNT EDI Dept. is the central point of contact for all trading partner EDI activity including questions relating to file submissions. They will triage the issue and route EDI questions to one of three EDI areas for resolution.

Once resolution is reached, trading partners will receive a response from this same central EDI Dept.

The three areas within HNT EDI that work on EDI customer service issues are;

- HNT IT EDI Translator Team
- HNT IT Payer Connectivity and Infrastructure Team
- HNT EDI Business Operations Team

Contact Phone numbers for our HNT EDI Department:  
North East and AZ: 1-866-334-4638  
CA and OR: 1-800-977-3568

## 6 Control Segments / Envelopes

### 6.1 ISA-IEA

See Transaction Specifications, Section 10.

### 6.2 GS-GE

See Transaction Specifications, Section 10.

### 6.3 ST-SE

See Transaction Specifications, Section 10.

## 7 Payer Specific Business Rules and Limitations

- All monetary amounts are to include decimal points with two positions allowed to the right of the decimal point to represent cents.
- CLM segments per patient loop is limited to 100 CLM segments
- Service lines per CLM loop must be limited to 50 service lines
- Billing Provide Name Contact Information (Loop ID 2010AA) is limited to one instance.
- The following segments should **not** be sent:
  - Loop 2010AA REF - Credit/Debit Card Billing Information.
  - Loop 2010BA REF- Property and Casualty Number
  - Loop 2010BD NM1 and REF- Credit/Debit Card Holder Name and Information
  - Loop 2010CA REF- Property and Casualty Claim Number
  - Loop 2300 AMT – Credit/Debit Card Maximum

## 8 Acknowledgements and or Reports

997/999 and 277CA Acknowledgement will be sent so the trading partner will get confirmation that we received their 837 submission.

## 9 Trading Partner Agreements

Trading Partner Agreements specify the terms and conditions by which transactions are exchanged electronically with HNT.

This companion document will be an addendum to the trading partner agreement that is signed by both HNT and the trading partner with whom EDI is to be conducted.

Health Net, LLC.'s trading partner agreement is attached as an appendix to this companion document. The version of X12N that Health Net, LLC. is supporting will be identified in the trading partner agreement. As versions offered by HNT change to newer releases of X12N and adopted by HIPAA, the trading partner agreement will be amended to reflect the version changes as they occur and become required.

## 10 Transaction Specification Information

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
C.3	Interchange Control Header	ISA01	Authorization Information Qualifier	R	2/2	00 – No Authorization Information Present
		ISA02	Authorization Information	R	10/10	Spaces
		ISA03	Security Information Qualifier	R	2/2	00 – No Security Information Present
		ISA04	Security Information	R	10/10	Spaces
		ISA05	Interchange Sender Qualifier	R	2/2	30 – Federal Tax ID ZZ – Mutually Defined
		ISA06	ISA Sender ID	R	15/15	(As agreed upon)
		ISA07	Interchange Receiver Qualifier	R	2/2	30 – Federal Tax ID ZZ – Mutually Defined
		ISA08	ISA Receiver ID	R	15/15	HNT Tax ID - 954402957 (As agreed upon)
		ISA09	Interchange Date	R	6/6	Date of Transmission (YYMMDD)
		ISA10	Interchange Time	R	4/4	Time of Transmission (HHMM)
		ISA11	Repetition Separator	R	1/1	
		ISA12	Interchange Control Version Number	R	5/5	00501
		ISA13	ISA Control Number	R	9/9	Control number assigned by the sender, Must be identical to control number in IEA02
		ISA14	Acknowledgement Indicator	R	1/1	1 - Send TA1, 0 - Do not send TA1
		ISA15	Usage Indicator	R	1/1	T - Test, P - Production
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
C.7	Functional Group Header	GS01	Functional Identifier Code	R	2/2	HC - Health Care Claim (837)
		GS02	GS Sender's Code	R	2/15	(As agreed upon)
		GS03	GS Receiver's Code	R	2/15	HNCA-ENC (As agreed upon)
		GS04	Group GS Date	R	8/8	Functional group creation date (CCYYMMDD)
		GS05	Group GS Time	R	4/8	Functional group creation time (HHMM)
		GS06	Group Control Number	R	1/9	Control number assigned by the sender
		GS07	Responsible Agency Code		1/2	X accredited standards committee
		GS08	Version /Release ID Code	R	1/12	005010X222A1
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
70	Transaction Set Header	ST01	Transaction Set Identifier Code	R	3/3	837 - Health Care Claim: Professional
		ST02	Transaction Set Control Number	R	4/9	Unique control number assigned by sender's translator
		ST03	Transaction Set Version	R	1/35	Matches GS08 value

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
71	Beginning of Hierarchical Transaction	BHT01	Hierarchical Structure Code	R	4/4	<b>0019</b> (Information Source, Subscriber, Dependent)
		BHT02	Transaction Set Purpose Code	R	2/2	00 - Original 18 - Reissue
		BHT03	Originator Application Transaction Identifier	R	1/50	
		BHT04	Application Creation Date	R	8/8	CCYYMMDD
		BHT05	Application Creation Time	R	4/8	
		BHT06	Claim or Encounter Indicator	R	2/2	Identifies cap vs. fee for service claims RP - Reporting ( <b>Encounters/ Capitation</b> )
Page #:	Loop Id	Reference	Name	Codes	Length	Notes/ Comments
74	1000A	NM101	Entity Identifier Code	R	1/1	<b>41</b> (Submitter)
		NM102	Entity Type Qualifier	R	1/60	<b>1</b> - person, <b>2</b> - Non-Person
		NM103	Submitter Name	R	1/60	
		NM104	Submitter First Name	S	1/35	
		NM105	Submitter Middle Name	S	1/25	
		NM106 NM107	Not Used by HIPAA			
		NM108	Identification Code	R	1/2	46 Electronic Transmitter ID Number ETIN).
		NM109	Submitter Electronic Transmitter ID	R	2/80	9-digit HNT Submitter ID ( <b>Assign by Health Net</b> )
		NM110- NM112	Not Used by HIPAA			
		Page #:	Loop Id	Reference	Name	Codes
76	1000A	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact
		PER02	Submitter Contact Name 1	S	1/60	
		PER04/06 /08	Contact Telephone Number 1	R	1/256	<b>PER03,05,07=TE</b>
		PER06/08	Contact Telephone Extension 1	R	1/256	<b>PER05,07=EX</b>
		PER04/06 /08	Contact Fax Number 1	R	1/256	<b>PER03,05,07=FX</b>
		PER04/06 /08	Contact Email Address 1	R	1/256	<b>PER03,05,07=EM</b>
		PER09	Not Used by HIPAA			
		PER02	Submitter Contact Name 2	S	1/60	Used if more contact information needed. Inbound: Populated by EDI translator. Outbound: Determined by EDI Business.
		PER04/06 /08	Contact Telephone Number 2	S	1/256	<b>PER03,05,07=TE</b>
		PER06/08	Contact Telephone Extension 2	S	1/256	<b>PER05,07=EX</b>
		PER04/06 /08	Contact Fax Number 2	S	1/256	<b>PER03,05,07=FX</b>
		PER04/06 /08	Contact Email Address 2	S	1/256	<b>PER03,05,07=EM</b>
		PER09	Not Used by HIPAA			
		Page #:	Loop Id	Reference	Name	Codes

79	1000B	NM101	Entity Identifier Code	R	2/3	40 (Receiver)
		NM102	Entity Type Qualifier	R	1/1	2 (Non-Person Entity)
		NM103	Receiver Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	46 Electronic Transmitter ID Number (ETIN)
		NM109	Receiver Electronic Transmitter ID Number	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
83	2000A	PRV01	Provider Code	R	1/3	BI (Billing)
		PRV02	Reference Identification Qualifier	R	2/3	PXC (Provider Taxonomy Code)
		PRV03	Billing Provider Taxonomy Code	R	1/50	<b>(REQUIRED)</b>
		PRV04- PRV06	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
84	2000A	CUR01	Entity Identifier Code	R	2/3	85 (Billing Provider)
		CUR02	Currency Code	R	3/3	
		CUR03- CUR16	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
87	2010AA	NM101	Entity Identifier Code	R	2/3	85 (Billing Provider)
		NM102	Entity Type Qualifier	R	1/1	1=Person 2=Organization
		NM103	Billing Provider Name	R	1/60	
		NM104	Billing Provider First Name	S	1/35	
		NM105	Billing Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Billing Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	XX HIPAA National Provider ID
		NM109	Billing Provider Primary NPI	R	2/80	<b>REQUIRED</b>
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
87	2010AA	N301	Billing Provider Address 1	R	1/55	
		N302	Billing Provider Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
92	2010AA	N401	Billing Provider City	R	2/30	
		N402	Billing Provider State	S	2/2	
		N403	Billing Provider Zip Code	S	3/15	(Nine digit zip code)
		N404	Billing Provider Country Code	S	2/3	Required only if country is not USA.

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		N405 N406	Not Used by HIPAA			
		N407	Billing Provider Sub Country Code	S	1/3	Required only if country is not USA.
94	2010AA	REF01	Reference Identification Qualifier	R	2/3	<b>EI Employer's identification number (IRS ID number)</b> SY Social Security Number
		REF02	Billing Provider Taxpayer ID	R	1/50	
		REF02	Billing Provider SSN	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
96	2010AA	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> (State License Number) <b>1G</b> (Provider UPIN Number)
		REF02	Billing Provider Identification	S	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
98	2010AA	PER01	Contact Function Code	R	2/2	<b>IC</b> Billing provider
		PER02	Billing Provider Contact Name 1	S	1/60	
		PER04/06 /08	Contact Telephone Number 1	S	1/256	<b>PER03,05,07=TE</b>
		PER06/08	Contact Telephone Extension 1	S	1/256	<b>PER05,07=EX</b>
		PER04/06 /08	Contact Fax Number 1	S	1/256	<b>PER03,05,07 = FX</b>
		PER04/06 /08	Contact Email Address 1	S	1/256	<b>PER03,05,07 = EM</b>
		PER09	Not Used by HIPAA			
		PER02	Billing Provider Contact Name 2	S	1/60	Used if more Billing Provider contact information needed.
		PER04/06 /08	Contact Telephone Number 2	S	1/256	<b>PER03,05,07=TE</b>
		PER06/08	Contact Telephone Extension 2	S	1/256	<b>PER05,07=EX</b>
		PER04/06 /08	Contact Fax Number 2	S	1/256	<b>PER03,05,07 = FX</b>
		PER04/06 /08	Contact Email Address 2	S	1/256	<b>PER03,05,07 = EM</b>
		PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
101	2010AB	NM101	Entity Identifier Code	R	2/3	87 Pay to provider
		NM102	Entity Type Qualifier	R	1/1	1 person 2 non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

Page	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
116	2000B	SBR01	Payer Responsibility Sequence Number Code	R	1/1	P - Primary S - Secondary T - Tertiary A - Payer Responsibility Four B - Payer Responsibility Five C - Payer Responsibility Six D - Payer Responsibility Seven E - Payer Responsibility Eight F - Payer Responsibility Nine G - Payer Responsibility Ten H - Payer Responsibility 11 U - Unknown
		SBR02	Individual Relationship Code	S	2/2	Individual Relationship Code "18" - Self, if patient is subscriber. Blank otherwise
		SBR03	Insured Group or Policy Number	S	1/50	
		SBR04	Insured Group Name	S	1/60	
		SBR05	Insurance Type Code	S	1/3	12 - Medicare Secondary Working Aged Beneficiary or Spouse with Employer Group Health Plan 13 - Medicare Secondary ESRD Beneficiary in 12 month coordination period with employer's group health plan 14 - Medicare Secondary, No-fault Insurance including Auto as Primary 15 - Medicare Secondary Worker's Compensation 16 - Medicare Secondary PHS or Other Federal Agency 41 - Medicare Secondary Black Lung 42 - Medicare Secondary Veteran's Administration 43 - Medicare Secondary Disabled Beneficiary Under Age 65 with LGHP 47 - Medicare Secondary, Other Liability Insurance Primary
		SBR06- SBR08	Not Used by HIPAA			
		SBR09	Claim Filing Indicator Code	S	1/2	11 - Other Non-Federal Programs 12 - PPO 13 - POS 14 - EPO 15 - Indemnity 16 - HMO Medicare Risk 17 - Dental Maintenance Organization AM - Automobile Medical BL - Blue Cross/Blue Shield CH - CHAMPUS CI - Commercial Insurance Company DS - Disability HM - HMO FI - Federal Employees Program LM - Liability Medical MA - Medicare Part A MB - Medicare Part B MC - Medicaid OF - Other Federal Program TV - Title V VA - Veteran Administration Plan WC - Workers' Compensation Health Claim ZZ - Mutually Defined

#:						
119	2000B	PAT01- PAT04	Not Used by HIPAA			
		PAT05	Date Time Period Format Qualifier	R	2/3	<b>D8 - Date</b> Applies to Subscriber, blank for dependent
		PAT06	Insured Date of Death	R	1/35	
		PAT07	Unit or Basis Measurement Code	R	2/2	<b>01</b> (Actual Pounds)
		PAT08	Insured (Patient) Weight	R	1/10	
		PAT09	Pregnancy Indicator	R	1/1	Y - Yes
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
121	2010BA	NM101	Entity Identifier Code	R	2/3	<b>IL</b> Insured or Subscriber
		NM102	Entity Type Qualifier	R	1/1	1 - person, 2 – Non-Person
		NM103	Subscriber Last Name	R	1/60	
		NM104	Subscriber First Name	S	1/35	
		NM105	Subscriber Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Subscriber Name Suffix	S	1/10	
		NM108	Subscriber Primary ID	S	2/80	<b>MI</b> Member identification number  <i>II HIPAA National Individual Identifier (future use)</i>
		NM109	Subscriber Primary ID	S	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
124	2010BA	N301	Subscriber Address 1	R	1/55	
		N302	Subscriber Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
125	2010BA	N401	Subscriber City Name	R	2/30	
		N402	Subscriber State	S	2/2	
		N403	Subscriber Zip Code	S	3/15	
		N404	Subscriber Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Subscriber Sub-Country Code	S	2/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
127	2010BA	DMG01	Date Time Period Format Qualifier	R	2/3	<b>D8</b> Date
		DMG02	Subscriber Birth Date	R	1/35	
		DMG03	Subscriber Gender Code	R	1/1	F - Female M - Male U - Unknown
		DMG04- DMG11	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
129	2010BA	REF01	Reference Identification Qualifier	R	2/3	<b>SY</b> SSN (cannot be used for Medicare)
		REF02	Subscriber SSN	R	1/50	



Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		REF03 REF04	Not Used by HIPAA			
130	2010BA	REF01	Reference Identification Qualifier	R	2/3	<b>Y4</b> Agency Claim Number
		REF02	Property/Casualty Agency ID number	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
131	2010BA	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact
		PER02	Property Casualty Patient Contact Name	S	1/60	
		PER03	Communication Number Qualifier	R	2/2	<b>TE</b> Telephone
		PER04	Contact Telephone Number	R	1/256	
		PER05	Communication Number Qualifier	R	2/2	<b>EX</b> Telephone Ext.
		PER06	Contact Telephone Extension	S	1/256	
		PER07- PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
133	2010BB	NM101	Entity Identifier Code	R	2/3	<b>PR</b> Payer
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> – Non-Person
		NM103	Payer Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>PI</b> Payer identification number <b>XV</b> HCFA National Plan ID (future use)
		NM109	Payer Primary ID XV	S	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
135	2010BB	N301	Payer Address 1	R	1/55	
		N302	Payer Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
136	2010BB	N401	Payer City Name	R	30	
		N402	Payer State	S	2	
		N403	Payer Zip Code	S	3/15	
		N404	Payer Country Code	S	3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Payer Sub-Country Code	S	3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
138	2010BB	REF01	Reference Identification Qualifier	R	2/3	<b>2U</b> Supplemental payer id number <b>FY</b> Claim office number <b>EI</b> Federal Taxpayer's ID Number
		REF02	Payer Secondary ID	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
140	2010BB	REF01	Reference Identification Qualifier	R	2/3	<b>LU</b> Provider Location ID Number <b>G2</b> Provider Commercial ID Number
		REF02	Billing Provider Secondary ID	R	1/50	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		REF03 REF04	Not Used by HIPAA			
144	2000C	PAT01	Dependent Relationship Code	R	2/2	01 - Spouse 19 - Child 20 - Employee 21 - Unknown 39 - Organ Donor 40 - Cadaver Donor 53 - Life Partner G8 - Other Relationship
		PAT02- PAT04	Not Used by HIPAA			
		PAT06	Insured Date of Death	R	1/35	<b>D8</b> Date
		PAT08	Insured (Patient) Weight	R	1/10	<b>01</b> Actual Pounds
		PAT09	Pregnancy Indicator	R	1/1	Y - Yes
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
147	2010CA	NM101	Entity Identification Code	R	2/3	<b>QC</b> Patient
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	Dependent Last Name	R	1/60	
		NM104	Dependent First Name	R	1/35	
		NM105	Dependent Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Dependent Suffix Name	S	1/10	
		NM108- NM111	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
149	2010CA	N301	Dependent Address 1	R	1/55	
		N302	Dependent Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
150	2010CA	N401	Dependent City Name	R	2/30	
		N402	Dependent State	S	2/2	
		N403	Dependent Zip Code	S	3/15	
		N404	Dependent Country Code	S	2/3	Required only if country not USA.
		N405 N406	Not Used by HIPAA			
		N407	Dependent Sub-Country Code	S	2/3	Required only if country not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
152	2010CA	DMG01	Date Time Period Format Qualifier		2/3	<b>D8</b> Date
		DMG02	Dependent Birth Date	R	1/35	
		DMG03	Dependent Gender Code	R	1/1	F - Female M - Male U - Unknown (Note: Required on Outbound)
		DMG04- DMG11	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
154	2010CA	REF01	Reference Identification Qualifier	R	2/3	<b>Y4</b> Property/Casualty Agency identification number
		REF02	Dependent Secondary ID Y4	R	1/50	
		REF03 REF04	Not Used by HIPAA			

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
155	2010CA	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact
		PER02	Property Casualty Patient Contact Name	S	1/60	
		PER03	Communication Number Qualifier	R	2/2	<b>TE</b> Telephone
		PER04	Contact Telephone Number	R	1/256	
		PER05	Communication Number Qualifier	S	2/2	<b>EX</b> Telephone Ext.
		PER06	Contact Telephone Extension	S	1/256	
		PER07- PER09	Not Used by HIPAA		1/60	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
157	2300	CLM01	Patient Account Number	R	1/38	
		CLM02	Total Claim Charge Amount	R	1/18	
		CLM03 CLM04	Not Used by HIPAA			
		CLM05-01	Facility Type Code	R	1/2	Place of service
		CLM05-02	Facility Code Qualifier	R	1/1	<b>B</b> Claim submission reason code.
		CLM5-03	Claim Frequency Code	R	1/1	1 = Original 7 = Replacement/Adjustment 8 = Void
		CLM06	Provider Signature Indicator	R	1/1	<a href="http://www.nubc.org">www.nubc.org</a> Y - Yes N - No
		CLM07	Provider Accept Assignment Code	S	1/1	A - Assigned B - Assignment Accepted on Clinical Lab Services Only C - Not Assigned
		CLM08	Assignment of Benefits Indicator	R	1/1	Y - Yes N - No W - Not Applicable
		CLM09	Release of Information Indicator	R	1/1	I - Informed Consent to Release Medical Information for conditions or diagnoses regulated by federal statutes Y - Yes, provider has a signed statement permitting release of medical billing data related to a claim
		CLM10	Patient Signature Source Code	S	1/1	P - Signature generated by provider because patient was unavailable.
		CLM11-1	Related Causes Code 1	R	2/3	AA - Auto Accident EM - Employment OA - Other Accident
		CLM11-2	Related Causes Code 2	S	2/3	AA - Auto Accident EM - Employment OA - Other Accident
		CLM11-3	Not Used by HIPAA			
		CLM11-4	Auto Accident State or Province Code	S	2/2	Auto accident state or province code
		CLM11-5	Auto Accident Country Code	S	2/3	Required only if country is not USA.
		CLM12	Special Program Indicator	S	2/3	02 - Physically Handicapped Children's Program 03 - Special Federal Funding 05 - Disability 7 Third Party Processing Delay 09 - Second Opinion or Surgery
		CLM13- CLM19	Not Used by HIPAA			

	CLM20	Delay Reason Code	S	1/2	1 - Proof of Eligibility Unknown or Unavailable 2 - Litigation, 3 - Authorization Delays 4 - Delay in Certifying Provider, 5 - Delay in Supplying Billing Forms 6 - Delay in Delivery of Custom-made Appliances 7 - Third Party Processing Delay 8 - Delay in Eligibility Determination 9 - Original Claim Rejected or Denied Due to a Reason Unrelated to Billing Limitation Rules 10 - Administration Delay in Prior Approval Process 11 Other 15 Natural Disaster	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
164-181	2300	DTP01	Onset of Current Illness or Injury Date	R	1/35	<b>431</b> Onset of Current Symptoms or Illness <b>454</b> Initial Treatment <b>453</b> Acute Manifestation <b>439</b> Accident <b>484</b> Last Menstrual Period <b>471</b> Hearing or Vision Prescription <b>297</b> Last Worked <b>304</b> Last Seen <b>296</b> Work Return <b>435 Hospital Admission</b> <b>096</b> Hospital Discharge <b>090</b> Assumed Care <b>091</b> Relinquished Care <b>444</b> Property Casualty First <b>050</b> Repricer Received  <b>NOTE: 435 Admission required on Inpatient Claims</b> <b>D8</b> - Date (when DTP01 = 314 or 361) or <b>RD8</b> - Date Range (when DTP01 = 314)
		DTP02	Initial Treatment Date	R	1/35	
		DTP03	Last Seen Date	R	1/35	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
186		CN101	Contract Type Code	R	2/2	01 - Diagnosis Related Group (DRG) 02 - Per Diem 03 - Variable Per Diem 04 - Flat 05 - Capitated 06 - Percent 09 - Other
		CN102	Contract Amount	S	1/18	
		CN103	Contract Percentage	S	1/6	
		CN104	Contract Code	S	1/50	
		CN105	Terms Discount Percentage	S	1/6	
		CN106	Contract Version Identifier	S	1/30	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
188	2300	AMT01	Amount Qualifier Code	R	1/3	<b>F5</b> Patient Amount Paid/Responsibility
		AMT02	Patient Amount Paid	R	1/18	<b>(REQUIRED)</b> Monetary Amount – Patient Amount Paid/Responsibility <b>If Loop 2430 CAS*PR is sent. Value of all CAS*PR must match AMT*F5 Amount</b>
		AMT03	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

189-206	2300	REF01	Referencing Identification Qualifier	R	2/3	<b>F5</b> Medicare Version Code <b>EW</b> Mammography Certification <b>4N</b> Special Payment Reference <b>G1 (G - one)</b> Prior Authorization Number <b>9F</b> Referral Number <b>F8</b> Original Reference ID Number <b>X4</b> CLIA number <b>9C</b> Repricer's claim number for a previously adjusted (resubmitted) claim <b>9A</b> Repricer's claim number <b>D9</b> Clearinghouse or Value Added Network unique claim ID <b>1J</b> NPI of Home Health or Hospice Care Facility <b>EA</b> Medical Record Identification Number <b>P4</b> Project Code <b>LX</b> IDE number <b>NOTE: REF*F8 REQUIRED if CLM05-03 = 7 or 8</b>
		REF02	Reference Identification Reference Information	R	1/50	<b>NOTE: If F8 is sent Original Payer Claim Control Number</b>
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
211	2300	CR102	Patient Weight	S	1/10	<b>LB</b> Pound
		CR103	Not Used by HIPAA			<b>NOTE: Required when CLM05-01 is '41' or '42'</b>
		CR104	Ambulance Transport Reason Code	R	1/1	A - Patient was transported to nearest facility for care of symptoms, complaints, or both B - Patient was transported for the benefit of a preferred physician C - Patient was transported for the nearness of family members D - Patient was transport E - Patient transferred to rehabilitation facility <b>DH</b> Miles
		CR106	Transport Distance	R	1/15	
		CR107 CR108	Not Used by HIPAA			
		CR109	Round Trip Purpose Description	S	1/80	
		CR110	Stretcher Purpose Description	S	1/80	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
214	2300	CR201- CR207	Not Used by HIPAA			
		CR208	Patient Condition Code for Spinal Manipulation	R	1/1	A - Acute Condition C - Chronic Condition D - Non-acute E - Non-Life Threatening F - Routine G - Symptomatic M - Acute Manifestation of a Chronic Condition
		CR209	Not Used by HIPAA			
		CR210	Patient Condition Description - Spinal Manipulation 1	S	1/80	

		CR211	Patient Condition Description - Spinal Manipulation 2	S	1/80	
		CR212	Not Used by HIPAA			
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
216	2300	CRC01	Code Category		2/2	<b>07</b> Certification condition code applies indicator. N No, Y Yes
		CRC02	Ambulance Certification Condition Indicator 1	R	1/1	
		CRC03	Ambulance Condition Indicator Code 1a	R	2/3	01 Patient was admitted to a hospital 04 Patient was moved by stretcher 05 Patient was unconscious or in shock 06 Patient was transported in an emergency situation 07 Patient had to be physically restrained 08 Patient had visible hemorrhaging 09 Ambulance service was medically necessary 12 Patient is confined to a bed or chair
		CRC04	Ambulance Condition Indicator Code 1b	S	2/3	See codes in CRC03 (field 48)
		CRC05	Ambulance Condition Indicator Code 1c	S	2/3	See codes in CRC03 (field 48)
		CRC06	Ambulance Condition Indicator Code 1d	S	2/3	See codes in CRC03 (field 48)
		CRC07	Ambulance Condition Indicator Code 1e	S	2/3	See codes in CRC03 (field 48)
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
219	2300	CRC01	Vision Code Category 1	R	2/2	E1 - Spectacle Lenses E2 - Contact Lenses E3 - Spectacle Frames Y - Yes N - No
		CRC02	Vision Certification Condition Indicator 1	R	1/1	
		CRC03	Vision Condition Indicator Code 1a	R	2/3	L1 - General Standard of 20 Degree or .5 Diopter Sphere or Cylinder Change Met L2 - Replacement Due to Loss or Theft L3 - Replacement Due to Breakage or Damage L4 - Replacement Due to Patient Preference L5 - Replacement Due to Medical Reason See CRC03 (field 67)
		CRC04	Vision Condition Indicator Code 1b	S	2/3	See CRC03 (field 67)
		CRC05	Vision Condition Indicator Code 1c	S	2/3	See CRC03 (field 67)
		CRC06	Vision Condition Indicator Code 1d	S	2/3	See CRC03 (field 67)
		CRC07	Vision Condition Indicator Code 1e	S	2/3	See CRC03 (field 67)
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
221	2300	CRC01	Code Category	R	2/2	<b>75</b> Functional limitations
		CRC02	Homebound Certification Condition Indicator	R	1/1	
		CRC03	Homebound Indicator	R	2/3	IH - Independent at Home
		CRC04-CRC07	Not Used by HIPAA		1/1	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
221	2300	CRC01	Code Category		2/2	<b>ZZ</b> Mutually defined

		CRC02	EPSDT Certification Condition Indicator	R	1/1	Y - Yes N - No	
		CRC03	EPSDT Condition Indicator Code 1	R	2/3	AV - Available - Not Used NU - Not Used S2 - Under Treatment ST - New Services Requested	
		CRC04	EPSDT Condition Indicator Code 2	S	2/3	See CRC03 (field 89)	
		CRC05	EPSDT Condition Indicator Code 3	S	2/3	See CRC03 (field 89)	
		CRC06	Not Used by HIPAA				
		CRC07					
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
226	2300	HI01-1	Principal Diagnosis Qualifier	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI01-2	Principal Diagnosis	R	1/30		
		HI02-1	Diagnosis Qualifier 2	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI02-2	Diagnosis Code 2	S	1/30		
		HI03-1	Diagnosis Qualifier 3	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI03-2	Diagnosis Code 3	S	1/30		
		HI04-1	Diagnosis Qualifier 4	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI04-2	Diagnosis Code 4	S	1/30		
		HI05-1	Diagnosis Qualifier 5	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI05-2	Diagnosis Code 5	S	1/30		
		HI06-1	Diagnosis Qualifier 6	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI06-2	Diagnosis Code 6	S	1/30		
		HI07-1	Diagnosis Qualifier 7	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI07-2	Diagnosis Code 7	S	1/30		
		HI08-1	Diagnosis Qualifier 8	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI08-2	Diagnosis Code 8	S	1/30		
		HI09-1	Diagnosis Qualifier 9	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI09-2	Diagnosis Code 9	S	1/30		
		HI010-1	Diagnosis Qualifier 10	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI10-2	Diagnosis Code 10	S	1/30		
		HI011-1	Diagnosis Qualifier 11	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI11-2	Diagnosis Code 11	S	1/30		
		HI012-1	Diagnosis Qualifier 12	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI12-2	Diagnosis Code 12	S	1/30		
			Not Used by HIPAA				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
239	2300	HI01-2	Principal Anesthesia Related Code	S	1/30	<b>BP</b> Health Care Financing Administration Common Procedural Coding System Principal Procedure	
		HI02-2	Additional Anesthesia Related Code	S	1/30	<b>BO</b> Health Care Financing Administration Common Procedural Coding System	
		HI03- HI12	Not Used by HIPAA				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
242	2300	HI01-2	Condition Indicator Code 1	S	1/30	<b>BG</b> Condition	
		HI02-2	Condition Indicator Code 2	S	1/30	See HI01-2 for codes	
		HI03-2	Condition Indicator Code 3	S	1/30	See HI01-2 for codes	
		HI04-2	Condition Indicator Code 4	S	1/30	See HI01-2 for codes	

		HI05-2	Condition Indicator Code 5	S	1/30	See HI01-2 for codes
		HI06-2	Condition Indicator Code 6	S	1/30	See HI01-2 for codes
		HI07-2	Condition Indicator Code 7	S	1/30	See HI01-2 for codes
		HI08-2	Condition Indicator Code 8	S	1/30	See HI01-2 for codes
		HI09-2	Condition Indicator Code 9	S	1/30	See HI01-2 for codes
		HI10-2	Condition Indicator Code 10	S	1/30	See HI01-2 for codes
		HI11-2	Condition Indicator Code 11	S	1/30	See HI01-2 for codes
		HI12-2	Condition Indicator Code 12	S	1/30	See HI01-2 for codes
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
252	2300	HCP01	Claim Pricing/Repricing Methodology	R	2/2	00 - Zero Pricing (Not Covered Under Contract) 01 - Priced as Billed at 100% 02 - Priced at the Standard Fee Schedule 03 - Priced at a Contractual Percentage 04 - Bundled Pricing 05 - Peer Review Pricing 07 - Flat Rate Pricing 08 - Combination Pricing 09 - Maternity Pricing 10 - Other Pricing 11 - Lower of Cost 12 - Ratio of Cost 13 - Cost Reimbursed 14 - Adjustment Pricing
		HCP02	Claim Repricing Allowed Amount	R	1/18	
		HCP03	Claim Repricing Saving Amount	S	1/18	
		HCP04	Claim Level Repricing Organization ID	S	1/50	
		HCP05	Claim Repricing Per Diem or Flat Rate	S	1/9	
		HCP06	Claim Repricing Approved Ambulatory Patient Group Code	S	1/50	
		HCP07	Claim Repricing Approved Ambulatory Patient Group Amount	S	1/18	
		HCP08- HCP12	Not Used by HIPAA			
		HCP13	Claim Repricing Reject Reason Code	S	2/2	T1 - Cannot Identify Provider as TPO (3rd Party Organization) Participant T2 - Cannot Identify Payer as TPO Participant T3 - Cannot Identify Insured as TPO Participant T4 - Payer Name or Identifier Missing T5 - Certification Information Missing T6 - Claim does not contain enough information for repricing
		HCP14	Claim Repricing Policy Compliance Code	S	1/2	1 - Procedure Followed (Compliance) 2 - Not Followed - Call Not Made (Non-Compliance) 3 - Not Medically Necessary (Non-Compliance) 4 - Not Followed Other (Non-Compliance Other) 5 - Emergency Admit to Non-Network Hospital
		HCP15	Claim Repricing Exception Code	R	1/2	1 - Non-Network professional provider in Network hospital 2 - Emergency Care 3 - Services or Specialist not in Network 4 - Out-of-Service Area 5 - State Mandates 6 - Other <b>NOTE: REQUIRED if Known 1 or 3 = Out of Network 6 = In Network</b>



Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
257	2310A	NM101	Entity Identifier Code	R	2/3	<b>DN</b> Referring Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	Referring Provider Last Name	R	1/60	
		NM104	Referring Provider First Name	S	1/35	
		NM105	Referring Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Referring Provider Name Suffix	S	1/10	
		NM109	Referring Provider Primary ID XX	R	2/80	<b>XX</b> NPI (HIPAA National Provider ID)
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
257	2310A	NM101	Entity Identifier Code	R	2/3	<b>P3</b> Primary Care Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	PCP Provider Last Name	R	1/60	
		NM104	PCP Provider First Name	S	1/35	
		NM105	PCP Provider Middle Name	S	1/25	
		NM107	PCP Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> NPI (HIPAA National Provider ID)
		NM109	PCP Provider Primary ID	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
260	2310A	REF01	Reference Identifier Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>(REQUIRED)</b>
		REF02	Referring Provider Secondary ID	S	1/50	<b>1G</b> Provider UPIN number <b>REF*G2*9999 = Tribal Provider</b>
		REF03	Not Used by HIPAA			
		REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
						In the absence of a valid Rendering Provider Name or NPI (i.e. PA, PT, or nurse) please use the Physician Name and NPI that the services were provided under or the Physician Name and NPI that the member is assigned to.
262	<b>2310B</b>	NM101	Entity Identifier Code	R	2/3	<b>82</b> Rendering Provider <b>REQUIRED if different than Billing</b>
		<b>NM102</b>	<b>Entity Type Qualifier</b>	<b>R</b>	<b>1/1</b>	<b>1</b> Person
		<b>NM103</b>	<b>Rendering Provider Last/Organization Name</b>	<b>R</b>	<b>1/60</b>	
		NM104	Rendering Provider First Name	S	1/35	
		NM105	Rendering Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Rendering Provider Name Suffix	S	1/10	
		<b>NM108</b>	<b>Identification Code Qualifier</b>	<b>R</b>	<b>1/2</b>	<b>XX</b> NPI (HIPAA National Provider ID)
		<b>NM109</b>	<b>Rendering Provider Primary ID</b>	<b>R</b>	<b>2/80</b>	<b>REQUIRED if different than Billing</b>

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		NM110- NM112	Not Used by HIPAA			
265	2310B	PRV01	Provider Code		1/3	<b>PE</b> Performing
		PRV02	Reference Identifier Qualifier		2/3	<b>PXC</b> Rendering provider specialty type
		<b>PRV03</b>	<b>Rendering Provider Taxonomy Code</b>	R	1/50	<b>REQUIRED if Rendering Provider is present</b>
		PRV04- PRV06	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
267	2310B	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number
		REF02	Rendering Provider Secondary ID G2	S	1/50	<b>REF*G2*9999 = Tribal Provider</b>
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
269	2310C	NM101	Entity Identifier Code	R	2/3	<b>77</b> Service Location <b>NOTE: Required if Rendering Provider is present</b>
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> (non-Person)
		NM103	Service Facility Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Facility Primary ID	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
272	2310C	N301	Service Facility Address 1	R	1/55	
		N302	Service Facility Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
273	2310C	N401	Service Facility City	R	2/30	
		N402	Service Facility State	S	2/2	
		N403	Service Facility Zip Code	S	3/15	
		N404	Service Facility Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Service Facility Sub Country Code	S	1/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
275	2310C	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number
		REF02	Service Facility Secondary ID	S	1/50	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		REF03 REF04	Not Used by HIPAA			
277	2310C	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact
		PER02	Service Facility Contact Name 1	S	1/60	
		PER03	Communication Number Qualifier	R	2/2	<b>TE</b> Telephone
		PER04	Contact Telephone Number 1	S	1/256	
		PER05	Communication Number Qualifier	S	2/2	<b>EX</b> Telephone Ext
		PER06	Contact Telephone Extension 1	S	1/256	
		PER07- PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
280	2310D	NM101	Entity Identifier Code	R	2/3	<b>DQ</b> Referring Provider Entity Identifier Code
		NM102	Entity Type Qualifier	R	1/1	1 Person
		NM103	Supervising Provider Last Name	R	1/60	
		NM104	Supervising Provider First Name	S	1/35	
		NM105	Supervising Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Supervising Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> NPI (HIPAA National Provider ID)
		NM109	Supervising Provider Primary ID XX	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
283	2310D	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number
		REF02	Supervising Provider Secondary ID	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
285	2310E	NM101	Entity Identifier Code	R	2/3	<b>PW</b> Pickup address
		NM102	Entity Type Qualifier	R	1/1	<b>Note: Required when CLM05-01 = '41'</b> <b>2</b> non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
287	2310E	N301	Ambulance Pickup Address 1	R	1/55	
		N302	Ambulance Pickup Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
288	2310E	N401	Ambulance Pickup City	R	2/30	
		N402	Ambulance Pickup State	R	2/2	
		N403	Ambulance Pickup Zip Code	R	3/15	
		N404	Ambulance Pickup Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Pickup Sub Country Code	S	1/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

	2310F	NM101	Entity Identifier Code	R	2/3	45 drop off location
		NM102	Entity Type Qualifier	R	1/1	<b>Note: Required when CLM05-01 = '41'</b> 2 non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
292	2310F	N301	Ambulance Drop-Off Address 1	R	1/55	
		N302	Ambulance Drop-Off Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
293	2310F	N401	Ambulance Drop-Off City	R	2/30	
		N402	Ambulance Drop-Off State	R	2/2	
		N403	Ambulance Drop-Off Zip Code	R	3/15	
		N404	Ambulance Drop-Off Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Drop-Off Sub Country Code	S	1/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
295	2320	SBR01	Payer Responsibility Sequence Number Code	R	1/1	A - Payer Responsibility Four B - Payer Responsibility Five C - Payer Responsibility Six D - Payer Responsibility Seven E - Payer Responsibility Eight F - Payer Responsibility Nine G - Payer Responsibility Ten H - Payer Responsibility Eleven <b>P - Primary</b> S - Secondary T - Tertiary U - Unknown
		SBR02	Individual Relationship Code	R	2/2	01 - Spouse 18 - Self 19 - Child 20 - Employee 21 - Unknown 39 - Organ Donor 40 - Cadaver Donor 53 - Life Partner G8 - Other Relationship
		SBR03	Other Insured Group or Policy Number	S	1/50	
		SBR04	Other Insured Group Name	S	1/60	

	SBR05	Insurance Type Code		S	1/3	12 - Medicare Secondary Working Aged Beneficiary or Spouse with Employer Group Health Plan 13 - Medicare Secondary End-Stage Renal Disease Beneficiary in 12 month coordination period with employer's group health plan 14 - Medicare Secondary, No-fault Insurance including Auto as Primary 15 - Medicare Secondary Worker's Compensation 16 - Medicare Secondary Public Health Service (PHS) or Other Federal Agency 41 - Medicare Secondary Black Lung 42 - Medicare Secondary Veteran's Administration 43 - Medicare Secondary Disabled Beneficiary Under Age 65 with Large Group Health Plan (LGHP) 47 - Medicare Secondary, Other Liability Insurance is Primary
	SBR06 SBR08	Not Used by HIPAA				
	SBR09	Claim Filing Indicator Code		S	1/2	11' - Other Non-Federal Programs, '12' - PPO, '13' - POS, '14' - EPO, '15' - Indemnity, '16' - HMO Medicare Risk, '17' - Dental Maintenance Organization 'AM' - Automobile Medical, 'BL' - Blue Cross/Blue Shield, 'CH' - CHAMPUS, 'CI' - Commercial Insurance Company, 'DS' - Disability, 'HM' - HMO, 'FI' - Federal Employees Program, 'LM' - Liability Medical, 'MA' - Medicare Part A, 'MB' - Medicare Part B, 'MC' - Medicaid, 'OF' - Other Federal Program, 'TV' - Title V, 'VA' - Veteran Administration Plan, 'WC' - Workers' Compensation Health Claim, 'ZZ' - Mutually Defined
<b>Seg:</b>	<b>CAS</b>	<b>Occur</b>	<b>5</b>	<b>Claim Level Adjustments</b>	<b>S</b>	<b>Page:</b>
299-304	2320	CAS01		Claim Adjustment Group Code 1	R	299 1/2
		CAS02		Adjustment Reason Code 1a	R	1/5
		CAS03		Adjustment Amount 1a	R	1/18
		CAS04		Adjustment Quantity 1a	S	1/15
		CAS05		Adjustment Reason Code 1b	S	1/5
		CAS06		Adjustment Amount 1b	S	1/18
		CAS07		Adjustment Quantity 1b	S	1/15
		CAS08		Adjustment Reason Code 1c	S	1/5
		CAS09		Adjustment Amount 1c	S	1/18
		CAS10		Adjustment Quantity 1c	S	1/15
		CAS11		Adjustment Reason Code 1d	S	1/5
		CAS12		Adjustment Amount 1d	S	1/18
		CAS13		Adjustment Quantity 1d	S	1/15
		CAS14		Adjustment Reason Code 1e	S	1/5
		CAS15		Adjustment Amount 1e	S	1/18
		CAS16		Adjustment Quantity 1e	S	1/15

**NOTE: Required at Loop 2430**

		CAS17	Adjustment Reason Code 1f	S	1/5	
		CAS18	Adjustment Amount 1f	S	1/18	
		CAS19	Adjustment Quantity 1f	S	1/15	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
305-307	2320	AMT01	Amount Qualifier Code	R	1/3	<b>D</b> Payor Amount Paid <b>(Required when sending SVD segment)</b>
		AMT02	Amount	R	1/18	<b>EAF</b> Amount Owed <b>A8</b> Non-covered Charges - Actual
		AMT03	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
308	2320	OI01	Not Used by HIPAA			
		OI02				
		OI03	Benefits Assignment Certification Indicator	R	1/1	Indicates whether insured has authorized benefits to be assigned to the provider N - No W - Not Applicable (Use when patient refuses to assign benefits) Y - Yes <b>(Required when sending segment)</b>
		OI04	Patient Signature Source Code	R	1/1	P - Signature generated by provider
		OI05	Not Used by HIPAA			
		OI06	Release of Information Code	R	1/1	Indicates whether provider has signed authorization for release of medical information I - Informed Consent to Release Medical Information for conditions or diagnoses regulated by federal statutes Y - Yes, provider has signed statement perm
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
310	2320	MOA01	Reimbursement Rate	S	1/10	
		MOA02	Claim HCPCS Payable Amount	S	1/18	
		MOA03	Remittance Remark Code 1	S	1/50	
		MOA04	Remittance Remark Code 2	S	1/50	
		MOA05	Remittance Remark Code 3	S	1/50	
		MOA06	Remittance Remark Code 4	S	1/50	
		MOA07	Remittance Remark Code 5	S	1/50	
		MOA08	Claim ESRD Payment Amount	S	1/18	End Stage Renal Disease payment amount
		MOA09	Nonpayable Professional Component Amount	S	1/18	Professional component amount billed but not payable
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
313	2330A	NM101	Entity Identifier Code	R	2/3	<b>IL</b> Insured or Subscriber <b>(Required when sending SVD segment)</b>
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> - person <b>2</b> - organization
		NM103	Other Insured Last Name	R	1/60	
		NM104	Other Insured First Name	S	1/35	
		NM105	Other Insured Middle Name	S	1/25	
		NM106	Not Used by HIPAA			

		NM107	Other Insured Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>MI</b> Member identification number
						<i>II HIPAA National Individual Identifier (future use)</i>
		NM109	Other Insured Primary ID	S	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
316	2330A	N301	Other Insured Address 1	R	1/55	
		N302	Other Insured Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
317	2330A	N401	Other Insured City	R	2/30	<b>(Required when sending SVD segment)</b>
		N402	Other Insured State	S	2/2	
		N403	Other Insured Zip Code	S	3/15	
		N404	Other Insured Country Code	S	2/3	
		N405 N406	Not Used by HIPAA			
		N407	Other Insured Sub-Country Code	S	2/3	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
319	2330A	REF01	Reference Identification Qualifier		2/3	<b>SY</b> Social security number (cannot be used for Medicare)
		REF02	Other Insured Secondary ID	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
320	2330B	NM101	Entity Identifier Code	R	2/3	<b>PR</b> Payer <b>(Required when sending SVD segment)</b>
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> Non-Person Entity
		NM103	Other Payer Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	½	<b>PI</b> Payer identification number
		NM109	Other Payer Primary ID 2	S	2/80	<b>XV</b> HCFA National Plan ID (future use)
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
322	2330B	N301	Other Payer Address 1	R	1/55	
		N302	Other Payer Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
323	2330B	N401	Other Payer City	R	2/30	
		N402	Other Payer State	S	2/2	
		N403	Other Payer Zip Code	S	3/15	
		N404	Other Payer Country Code	S	2/3	
		N405 N406	Not Used by HIPAA			
		N407	Other Payer Sub-Country Code	S	2/3	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

325	2330B	DTP01	Date/Time Qualifier	R	3/3	<b>573</b> Date Claim paid
		DTP02	Date Time Period Format Qualifier	R	2/3	<b>D8</b> Date Expressed in Format CCYYMMDD
		DTP03	Other Payer Adjudication or Payment Date	R	1/35	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
326	2330B	REF01	Reference Identification Qualifier	R	2/3	<b>2U</b> Payer identification number <b>FY</b> Claim office number <b>EI</b> Tax ID
		REF02	Other Payer Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
328	2330B	REF01	Reference Identification Qualifier	R	2/3	<b>G1</b> Prior Authorization Number <b>9F</b> Referral number <b>T4</b> Adjustment Indicator <b>F8</b> Original reference number
		REF02	Other Payer Control ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
332	2330C	NM101	Entity Identifier Code	R	2/3	<b>DN</b> Referring Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
334	2330C	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>1G</b> Provider UPIN Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Referring Provider 1 Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
336	2330D	NM101	Entity Identifier Code	R	2/3	<b>82</b> Rendering Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> person <b>2</b> non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
338	2330D	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>1G</b> Provider UPIN Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Rendering Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
340	2330E	NM101	Entity Identifier Code	R	2/3	<b>77</b> Service Location
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person



Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		NM103- NM112	Not Used by HIPAA			
342	2330E	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>LU</b> Location Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Service Facility Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
340	2330F	NM101	Entity Identifier Code	R	2/3	<b>DQ</b> Supervising Physician
		NM102	Entity Type Qualifier	R	1/1	1 Person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
345	2330F	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>LU</b> Location Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Supervising Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
347	2330G	NM101	Entity Identifier	R	2/3	<b>85</b> Billing Provider
		NM102	Entity Type Qualifier	R	1/1	1 Person 2 non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
349	2330G	REF01	Reference Identification Qualifier	R	2/3	<b>LU</b> Location Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Billing Provider Secondary ID	R	1/50	<b>LU</b> Location Number
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
350	2400	LX01	Service Line Number	R	1/6	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
351	2400	SV101-1	Procedure Code Qualifier	R	2/2	ER - Jurisdictionally Defined Procedure and Supply Codes HC - CPT/HCPCS code IV - HEIC code WK - Advanced Billing (ABC) code
		SV101-2	Procedure Code	R	1/48	
		SV101-3	Procedure Code Modifier 1	S	2/2	<b>NOTE: 340B physician administered drug include modifier "UD" in either SV101-3, -4, -5, or -6</b>
		SV101-4	Procedure Code Modifier 2	S	2/2	
		SV101-5	Procedure Code Modifier 3	S	2/2	
		SV101-6	Procedure Code Modifier 4	S	2/2	
		SV101-7	Procedure Code Description	S	1/80	Additional information when procedure code does not definitively describe condition.
		SV101-8	Not Used by HIPAA			

	SV102	Line Item Charge Amount	R	1/18	Submitted charge amount (implied decimal) Note: Zero is acceptable	
	SV103	Quantity Qualifier	R	2/2	MJ - Minutes UN - Unit	
	SV104	Quantity	R	1/15	Number of units (floating point)	
	SV105	Place of Service Code	S	1/2		
	SV106	Not Used by HIPAA				
	SV107-1	Diagnosis Code Pointer 1	R	1/2	Diagnosis code pointer	
	SV107-2	Diagnosis Code Pointer 2	S	1/2	Additional diagnosis code pointer	
	SV107-3	Diagnosis Code Pointer 3	S	1/2	Additional diagnosis code pointer	
	SV107-4	Diagnosis Code Pointer 4	S	1/2	Additional diagnosis code pointer	
	SV108	Not Used by HIPAA				
	SV109	Emergency Indicator	S	1/1	Y - Yes	
	SV110	Not Used by HIPAA				
	SV111	EPSDT Indicator	S	1/1	Y - Yes	
	SV112	Family Planning Indicator	S	1/1	Y - Yes	
	SV113	Not Used by HIPAA				
	SV114	Not Used by HIPAA				
	SV115	Co-Pay Status Code	S	1/1	0 - Copay Exempt	
	SV116- SV121	Not Used by HIPAA				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
359	2400	SV501-1	Product/Service ID Qualifier		2/2	HC (HCPCS) Codes
		SV501-2	Durable Medical Equipment Procedure Code	R	1/48	
		SV501-3- SV501-8	Not Used by HIPAA			
		SV503	Length of Medical Necessity	R	1/15	DA Length of medical necessity in days (floating point)
		SV504	DME Rental Price	R	1/18	DME Rental Price (implied decimal)
		SV505	DME Purchase Price	R	1/18	DME Purchase Price (implied decimal)
		SV506	Rental Unit Price Indicator	R	1/1	1 - Weekly 4 - Monthly 6 - Daily
		SV507	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
362	2400	PWK01	Attachment Report Type Code	R	2/2	03 Report Justifying Treatment Beyond Utilization Guidelines 04 Drugs Administered 05 Treatment Diagnosis 06 Initial Assessment 07 Functional Goals 08 Plan of Treatment 09 Progress Report 10 Continued Treatment 11 Chemical Analysis 13 Certified Test Report 15 Justification for Admission 21 Recovery Plan A3 Allergies/Sensitivities Document A4 Autopsy Report AM Ambulance Certification AS Admission Summary B2 Prescription B3 Physician Order B4 Referral Form BR Benchmark Testing Results

						BS Baseline BT Blanket Test Results CB Chiropractic Justification CK Consent Form(s) CT Certification D2 Drug Profile Document DA Dental Models DB Durable Medical Equipment Prescription DG Diagnostic Report DJ Discharge Monitoring Report DS Discharge Summary EB Explanation of Benefits (Coordination of Benefits or Medicare Secondary Payor) HC Health Certificate HR Health Clinic Records I5 Immunization Record IR State School Immunization Records LA Laboratory Results M1 Medical Record Attachment MT Models NN Nursing Notes OB Operative Note OC Oxygen Content Averaging Report OD Orders and Treatments Document OE Objective Physical Examination (including vital signs) Document OX Oxygen Therapy Certification OZ Support Data for Claim P4 Pathology Report P5 Patient Medical History Document PE Parenteral or Enteral Certification PN Physical Therapy Notes PO Prosthetics or Orthotic Certification PQ Paramedical Results PY Physician's Report PZ Physical Therapy Certification RB Radiology Films RR Radiology Reports RT Report of Tests and Analysis Report RX Renewable Oxygen Content Averaging Report SG Symptoms Document V5 Death Notification XP Photographs AA - Available on Request at Provider Site BM - By Mail EL - Electronically Only EM - Email FX - By Fax FT - File Transfer
3	PWK02	1/2	Attachment Transmission Code	R	2	
	PWK03 PWK04		Not Used by HIPAA			
4	PWK05 PWK06 PWK07- PWK09	2/80	Attachment Control Number	S	80	<b>AC</b> Attachment Control Number
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
366	2400	PWK01	Report Type Code		2/2	
		PWK02	DMERC Attachment Transmission Code	R	1/2	AB - Previously Submitted to Payer AD - Certification Included in this Claim AF - Narrative Segment Included in this Claim AG - No Documentation is Required NS - Not Specified (Paperwork available on request at provider's site)

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
368	2400	CR101	Unit or Basis for Measurement Code		2/2	<b>LB</b> Pound	
		CR102	Patient Weight	S	1/10		
		CR103					Not Used by HIPAA
		CR104	Ambulance Transport Reason Code	R	1/1	A - Patient was transported to nearest facility for care of symptoms, complaints, or both B - Patient was transported for the benefit of a preferred physician C - Patient was transported for the nearness of family members D - Patient was transport E - Patient transported to Rehabilitation Facility	
		CR105	Unit or Basis for Measurement Code		2/2	<b>DH</b> Miles	
		CR106	Transport Distance	R	1/15		
		CR107	Not Used by HIPAA				
		CR108					
		CR109	Round Trip Purpose Description	S	1/80		
		CR110	Stretcher Purpose Description	S	1/80		
		Page #:	Loop ID	Reference	Name	Codes	Length
371	2400	CR301	DME Certification	S	1/1	I - Initial R - Renewal S - Revised	
		CR302	Unit or Basis for Measurement Code			<b>MO</b> Months	
		CR303	DME Duration	S	1/15		
		CR304	Not Used by HIPAA				
		CR305					
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
373	2400	CRC01	Code Category		2/2	<b>07</b> Ambulance Certification	
		CRC02	Ambulance Certification Condition 1	S	1/1	Y - Yes N - No . Note: This segment can occur up to 3 times.	
		CRC03	Ambulance Condition Indicator 1	S	2/3	1st occurrence 01 - Patient was admitted to a hospital 04 - Patient was moved by stretcher 05 - Patient was unconscious or in shock 06 - Patient was transported in an emergency situation 07 - Patient had to be physically restrained 08 - Patient had visible hemorrhaging 09 - Ambulance service medically necessary 12 - Patient is confined to a bed or chair	
		CRC04	Ambulance Condition Indicator 2	S	2/3	See CRC03 for list.	
		CRC05	Ambulance Condition Indicator 3	S	2/3	See CRC03 for list.	
		CRC06	Ambulance Condition Indicator 4	S	2/3	See CRC03 for list.	
		CRC07	Ambulance Condition Indicator 5	S	2/3	See CRC03 for list.	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
376	2400	CRC01	Code Category		2/2	<b>70</b> Hospice	
		CRC02	Hospice Employee Indicator	S	1/1	Y - Yes N - No	
		CRC03				<b>65</b> Open	
		CRC04- CRC07	Not Used by HIPAA				

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
378	2400	CRC01	Code Category		2/2	<b>09</b> Durable Medical Equipment Certification
		CRC02	DME Certification Condition	S	1/1	Y - Yes N - No
		CRC03	DME Certification Condition Indicator 1	S	2/3	38 - Certification signed by the physician is on file at the supplier's office ZV - Replacement Item
		CRC04	DME Certification Condition Indicator 2	S	2/3	38 - Certification signed by the physician is on file at the supplier's office ZV - Replacement Item
		CRC05- CRC07	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
380	2400	DTP01	Date/Time Qualifier	R	3/3	<b>472</b> Service
		DTP02	Date Time Period Format Qualifier		2/3	<b>D8 or RD8</b>
		DTP03	Service Line To Date	S	1/35	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
386	2400	DTP03	Prescription Date	R	1/35	<b>DTP01 = 471</b> Prescription <b>DTP02 = D8</b>
		DTP03	Certification Revision Date	R	1/35	<b>DTP01 = 607</b> Certification Revision <b>DTP02 = D8</b>
		DTP03	Begin Therapy Date	R	1/35	<b>DTP01 = 463</b> Begin Therapy <b>DTP02 = D8</b>
		DTP03	Last Certification Date	R	1/35	<b>DTP01 = 461</b> Last Certification <b>DTP02 = D8</b>
		DTP03	Date Last Seen	R	1/35	<b>DTP01 = 304</b> Last Seen <b>DTP02 = D8</b>
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
387	2400	DTP03	Most Recent Hemoglobin or Hematocrit Date	R	1/35	Test Date. <b>DTP01 = 738</b> <b>DTP02 = D8</b>
		DTP03	Most Recent Serum Creatine Date	R	1/35	Test Date. <b>DTP01 = 739</b> <b>DTP02 = D8</b>
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
390	2400	DTP03	Shipped Date	R	1/35	<b>DTP01 = 011</b> Shipped <b>DTP02 = D8</b>
		DTP03	Last X-Ray Date	R	1/35	<b>DTP01 = 455</b> Last X-Ray <b>DTP02 = D8</b>
		DTP03	Initial Treatment Date	R	1/35	<b>DTP01 = 454</b> Initial Treatment <b>DTP02 = D8</b>
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
391- 392	2400	QTY01	Quantity Qualifier		2/2	
		QTY02	Ambulance Patient Count	R	1/15	<b>PT</b> Patients
		QTY02	Obstetric Anesthesia Additional Units	R	1/15	<b>FL</b> Units
		QTY03 QTY04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
393	2400	MEA01	Test Result ID 1	R	2/2	OG - Original TR - Test Results
		MEA02	Test Result Qualifier 1	R	1/3	HT – Height R1 – Hemoglobin R2 – Hematocrit R3 - Epoetin Starting Dosage R4 - Creatinine
		MEA03	Test Result Value 1	R	1/20	
		MEA01	Test Result ID 2	R	2/2	2nd occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 2	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 2	R	1/20	
		MEA01	Test Result ID 3	R	2/2	3rd occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 3	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 3	R	1/20	

		MEA01	Test Result ID 4	R	2/2	4th occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 4	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 4	R	1/20	
		MEA01	Test Result ID 5	R	2/2	5th occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 5	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 5	R	1/20	
		MEA04- MEA12	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
395	2400	CN101	Contract Type Code	R	1/2	01 - Diagnosis Related Group (DRG) 02 - Per Diem 03 - Variable Per Diem 04 - Flat 05 - Capitated 06 - Percent 09 - Other
		CN102	Contract Amount	S	1/18	
		CN103	Contract Percentage	S	1/6	
		CN104	Contract Code	S	1/50	
		CN105	Terms Discount Percentage	S	1/6	
		CN106	Contract Version Number	S	1/30	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
397- 398	2400	REF01	Reference Identification Qualifier	R	2/3	<b>9B</b> Repriced Line Item Reference Number <b>9D</b> Adjusted Repriced Line Item Reference Number
		REF02	Reference Identification	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
399	2400	REF01	Reference Identification Qualifier	R	2/3	<b>G1</b> Prior Authorization Number
		REF02	Prior Authorization Number 2	R	1/50	See first REF02 above for codes/notes.
		REF03 REF04	Not Used by HIPAA Other Payer IDs mapped on CBS record.			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
401- 406	2400	REF01	Reference Identification Qualifier	R	2/3	<b>6R</b> Provider Control Number <b>BT</b> Batch Number <b>EW</b> Mammography Certification Number <b>X4</b> CLIA Number <b>F4</b> CLIA Facility Certification Number
		REF02	Line Item Control Number	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
407	2400	REF01		R	2/3	<b>9F</b> Referral Number
		REF02	Referral Number	R	1/50	
		REF03 REF04	Not Used by HIPAA Other Payer IDs mapped on CBS record.			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
409- 410	2400	AMT01	Amount Qualifier Code	R	1/3	<b>T</b> Tax <b>F4</b> Postage Claimed
		AMT02	Postage Claimed Amount	R	1/18	
		AMT03	Not Used by HIPAA			
Page	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

#:						
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
415	2400	PS101	Purchased Service Provider Identifier	R	1/50	
		PS102	Purchased Service Charge Amount	R	1/18	
		PS103	Not Used by HIPAA			
416	2400	HCP01	Line Pricing/Repricing Methodology	R	2/2	00 - Zero Pricing (Not Covered Under Contract) 01 - Priced as Billed at 100% 02 - Priced at the Standard Fee Schedule 03 - Priced at a Contractual Percentage 04 - Bundled Pricing 05 - Peer Review Pricing 06 - Per Diem Pricing 07 - Flat Rate Pricing 08 - Combination Pricing 09 - Maternity Pricing <b>10 - Other Pricing</b> 11 - Lower of Cost 12 - Ratio of Cost 13 - Cost Reimbursed 14 - Adjustment Pricing
		HCP02	Line Repricing Allowed Amount	R	1/18	<b>REQUIRED to report Service Line Allowed Amt</b>
		HCP03	Line Repricing Saving Amount	S	1/18	
		HCP04	Line Level Repricing Organization ID	S	1/50	
		HCP05	Line Repricing Per Diem or Flat Rate	S	1/9	
		HCP06	Line Repricing Approved Ambulatory Patient Group Code	S	1/50	
		HCP07	Line Repricing Approved Ambulatory Patient Group Amount	S	1/18	
		HCP08	Not Used by HIPAA			
		HCP09	Line Repricing Procedure Code Qualifier	S	2/2	ER - Jurisdiction Specific Procedure and Supply Codes HC - CPT/HCPCS code IV - HEIC code WK - Advanced Billing Concepts (ABC) Codes
		HCP10	Line Repricing Procedure Code	S	1/48	
		HCP11	Line Repricing Procedure Quantity Qualifier	S	2/2	MJ - Minutes UN - Unit
		HCP12	Line Repricing Procedure Quantity	S	1/15	
		HCP13	Line Repricing Reject Reason Code	S	2/2	T1 - Cannot Identify Provider as TPO (Third Party Organization) Participant T2 - Cannot Identify Payer as TPO Participant T3 - Cannot Identify Insured as TPO Participant T4 - Payer Name or Identifier Missing T5 - Certification Information Missing T6 - Claim does not contain enough information for repricing
		HCP14	Line Repricing Policy Compliance Code	S	1/2	1 - Procedure Followed (Compliance) 2 - Not Followed - Call Not Made (Non-Compliance Call Not Made) 3 - Not Medically Necessary (Non-Compliance Non-Medically Necessary) 4 - Not Followed Other (Non-Compliance Other) 5 - Emergency Admit to Non-Network Hospital

		HCP15	Line Repricing Exception Code	S	1/2	1 - Non-Network Professional Provider in Network Hospital 2 - Emergency Care 3 - Services or Specialist not in Network 4 - Out-of-Service Area 5 - State Mandates 6 - Other
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
416	2410	LIN01	Not Used by HIPAA			
		LIN03	National Drug Code or UPC	R	1/48	<b>N4</b> National Drug Code in 5-4-2 Addendum 222A1 changed element name. <b>REQUIRED if PAD is administered by a physician not a pharmacy.</b> Not Used by HIPAA
		LIN04-LIN31				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
426	2410	CTP01-CTP03	Not Used by HIPAA			
		CTP04	National Drug Unit Count	R	1/15	Quantity
		CTP05-1	Unit/Basis for Measurement	R	2/2	Basis of measurement for CTP04. F2 - International Unit GR – Gram ME – Milligram ML – Milliliter UN - Unit
		CTP05-2-CTP05-15	Not Used by HIPAA			
		CTP06-CTP11	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
428	2410	REF01	Prescription Number Qualifier	R	2/3	VY - Link Sequence Number XZ - Pharmacy Prescription Number
		REF02	Prescription Number	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
430	2420A	NM101	Entity Identifier Code	R	2/3	<b>82</b> Rendering Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1 - Person</b> <b>2 - Non-Person</b>
		NM103	Service Line Rendering Provider Last/Organization Name	R	1/60	
		NM104	Service Line Rendering Provider First Name	S	1/35	
		NM105	Service Line Rendering Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Service Line Rendering Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Line Rendering Provider Primary ID XX	S	2/80	
		NM110-NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
433	2420A	PRV01	Provider Code	R	1/3	<b>PE</b> Performing



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		PRV02	Reference Identification Qualifier	R	2/3	<b>PXC</b> Provider Taxonomy Code
		PRV03	Service Line Rendering Provider Taxonomy Code	R	1/50	
		PRV04-PRV06	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
434	2420A	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number <b>LU</b> Location number
		REF02	Service Line Rendering Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
436	2420B	NM101	Entity Identifier Code	R	2/3	<b>QB</b> Purchase Service Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person <b>2</b> Non-Person Entity
		NM103-NM106	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Line Purchased Service Provider Primary ID XX	S	2/80	
		NM110-NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
439	2420B	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	Service Line Purchased Service Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04				COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
441	2420C	NM101	Entity Identifier Code	R	2/3	<b>77</b> Service Facility last/organization name
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> (Service Location)
		NM103	Service Line Service Facility Name	R	1/60	
		NM104-NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Line Service Facility Primary ID XX	S	2/80	
		NM110-NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

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444	2420C	N301	Service Facility Address 1	R	1/55	
		N302	Service Facility Address 2	S	1/55	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
445	2420C	N401	Service Facility City	R	2/30	
		N402	Service Facility State	S	2/2	
		N403	Service Facility Zip Code	S	3/15	
		N404	Service Facility Country Code	S	2/3	Required only if country is not USA.
		N405	Not Used by HIPAA			
		N406				
		N407	Service Facility Sub-Country Code	S	2/3	Required only if country is not USA.
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
447	2420C	REF01	Reference Identification Qualifier	R	2/3	<b>LU</b> Location Number. <b>G2</b> Provider commercial number
		REF02	Service Line Service Facility Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs mapped on CBS record.			COB Data.
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
449	2420D	NM101	Entity Identifier Code	R	2/3	<b>DQ</b> Supervising Physician
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> - Person
		NM103	Supervising Provider Last Name	R	1/60	
		NM104	Supervising Provider First Name	R	1/35	
		NM105	Supervising Provider Middle Name	R	1/25	
		NM106	Not Used by HIPAA			
		NM107	Supervising Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Supervising Provider Primary ID	S	2/80	
		NM110- NM112	Not Used by HIPAA			
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
452	2420D	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>LU</b> Location Number. <b>G2</b> Provider commercial number
		REF02	Supervising Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04				COB Data.
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
454	2420E	NM101	Entity Identifier Code	R	2/3	<b>DK</b> Ordering Physician
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	Ordering Provider Last Name	R	1/60	
		NM104	Ordering Provider First Name	R	1/35	
		NM105	Ordering Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Ordering Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier		1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Ordering Provider Primary ID	S	2/80	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		NM110- NM112	Not Used by HIPAA			
457	2420E	N301	Ordering Provider Address 1	R	1/55	
		N302	Ordering Provider Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
458	2420E	N401	Ordering Provider City	R	2/30	
		N402	Ordering Provider State	S	2/2	
		N403	Ordering Provider Zip Code	S	3/15	
		N404	Ordering Provider Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ordering Provider Country Sub-Code	S	2/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
460	2420E	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	Ordering Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs mapped on CBS record for REF01= G2			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
462	2420E	PER01	Contact Function Code	R		<b>IC</b>
		PER02	Ordering Provider Contact Name	S	1/60	
		PER04/06 /08	Ordering Provider Telephone	S	1/80	<b>PER03/05/07 = TE</b>
		PER04/06 /08	Ordering Provider Telephone Extension	S	1/80	<b>PER05/07 = EX</b>
		PER04/06 /08	Ordering Provider Fax Number	S	1/80	<b>PER03/05/07 = FX</b>
		PER04/06 /08	Ordering Provider Email Address	S	1/80	<b>PER03/05/07 = EM</b>
		PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
465	2420F	NM101	Entity Identifier Code	S	2/3	<b>DN</b> Referring Provider
		NM102	Entity Type Qualifier	S	1/1	<b>1</b> Person
		NM103	Referring Provider Last Name	S	1/60	
		NM104	Referring Provider First Name	S	1/35	
		NM105	Referring Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Referring Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier		1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Referring Provider Primary ID XX	S	1/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
468	2420F	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	Referring Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs mapped on CBS record for REF01= G2			COB Data.

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
465	2420F	NM101	Entity Identifier Code	R	2/3	<b>P3</b> Primary Care Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person. If not the primary care provider, this is the initial referring provider
		NM103	PCP Provider Last Name	S	1/60	
		NM104	PCP Provider First Name	S	1/35	
		NM105	PCP Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	PCP Provider Name Suffix	S	1/10	
		NM109	PCP Provider Primary ID XX	S	1/80	<b>XX</b> HIPAA National Provider ID
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
468	2420F	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	PCP Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04				COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
470	2420G	NM101	Entity Identifier Code		2/3	<b>PW</b> Pickup Up Address
		NM102	Entity Type Qualifier		1/1	<b>2</b> Non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
472	2420G	N301	Ambulance Pickup Address 1	R	1/55	
		N302	Ambulance Pickup Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
473	2420G	N401	Ambulance Pickup City	R	2/30	
		N402	Ambulance Pickup State	S	2/2	
		N403	Ambulance Pickup Zip Code	S	3/15	
		N404	Ambulance Pickup Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Pickup Country Sub-Code	S	2/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
475	2420H	NM101	Entity Identifier Code	R	2/3	<b>45</b> Drop off Location
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
477	2420H	N301	Ambulance Dropoff Address 1	R	1/55	
		N302	Ambulance Dropoff Address 2	S	1/55	
478	2420H	N401	Ambulance Dropoff City	R	2/30	
		N402	Ambulance Dropoff State	S	2/2	
		N403	Ambulance Dropoff Zip Code	S	3/15	
		N404	Ambulance Dropoff Country Code	S	2/3	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Dropoff Country Sub-Code	S	2/3	Required only if country is not USA.
480	2430	SVD01	Other Payer Primary Identifier	R	2/80	Must match Loop 2330B NM109 <b>REQUIRED to report PAID Amount</b> Note: Zero is acceptable
		SVD02	Service Line Paid Amount	R	1/18	<b>NOTE: Loop 2430 CAS03 and SVD02 must balance to Loop 2400 SV103 (Prof) Line Item Charge Amount. SVD02 must balance to a value greater than or equal to zero (0)</b>
		SVD03-1	Procedure Code Qualifier	R	2/2	ER - Jurisdictionally Defined Procedure and Supply Codes HC - CPT/HCPCS code IV - HEIC code WK - Advanced Billing (ABC) code
		SVD03-2	Procedure Code	R	1/48	
		SVD03-3	Procedure Code Modifier 1	S	2/2	
		SVD03-4	Procedure Code Modifier 2	S	2/2	
		SVD03-5	Procedure Code Modifier 3	S	2/2	
		SVD03-6	Procedure Code Modifier 4	S	2/2	
		SVD03-7	Procedure Code Description	S	1/80	
		SVD03-8 SVD04	Not Used by HIPAA			
		SVD05	Paid Service Unit Count	R	1/15	
		SVD06	Bundled or Unbundled Line Number	S	1/6	References the service line number which this line was bundled into.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
	2430	CAS01	Claim Adjustment Group Code	R	1/2	General category of payment adjustment: CO - Contractual Obligations CR - Correction and Reversals OA - Other Adjustments PI - Payor Initiated Reductions <b>PR - Patient Responsibility</b>  <b>NOTE: Required to report non-zero Member Cost Share and paid amount. When submitting Member Cost Share use code PR and include the appropriate Claim Adjustment Reason Code in (CAS02) as listed below</b>
	2430	CAS02	Adjustment Reason Code	R	1/5	Line Adjustment Reason Code – Required  Member Cost Share (PR qualifier), reason codes: <b>1 = Deductible Amount</b> <b>2 = Coinsurance Amount</b> <b>3 = Co-payment Amount</b>  Claim Adjustment Reason Codes are available via Washington Publishing: <a href="http://www.wpc-edi.com/reference/codelists/healthcare/claim-adjustment-reason-codes/">http://www.wpc-edi.com/reference/codelists/healthcare/claim-adjustment-reason-codes/</a>
	2430	CAS03	Monetary Amount	R	1/18	
	2430	CAS04	Quantity	S	1/5	Unit of Service
	2430	CAS05	Claim Reason Code	S	1/2	Line Adjustment Reason Code

2430	CAS06	Monetary Amount	S	1/5		
2430	CAS07	Quantity	S	1/5	Unit of Service	
2430	CAS08	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS09	Monetary Amount	S	1/5		
2430	CAS10	Quantity	S	1/5	Units of service	
2430	CAS11	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS12	Monetary Amount	S	1/5		
2430	CAS13	Quantity	S	1/5	Units of service	
2430	CAS14	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS15	Monetary Amount	S	1/5		
2430	CAS16	Quantity	S	1/5	Units of service	
2430	CAS17	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS18	Monetary Amount	S	1/5		
2430	CAS19	Quantity	S	1/5	Units of service	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
490	2430	DTP01	Date/Time Qualifier	R	3/3	<b>573</b> Date Claim Paid or Processed
		DTP02	Date Time Period Format Qualifier	R	2/3	<b>D8</b> Date Expressed in Format CCYYMMDD
		DTP03	Service Adjudication or Payment Date	R	1/35	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
491	2430	AMT01	Amount Qualifier Code		1/3	<b>EAF</b> (implied decimal) (Amount owed)
		AMT02	Remaining Patient Liability	R	1/18	
		AMT03	Not Used by HIPAA			
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
492	2440	LQ01	Form Identification Code	R	1/3	AS - Form Type Code UT - HCFA DMERC Certificate of Medical Necessity Forms
		LQ02	Form Identifier	R	1/30	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
494	2440	FRM01	Question Number/Letter	R	1/20	
		FRM02	Question Response	S	1/1	N - No W - Not Applicable Y - Yes
		FRM03	Question Response Text	S	1/50	
		FRM04	Question Response Date	S	8/8	
		FRM05	Question Response Percent	S	1/6	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
496	Transaction Set Trailer	SE01	Number of Included Segments	R	1/10	
		SE02	Transaction Set Control Number	S	4/9	

<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
C.9	Functional Group Trailer	GE01	Number of Transactional Sets Included	R	1/6	
		GE02	Group Control Number	S	1/9	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
C.10	Interchange Control Trailer	IEA01	Number of Included Functional Groups	R	1/5	
		IEA02	Interchange Control Number	S	9/9	

# Appendix

EDITOR'S NOTE:

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# **Health Net, LLC**

HIPAA 837 Institutional Transaction  
Standard Companion Guide

**Refers to the Health Care Claim:  
Institutional 837 Implementation Guides  
Based on X12 version 005010X223A2**

**Companion Guide Version Number: 2.0**

**February 22, 2019**

## **Disclosure Statement**

This Companion Guide describes the EDI requirements for the submission of CA and Arizona Encounters to Health Net. Throughout the remainder of this document Health Net will be referred to HNT to describe the All Regions of Health Net.

## **Preface**

This Companion Document to the ASC X12N Implementation Guides adopted under HIPAA clarifies and specifies the data content when exchanging electronically with Health Net, LLC HNT. Transmissions based on this companion document, used in tandem with the X12N Implementation Guides, are compliant with both X12 syntax and those guides. This Companion Guide is intended to convey information that is within the framework of the ASC X12N Implementation Guides adopted for use under HIPAA. The Companion Guide is not intended to convey information that in any way exceeds the requirements or usages of data expressed in the Implementation Guides.

**EDITOR'S NOTE:**

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## 1 Introduction

### 1.1 Scope

This Companion document supports the implementation of a batch processing application.

HNT will accept inbound submissions that are formatted correctly in X12 terms. The files must comply with the specifications outlined in this companion document as well as the corresponding HIPAA implementation guide.

HNT EDI applications will edit for these conditions and reject files that are out of compliance.

This companion document will specify everything that is necessary to conduct EDI for this standard transaction. This includes;

- Specifications on the communications link
- Specifications on the submission methods
- Specifications on the transactions

## 1.2 Overview

This companion guide compliments the ASC X12N implementation guide currently adopted from HIPAA. As of 2007 this companion guide has been amended to include the National Provider Identifier requirements for the 837 X12 transaction.

This companion guide will be the vehicle that HNT uses with its trading partners to further qualify the HIPAA adopted implementation guide. This companion guide is compliant with the corresponding HIPAA implementation guide in terms of data element and code sets standards and requirements.

Data elements that require mutual agreement and understanding will be specified in this companion guide. Types of information that will be clarified within this companion are;

- Qualifiers that will be used from the HIPAA implementation guides to describe certain data elements
- Situational segments and data elements that will be utilized to satisfy business conditions
- Trading partner profile information for purpose of establishing who we are trading with for the transmissions exchanged

## 1.3 References

### ASC X12N Implementation Guides

- 837 (005010X223A2)

## 1.4 Additional Information

Electronic Data Interchange (EDI) is the computer-to-computer exchange of formatted business data between trading partners. The computer system generating the transactions must supply complete and accurate information while the system receiving the transactions must be capable of interpreting and utilizing the information in ASC X12N format, without human intervention.

The transactions must be sent in a specific format that will allow our computer application to translate the data. Health Net LLC (HNT)

supports the standard transactions adopted from HIPAA. HNT maintains a dedicated staff for the purpose of enabling and processing X12 EDI transmissions with its trading partners.

It is the goal of HNT to establish trading partner relationships and to conduct EDI as opposed to paper information flows whenever and wherever possible.

## **1.5 National Provider Identifier**

The Health Insurance Portability and Accountability Act of 1996 (HIPAA) mandated that the Secretary of Health and Human Services adopt a standard unique health identifier for health care providers. On January 23, 2004, the Secretary published a Final Rule that adopted the National Provider Identifier (NPI) as this identifier.

HIPAA covered healthcare providers that choose to submit transactions electronically, whether they are individuals or organizations, must obtain an NPI for use to identify themselves in HIPAA standard transactions. Once enumerated, the National Provider Identifier (NPI) is meant to be a lasting identifier, and would not change based on changes in a health care provider's name, address, ownership, membership in health plans or Healthcare Provider Taxonomy classification.

HIPAA covered entities such as providers completing electronic transactions, healthcare clearinghouses, and large health plans (including Health Net), must use only the NPI in the primary identifier position to identify covered healthcare providers in standard transactions by May 23, 2007. Small health plans must use only the NPI by May 23, 2008.

This companion guide has been updated to reflect how the NPI will be integrated in the 837 X12 transaction.

## **2 Getting Started**

### **2.1 Working with Health Net, LLC**

Contact HNT EDI Dept. for all EDI related customer service requests. (See contact information in section 5 below.)

There are three units within HNT that work internally to complete EDI service requests from our trading partners.

The first unit is Health Net's EDI Operations Dept. This group will serve as the trading partner's central point of contact. This group will also facilitate the implementation of trading partners through all steps of external testing.

The second unit is Health Net's Electronic File Transfer (EFT) team, an IT infrastructure group. This is a technical team that implements the communication link and ensures that trading partner to payer connectivity is established properly.



The third unit is Health Net's EDI IT Translator team. This group is responsible for our inbound and outbound X12 Translator applications.

## 2.2 Trading Partner Registration

To register as a trading partner with HNT the following sequence of events will take place.

1. Initial conversations are held between the trading partner and HNT.
2. Verbal agreements are reached as to the transactions that will be conducted.
3. A trading partner agreement and associated companion guides are provided and reviewed.
4. Submitter Id and Receiver Id is established for the purpose of identification.
5. Required trading partner profiling is built into our EDI translator.
6. Test files are exchanged and test runs conducted.
7. Once a brief testing phase is completed and a trading partner agreement is in place the trading partner is registered.

## 2.3 Certification and Testing Overview

HNT requires its trading partners to show evidence of third party certification. This is consistent with industry standard conventions that have been adopted for HIPAA Transaction and Code Sets implementation.

HNT will also show evidence of third party certification for standard transactions.

This requirement exists so that the process to test and implement a trading partner for the purpose of conducting EDI with standard transactions is a smooth and efficient process.

The complexity of X12 files when not tested and certified by a third party will cause delays in the ability to enable the X12 submissions in a production environment.

HNT wants to spend the majority of the testing period time, working with prospective trading partners on the agreed components of this companion document rather than X12 or HIPAA implementation guide syntax.

HNT will be certified from Claredi incorporating the following WEDI/SNIP levels of testing where applicable:

Level 1, Integrity Testing (X12 Syntax)

Level 2, Requirement Testing (HIPAA Implementation Guide Syntax)

Level 3, Balancing Testing (i.e. 835 claim line balancing to the claim document)

Level 4, Situation Testing (Use of Situational Segments that business relevant)

Level 5, Code Sets Testing

Level 6, Product Types/Types of Service Testing (i.e. provider specialties)

## 3 Testing with the Payer

HNT would like to establish with the trading partner a set of scenarios that are intended for testing. This can be a high level description of the contents of the transaction. It should be a representation or cross section of the majority of conditions that will be encountered with production data from these transactions.

HNT requires testing be completed with all trading partners. The testing phase will consist of several smaller phases of testing, as appropriate.

### 3.1 HIPAA Compliance Testing

HNT uses an industry standard data translator, General Electric Information Systems (GEIS) now known as GXS to validate transactions meet the 6 levels of HIPAA compliance, and to translate them into an acceptable format for internal processing. The 997/999 Acknowledgement will be tested during this phase. Any issues identified during this phase of testing will have to be addressed in order for subsequent phases to continue.

### 3.2 Trading Partner Agreement Testing

Trading partner specific setup, as defined in either the trading partner agreement or companion guide will be verified. Generally, this will be done in conjunction with Compliance testing.

### 3.3 Functional and Regression Testing

Once the transactions have successfully tested through GXS and trading partner specifics, they will be processed through our internal system to ensure they are handled appropriately. Response transactions will be generated during this phase, where applicable.

### 3.4 Parallel Testing

Depending on the stage of the HNT implementation, a period of parallel testing may be required. This would involve sending the current proprietary transaction format, as well as, sending the same transactions in the x12 format, to our test system. This phase will allow for the comparative analysis necessary to ensure appropriate handling by our system.

## 4 Connectivity with the Payer / Communications

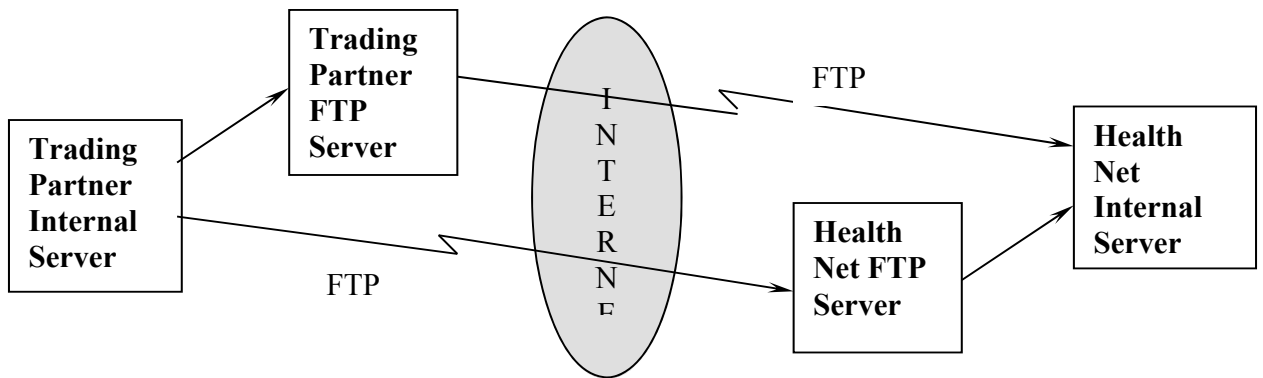
### 4.1 Process flows

Three file exchange methods are supported to enable batch data file transmission; (1) FTP of encrypted data over the Internet, (2) use of Connect: Direct (NDM) over the AT&T AGNS (formerly Advantis) SNA network, and (3) FTP over frame relay for trading partners with very high volumes.

### 4.1.1 FTP of Encrypted data over the Internet

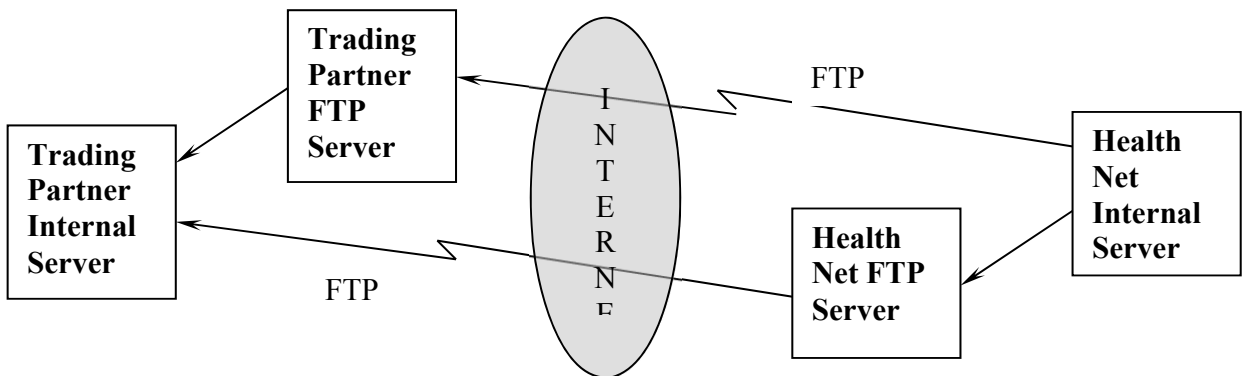
One method of exchanging data files is encrypting the file, sending it over the Internet where it is then decrypted. For data inbound to Health Net (see Figure 4.1), the trading partner would encrypt the data on an internal server and then transfer to either a trading partner owned FTP server or to Health Net's FTP server. Then, Health Net will retrieve the encrypted file from either the trading partner FTP server or from Health Net's FTP server to an internal server where the file is decrypted and processed.

**Figure 4.1.1A**  
**FTP of Encrypted Data over the Internet from Trading Partner to Health Net**



For data outbound from Health Net (see Figure 4.2), Health Net will generate the X12 data file and encrypt it. Once encrypted, the file will be sent either to Health Net's FTP server or the trading partners FTP server. Then the trading partner can retrieve the file from the appropriate FTP server, transfer it to their internal system, and encrypt it and process.

**Figure 4.1.1B**  
**FTP of Encrypted Data over the Internet from Health Net to Trading Partner**



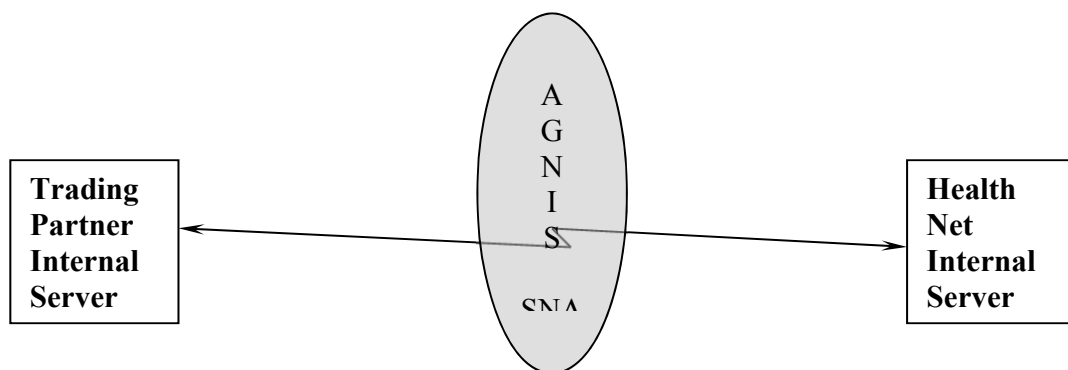
#### 4.1.2 Use of Connect: Direct (NDM) over the AT&T AGNS (Advantis) SNA Network

Data may also be exchanged over the AT&T AGNS (formerly Advantis) SNA network (see Figure 4.3). The transmission software must Sterling Commerce Connect: Direct (formerly NDM). For data inbound to Health Net, the trading partner will make the data file available on their internal server. Health Net will retrieve the data from the trading partner server with Connect: Direct (preferred) or the trading partner may initiate the transfer and send the data to Health Net's internal server.

Data outbound from Health Net takes just the opposite path with either Health Net (preferred) or the trading partner initiating the file transfer.

Data transferred over the AGNS network may be encrypted or sent in clear text.

**Figure 4.1.2**  
**Connect: Direct Transfer over the AT&T AGNS Network**

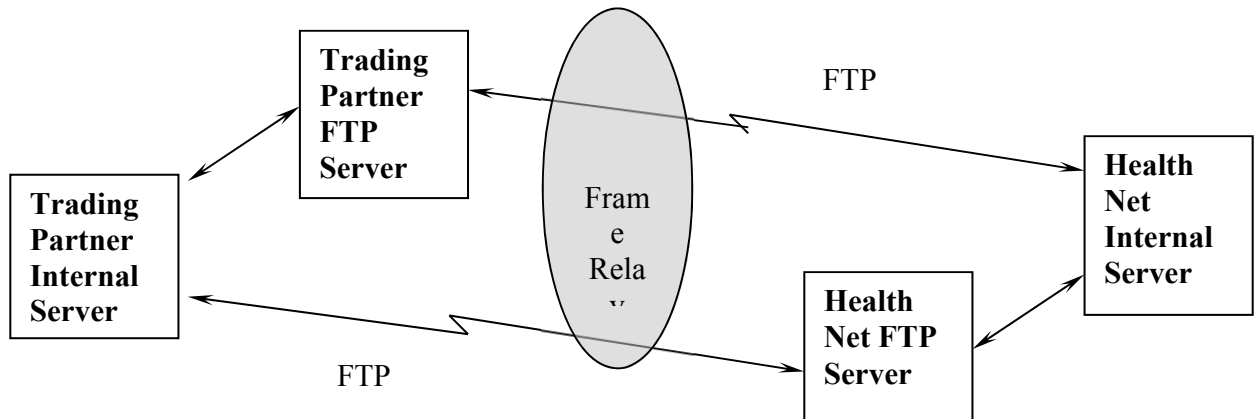


#### 4.1.3 FTP Over Frame Relay

For trading partners with very large data volume to exchange with Health Net, a private virtual circuit may be established over a frame relay link (see Figure 4.4). Once established, data will be exchanged similarly to the FTP over the Internet approach except the data will not flow over the Internet.

Data transferred over the frame relay network may be encrypted or sent in clear text.

**Figure 4.1.3**  
**FTP Over Frame Relay**



## 4.2 Transmission Administrative Procedures

Before establishing data communications with Health Net, a trading partner relationship must exist. As part of the process establishing the relationship, Health Net and the trading partner must exchange certain technical information. This information is needed by both parties in order to establish communications.

The information requested will include:

1. Contacts; business, data and communications
2. Dates; testing, production
3. File information; size, naming
4. Transfer; schedule, protocol
5. Server information; host name, user ID, password, file location, file name
6. Notification; failure, success

### 4.2.1 Re-transmission procedures

When a file needs to be retransmitted, the trading partner will contact their primary contact at Health Net. At that time, procedures will be followed for Health Net to accept and re-transmit a file.

## 4.3 Communication protocol specifications

### 4.3.1 FTP over the Internet

The following items are required to exchange data with Health Net utilizing FTP over the Internet. The trading partner is responsible for the acquisition and installation of these items. This list assumes that Health Net FTP server will be used.

1. Internet Connectivity, if large files will be exchanged, then the trading partner should consider a broadband connection.
2. Computer with FTP client and connectivity to the Internet.
3. PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with Health Net via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include confirming FTP connectivity, exchanging PGP public keys and performing end-to-end communications testing.

Before sending data to Health Net, the data must be encrypted with PGP and then sent to the Health Net FTP using the FTP client over the Internet connection. When receiving data from Health Net, the FTP client will be used to get the data from the Health Net FTP server after which PGP will be used to decrypt the data.

#### **4.3.2 Connect: Direct over the AT&T AGNS**

The following items are required to exchange data with Health Net utilizing Connect: Direct (formerly NDM) over the AT&T AGNS network (formerly Advantis).

1. SNA Connectivity to the AT&T AGNS network.
2. Connect: Direct software loaded and configured on an applicable host system. Health Net runs Connect: Direct on an OpenVMS system. Not all Connect: Direct versions are compatible with Connect: Direct for OpenVMS. The trading partner must confirm that their version is compatible.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with Health Net via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include the exchange of Connect: Direct parameters (APPLID, LUs, etc.), submission of security requests to AT&T and end-to-end communications testing.

Using Connect: Direct, data may be “pushed” or “pulled” by either party. Health Net prefers to initiate the connection. Data is exchanged when one party initiates a Connect: Direct session with the other and either “pushes” or “pulls” a file to/from the other party.

### **4.3.3 FTP over Frame Relay**

This method of communications is only appropriate for trading partners with a very high and frequent volume. The initial setup of this method can be lengthy.

The following items are required to exchange data with Health Net utilizing FTP over Frame Relay.

1. Connectivity to a Frame Relay network common with Health Net.
2. Computer with FTP client and connectivity to the Internet.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with Health Net via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include the exchange of Frame Relay PVC parameters and submission of a request to the frame relay carrier for connectivity. Once connectivity is established at the frame relay level, this method is similar to the FTP over the Internet method.

## **4.4 Passwords**

Health Net requires the uses of UserIDs and Passwords to access it's systems and servers. If Health Net's FTP server is to be used to exchange data, Health Net will assign each trading partner a unique UserID and password. The UserID and other information will be communicated with the trading partner via e-mail. However, the password will be communicated via another method such as phone or fax.

In the event a trading partner forgets their password, Health Net will change the password after verifying the authenticity of the request.

Likely, Health Net will not utilize a trading partner owned FTP server that is not protected with a UserID and password.

## **4.5 Encryption**

Health Net requires the encryption of data that is exchanged via the Internet or any other public network. Health Net utilizes PGP with 1024 or 2048 bit keys for file encryption.

# **5 Contact information**

## **5.1 HNT EDI Department**

HNT EDI Dept. is the central point of contact for all trading partner EDI activity including questions relating to file submissions. They will internally route EDI questions to one of three EDI areas for resolution.

Once resolution is reached, trading partners will receive a response from this same central EDI Dept.

The three areas within HNT EDI that work on EDI customer service issues are.

- HNT IT EDI Translator Team (EDI ITG Team)
- HNT IT Payer Connectivity and Infrastructure EFT Team
- HNT EDI Business Operations Team

Contact Phone number for EDI Dept is:  
NE and AZ 1-866-334-4638  
CA and OR 1-800-977-3568

## **6 Control Segments / Envelopes**

### **6.1 ISA-IEA**

See Section 10.

### **6.2 GS-GE**

See Section 10.

### **6.3 ST-SE**

See Section 10.

## **7 Payer Specific Business Rules and Limitations**

- All monetary amounts are to include decimal points with two positions allowed to the right of the decimal point to represent cents.
- HNNE encourages the use of HNNE Group and Plan Information
- ICD-9 Procedure codes and dates are required by Health Net for all claims
- ICD-9 codes should not include the decimal point
- ICD-10 codes are not to be sent until mandated cutover date
- Condition code should be limited to a length of 5
- Treatment code and Value code should be limited to a length of 10
- Commercial Member numbers are alpha-numeric. They begin with an R or an HN. Medi-Cal members should submit the Medi-Cal ID.

## **8 Acknowledgements and or Reports**

997/999 and 277CA Acknowledgement will be sent so the trading partner will get confirmation that we received their 837 submission.



## 9 Trading Partner Agreements

- HNT is internally reviewing an industry standard draft for a trading partner agreement at this time.

## 10 Transaction Specification Information

Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
C.3	Interchange Control Header	ISA01	R	Author Info Qualifier	2/2	00 – No Authorization Information Present
		ISA02	R	Author Information	10/10	Spaces
		ISA03	R	Security Info Qualifier	2/2	00 – No Security Information Present
		ISA04	R	Security Information	10/10	Spaces
		ISA05	R	Interchange Sender Qualifier	2/2	30 – Federal Tax ID ZZ – Mutually Defined
		ISA06	R	ISA Sender ID	15/15	(As agreed upon)
		ISA07	R	Interchange Receiver Qualifier	2/2	30 – Federal Tax ID ZZ – Mutually Defined
		ISA08	R	ISA Receiver ID	15/15	HNT Tax ID - 954402957 (As agreed upon)
		ISA09	R	Interchange Date	6/6	Date of Transmission (YYMMDD)
		ISA10	R	Interchange Time	4/4	Time of Transmission (HHMM)
		ISA11	R	Repetition Separator	1/1	
		ISA12	R	Interchange Control Version Number	5/5	00501
		ISA13	R	ISA Control Number	9/9	Control number assigned by the sender, Must be identical to control number in IEA02
		ISA14	R	Acknowledgement Indicator	1/1	1 - Send TA1, 0 - Do not send TA1
		ISA15	R	Usage Indicator	1/1	T - Test, P - Production
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
C.7	Functional Group Header	GS01	R	Functional Identifier Code	2/2	HC - Health Care Claim (837)
		GS02	R	GS Sender's Code	2/15	(As agreed upon)
		GS03	R	GS Receiver's Code	2/15	HNCA-ENC (As agreed upon)
		GS04	R	Group GS Date	8/8	Functional group creation date (CCYYMMDD)
		GS05	R	Group GS Time	4/8	Functional group creation time (HHMM)
		GS06	R	Group Control Number	1/9	Control number assigned by the sender
		GS07	R	Responsible Agency Code		X Accredited Standards Committee X12

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
		GS08	R	Version /Release ID Code	1/12	005010X223A2
67	Transaction Set Header	ST01	R	Transaction Set Identifier Code	3/3	<b>837</b> Health Care Claim: Institutional
		ST02	R	Transaction Set Control Number	4/9	Unique control number assigned by sender's translator
		ST03	R	Transaction Set Version	1/35	005010X223A2
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
68	Beginning of Hierarchical Transaction	BHT01	R	Hierarchical Structure Code	4/4	<b>0019</b> Code identifying the purpose of a transaction set
		BHT02	R	Transaction Set Purpose Code	2/2	00 - Original 18 - Reissue
		BHT03	R	Originator Application Transaction Identifier	1/50	
		BHT04	R	Application Creation Date	8/8	CCYYMMDD
		BHT05	R	Application Creation Time	4/8	
		BHT06	R	Claim or Encounter Indicator	2/2	Identifies cap vs. fee for service claims RP - Reporting ( <b>Encounters/ Capitation</b> )
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
71	1000A	NM101	R	Entity Identifier Code	2/3	<b>41 Submitter</b>
		NM102	R	Entity Type Qualifier	1/1	1 person 2 non-person
		NM103	R	Submitter Name	1/60	
		NM104	S	Submitter First Name	1/35	
		NM105	S	Submitter Middle Name	1/25	
		NM106 NM107		Not Used by HIPAA		
		NM108	R	Identification Code Qualifier	1/2	<b>46</b> Electronic Transmitter ID Number (ETIN).
		NM109	R	Submitter Identifier	2/80	9-digit HNT Submitter ID ( <b>Assign by Health Net</b> )
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
73	1000A	PER01	R	Contact Function Code	2/2	<b>IC</b> Inbound
		PER02	S	Submitter Contact Name 1	1/60	
		PER04/06/0 8	R	Contact Telephone Number 1	1/256	<b>PER03,05,07=TE</b>
		PER06/08	R	Contact Telephone Extension 2	1/256	<b>PER05,07=EX</b>
		PER04/06/0 8	R	Contact Fax number 1	1/256	<b>PER03,05,07=FX</b>
		PER04/06/0	R	Contact Email Address 1	1/256	<b>PER03,05,07=EM</b>

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
		8 PER02	S	Submitter Contact Name 2	1/60	IC Used if more contact information needed.
		PER04/06/0 8	S	Contact Telephone Number 2	1/256	PER03,05,07=TE
		PER06/08	S	Contact Telephone Extension 2	1/256	PER05,07=EX
		PER04/06/0 8	S	Contact Fax number 2	1/256	PER03,05,07=FX
		PER04/06/0 8	S	Contact Email Address 2	1/256	PER03,05,07=EM
		PER09				Not Used by HIPAA
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
76	1000B	NM101	R	Entity Identifier Code	2/3	40 Receiver
		NM102	R	Entity Type Qualifier	1/1	2 Non-Person
		NM103	R	Receiver Name	1/60	
		NM104- NM107		Not Used by HIPAA		
		NM108	R	Identification Code Qualifier	1/2	46 Electronic Transmitter ID Number (ETIN).
		NM109	R	Receiver Identifier	2/80	
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
78	2000A	HL01	R	Hierarchical ID Number	1/12	
		HL02	R	Hierarchical Parent ID Number	1/12	
		HL03		Hierarchical Level Code	1/2	20 – Information Source
		HL04		Hierarchical Child Code	1/1	1 – Additional Subordinate
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
80	2000A	PRV01	R	Provider Code	1/3	BI Billing
		PRV02	R	Reference Identification Qualifier	2/3	PXC Provider Taxonomy Code
		PRV03	R	Billing Provider Taxonomy Code	1/50	
		PRV04- PRV06		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
81	2000A	CUR01	R	Entity Identifier Code	2/3	B5 currency for Billing provider
		CUR02	R	Currency Code	3/3	
		CUR03- CUR21		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
84	2010AA	NM101	R	Entity Identifier Code	2/3	85 Billing Provider
		NM102	R	Entity Type Qualifier	1/1	2 Organization
		NM103	R	Billing Provider Name	1/60	

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		NM104-NM107		Not Used by HIPAA		
		NM108	R	Identification Code Qualifier	1/2	XX HIPAA National Provider ID
		NM109	R	Billing Provider Primary ID XX	2/80	REQUIRED
		NM110-NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
87	2010AA	N301	R	Billing Provider Address 1	1/55	
		N302	S	Billing Provider Address 2	1/55	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
88	2010AA	N401	R	Billing Provider City	2/30	
		N402	R	Billing Provider State	2/2	
		N403	R	Billing Provider Zip Code	3/15	Nine digit Zip Code
		N404	S	Billing Provider Country Code	2/3	Required only if country not USA.
		N405 N406		Not Used by HIPAA		
		N407	S	Billing Provider Sub Country Code	1/3	Required only if country not USA.
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
90	2010AA	REF01	R	Reference Identification Qualifier	2/3	EI Employer's identification number
		REF02	R	Billing Provider Secondary ID EI	1/50	REQUIRED
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
91	2010AA	PER01	R	Contact Function Code		IC Informtion Contact
		PER02	S	Billing Provider Contact Name 1	1/60	
		PER04/06/08	S	Contact Telephone Number 1	1/256	PER03,05,07 = TE
		PER06/08	S	Contact Telephone Extension 1	1/256	PER05,07 = EX
		PER04/06/08	S	Contact Fax Number 1	1/256	PER03,05,07 = FX
		PER04/06/08	S	Contact Email Address 1	1/256	PER03,05,07 = EM
		PER02	S	Billing Provider Contact Name 2	1/60	IC Used if more Billing Provider contact
		PER04/06/08	S	ContactTelephone Number 2	1/256	PER03,05,07 = TE
		PER06/08	S	ContactTelephone Extension 2	1/256	PER05,07 = EX
		PER04/06/08	S	Contact Fax Number 2	1/256	PER03,05,07 = FX
		PER04/06/08	S	Contact Email Address 2	1/256	PER03,05,07 = EM
		PER09		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
94	2010AB	NM101	R	Entity Identifier Code	2/3	87 Pay-to Provider

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		NM102	R	Entity Type Qualifier	1/1	2 Organization
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
107	2000B	NL01		Hierarchical ID Number	1/12	
		HL02		Hierarchical Parent ID Number	1/12	
		HL03		Hierarchical Level Code	1/2	22 – Subscriber
		HL04		Hierarchical Child Code	1/1	0 – No Subordinate 1 – Additional Subordinate
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
109	2000B	SBR01	R	Payer Responsibility Sequence Number Code	1/1	COB Payment Sequence Indicator P - Primary S - Secondary T - Tertiary A - Payer Responsibility Four B - Payer Responsibility Five C - Payer Responsibility Six D - Payer Responsibility Seven E - Payer Responsibility Eight F - Payer Responsibility Nine G - Payer Responsibility Ten H - Payer Responsibility Eleven U - Unknown
		SBR02	S	Individual Relationship Code	2/2	Individual Relationship Code 18 - Self, if patient is subscriber. Blank otherwise
		SBR03	S	Insured Group or Policy Number	1/30	Subscriber's group number
		SBR04	S	Insured Group Name	1/60	Subscriber's group name
		SBR05- SBR08		Not Used by HIPAA		
		SBR09	S	Claim Filing Indicator Code	1/2	11 - Other Non-Federal Programs 12 - PPO 13 - POS 14 - EPO 15 - Indemnity 16 - HMO Medicare Risk AM - Automobile Medical BL - Blue Cross/Blue Shield CH - CHAMPUS CI - Commercial Insurance Company DS - Disability HM - HMO LM - Liability Medical MA - Medicare Part A MB - Medicare Part B MC - Medicaid OF - Other Federal Program TV - Title V VA - Veteran Administration Plan WC - Workers' Compensation Health Claim ZZ - Mutually Defined
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
112	2010BA	NM101	R	Entity Identifier Code	2/3	1L Insured or Subscriber
		NM102	R	Entity Type Code	1/1	1 (person) 2 (non-person)

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		NM103	R	Subscriber Last Name	1/60	
		NM104	S	Subscriber First Name	1/35	
		NM105	S	Subscriber Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Subscriber Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	½	<b>MI</b> Member identification number <b>II</b> HIPAA National Individual Identifier (future use)
		NM109	R	Subscriber Primary ID	2/80	HN Member ID or Medi-Cal ID
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
115	2010BA	N301	R	Subscriber Address 1	1/55	
		N302	S	Subscriber Address 2	1/55	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
116	2010BA	N401	R	Subscriber City Name	2/30	
		N402	S	Subscriber State	2/2	
		N403	S	Subscriber Zip Code	3/15	
		N404	S	Subscriber Country Code	2/3	Required only if country not USA.
		N405 N406		Not Used by HIPAA		
		N407	S	Subscriber Sub-Country Code	1/3	Required only if country not USA.
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
118	2010BA	DMG01	R	Date Time Period Format Qualifier	2/3	<b>D8</b> Date
		DMG02	R	Subscriber Birth Date	1/35	
		DMG03	R	Subscriber Gender Code	1/1	F - Female M - Male U - Unknown
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
120	2010BA	REF01	R	Reference Identification Qualifier	2/3	<b>SY</b> Social security number (cannot be used for Medicare)
		REF02	R	Subscriber Secondary ID SY	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
121	2010BA	REF01	R	Reference Identification Qualifier	2/3	<b>Y4</b> Property/Casualty Agency identification number
		REF02	R	Subscriber Secondary ID Y4	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
122	2010BB	NM101	R	Entity Identifier Code	2/3	<b>PR</b> Payer
		NM102	R	Entity Type Qualifier	1/1	<b>2</b> Non-Person Entity
		NM103	R	Payer Name	1/60	Health Net of CA, Healthnet of Arizona, (based on payer id)

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		NM104- NM107		Not Used by HIPAA		
		NM108	R	Identification Code Qualifier	1/2	<b>PI</b> Payer identification number <b>XV</b> HCFA National Plan ID (future use)
		NM109	R	Payer Primary ID	2/80	
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
124	2010BB	N301	R	Payer Address 1	1/55	
		N301	S	Payer Address 2	1/55	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
125	2010BB	N401	R	Payer City Name	2/30	
		N402	S	Payer State	2/2	
		N403	S	Payer Zip Code	3/15	
		N404	S	Payer Country Code	2/3	Required only if country not USA.
		N405 N406		Not Used by HIPAA		
		N407	S	Payer Sub-Country Code	1/3	Required only if country not USA.
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
127	2010BB	REF01	R	Reference Identification Qualifier	2/3	<b>2U</b> Supplemental payer id number <b>FY</b> Claim office number <b>EI</b> Federal Taxpayer's ID Number
		REF02	R	Payer Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
129		REF01	R	Reference Identification Qualifier	1/50	<b>LU</b> Provider Location ID Number <b>G2</b> Provider Commercial ID Number
		REF02	R	Billing Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
131	2000C	HL01		Hierarchical ID Number	1/12	
		HL02		Hierarchical Parent ID Number	1/12	
		HL03		Hierarchical Level Code	1/2	23 - Dependent
		HL04		Hierarchical Child Code	1/1	0 – No Subordinate
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
133	2000C	PAT01	R	Dependent Relationship Code	2/2	01 - Spouse 03 - Father or Mother Stepson or Stepdaughter 19 - Child 20 - Employee 21 - Unknown 39 - Organ Donor 40 - Cadaver Donor 53 - Life Partner G8 - Other Relationship

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
		PAT02- PAT09		Not Used by HIPAA		
135	2001CA	NM101	R	Entity Qualifier Code	2/3	QC Patient
		NM102	R	Entity Type Qualifier	1/1	1 Person
		NM103	R	Dependent Last Name	1/60	
		NM104	R	Dependent First Name	1/35	
		NM105	S	Dependent Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Dependent Suffix Name	1/10	
		NM108- NM111		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
137	2010CA	N301	R	Dependent Address 1	1/55	
		N302	S	Dependent Address 2	1/55	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
138	2010CA	N401	R	Dependent City Name	2/30	
		N402	R	Dependent State	2/2	
		N403	R	Dependent Zip Code	3/15	
		N404	S	Dependent Country Code	2/3	Required only if country not USA.
		N405 N406		Not Used by HIPAA		
		N407	S	Dependent Sub-Country Code	1/3	Required only if country not USA.
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
140	2010CA	DMG01	R	Date Time Period Format Qualifier	2/3	D8 Date
		DMG02	R	Dependent Birth Date	1/35	
		DMG03	R	Dependent Gender Code	1/1	F - Female M - Male U - Unknown
		DMG04- DMG11		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
142	2010CA	REF01	R	Reference Identification Qualifier	2/3	Y4 Property/Casualty Agency identification number
		REF02	R	Dependent Secondary ID Y4	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
142	2010CA	REF01	R	Reference Identification Qualifier	2/3	1W Property/Casualty Patient Identifier SY Property/Casualty Patient Identifier
		REF02	R	Patient Identifier ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
143	2300	CLM01	R	Patient Account Number	1/38	Patient account number assigned by submitter's system



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		CLM02	R	Total Claim Charge Amount	1/18	
		CLM03 CLM04		Not Used by HIPAA		
		CLM05-1	R	Facility Type Code	1/2	1st and 2nd positions of Uniform Bill Type code. CLM05-2 Always 'A'
		CLM05-3	R	Claim Frequency Code	1/1	3rd position of Uniform Bill Type code  1 = Admit thru Discharge 2 = Interim – First Claim 3 = Interim – Continuing Claim 4 = Interim – Last Claim 6 = Adjustment 7 = Replacement 8 = Void  <b>NOTE: REF*F8 is required if 3, 4, 5, 6, 7, or 8</b>
		CLM06		Not Used by HIPAA		
		CLM07	S	Provider Accept Assignment Code	1/1	Indicates whether provider accepts assignment. A - Assigned B – Assignment Accepted on Clinical Lab Services Only C - Not Assigned
		CLM08	R	Assignment of Benefits Indicator	1/1	Indicates whether insured has authorized benefits to be assigned to the provider Y - Yes N - No W - Not Applicable
		CLM09	R	Release of Information Indicator	1/1	Indicates whether the provider has a signed authorization for release of medical information I - Informed Consent to Release Medical Information for conditions or diagnoses regulated by federal statutes Y - Yes, provider has a signed statement permitting release of medical billing data related to a claim
		CLM10- CLM19		Not Used by HIPAA		
		CLM20	S	Delay Reason Code	1/2	1 - Proof of Eligibility Unknown or Unavailable 2 - Litigation, 3 - Authorization Delays 4 - Delay in Certifying Provider, 5 - Delay in Supplying Billing Forms 6 - Delay in Delivery of Custom-made Appliances 7 - Third Party Processing Delay, 8 - Delay in Eligibility Determination 9 - Original Claim Rejected or Denied Due to a Reason Unrelated to the Billing Limitation Rules 10 - Administration Delay in the Prior Approval Process 11 - Other 15 - Natural Disaster
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
149	2300	DTP01	R	Date/Time Qualifier	3/3	096 - Discharge
		DTP02	R	Date Time Period Format Qualifier	2/3	TM
		DTP03	R	Discharge Hour	1/35	<b>096</b> Time patient was discharged

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
150	2300	DTP01	R	Date/Time Qualifier	3/3	<b>434</b> Statement
		DTP02	R	Date Time Period Format Qualifier	2/3	<b>RD8</b> Date Range
		DTP03	S	Date Time Period	1/35	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
151	2300	DTP01	R	Date/Time Qualifier	3/3	<b>435</b> Admission
		DTP03	R	Date Time Period Format Qualifier	1/35	<b>D8</b> Date or <b>DT</b> Date + Time
		DTP03	S	Date Time Period	1/35	<b>Required on Inpatient</b>
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
152	2300	DTP01	R	Date/Time Qualifier		<b>050</b> Received
		DTP02	R	Date Time Period Format Qualifier		<b>D8</b> Date
		DTP03	R	Repricer Received Date	1/35	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
153	2300	CL101	R	Priority (Type) of Admission or Visit	1/1	<b>Addendum 223A2 changed usage from S to R and element name</b>
		CL102	S	Point of Origin for Admission or Visit	1/1	<b>Addendum 223A2 changed element name</b>
		CL103	R	Patient Status Code	1/2	
		CL104		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
154	2300	PWK01	R	Attachment Report Type Code	2/2	61 possible codes. See code list on pages 183-184 of HIPAA Guidelines.
		PWK02	R	Attachment Transmission Code	1/2	AA - Available on Request at Provider Site BM - By Mail EL - Electronically Only (X12 275) EM - Email FX - By Fax
		PWK03 PWK04		Not Used by HIPAA		
		PWK05		Identification Code Qualifier	1/2	<b>AC</b> Attachment Control Number
		PWK06	S	Attachment Control Number	2/80	
		PWK07- PWK09		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
158	2300	CN101	R	Contract Type Code	2/2	01 - DRG 02 - Per Diem 03 - Variable Per Diem 04 - Flat 05 - Capitated 06 - Percent 09 - Other
		CN102	S	Contract Amount	1/18	
		CN103	S	Contract Percentage	1/6	Allowance or charge percent
		CN104	S	Contract Code	1/50	
		CN105	S	Terms Discount Percentage	1/6	

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
		CN106	S	Contract Version Identifier	1/30	
160	2300	AMT01		Amount qualifier Code	1/3	<b>F3 Patient Amount Paid/Responsibility</b>
		AMT02	R	Patient Responsibility Amount	1/18	Monetary Amount – Patient Amount Paid/Responsibility. <b>REQUIRED If Loop 2430 CAS*PR 1,2 or 3 is present. Value of all CAS*PR must match AMT*F3*Amount</b>
		AMT03		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
161-175	2300	REF01	R	Reference Identification Qualifier	2/3	<b>D9</b> VAN/Clearinghouse unique per claim ID <b>F8 ICN/DCN</b> <b>LX</b> IDE number <b>4N</b> Special Payment Reference Number <b>G4</b> PRO Approval Number <b>G1</b> Prior authorization number <b>9F</b> Referral number <b>EA</b> Medical record number <b>P4</b> Demonstration Project Identifier <b>LU</b> State of Record of Auto Accident. <b>9A</b> Repricer's claim number <b>9C</b> Repricer's claim number for a previously adjusted (resubmitted) claim
		REF02	R	Reference Identification Number	1/50	<b>NOTE: Required if CLM05-03 = 6,7, or 8 Payer Claim Control Number</b>
		REF03 REF04		Not Used by HIPAA		Ambulatory Patient Reference Numbers removed
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
181	2300	CRC02	R	Certification Condition Code Applies Indicator	1/1	<b>ZZ</b> Mutually Defined N - No, Y - Yes
		CRC03	R	Certification Condition Code 1	2/3	AV - Available - Not Used (Patient refused referral) NU - Not Used (Must be used when CRC02=N) S2 - Under Treatment (Patient currently under treatment for referred diagnostic or corrective health problem) ST - New Services Requested
		CRC04	S	Certification Condition Code 2	2/3	See CRC03 for expected codes.
		CRC05	S	Certification Condition Code3	2/3	See CRC03 for expected codes.
		CRC06 CRC07		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
184	2300	HI01-1	R	Principal Diagnosis Qualifier	1/3	BK - ICD-9 ABK - ICD-10
		HI01-2	R	Principal Diagnosis Code	1/30	
		HI01-3- HI01-8		Not Used by HIPAA		
		HI01-9	S	Principle Diagnosis POA Indicator	1/1	Y - Yes N - No U - Unknown W - Not Applicable 1 – Filler <b>Required on certain Inpatient</b>
		HI02- HI12		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments

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187	2300	HI01-1	R	Admitting Diagnosis Qualifier	1/3	BJ = ICD-9 ABJ= ICD-10	
		HI01-2	R	Admitting Diagnosis	1/30	if present, next HI segment in loop. <b>Required on Inpatient</b>	
		HI01-3- HI01-9 HI02- HI12		Not Used by HIPAA			
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	
189	2300	HI01-1	R	Patient Reason for Visit Qualifier	1/3	PR=ICD-9 APR=ICD-10	
		HI01-2	S	Patient Reason for Visit	1/30	<b>Required on Outpatient visits</b>	
		HI01-3- HI01-9		Not Used by HIPAA			
		HI02-1	R	Patient Reason for Visit Qualifier	1/3	PR=ICD-9 APR=ICD-10	
		HI02-2	S	Patient Reason for Visit	1/30		
		HI02-3- HI02-9		Not Used by HIPAA			
		HI03-1	R	Patient Reason for Visit Qualifier	1/3	PR=ICD-9 APR=ICD-10	
		HI03-2	S	Patient Reason for Visit	1/30		
		HI03-3- HI03-9 HI02- HI12		Not Used by HIPAA			
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	
193	2300	HI01-1	R	External Cause of Injury Qualifier - 1	1/3	BN=ICD-9 ABN=ICD-10	
		HI01-2	S	External Cause of Injury Code - 1	1/30	Also known as E-Code.	
		HI01-3- HI01-8		Not Used by HIPAA			
		HI01-9	S	Other Diagnosis 1 POA Indicator	1/1	N - No U - Unknown W - Not Applicable Y - Yes <b>Required on certain Inpatient</b>	
		HI02-1	R	External Cause of Injury Qualifier - 2	1/3	BN=ICD-9 ABN=ICD-10	
		HI02-2	S	External Cause of Injury Code - 2	1/30	Also known as E-Code.	
		HI02-3- HI02-9		Not Used by HIPAA			
		HI03-1	R	External Cause of Injury Qualifier - 3	1/3	BN=ICD-9 ABN=ICD-10	
		HI03-2	S	External Cause of Injury Code - 3	1/30	Also known as E-Code.	
		HI03-3- HI03-8 HI02- HI12		Not Used by HIPAA			
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	
218	2300	HI01-2	S	Diagnosis Related Group Code	1/30	<b>DR</b> Also known as DRG Group Code.	
		HI03-3- HI03-9 HI02- HI12		Not Used by HIPAA			
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	Segment Repeat 2
220	2300	HI01-01 - HI12-01	R	Other Diagnosis Qualifier	1/3	Segment 1. BN=ICD-9 ABN=ICD-10	
		HI01-02 - HI12-02	R	Other Diagnosis 1	1/30		

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	
		HI01-09 - HI12-09	S	Other Diagnosis 1 POA Indicator	1/1	N - No U - Unknown W - Not Applicable Y - Yes <b>Required on certain Inpatient</b>	
239	2300	HI01-1	R	Principal Procedure Code Qualifier	1/3	BR=ICD-9 ABR=ICD-10 CAH=Advanced Billing Concepts	
		HI01-2	R	Principal Procedure Code	1/30		
		HI01-4	R	Principal Procedure Date	1/35	<b>D8</b>	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	Segment Repeat 2
242	2300	HI01-01 - HI12-01	R	Other Procedure Code 1-12 Qualifier	1/3	Segment 1. BQ=ICD-9 ABQ=ICD-10	
		HI01-02 - HI12-02	R	Other Procedure Code 1-12	1/30	Additional procedure	
		HI01-03 - HI12-03	R	Other Procedure 1-12 Date	1/35	<b>D8</b>	
		HI01-04 - HI12-04	R	Date Time Period	8	<b>CCYYMMDD</b>	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	
258	2300	HI01 - 2	R	Occurrence Span Code - 1	1/30	Segment 1. <b>BI</b> Occurrence Span	
		HI01 - 4	R	Occurrence Span From Date - 1	1/35	<b>RD8</b> Date Range	
		HI01 - 4	R	Occurrence Span To Date - 1	1/35		
		HI02 - 2	S	Occurrence Span Code - 2	1/30	<b>BI</b> Occurrence Span	
		HI02 - 4	S	Occurrence Span From Date - 2	1/35	<b>RD8</b> Date Range	
		HI02 - 4	S	Occurrence Span To Date - 2	1/35		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	
271	2300	HI01 - 2	R	Occurrence Code 1	1/30	Segment 1. <b>BH</b> Occurrence	
		HI01 - 4	R	Occurrence Code Date 1	1/35	<b>D8</b> Date	
		HI02 - 2	S	Occurrence Code 2	1/30	<b>BH</b> Occurrence	
		HI02 - 4	S	Occurrence Code Date 2	1/35	<b>D8</b> Date	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments	
284	2300	HI01 - 2	R	Value Code-1	1/30	<b>BE</b> Value	
		HI01 - 5	R	Value Code Amount-1	1/18		
		HI02 - 2	S	Value Code-2	1/30	<b>BE</b> Value	
		HI02 - 5	S	Value Code Amount-2	1/18		
		HI03 - 2	S	Value Code-3	1/30	<b>BE</b> Value	
		HI03 - 5	S	Value Code Amount-3	1/18		
		HI04 - 2	S	Value Code-4	1/30	<b>BE</b> Value	
		HI04 - 5	S	Value Code Amount-4	1/18		
		HI05 - 2	S	Value Code-5	1/30	<b>BE</b> Value	
		HI05 - 5	S	Value Code Amount-5	1/18		
		HI06 - 2	S	Value Code-6	1/30	<b>BE</b> Value	
		HI06 - 5	S	Value Code Amount-6	1/18		
		HI07 - 2	S	Value Code-7	1/30	<b>BE</b> Value	
		HI07 - 5	S	Value Code Amount-7	1/18		
		HI08 - 2	S	Value Code-8	1/30	<b>BE</b> Value	
		HI08 - 5	S	Value Code Amount-8	1/18		
		HI09 - 2	S	Value Code-9	1/30	<b>BE</b> Value	
		HI09 - 5	S	Value Code Amount-9	1/18		
		HI10 - 2	S	Value Code-10	1/30	<b>BE</b> Value	
		HI10 - 5	S	Value Code Amount-10	1/18		
		HI11 - 2	S	Value Code-11	1/30	<b>BE</b> Value	
		HI11 - 5	S	Value Code Amount-11	1/18		

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		HI12 - 2	S	Value Code-12	1/30	BE Value
		HI12 - 5	S	Value Code Amount-12	1/18	
		HIxx-3 HIxx-4 HIxx-6- HIxx-9		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
294	2300	HI01 - 2	R	Condition Code 1	1/30	Segment 1 <b>BG</b> Condition
		HI02 - 2	S	Condition Code 2	1/30	<b>BG</b> Condition
		HI03 - 2	S	Condition Code 3	1/30	<b>BG</b> Condition
		HI04 - 2	S	Condition Code 4	1/30	<b>BG</b> Condition
		HI05 - 2	S	Condition Code 5	1/30	<b>BG</b> Condition
		HI06 - 2	S	Condition Code 6	1/30	<b>BG</b> Condition
		HI07 - 2	S	Condition Code 7	1/30	<b>BG</b> Condition
		HI08 - 2	S	Condition Code 8	1/30	<b>BG</b> Condition
		HI09 - 2	S	Condition Code 9	1/30	<b>BG</b> Condition
		HI10 - 2	S	Condition Code 10	1/30	<b>BG</b> Condition
		HI11 - 2	S	Condition Code 11	1/30	<b>BG</b> Condition
		HI12 - 2	S	Condition Code 12	1/30	<b>BG</b> Condition
		HIxx-3- HIxx-9		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
304	2300	HI01 - 2	R	Treatment Code 1	1/30	Segment 1. <b>TC</b> Treatment Codes
		HI02 - 2	S	Treatment Code 2	1/30	<b>TC</b> Treatment Codes
		HI03 - 2	S	Treatment Code 3	1/30	<b>TC</b> Treatment Codes
		HI04 - 2	S	Treatment Code 4	1/30	<b>TC</b> Treatment Codes
		HI05 - 2	S	Treatment Code 5	1/30	<b>TC</b> Treatment Codes
		HI06 - 2	S	Treatment Code 6	1/30	<b>TC</b> Treatment Codes
		HI07 - 2	S	Treatment Code 7	1/30	<b>TC</b> Treatment Codes
		HI08 - 2	S	Treatment Code 8	1/30	<b>TC</b> Treatment Codes
		HI09 - 2	S	Treatment Code 9	1/30	<b>TC</b> Treatment Codes
		HI10 - 2	S	Treatment Code 10	1/30	<b>TC</b> Treatment Codes
		HI11 - 2	S	Treatment Code 11	1/30	<b>TC</b> Treatment Codes
		HI12 - 2	S	Treatment Code 12	1/30	<b>TC</b> Treatment Codes
		HIxx-3- HIxx-9		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
313	2300	HCP01	R	Claim Pricing/Repricing Methodology	2/2	00 - Zero Pricing (Not Covered Under Contract) 01 - Priced as Billed at 100% 02 - Priced at the Standard Fee Schedule 03 - Priced at Contractual Percentage 04 - Bundled Pricing 05 - Peer Review Pricing 06 - Per Diem Pricing 07 - Flat Rate Pricing 08 - Combination Pricing 09 - Maternity Pricing 10 - Other Pricing 11 - Lower of Cost 12 - Ratio of Cost 13 - Cost Reimbursed 14 - Adjustment Pricing
		HCP02	R	Claim Repricing Allowed Amount	1/18	

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		HCP03	S	Claim Repricing Saving Amount	1/18	
		HCP04	S	Claim Level Repricing Organization ID	1/50	
		HCP05	S	Claim Repricing Per Diem or Flat Rate	1/9	
		HCP06	S	Claim Repricing Approved Ambulatory Patient Group Code	1/50	
		HCP07	S	Claim Repricing Approved Ambulatory Patient Group Amount	1/18	
		HCP08	S	Claim Repricing Approved Revenue Code	1/48	
		HCP09 HCP10		Not Used by HIPAA		
		HCP11	S	Claim Repricing Quantity Qualifier	2/2	Codes: DA - Days UN - Units Qualifies the basis for measurement represented in the HCP12 Quantity field.
		HCP12	S	Claim Repricing Approved Quantity	1/15	
		HCP13	S	Claim Repricing Reject Reason Code	2/2	T1 - Cannot identify provider as TPO participant T2 - Cannot identify payer as TPO participant T3 - Cannot identify insured as TPO participant T4 - Payer name or identifier missing T5 - Certification information missing T6 - Claim does not con
		HCP14	S	Claim Repricing Policy Compliance Code	1/2	1 - Procedure Followed (Compliance) 2 - Not Followed - Call Not Made (Non-Compliance Call Not Made) 3 - Not Medically Necessary (Non-Compliance Non-Medically Necessary) 4 - Not Followed Other (Non-Compliance Other) 5 - Emergency Admit to Non-Net
		HCP15	S	Claim Repricing Exception Code	1/2	Exception reason for consideration of out-of-network services 1 - Non-Network Professional Provider in Network Hospital 2 - Emergency Care 3 - Services or Specialist not in Network 4 - Out-of-Service Area 5 - State Mandates 6 - Other <b>Required if known</b> <b>1 or 3 = Out of Network</b> <b>6 = In Network</b>
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
319	2310A	NM101		Entity Identifier Code	2/3	<b>71</b> Attending Physician <b>Required when contains any service other than non-scheduled transportation</b>
		NM102		Entity Type Code	1/1	<b>1</b> Person
		NM103	R	Claim Attending Physician Last Name	1/60	<b>REQUIRED If loop is sent</b>
		NM104	S	Attending Physician First Name	1/35	<b>REQUIRED If loop is sent</b>
		NM105	S	Attending Physician Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Attending Physician Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	1/2	<b>XX</b> National Provider <b>Required</b>
		NM109	R	Attending Physician Primary ID XX	2/80	<b>REQUIRED If loop is sent</b>
		NM110- NM112		Not Used by HIPAA		

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
322	2310A	PRV01		Provider Code	1/3	<b>AT</b> Attending physician specialty type
		PRV02	R	Reference Identification Qualifier	2/3	<b>PXC</b> Provider Taxonomy Code
		PRV03	R	Attending Physician Taxonomy Code	1/50	<b>REQUIRED If loop is sent</b>
		PRV04-PRV06		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
	<b>REF</b>	<b>S</b>	<b>Occur 4</b>	<b>Attending Provider Secondary Identification</b>	<b>Page: 324</b>	
324	2310A	REF01	S	Reference Identification Qualifier	2/3	<b>1G</b> UPIN number <b>0B</b> State license number <b>LU</b> Location Number <b>G2</b> Provider commercial number
		REF02	S	Attending Physician Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
326	2310B	NM101	R	Entity Identifier Code	2/3	<b>72</b> Operating Physician
		NM102	R	Entity Type Code	1/1	<b>1</b> =Person <b>2</b> =Organization
		NM103	R	Operating Physician Last Name	1/60	<b>REQUIRED If loop is sent</b>
		NM104	R	Operating Physician First Name	1/35	<b>REQUIRED If loop is sent</b>
		NM105	S	Operating Physician Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Operating Physician Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	R	Operating Physician Primary ID XX	2/80	<b>REQUIRED If loop is sent</b>
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
329		REF01	S	Reference Identification Qualifier	2/3	UPIN number = <b>1G</b> State license number = <b>0B</b> (zero B) Location Number = <b>LU</b> Provider commercial number = <b>G2</b>
		REF02	S	Operating Physician Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
331	2310C	NM101	R	Entity Identifier Code	2/3	<b>ZZ</b> Mutually Defined
		NM102	R	Entity Type Qualifier	1/1	<b>1</b> Person
		NM103	R	Other Operating Physician Last Name	1/60	<b>REQUIRED If loop is sent</b>
		NM104	S	Other Operating Physician First Name	1/35	<b>REQUIRED If loop is sent</b>
		NM105	S	Other Operating Physician Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Other Operating Physician Name Suffix	1/10	



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		NM108	R	Identification Code Qualifier	1/2	HIPAA National Provider ID= <b>XX</b>
		NM109	R	Other Operating Physician Primary ID XX	2/80	<b>REQUIRED If loop is sent</b>
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
334	2310C	REF01	S	Reference Identification Qualifier	2/3	UPIN number = <b>1G</b> State license number = <b>0B</b> (Required) Location Number = <b>LU</b> Provider commercial number. = <b>G2</b>
		REF02	S	Other Operating Physician Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
336	2310D	NM101	R	Entity Identifier Code	2/3	<b>82</b> Rendering Provider <b>REQUIRED if different than Attending</b>
		NM102	R	Entity Type Qualifier	1/1	<b>1</b> Person
		NM103	R	Rendering Provider Last Name	1/60	<b>REQUIRED If loop is sent</b>
		NM104	S	Rendering Provider First Name	1/35	<b>REQUIRED If loop is sent</b>
		NM105	S	Rendering Provider Middle Name	1/25	
		NM108	R	Identification Code Qualifier	1/2	HIPAA National Provider ID = <b>XX REQUIRED</b>
		NM109	R	Rendering Provider Primary ID XX	2/80	<b>REQUIRED</b>
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
339	2310D	REF01	S	Reference Identification Qualifier	2/3	UPIN number = <b>1G</b> State license number = <b>0B</b> (Required) Location Number = <b>LU</b> <b>Provider commercial number. =G2</b> <b>G2 Required to report Tribal Provider (REF02 = 9999)</b>
		REF02	S	Rendering Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
341	2310E	NM101	R	Entity Identifier Code	2/3	Service facility/Lab name = <b>77</b>  <b>Required if different than Billing to identify entity where service were preformed</b>
		NM102	R	Entity Type Qualifier	1/1	<b>2</b> Non-Person Entity
		NM103	R	Service Facility Name	1/60	<b>REQUIRED If loop is sent</b>
		NM104- NM107		Not Used by HIPAA		
		NM108	R	Identification Code Qualifier	1/2	HIPAA National Provider ID = <b>XX REQUIRED</b>
		NM109	R	Service Facility Primary ID XX	2/80	<b>REQUIRED If loop is sent</b>
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
344	2310E	N301	R	Service Facility Address 1	1/55	Must not be blank if loop used.
		N302	S	Service Facility Address 2	1/55	
Page	Loop ID	Reference	Code	Name	Length	Notes/Comments

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#						
345	2310E	N401	R	Service Facility City	2/30	
		N402	R	Service Facility State	2/2	
		N403	R	Service Facility Zip Code	3/15	
		N404	S	Service Facility Country Code	2/3	Required only if country not USA.
		N405 N406		Not Used by HIPAA		
		N407	S	Service Facility Sub-Country Code	1/3	Required only if country not USA.
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
347	2310E	REF01	R	Reference Identification Qualifier	2/3	State license number =0B (zero B) Location Number =LU Provider commercial number. =G2
		REF02	R	Service Facility Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
349	2310F	NM101	R	Entity Identifier Code	2/3	<b>DN Referring Provider Required if Referred</b>
		NM102	R	Entity Type Qualifier	1/1	<b>1 Person</b>
		NM103	R	Referring Provider Last Name	1/60	<b>REQUIRED if loop is sent</b>
		NM104	S	Referring Provider First Name	1/35	
		NM105	S	Referring Provider Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Referring Provider Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	1/2	HIPAA National Provider ID =XX
		NM109	R	Referring Provider Primary ID XX	2/80	<b>Required if Loop is sent</b>
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
352	2310F	REF01	S	Reference Identification Qualifier	2/3	UPIN number =1G State license number =0B (zero B) Provider commercial number. =G2 <b>G2 Required to report Tribal Provider (REF02 = 9999)</b>
		REF02	S	Referring Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
354	2320	SBR01	R	Payer Responsibility Sequence Number Code	1/1	COB Payment Sequence Indicator P - Primary S - Secondary T - Tertiary A - Payer Four B - Payer Five C - Payer Six D - Payer Seven E - Payer Eight F - Payer Nine G - Payer Ten H - Payer Eleven U - Unknown  <b>NOTE: Required to report SVD or CAS segment (paid and patient responsibility) in loop 2430</b>

		SBR02	R	Individual Relationship Code	2/2	01 - Spouse 18 - Self 19 - Child 20 - Employee 21 - Unknown 39 - Organ Donor 40 - Cadaver Donor 53 - Life Partner G8 - Other Relationship
		SBR03	S	Other Insured Group or Policy Number	1/30	Subscriber's group number
		SBR04	S	Other Insured Group Name	1/60	Subscriber's group name
		SBR05- SBR08				Not Used by HIPAA
		SBR09	S	Claim Filing Indicator Code	1/2	11 - Other Non-Federal Programs 12 - PPO 13 - POS 14 - EPO 15 - Indemnity <b>16 - HMO Medicare Risk</b> 17 - Dental HMO AM - Automobile Medical BL - Blue Cross/Blue Shield CH - CHAMPUS <b>CI - Commercial Insurance Company</b> DS - Disability FI - Federal Employees Association <b>HM - HMO</b> LM - Liability Medical MA - Medicare Part A MB - Medicare Part B <b>MC - Medicaid</b> OF - Other Federal Program TV - Title V VA - Veteran Administration Plan WC - Workers' Compensation Health Claim ZZ - Mutually Defined
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
358	2320	CAS01	R	Claim Adjustment Group Code 1	1/2	1st occurrence of segment. General category of payment adjustment: CO - Contractual Obligations CR - Correction and Reversals OA - Other Adjustments PI - Payor Initiated Reductions <b>PR - Patient Responsibility</b>  <b>NOTE: Required in Loop 2430</b>
		CAS02	R	Adjustment Reason Code 1a	1/5	
		CAS03	R	Adjustment Amount 1a	1/18	
		CAS04	S	Adjustment Quantity 1a	1/15	

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		CAS05	S	Adjustment Reason Code 1b	1/5	
		CAS06	S	Adjustment Amount 1b	1/18	
		CAS07	S	Adjustment Quantity 1b	1/15	
		CAS08	S	Adjustment Reason Code 1c	1/5	
		CAS09	S	Adjustment Amount 1c	1/18	
		CAS10	S	Adjustment Quantity 1c	1/15	
		CAS11	S	Adjustment Reason Code 1d	1/5	
		CAS12	S	Adjustment Amount 1d	1/18	
		CAS13	S	Adjustment Quantity 1d	1/15	
		CAS14	S	Adjustment Reason Code 1e	1/5	
		CAS15	S	Adjustment Amount 1e	1/18	
		CAS16	S	Adjustment Quantity 1e	1/15	
		CAS17	S	Adjustment Reason Code 1f	1/5	
		CAS18	S	Adjustment Amount 1f	1/18	
		CAS19	S	Adjustment Quantity 1f	1/15	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
364-366	2320	AMT01	R	Amount Qualifier Code	1/18	<b>D Payor Amount Paid Required when sending Loop 2430) Value must be greater than or equal to (0)</b> EAF Amount Owed A8 Noncovered Charges - Actual
		AMT02	R	Remaining Patient Liability Amount	1/18	
		AMT02	R	Non-Covered Amount	1/18	
		AMT03		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
367	2320	OI01 OI02		Not Used by HIPAA		
		OI03	R	Benefits Assignment Certification Indicator	1/1	Indicates whether insured has authorized benefits to be assigned to the provider N - No Y - Yes W - patient refuses to assign benefits <b>(Required when sending Loop 2430)</b>
		OI04 OI05		Not Used by HIPAA		
		OI06	R	Release of Information Code	1/1	Indicates whether provider has signed authorization for release of medical information I - Informed Consent to Release Medical Information for conditions or diagnoses regulated by federal statutes Y - Yes, provider has a signed statement permitting release of medical billing data related to a claim <b>(Required when sending Loop 2430)</b>
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
369	2320	MIA01	R	Covered Days	1/15	
		MIA02		Not Used by HIPAA		Lifetime Reserve Days Count
		MIA03	S	Lifetime Psychiatric Days Count	1/15	
		MIA04	S	Claim DRG Amount	1/18	
		MIA05	S	Remittance Remark Code 1	1/50	
		MIA06	S	Claim Disproportionate Share Amount	1/18	
		MIA07	S	Claim MSP Pass-through Amount	1/18	
		MIA08	S	Claim PPS Capital Amount	1/18	
		MIA09	S	PPS-Capital FSP DRG Amount	1/18	

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		MIA10	S	PPS-Capital HSP DRG Amount	1/18	
		MIA11	S	PPS-Capital DSH DRG Amount	1/18	
		MIA12	S	Old Capital Amount	1/18	
		MIA13	S	PPS-Capital IME Amount	1/18	
		MIA14	S	PPS-Operating Hospital Specific DRG Amount	1/18	
		MIA15	S	Cost Report Day Count	1/15	
		MIA16	S	PPS-Operating Federal Specific DRG Amount	1/18	
		MIA17	S	Claim PPS Capital Outlier Amount	1/18	
		MIA18	S	Claim Indirect Teaching Amount	1/18	
		MIA19	S	Nonpayable Professional Component Amount	1/18	
		MIA20	S	Remittance Remark Code 2	1/50	
		MIA21	S	Remittance Remark Code 3	1/50	
		MIA22	S	Remittance Remark Code 4	1/50	
		MIA23	S	Remittance Remark Code 5	1/50	
		MIA24	S	PPS-Capital Exception Amount	1/18	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
374	2320	MOA01	S	Reimbursement Rate	1/10	
		MOA02	S	Claim HCPCS Payable Amount	1/18	Required to report Medicare 100% Allowable
		MOA03	S	Remittance Remark Code 6	1/50	
		MOA04	S	Remittance Remark Code 7	1/50	
		MOA05	S	Remittance Remark Code 8	1/50	
		MOA06	S	Remittance Remark Code 9	1/50	
		MOA07	S	Remittance Remark Code 10	1/50	
		MOA08	S	Claim ESRD Payment Amount	1/18	
		MOA09	S	Nonpayable Professional Component Amount	1/18	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
377	2330A	NM101	R	Entity Identifier Code	2/3	IL Insured or Subscriber (Required when sending Loop 2430)
		NM102	R	Entity Type Qualifier	1/1	1 person 2 organization
		NM103	R	Other Insured Last Name	1/60	
		NM104	S	Other Insured First Name	1/35	
		NM105	S	Other Insured Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Other Insured Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	1/2	Member identification number =MI HIPAA National Individual Identifier NM108=II (future use)
		NM109	R	Other Insured Primary ID	2/80	
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
380	2330A	N301	R	Other Insured Address 1	1/55	
		N302	S	Other Insured Address 2	1/55	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
381	2330A	N401	R	Other Insured City	2/30	Required when sending Loop 2430)

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		N402	S	Other Insured State	2/2	
		N403	S	Other Insured Zip Code	3/15	
		N404	S	Other Insured Country Code	2/3	
		N405 N406		Not Used by HIPAA		
		N407	S	Other Insured Sub-Country Code	1/3	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
383	2330A	REF01	R	Reference Identification Qualifier	2/3	Social security number (cannot be used for Medicare) = <b>SY</b>
		REF02	R	Other Insured Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
384	2330B	NM101	R	Entity Identifier Code	2/3	<b>PR</b> Payer ( <b>Required when sending Loop 2430</b> )
		NM102	R	Entity Type Qualifier	1/1	<b>2</b> Non-Person Entity
		NM103	R	Other Payer Name	1/60	
		NM104- NM107		Not Used by HIPAA		
		NM108	R	Identification Code Qualifier	1/2	Payer identification number = <b>PI</b> <i>HCFA National Plan ID (future use) =<b>XV</b></i>
		NM109	R	Other Payer Primary ID	2/80	95568
		NM110- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
386	2330B	N301	R	Other Payer Address 1	1/55	
		N302	S	Other Payer Address 2	1/55	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
387	2330B	N401	R	Other Payer City	2/30	<b>Required when sending Loop 2430</b> )
		N402	S	Other Payer State	2/2	
		N403	S	Other Payer Zip Code	3/15	
		N404	S	Other Payer Country Code	2/3	
		N405 N406		Not Used by HIPAA		
		N407	S	Other Payer Sub-Country Code	1/3	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
389	2330B	DTP01	R	Date/ Time Qualifier	3/3	<b>573</b> Date Claim Paid ( <b>Required when sending Loop 2430</b> )
		DTP02	R	Datye Time Period Format Qualifier	2/3	<b>D8</b> Date
		DTP03	R	Other Payer Adjudication or Payment Date	1/35	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
390	2330B	REF01	R	Reference Identification Qualifier	2/3	Payer identification number = <b>2U</b> Claim office number = <b>FY</b> Tax ID = <b>EI</b>
		REF02	R	Other Payer Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
392-395	2330B	REF01	R	Reference Identification Qualifier	2/3	Prior Authorization Number =G1 Referral number =9F Adjustment Indicator =T4 Original reference number =F8
		REF02	R	Other Payer Referral Number	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
396	2330C	NM101	R	Entity Identifier Code	2/3	71 Attending Physician
		NM102	R	Entity Type Qualifier	1/1	1 Person
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
398	2330C	REF01	R	Reference Identification Qualifier	2/3	State License Number =0B Provider UPIN Number =1G Provider Commercial Number =G2 Location Number =LU
		REF02	R	Other Payer Attending Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
400	2330D	NM101	R	Entity Identifier Code	2/3	2 Operating Physician
		NM102	R	Not mappedEntity Type Qualifier	1/1	1 Person
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
402	2330D	REF01	R	Reference Identification Qualifier	2/3	State License Number =0B Provider UPIN Number =1G Provider Commercial Number =G2 Location Number =LU
		REF02	R	Other Payer Operating Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
404	2330E	NM101	R	Entity Identifier Code	2/3	ZZ Mutually Defined
		NM102	R	Not mappedEntity Type Qualifier	1/1	1 Person
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
406	2330E	REF01	R	Reference Identification Qualifier	2/3	State License Number =0B Provider UPIN Number =1G Provider Commercial Number =G2 Location Number =LU
		REF02	R	Other Payer Other Operating Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments

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408	2330F	NM101	R	Entity Identifier Code	2/3	77 Service Location
		NM102	R	Not mappedEntity Type Qualifier	1/1	2 Non-Person Entity
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
410	2330F	REF01	R	Reference Identification Qualifier	1/50	State License Number =0B Provider Commercial Number =G2 Location Number =LU
		REF02	R	Other Payer Service Facility Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
412	2330G	NM101	R	Entity Identifier Code	2/3	82 Rendering Provider
		NM102	R	Not mappedEntity Type Qualifier	1/1	1 Person
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
414	2330G	REF01	R	Reference Identification Qualifier	1/50	State License Number =0B Provider UPIN Number =1G Provider Commercial Number =G2 Location Number =LU
		REF02	R	Other Payer Other Operating Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
416	2330H	NM101	R	Entity Identifier Code	2/3	DN Referring Provider
		NM102	R	Not mappedEntity Type Qualifier	1/1	1 Person
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
418	2330H	REF01	R	Reference Identification Qualifier	1/50	State License Number =0B Provider UPIN Number =1G Provider Commercial Number =G2
		REF02	R	Other Payer Referring Provider Secondary ID	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
420	2330I	NM101	R	Entity Identifier Code	2/3	85 Billing Provider
		NM102	R	Not mappedEntity Type Qualifier	1/1	2 Non-Person Entity
		NM103- NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
422	2330I	REF01	R	Reference Identification Qualifier	1/50	Provider Commercial Number =G2 Location Number =LU
		REF02	R	Other Payer Billing Provider Secondary ID	1/50	



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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
		REF03 REF04		Not Used by HIPAA		
423	2400	LX01	R	Service Line Number	1/6	Service line order as transmitted.
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
424	2400	SV201	R	Revenue Code	1/48	
		SV202-1	S	Procedure Code Qualifier	2/2	<b>HC</b> - CPT/HCPCS code <b>Required on certain Outpatient</b> <b>ER</b> - Health Insurance Prospective Payment System <b>HP</b> - HIPPS ( <b>Required on Home Health and SNF</b> ) <b>IV</b> - HIEC <b>WK</b> - DC-10
		SV202-2	S	Procedure Code	1/48	
		SV202-3	S	Procedure Code Modifier 1	2/2	<b>NOTE: 340B physician administered drug include modifier "UD" in either SV202-3, -4, -5, or -6</b>
		SV202-4	S	Procedure Code Modifier 2	2/2	
		SV202-5	S	Procedure Code Modifier 3	2/2	
		SV202-6	S	Procedure Code Modifier 4	2/2	
		SV202-7	S	Procedure Description	1/80	
		SV202-8		Not Used by HIPAA		
		SV203	R	Line Item Charge Amount	1/18	
		SV204	R	Quantity Qualifier	2/2	DA - Days UN - Unit
		SV205	R	Quantity	1/15	
		SV202-8		Not Used by HIPAA		
		SV207	S	Non-Covered Line Item Amount	1/18	
		SV208- SV210		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
429	2400	PWK01	R	Attachment Report Type Code	2/2	There are 61 possible codes. See HIPAA guide pages 430-431 for the list of codes.
		PWK02	R	Attachment Transmission Code	1/2	AA - Available on Request at Provider Site BM - By Mail EL - Electronically Only (X12 275) EM - Email FX - By Fax
		PWK03 PWK04		Not Used by HIPAA		
		PWK05		Identification Code Qualifier	1/2	<b>AC</b> Attachment Control Number
		PWK06	S	Attachment Control Number	2/80	
		PWK07- PWK09				
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
433	2400	DTP01		Date/Time Qualifier	3/3	<b>472</b> Service
		DTP02	R	Service Line From Date	2/3	<b>D8 or RD8</b>
		DTP03	S	Service Line To Date	1/35	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
435- 438	2400	REF01	R	Reference Identification Qualifier	2/3	<b>6R</b> Provider Control Number <b>9B</b> Repriced Line Item Reference Number <b>9D</b> Adjusted Repriced Line Item Reference

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Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
		REF02	R	Reference Identification	1/50	
		REF03 REF04		Not Used by HIPAA		
439-440	2400	AMT01	R	Amount Qualifier Code	1/3	Goods and services tax =GT Facility tax =N8
		AMT02	R	Monetary Amount	1/18	
		AMT03		Not Used by HIPAA		
441	2400	NTE01	S	NTE Ref Code	3/3	TPO - Third Party Organization
		NTE02	S	NTE Note	1/80	Note from Third Party Organization or Repricer
442	2400	HCP01	R	Service Line Pricing/Repricing Methodology	2/2	00 - Zero Pricing (Not Covered Under Contract) 01 - Priced as Billed at 100% 02 - Priced at the Standard Fee Schedule 03 - Priced at Contractual Percentage 04 - Bundled Pricing 05 - Peer Review Pricing 06 - Per Diem Pricing 07 - Flat Rate Pricing 08 - Combination Pricing 09 - Maternity Pricing <b>10 - Other Pricing</b> 11 - Lower of Cost 12 - Ratio of Cost 13 - Cost Reimbursed 14 - Adjustment Pricing
		HCP02	R	Service Line Repricing Allowed Amount	1/18	<b>REQUIRED to report Service Line Allowed Amount</b>
		HCP03	S	Service Line Repricing Saving Amount	1/18	
		HCP04	S	Service Line Repricing Organization Identifier	1/50	
		HCP05	S	Service Line Repricing Per Diem or Flat Rate Amount	1/9	
		HCP06	S	Service Line Repricing Approved Ambulatory Patient Group Code	1/50	
		HCP07	S	Service Line Repricing Approved Ambulatory Patient Group Amount	1/18	
		HCP08	S	Service Line Repricing Approved Revenue Code	1/48	
		HCP09	S	Service Line Repricing Approved Procedure Code Qualifier	2/2	HC - CPT/HCPCS code ER - Health Insurance Prospective Payment System HP - HIPPS IV - HIEC WK - DC-10
		HCP10	S	Service Line Repricing Approved Procedure Code	1/48	
		HCP11	S	Service Line Repricing Quantity Qualifier	2/2	DA - Days UN - Unit
		HCP12	S	Service Line Repricing Approved Quantity	1/15	

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		HCP13	S	Service Line Reject Reason Code	2/2	T1 - Cannot identify provider as TPO participant T2 - Cannot identify payer as TPO participant T3 - Cannot identify insured as TPO participant T4 - Payer name or identifier missing T5 - Certification information missing T6 - Claim does not contain enough information for repricing
		HCP14	S	Service Line Policy Compliance Code	1/2	1 - Procedure Followed (Compliance) 2 - Not Followed - Call Not Made (Non-Compliance Call Not Made) 3 - Not Medically Necessary (Non-Compliance Non-Medically Necessary) 4 - Not Followed Other (Non-Compliance Other) 5 - Emergency Admit to Non-Network Hospital
		HCP15	S	Service Line Exception Code	1/2	1 - Non-Network Professional Provider in Network Hospital 2 - Emergency Care 3 - Services or Specialist not in Network 4 - Out-of-Service Area 5 - State Mandates 6 - Other
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
449	2410	LIN01		Not Used by HIPAA		
		LIN02			2/2	N4 National Drug Code in 5-4-2 Format
		LIN03	R	National Drug Code	1/48	<b>Required on all physician-administered drugs when billed by a provider other than a pharmacy.</b>
		LIN04-LIN31		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
452	2410	CTP01-CTP03		Not Used by HIPAA		
		CTP04	R	National Drug Unit Count	1/15	<b>Required on all physician-administered drugs when billed by a provider other than a pharmacy.</b>
		CTP05-1	R	Unit/Basis for Measurement	2/2	Basis of measurement for CTP04 F2 - International Unit GR - Gram ML - Milliliter ME - Milligram UN - Unit
		CTP05-2-CTP05-15		Not Used by HIPAA		
		CTP06-CTP11		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
452	2410	REF01	R	Reference Identification Qualifier	2/3	<b>XZ Link Sequence Number</b> <b>VY Link Sequence Number</b>
		REF02	R	Link Sequence Number	1/50	
		REF03 REF04		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
456	2420A	NM101	R	Entity Identifier Code	2/3	<b>72</b> Operating Physician
		NM102	R	Entity Type Qualifier	1/1	<b>1 Person</b>

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		NM103	R	Service Line Operating Provider Last Name	1/60	
		NM104	R	Service Line Operating Provider First Name	1/35	
		NM105	S	Service Line Operating Provider Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Service Line Operating Provider Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	1/2	HIPAA National Provider ID NM108=XX
		NM109	S	Service Line Operating Provider Primary ID XX	2/80	
		NM110-NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
41		REF01	R	Reference Identification Qualifier	1/50	State license number = <b>0B</b> (zero B). Provider UPIN number = <b>1G</b> Provider commercial number = <b>G2</b> Location number = <b>LU</b>
42		REF02	R	Service Line Operating Provider Secondary ID	1/50	
		REF03		Not Used by HIPAA		
		REF04-1 REF04-2				
		REF04-3- REF04-6		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
461	2420B	NM101	R	Entity Identifier Code	2/3	<b>ZZ</b> Mutually Defined
		NM102	R	Entity Type Qualifier	1/1	<b>1 Person</b>
		NM103	R	Service Line Other Other Operating Provider Last Name	1/60	
		NM104	R	Service Line Other Operating Provider First Name	1/35	
		NM105	S	Service Line Other Operating Provider Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Service Line Other Operating Provider Name Suffix	1/10	
		NM109	R	Identification Code Qualifier	1/2	HIPAA National Provider ID = <b>XX</b>
		NM109	S	Service Line Other Operating Provider Primary ID	2/80	
		NM110-NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
464	2420B	REF01	R	Reference Identification Qualifier	2/3	State license number = <b>0B</b> (zero B). Provider UPIN number = <b>1G</b> Provider commercial number = <b>G2</b> Location number = <b>LU</b>
		REF02	R	Service Line Other Operating Provider Secondary ID	1/50	
		REF03		Not Used by HIPAA		
		REF04-1 REF04-2				
		REF04-3- REF04-6		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
466	2420C	NM101	R	Entity Identifier Code	2/3	<b>82</b> Rendering Provider
		NM102	R	Entity Type Qualifier	1/1	<b>1 Person</b>

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		NM103	R	Service Line Rendering Provider Last Name	1/60	
		NM104	R	Service Line Rendering Provider First Name	1/35	
		NM105	S	Service Line Rendering Provider Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Service Line Rendering Provider Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	1/2	HIPAA National Provider ID =XX
		NM109	R	Service Line Rendering Provider Primary ID XX	2/80	
		NM110-NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
469	2420C	REF01	R	Reference Identification Qualifier	2/3	State license number = <b>0B</b> (zero B) Provider UPIN number = <b>1G</b> Provider commercial number = <b>G2</b> Location number = <b>LU</b>
		REF02	R	Service Line Rendering Provider Secondary ID	1/50	
		REF03		Not Used by HIPAA		
		REF04-1 REF04-2				
		REF04-3- REF04-6		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
471	2420D	NM101	R	Entity Identifier Code	2/3	<b>DN</b> Referring Provider
		NM102	R	Entity Type Qualifier	1/1	<b>1 Person</b>
		NM103	R	Service Line Referring Provider Last Name	1/60	
		NM104	R	Service Line Referring Provider First Name	1/35	
		NM105	S	Service Line Referring Provider Middle Name	1/25	
		NM106		Not Used by HIPAA		
		NM107	S	Service Line Referring Provider Name Suffix	1/10	
		NM108	R	Identification Code Qualifier	1/2	HIPAA National Provider ID = <b>XX</b>
		NM109	R	Service Line Referring Provider Primary ID XX	2/80	
		NM110-NM112		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
474	2420D	REF01	R	Reference Identification Qualifier	2/3	State license number = <b>0B</b> (zero B). Provider UPIN number = <b>1G</b> Provider commercial number = <b>G2</b>
		REF02	R	Service Line Referring Provider Secondary ID 1G	1/50	
		REF03		Not Used by HIPAA		
		REF04-1 REF04-2				
		REF04-3- REF04-6		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
476	2430	SVD01	R	Other Payer Primary Identifier	2/80	<b>Required to report Paid Amount and Patient Responsibility when greater than zero (0)</b>
		SVD02	R	Service Line Paid Amount	1/18	<b>NOTE: Loop 2430 CAS03 and SVD02 must balance to Loop 2400 SV203 (Insti) Line Item</b>

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age #	Loop ID	Reference	Code	Name	Length	Notes/Comments
		SVD03-1	R	Procedure Code Qualifier	2/2	<b>Charge Amount – Amount must be greater than or equal to zero (0)</b> ER - Jurisdictionally Defined Procedure and Supply Codes HC - CPT/HCPCS code HP - Health Insurance Prospective Payment System (HIPPS) Skilled Nursing Facility Rate Code IV - HEIC code WK - Advanced Billing (ABC) code
		SVD03-2	R	Procedure Code	1/48	
		SVD03-3	S	Procedure Code Modifier 1	2/2	
		SVD03-4	S	Procedure Code Modifier 2	2/2	
		SVD03-5	S	Procedure Code Modifier 3	2/2	
		SVD03-6	S	Procedure Code Modifier 4	2/2	
		SVD03-7	S	Procedure Code Description	1/80	
		SVD03-8		Not Used by HIPAA		
		SVD04	R	Revenue Code	1/48	
		SVD05	R	Paid Service Unit Count	1/15	
		SVD06	S	Bundled or Unbundled Line Number	1/6	References the service line number which this line was bundled into.
age #	Loop ID	Reference	Code	Name	Length	Notes/Comments
495	2430	CAS01	R	Service Line Adjustment Group Code 1	1/2	General category of payment adjustment CO – Contractual Obligations CR – Correction and Reversals OA – Other Adjustments PI – Payor Initiated Reductions <b>PR – Patient Responsibility</b>  <b>NOTE: Loop 2430 Required to report Patient Responsibility when greater than 0</b>
		CAS02	R	Adjustment Reason Code 1a	1/5	Service Line adjustment reason code  <b>Member Cost Share (PR qualifier), reason codes:</b> <b>1 = Deductible Amount</b> <b>2 = Coinsurance Amount</b> <b>3 = Co-payment Amount</b>  <b>Claim Adjustment Reason Codes are available via Washington Publishing: <a href="http://www.wpc-edi.com/reference/codlists/healthcare/claim-adjustment-reason-codes/">http://www.wpc-edi.com/reference/codlists/healthcare/claim-adjustment-reason-codes/</a></b>
		CAS03	R	Adjustment Amount 1a	1/18	Monetary Amount
		CAS04	S	Adjustment Quantity 1a	1/15	Unit of Service
		CAS05	S	Adjustment Reason Code 1b	1/5	Service Line adjustment reason code
		CAS06	S	Adjustment Amount 1b	1/18	Monetary Amount
		CAS07	S	Adjustment Quantity 1b	1/15	Unit of Service
		CAS08	S	Adjustment Reason Code 1c	1/5	1 = Deductible Amount 2 = Coinsurance Amount 3 = Co-payment Amount
		CAS09	S	Adjustment Amount 1c	1/18	Monetary Amount
		CAS10	S	Adjustment Quantity 1c	1/15	Unit of Service
		CAS11	S	Adjustment Reason Code 1d	1/5	Service Line adjustment reason code
		CAS12	S	Adjustment Amount 1d	1/18	Monetary Amount
		CAS13	S	Adjustment Quantity 1d	1/15	Unit of Service
		CAS14	S	Adjustment Reason Code 1e	1/5	Service Line adjustment reason code
		CAS15	S	Adjustment Amount 1e	1/18	Monetary Amount

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		CAS16	S	Adjustment Quantity 1e	1/15	Unit of Service
		CAS17	S	Adjustment Reason Code 1f	1/5	Service Line adjustment reason code
		CAS18	S	Adjustment Amount 1f	1/18	Montary Amount
		CAS19	S	Adjustment Quantity 1f	1/15	Unit of Service
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
486	2430	DTP01	R	Date/Time Qualifier	3/3	<b>573 Date Claim Paid or Processed</b> <b>NOTE: Required when sending Loop 2430</b>
		DTP02	R	Date Time Period Format Qualifier	2/3	<b>D8 Date Expressed in Format CCYYMMDD</b> <b>NOTE: Required when sending Loop 2430</b>
		DTP03	R	Service Adjudication or Payment Date	1/35	<b>NOTE: Required when sending Loop 2430</b>
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
487	2430	AMT01		Amount Qualifier Code	1/3	<b>EAF</b> Amount Owed
		AMT02	R	Remaining Patient Liability	1/18	
		AMT03		Not Used by HIPAA		
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
488	Transaction Set Trailer	SE01	R	Number of Included Segments	1/10	
		SE02	R	Other Payer ID Referring Provider	4/9	

Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
C.9	Functional Group Trailer	GE01	R	Number of Transactional Sets Included	1/6	
		GE02	R	Group Control Number	1/9	
Page #	Loop ID	Reference	Code	Name	Length	Notes/Comments
C.10	Interchange Control Trailer	IEA01	R	Number of Included Functional Groups	1/5	
		IEA01	R	Interchange Control Number	9/9	





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# **Health Net, LLC**

HIPAA Transaction 837 Professional  
Standard Companion Guide

**Refers to the Implementation Guides  
Based on X12 version 005010X222A1**

**Companion Guide Version Number: 2.1**

**February 22, 2019**

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## Disclosure Statement

This Companion Guide describes the EDI requirements for the submission of CA and Arizona Encounters to Health Net. Throughout the remainder of this document Health Net, LLC will be referred to HNT to describe the all regions of Health Net.

## Preface

This Companion Document to the ASC X12N Implementation Guides adopted under HIPAA clarifies and specifies the data content when exchanging electronically with Health Net, LLC Transmissions based on this companion document, used in tandem with the X12N Implementation Guides, are compliant with both X12 syntax and those guides. This Companion Guide is intended to convey information that is within the framework of the ASC X12N Implementation Guides adopted for use under HIPAA. The Companion Guide is not intended to convey information that in any way exceeds the requirements or usage of data expressed in the ASC X12N 837 Implementation Guides.

EDITOR'S NOTE:  
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# 1 Introduction

## 1.1 Scope

This Companion document supports the implementation of a batch processing application.

HNT will accept inbound submissions that are formatted correctly in X12 requirements. The files must comply with the specifications outlined in this companion document as well as the corresponding HIPAA implementation guide.

HNT EDI applications will edit for these conditions and reject files that are out of compliance.

This companion document will specify everything that is necessary to conduct EDI for this standard transaction. This includes;

- Specifications on the communications link
- Specifications on the submission methods
- Specifications on the transactions

## 1.2 Overview

This companion guide complements the ASC X12N 837 Professional implementation guide currently adopted by HIPAA.

This companion guide will be the vehicle that HNT uses with its trading partners to further qualify the HIPAA adopted implementation guide. This companion guide is compliant with the corresponding HIPAA implementation guide in terms of data element and code sets standards and requirements.

Data elements that require mutual agreement and understanding will be specified in this companion guide. Types of information that will be clarified within this companion are:

- Qualifiers that will be used from the HIPAA implementation guides to describe certain data elements
- Situational segments and data elements that will be utilized to satisfy business conditions
- Trading partner profile information for purpose of establishing who we are trading with for the transmissions exchanged

## 1.3 References

### ASC X12N Implementation Guides

1. Health Care Claim: Professional
  - 837 (005010X222A1)

## 1.4 Additional Information

Electronic Data Interchange (EDI) is the computer-to-computer exchange of formatted business data between trading partners. The computer system generating the transactions must supply complete and accurate information while

the system receiving the transactions must be capable of interpreting and utilizing the information in ASC X12N format, without human intervention.

The transactions must be sent in a specific format that will allow HNT's computer application to translate the data. HNT supports the standard transactions adopted from HIPAA. Maintains a dedicated staff for the purpose of enabling and processing X12 EDI transmissions with its trading partners.

It is the goal of HNT to establish trading partner relationships and to conduct EDI as opposed to paper information flows whenever and wherever possible.

## 1.5 National Provider Identifier

The Health Insurance Portability and Accountability Act of 1996 (HIPAA) mandated that the Secretary of Health and Human Services adopt a standard unique health identifier for health care providers. On January 23, 2004, the Secretary published a Final Rule that adopted the National Provider Identifier (NPI) as this identifier.

HIPAA covered healthcare providers that choose to submit transactions electronically, whether they are individuals or organizations, must obtain an NPI for use to identify themselves in HIPAA standard transactions. Once enumerated, the National Provider Identifier (NPI) is meant to be a lasting identifier, and would not change based on changes in a health care provider's name, address, ownership, membership in health plans or Healthcare Provider Taxonomy classification.

HIPAA covered entities such as providers completing electronic transactions, healthcare clearinghouses, and large health plans (including Health Net), must use only the NPI in the primary identifier position to identify covered healthcare providers in standard transactions by May 23, 2007. Small health plans must use only the NPI by May 23, 2008.

This companion guide has been updated to reflect how the NPI will be integrated in the 837 X12 transaction.

## 2 Getting Started

### 2.1 Working with Health Net, LLC

Contact HNT EDI Dept. for all EDI related customer service requests. (Contact information is identified in section 5 below.)

There are three units within HNT that work internally to complete EDI service requests from our trading partners.

The first unit is HNT EDI Operations Dept. This group will serve as the trading partner's central point of contact. This group will also facilitate the implementation of trading partners through all steps of external testing.

The second unit is HNT EDI IT infrastructure group. This is a technical team that implements the communication link and ensures that trading partner to payer connectivity is established properly.

The third unit is HNT EDI IT Translator team. This group is responsible for our inbound and outbound X12 Translator applications.

## 2.2 Trading Partner Registration

To register as a trading partner with HNT the following sequence of events will take place.

1. Initial conversations are held between the trading partner and HNT
2. Verbal agreements are reached to agree on the transactions that will be conducted.
3. A trading partner agreement and associated companion guides are provided and reviewed.
4. Submitter Id and Receiver Id are established for the purpose of identification.
5. Required trading partner profiling is built into our HNT EDI translator.
6. Test files are exchanged and test runs conducted.
7. Once a brief testing phase is completed and a trading partner agreement is in place; the trading partner is registered.

## 2.3 Certification and Testing Overview

HNT requires its trading partners to show evidence of third party certification. This is consistent with industry standard conventions that have been adopted for HIPAA Transactions and Code Sets implementation.

HNT will also show evidence of third party certification for standard transactions.

This requirement exists so that the process to test and implement a trading partner for the purpose of conducting EDI with standard transactions is a smooth and efficient process.

The complexity of X12 files when not tested and certified by a third party will cause delays in the ability to enable the X12 submissions in a production environment.

HNT wants to spend the majority of the testing period time, working with prospective trading partners on the agreed components of this companion document rather than X12 or HIPAA implementation guide syntax.

HNT will be certified incorporating the following WEDI/SNIP levels of testing where applicable:

- Level 1, Integrity Testing (X12 Syntax)
- Level 2, Requirement Testing (HIPAA Implementation Guide Syntax)
- Level 3, Balancing Testing (i.e. 835 claim line balancing to the claim document)
- Level 4, Situation Testing (Use of Situational Segments that business relevant)
- Level 5, Code Sets Testing
- Level 6, Product Types/Types of Service Testing (i.e. provider specialties)

## 3 Testing with the Payer

HNT would like to establish with the trading partner a set of scenarios that are intended for testing. This can be a high level description of the contents of the transaction. It should be a representation or cross section of the majority of conditions that will be encountered with production data from these transactions.

HNT requires testing be completed with all trading partners. The testing phase will consist of several smaller phases of testing, as appropriate.



### **3.1 HIPAA Compliance Testing**

HNT uses an industry standard data translator to validate transactions meet the 6 levels of HIPAA compliance, and to translate them into an acceptable format for internal processing. The 997/999 Acknowledgement will be tested during this phase. Any issues identified during this phase of testing will have to be addressed in order for subsequent phases to continue. HNT will use the 277CA for claims acknowledgements.

### **3.2 Trading Partner Agreement Testing**

Trading partner specific setup, as defined in either the trading partner agreement or companion guide will be verified. Generally, this will be done in conjunction with Compliance testing.

### **3.3 Functional and Regression Testing**

Once the transactions have successfully tested through GXS and trading partner specifications, they will be processed through our internal system to ensure they are handled appropriately. Response transactions will be generated during this phase, where applicable.

### **3.4 Parallel Testing**

Depending on the stage of the HNT implementation, a period of parallel testing may be required. This would involve sending the current proprietary transaction format, as well as, sending the same transactions in the x12 format, to our test system. This phase will allow for the comparative analysis necessary to ensure appropriate handling by our system.

## **4 Connectivity with the Payer / Communications**

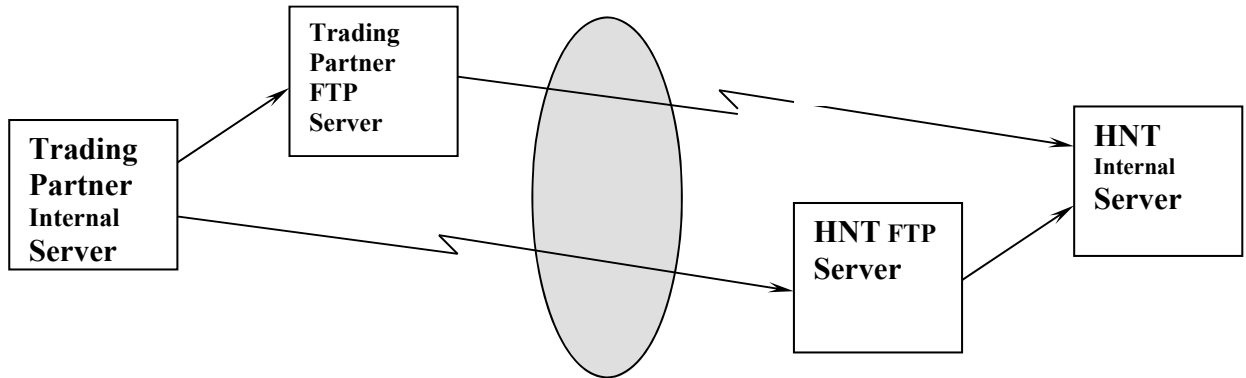
### **4.1 Process flows**

Three file exchange methods are supported to enable batch data file transmission; (1) FTP of encrypted data over the Internet, (2) use of Connect: Direct (NDM) over the AT&T AGNS (formerly Advantis) SNA network, and (3) FTP over frame relay for trading partners with very high volumes.

#### **4.1.1 FTP of Encrypted data over the Internet**

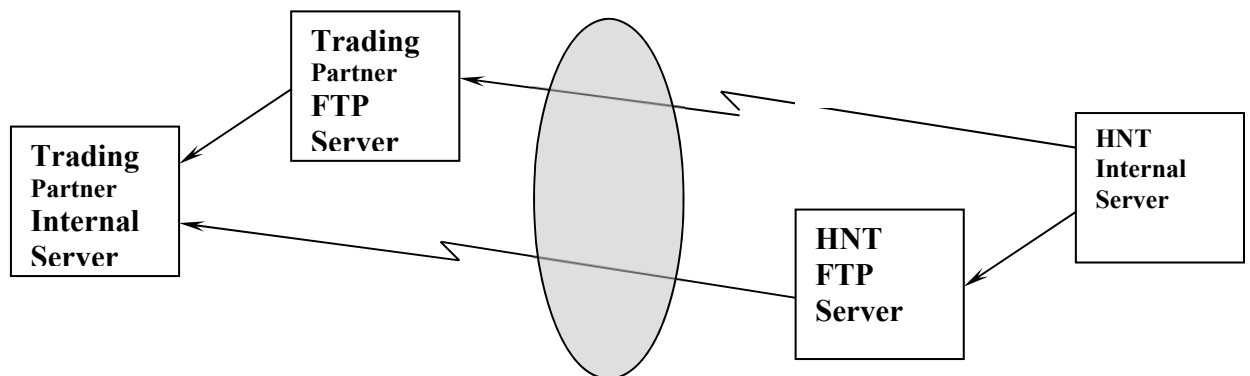
One method of exchanging data files is encrypting the file, sending it over the Internet where it is then decrypted. For data inbound to HNT (see Figure 4.1), the trading partner would encrypt the data on an internal server and then transfer to either a trading partner owned FTP server or to HNT FTP server. Then, HNT will retrieve the encrypted file from either the trading partner FTP server or from HNT FTP server to an internal server where the file is decrypted and processed.

**Figure 4.1.1A**  
**FTP of Encrypted Data over the Internet from Trading Partner to HNT**



For data outbound from HNT (see Figure 4.1.1B), HNT will generate the X12 data file and encrypt it. Once encrypted, the file will be sent either to HNT's FTP server or the trading partners FTP server. Then the trading partner can retrieve the file from the appropriate FTP server, transfer it to their internal system, encrypt it and process.

**Figure 4.1.1B**  
**FTP of Encrypted Data over the Internet from HNT to Trading Partner**



**4.1.2 Use of Connect: Direct (NDM) over the AT&T AGNS (Advantis) SNA Network**

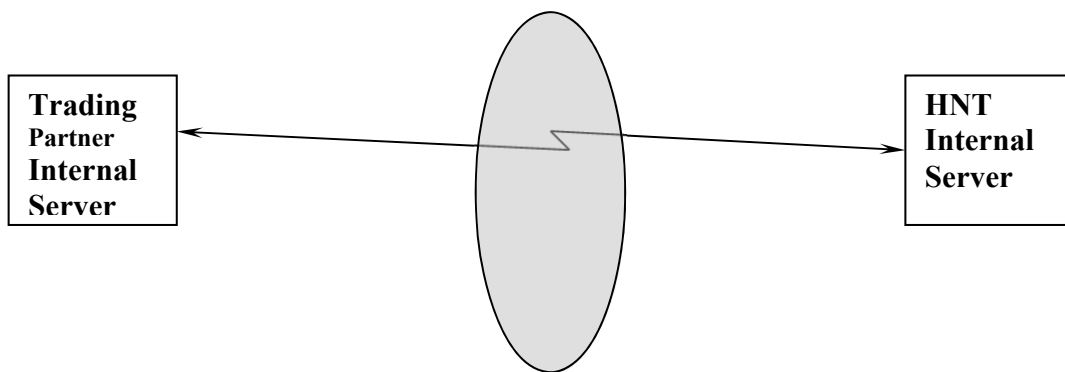
Data may also be exchanged over the AT&T AGNS (formerly Advantis) SNA network (see Figure 4.3). The transmission software must be Sterling

Commerce Connect:Direct (formerly NDM). For data inbound to HNT, the trading partner will make the data file available on their internal server. HNT will retrieve the data from the trading partner server with Connect:Direct (preferred) or the trading partner may initiate the transfer and send the data to HNT's internal server.

Data outbound from HNT takes just the opposite path with either HNT (preferred) or the trading partner initiating the file transfer.

Data transferred over the AGNS network may be encrypted or sent in clear text.

**Figure 4.1.2**  
**Connect:Direct Transfer over the AT&T AGNIS Network**



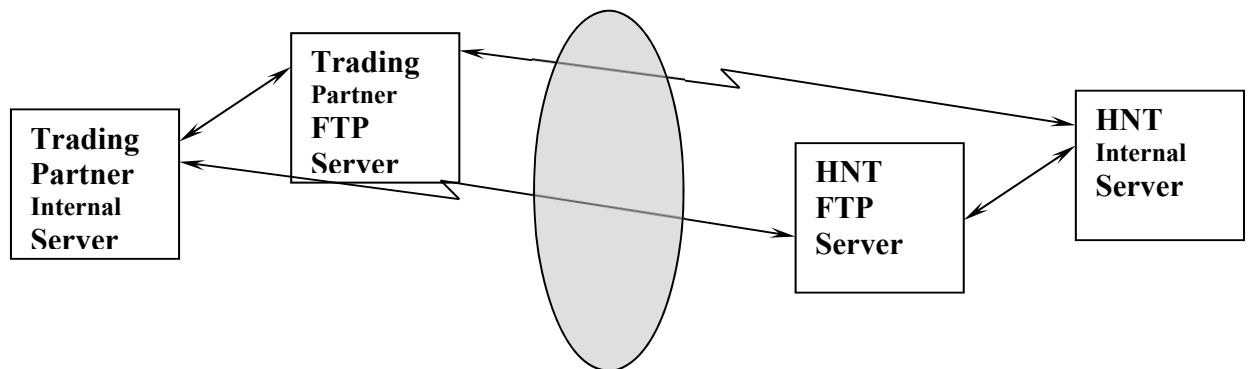
### 4.1.3 FTP Over Frame Relay

For trading partners with very large data volume to exchange with HNT, a private virtual circuit may be established over a frame relay link (see Figure 4.4). Once established, data will be exchanged similarly to the FTP over the Internet approach except the data will not flow over the Internet.

Data transferred over the frame relay network may be encrypted or sent in clear text.

**Figure 4.1.3**

### FTP Over Frame Relay



## 4.2 Transmission Administrative Procedures

Before establishing data communications with HNT, a trading partner relationship must exist. As part of the process establishing the relationship, HNT and the trading partner must exchange certain technical information. This information is needed by both parties in order to establish communications.

The information requested will include:

1. Contacts; business, data and communications
2. Dates; testing, production
3. File information; size, naming
4. Transfer; schedule, protocol
5. Server information; host name, userID, password, file location, file name
6. Notification; failure, success

### 4.2.1 Re-transmission procedures

When a file needs to be retransmitted, the trading partner will contact their primary contact at HNT. At that time, procedures will be followed for HNT to accept and re-transmit a file.

## 4.3 Communication protocol specifications

### 4.3.1 FTP over the Internet

The following items are required to exchange data with HNT utilizing FTP over the Internet. The trading partner is responsible for the acquisition and installation of these items. This list assumes that HNT FTP server will be used.

1. Internet Connectivity; if large files will be exchanged, then the trading partner should consider a broadband connection.
2. Computer with FTP client and connectivity to the Internet.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with HNT via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include confirming FTP connectivity, exchanging PGP public keys and performing end-to-end communications testing.

Before sending data to HNT, the data must be encrypted with PGP and then sent to the Health Net FTP using the FTP client over the Internet connection. When receiving data from Health Net, the FTP client will be used to get the data from the HNT FTP server after which PGP will be used to decrypt the data.

### **4.3.2 Connect: Direct over the AT&T AGNS Network**

The following items are required to exchange data with HNT utilizing Connect: Direct (formerly NDM) over the AT&T AGNS network (formerly Advantis).

1. SNA Connectivity to the AT&T AGNS network.
2. Connect:Direct software loaded and configured on an applicable host system. HNT runs Connect:Direct on an OpenVMS system. Not all Connect:Direct versions are compatible with Connect:Direct for OpenVMS. The trading partner must confirm that their version is compatible.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with HNT via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include the exchange of Connect:Direct parameters (APPLID, LUs, etc.), submission of security requests to AT&T and end-to-end communications testing.

Using Connect:Direct, data may be “pushed” or “pulled” by either party. HNT prefers to initiate the connection. Data is exchanged when one party initiates a Connect:Direct session with the other and either “pushes” or “pulls” a file to/from the other party.

### **4.3.3 FTP over Frame Relay**

This method of communications is only appropriate for trading partners with a very high and frequent volume. The initial setup of this method can be lengthy.

The following items are required to exchange data with HNT utilizing FTP over Frame Relay.

1. Connectivity to a Frame Relay network common with HNT.

2. Computer with FTP client and connectivity to the Internet.
3. Optionally, PGP software for encryption/decryption. RSA (also know as Legacy) keys must be generated and exchanged with HNT via e-mail (public keys only).
4. E-mail capability to exchange configuration and testing information.

Initial setup will include the exchange of Frame Relay PVC parameters and submission of a request to the frame relay carrier for connectivity. Once connectivity is established at the frame relay level, this method is similar to the FTP over the Internet method.

## 4.4 Passwords

HNT requires the use of UserIDs and Passwords to access its systems and servers. If HNT's FTP server is to be used to exchange data, HNT will assign each trading partner a unique UserID and Password. The UserID and other information will be communicated with the trading partner via e-mail. However, the password will be communicated via another method such as phone or fax.

In the event a trading partner forgets their password, HNT will change the password after verifying the authenticity of the request.

HNT will not utilize a trading partner owned FTP server that is not protected with a UserID and password.

## 4.5 Encryption

HNT requires the encryption of data that is exchanged via the Internet or any other public network. HNT utilizes PGP with 1024 or 2048 bit keys for file encryption.

# 5 Contact information

## 5.1 HNT EDI Department

HNT EDI Dept. is the central point of contact for all trading partner EDI activity including questions relating to file submissions. They will triage the issue and route EDI questions to one of three EDI areas for resolution.

Once resolution is reached, trading partners will receive a response from this same central EDI Dept.

The three areas within HNT EDI that work on EDI customer service issues are;

- HNT IT EDI Translator Team
- HNT IT Payer Connectivity and Infrastructure Team
- HNT EDI Business Operations Team

Contact Phone numbers for our HNT EDI Department:  
North East and AZ: 1-866-334-4638  
CA and OR: 1-800-977-3568

## 6 Control Segments / Envelopes

### 6.1 ISA-IEA

See Transaction Specifications, Section 10.

### 6.2 GS-GE

See Transaction Specifications, Section 10.

### 6.3 ST-SE

See Transaction Specifications, Section 10.

## 7 Payer Specific Business Rules and Limitations

- All monetary amounts are to include decimal points with two positions allowed to the right of the decimal point to represent cents.
- CLM segments per patient loop is limited to 100 CLM segments
- Service lines per CLM loop must be limited to 50 service lines
- Billing Provide Name Contact Information (Loop ID 2010AA) is limited to one instance.
- The following segments should **not** be sent:
  - Loop 2010AA REF - Credit/Debit Card Billing Information.
  - Loop 2010BA REF- Property and Casualty Number
  - Loop 2010BD NM1 and REF- Credit/Debit Card Holder Name and Information
  - Loop 2010CA REF- Property and Casualty Claim Number
  - Loop 2300 AMT – Credit/Debit Card Maximum

## 8 Acknowledgements and or Reports

997/999 and 277CA Acknowledgement will be sent so the trading partner will get confirmation that we received their 837 submission.

## 9 Trading Partner Agreements

Trading Partner Agreements specify the terms and conditions by which transactions are exchanged electronically with HNT.

This companion document will be an addendum to the trading partner agreement that is signed by both HNT and the trading partner with whom EDI is to be conducted.

Health Net, LLC's trading partner agreement is attached as an appendix to this companion document. The version of X12N that Health Net, LLC is supporting will be identified in the trading partner agreement. As versions offered by HNT change to newer releases of X12N and adopted by HIPAA, the trading partner agreement will be amended to reflect the version changes as they occur and become required.

## 10 Transaction Specification Information

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
C.3	Interchange Control Header	ISA01	Authorization Information Qualifier	R	2/2	00 – No Authorization Information Present
		ISA02	Authorization Information	R	10/10	Spaces
		ISA03	Security Information Qualifier	R	2/2	00 – No Security Information Present
		ISA04	Security Information	R	10/10	Spaces
		ISA05	Interchange Sender Qualifier	R	2/2	30 – Federal Tax ID ZZ – Mutually Defined
		ISA06	ISA Sender ID	R	15/15	(As agreed upon)
		ISA07	Interchange Receiver Qualifier	R	2/2	30 – Federal Tax ID ZZ – Mutually Defined
		ISA08	ISA Receiver ID	R	15/15	HNT Tax ID - 954402957 (As agreed upon)
		ISA09	Interchange Date	R	6/6	Date of Transmission (YYMMDD)
		ISA10	Interchange Time	R	4/4	Time of Transmission (HHMM)
		ISA11	Repetition Separator	R	1/1	
		ISA12	Interchange Control Version Number	R	5/5	00501
		ISA13	ISA Control Number	R	9/9	Control number assigned by the sender, Must be identical to control number in IEA02
		ISA14	Acknowledgement Indicator	R	1/1	1 - Send TA1, 0 - Do not send TA1
		ISA15	Usage Indicator	R	1/1	T - Test, P - Production
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
C.7	Functional Group Header	GS01	Functional Identifier Code	R	2/2	HC - Health Care Claim (837)
		GS02	GS Sender's Code	R	2/15	(As agreed upon)
		GS03	GS Receiver's Code	R	2/15	HNCA-ENC (As agreed upon)
		GS04	Group GS Date	R	8/8	Functional group creation date (CCYYMMDD)
		GS05	Group GS Time	R	4/8	Functional group creation time (HHMM)
		GS06	Group Control Number	R	1/9	Control number assigned by the sender
		GS07	Responsible Agency Code		1/2	X accredited standards committee
		GS08	Version /Release ID Code	R	1/12	005010X222A1
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
70	Transaction Set Header	ST01	Transaction Set Identifier Code	R	3/3	837 - Health Care Claim: Professional
		ST02	Transaction Set Control Number	R	4/9	Unique control number assigned by sender's translator
		ST03	Transaction Set Version	R	1/35	Matches GS08 value



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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments		
71	Beginning of Hierarchical Transaction	BHT01	Hierarchical Structure Code	R	4/4	<b>0019</b> (Information Source, Subscriber, Dependent)		
		BHT02	Transaction Set Purpose Code	R	2/2	00 - Original 18 - Reissue		
		BHT03	Originator Application Transaction Identifier	R	1/50			
		BHT04	Application Creation Date	R	8/8	CCYYMMDD		
		BHT05	Application Creation Time	R	4/8			
		BHT06	Claim or Encounter Indicator	R	2/2	Identifies cap vs. fee for service claims RP - Reporting ( <b>Encounters/ Capitation</b> )		
Page #:	Loop Id	Reference	Name	Codes	Length	Notes/ Comments		
74	1000A	NM101	Entity Identifier Code	R	1/1	<b>41</b> (Submitter)		
		NM102	Entity Type Qualifier	R	1/60	<b>1</b> - person, <b>2</b> - Non-Person		
		NM103	Submitter Name	R	1/60			
		NM104	Submitter First Name	S	1/35			
		NM105	Submitter Middle Name	S	1/25			
		NM106 NM107	Not Used by HIPAA					
		NM108	Identification Code	R	1/2	46 Electronic Transmitter ID Number ETIN).		
		NM109	Submitter Electronic Transmitter ID	R	2/80	9-digit HNT Submitter ID ( <b>Assign by Health Net</b> )		
		NM110- NM112	Not Used by HIPAA					
		Page #:	Loop Id	Reference	Name	Codes	Length	Notes/ Comments
76	1000A	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact		
		PER02	Submitter Contact Name 1	S	1/60			
		PER04/06 /08	Contact Telephone Number 1	R	1/256	<b>PER03,05,07=TE</b>		
		PER06/08	Contact Telephone Extension 1	R	1/256	<b>PER05,07=EX</b>		
		PER04/06 /08	Contact Fax Number 1	R	1/256	<b>PER03,05,07=FX</b>		
		PER04/06 /08	Contact Email Address 1	R	1/256	<b>PER03,05,07=EM</b>		
		PER09	Not Used by HIPAA					
		PER02	Submitter Contact Name 2	S	1/60	Used if more contact information needed. Inbound: Populated by EDI translator. Outbound: Determined by EDI Business.		
		PER04/06 /08	Contact Telephone Number 2	S	1/256	<b>PER03,05,07=TE</b>		
		PER06/08	Contact Telephone Extension 2	S	1/256	<b>PER05,07=EX</b>		
		PER04/06 /08	Contact Fax Number 2	S	1/256	<b>PER03,05,07=FX</b>		
		PER04/06 /08	Contact Email Address 2	S	1/256	<b>PER03,05,07=EM</b>		
		PER09	Not Used by HIPAA					
		Page #:	Loop Id	Reference	Name	Codes	Length	Notes/ Comments

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79	1000B	NM101	Entity Identifier Code	R	2/3	40 (Receiver)
		NM102	Entity Type Qualifier	R	1/1	2 (Non-Person Entity)
		NM103	Receiver Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	46 Electronic Transmitter ID Number (ETIN)
		NM109	Receiver Electronic Transmitter ID Number	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
83	2000A	PRV01	Provider Code	R	1/3	BI (Billing)
		PRV02	Reference Identification Qualifier	R	2/3	PXC (Provider Taxonomy Code)
		PRV03	Billing Provider Taxonomy Code	R	1/50	<b>(REQUIRED)</b>
		PRV04- PRV06	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
84	2000A	CUR01	Entity Identifier Code	R	2/3	85 (Billing Provider)
		CUR02	Currency Code	R	3/3	
		CUR03- CUR16	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
87	2010AA	NM101	Entity Identifier Code	R	2/3	85 (Billing Provider)
		NM102	Entity Type Qualifier	R	1/1	1=Person 2=Organization
		NM103	Billing Provider Name	R	1/60	
		NM104	Billing Provider First Name	S	1/35	
		NM105	Billing Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Billing Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	XX HIPAA National Provider ID
		NM109	Billing Provider Primary NPI	R	2/80	<b>REQUIRED</b>
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
87	2010AA	N301	Billing Provider Address 1	R	1/55	
		N302	Billing Provider Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
92	2010AA	N401	Billing Provider City	R	2/30	
		N402	Billing Provider State	S	2/2	
		N403	Billing Provider Zip Code	S	3/15	(Nine digit zip code)
		N404	Billing Provider Country Code	S	2/3	Required only if country is not USA.

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		N405 N406	Not Used by HIPAA			
		N407	Billing Provider Sub Country Code	S	1/3	Required only if country is not USA.
94	2010AA	REF01	Reference Identification Qualifier	R	2/3	<b>EI Employer's identification number (IRS ID number)</b> SY Social Security Number
		REF02	Billing Provider Taxpayer ID	R	1/50	
		REF02	Billing Provider SSN	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
96	2010AA	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> (State License Number) <b>1G</b> (Provider UPIN Number)
		REF02	Billing Provider Identification	S	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
98	2010AA	PER01	Contact Function Code	R	2/2	<b>IC</b> Billing provider
		PER02	Billing Provider Contact Name 1	S	1/60	
		PER04/06 /08	Contact Telephone Number 1	S	1/256	<b>PER03,05,07=TE</b>
		PER06/08	Contact Telephone Extension 1	S	1/256	<b>PER05,07=EX</b>
		PER04/06 /08	Contact Fax Number 1	S	1/256	<b>PER03,05,07 = FX</b>
		PER04/06 /08	Contact Email Address 1	S	1/256	<b>PER03,05,07 = EM</b>
		PER09	Not Used by HIPAA			
		PER02	Billing Provider Contact Name 2	S	1/60	Used if more Billing Provider contact information needed.
		PER04/06 /08	Contact Telephone Number 2	S	1/256	<b>PER03,05,07=TE</b>
		PER06/08	Contact Telephone Extension 2	S	1/256	<b>PER05,07=EX</b>
		PER04/06 /08	Contact Fax Number 2	S	1/256	<b>PER03,05,07 = FX</b>
		PER04/06 /08	Contact Email Address 2	S	1/256	<b>PER03,05,07 = EM</b>
		PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
101	2010AB	NM101	Entity Identifier Code	R	2/3	87 Pay to provider
		NM102	Entity Type Qualifier	R	1/1	1 person 2 non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

Page	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
116	2000B	SBR01	Payer Responsibility Sequence Number Code	R	1/1	P - Primary S - Secondary T - Tertiary A - Payer Responsibility Four B - Payer Responsibility Five C - Payer Responsibility Six D - Payer Responsibility Seven E - Payer Responsibility Eight F - Payer Responsibility Nine G - Payer Responsibility Ten H - Payer Responsibility 11 U - Unknown
		SBR02	Individual Relationship Code	S	2/2	Individual Relationship Code "18" - Self, if patient is subscriber. Blank otherwise
		SBR03	Insured Group or Policy Number	S	1/50	
		SBR04	Insured Group Name	S	1/60	
		SBR05	Insurance Type Code	S	1/3	12 - Medicare Secondary Working Aged Beneficiary or Spouse with Employer Group Health Plan 13 - Medicare Secondary ESRD Beneficiary in 12 month coordination period with employer's group health plan 14 - Medicare Secondary, No-fault Insurance including Auto as Primary 15 - Medicare Secondary Worker's Compensation 16 - Medicare Secondary PHS or Other Federal Agency 41 - Medicare Secondary Black Lung 42 - Medicare Secondary Veteran's Administration 43 - Medicare Secondary Disabled Beneficiary Under Age 65 with LGHP 47 - Medicare Secondary, Other Liability Insurance Primary
		SBR06- SBR08	Not Used by HIPAA			
		SBR09	Claim Filing Indicator Code	S	1/2	11 - Other Non-Federal Programs 12 - PPO 13 - POS 14 - EPO 15 - Indemnity 16 - HMO Medicare Risk 17 - Dental Maintenance Organization AM - Automobile Medical BL - Blue Cross/Blue Shield CH - CHAMPUS CI - Commercial Insurance Company DS - Disability HM - HMO FI - Federal Employees Program LM - Liability Medical MA - Medicare Part A MB - Medicare Part B MC - Medicaid OF - Other Federal Program TV - Title V VA - Veteran Administration Plan WC - Workers' Compensation Health Claim ZZ - Mutually Defined

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
119	2000B	PAT01- PAT04	Not Used by HIPAA			
		PAT05	Date Time Period Format Qualifier	R	2/3	<b>D8 - Date</b> Applies to Subscriber, blank for dependent
		PAT06	Insured Date of Death	R	1/35	
		PAT07	Unit or Basis Measurement Code	R	2/2	<b>01</b> (Actual Pounds)
		PAT08	Insured (Patient) Weight	R	1/10	
		PAT09	Pregnancy Indicator	R	1/1	Y - Yes
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
121	2010BA	NM101	Entity Identifier Code	R	2/3	<b>IL</b> Insured or Subscriber
		NM102	Entity Type Qualifier	R	1/1	1 - person, 2 – Non-Person
		NM103	Subscriber Last Name	R	1/60	
		NM104	Subscriber First Name	S	1/35	
		NM105	Subscriber Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Subscriber Name Suffix	S	1/10	
		NM108	Subscriber Primary ID	S	2/80	<b>MI</b> Member identification number  <i>II HIPAA National Individual Identifier (future use)</i>
		NM109	Subscriber Primary ID	S	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
124	2010BA	N301	Subscriber Address 1	R	1/55	
		N302	Subscriber Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
125	2010BA	N401	Subscriber City Name	R	2/30	
		N402	Subscriber State	S	2/2	
		N403	Subscriber Zip Code	S	3/15	
		N404	Subscriber Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Subscriber Sub-Country Code	S	2/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
127	2010BA	DMG01	Date Time Period Format Qualifier	R	2/3	<b>D8</b> Date
		DMG02	Subscriber Birth Date	R	1/35	
		DMG03	Subscriber Gender Code	R	1/1	F - Female M - Male U - Unknown
		DMG04- DMG11	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
129	2010BA	REF01	Reference Identification Qualifier	R	2/3	<b>SY</b> SSN (cannot be used for Medicare)
		REF02	Subscriber SSN	R	1/50	

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		REF03 REF04	Not Used by HIPAA			
130	2010BA	REF01	Reference Identification Qualifier	R	2/3	<b>Y4</b> Agency Claim Number
		REF02	Property/Casualty Agency ID number	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
131	2010BA	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact
		PER02	Property Casualty Patient Contact Name	S	1/60	
		PER03	Communication Number Qualifier	R	2/2	<b>TE</b> Telephone
		PER04	Contact Telephone Number	R	1/256	
		PER05	Communication Number Qualifier	R	2/2	<b>EX</b> Telephone Ext.
		PER06	Contact Telephone Extension	S	1/256	
		PER07- PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
133	2010BB	NM101	Entity Identifier Code	R	2/3	<b>PR</b> Payer
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> – Non-Person
		NM103	Payer Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>PI</b> Payer identification number <b>XV</b> HCFA National Plan ID (future use)
		NM109	Payer Primary ID XV	S	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
135	2010BB	N301	Payer Address 1	R	1/55	
		N302	Payer Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
136	2010BB	N401	Payer City Name	R	30	
		N402	Payer State	S	2	
		N403	Payer Zip Code	S	3/15	
		N404	Payer Country Code	S	3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Payer Sub-Country Code	S	3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
138	2010BB	REF01	Reference Identification Qualifier	R	2/3	<b>2U</b> Supplemental payer id number <b>FY</b> Claim office number <b>EI</b> Federal Taxpayer's ID Number
		REF02	Payer Secondary ID	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
140	2010BB	REF01	Reference Identification Qualifier	R	2/3	<b>LU</b> Provider Location ID Number <b>G2</b> Provider Commercial ID Number
		REF02	Billing Provider Secondary ID	R	1/50	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		REF03 REF04	Not Used by HIPAA			
144	2000C	PAT01	Dependent Relationship Code	R	2/2	01 - Spouse 19 - Child 20 - Employee 21 - Unknown 39 - Organ Donor 40 - Cadaver Donor 53 - Life Partner G8 - Other Relationship
		PAT02- PAT04	Not Used by HIPAA			
		PAT06	Insured Date of Death	R	1/35	<b>D8</b> Date
		PAT08	Insured (Patient) Weight	R	1/10	<b>01</b> Actual Pounds
		PAT09	Pregnancy Indicator	R	1/1	Y - Yes
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
147	2010CA	NM101	Entity Identification Code	R	2/3	<b>QC</b> Patient
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	Dependent Last Name	R	1/60	
		NM104	Dependent First Name	R	1/35	
		NM105	Dependent Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Dependent Suffix Name	S	1/10	
		NM108- NM111	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
149	2010CA	N301	Dependent Address 1	R	1/55	
		N302	Dependent Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
150	2010CA	N401	Dependent City Name	R	2/30	
		N402	Dependent State	S	2/2	
		N403	Dependent Zip Code	S	3/15	
		N404	Dependent Country Code	S	2/3	Required only if country not USA.
		N405 N406	Not Used by HIPAA			
		N407	Dependent Sub-Country Code	S	2/3	Required only if country not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
152	2010CA	DMG01	Date Time Period Format Qualifier		2/3	<b>D8</b> Date
		DMG02	Dependent Birth Date	R	1/35	
		DMG03	Dependent Gender Code	R	1/1	F - Female M - Male U - Unknown (Note: Required on Outbound)
		DMG04- DMG11	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
154	2010CA	REF01	Reference Identification Qualifier	R	2/3	<b>Y4</b> Property/Casualty Agency identification number
		REF02	Dependent Secondary ID Y4	R	1/50	
		REF03 REF04	Not Used by HIPAA			

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
155	2010CA	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact
		PER02	Property Casualty Patient Contact Name	S	1/60	
		PER03	Communication Number Qualifier	R	2/2	<b>TE</b> Telephone
		PER04	Contact Telephone Number	R	1/256	
		PER05	Communication Number Qualifier	S	2/2	<b>EX</b> Telephone Ext.
		PER06	Contact Telephone Extension	S	1/256	
		PER07- PER09	Not Used by HIPAA		1/60	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
157	2300	CLM01	Patient Account Number	R	1/38	
		CLM02	Total Claim Charge Amount	R	1/18	
		CLM03 CLM04	Not Used by HIPAA			
		CLM05-01	Facility Type Code	R	1/2	Place of service
		CLM05-02	Facility Code Qualifier	R	1/1	<b>B</b> Claim submission reason code.
		CLM5-03	Claim Frequency Code	R	1/1	1 = Original 7 = Replacement/Adjustment 8 = Void
		CLM06	Provider Signature Indicator	R	1/1	<a href="http://www.nubc.org">www.nubc.org</a> Y - Yes N - No
		CLM07	Provider Accept Assignment Code	S	1/1	A - Assigned B - Assignment Accepted on Clinical Lab Services Only C - Not Assigned
		CLM08	Assignment of Benefits Indicator	R	1/1	Y - Yes N - No W - Not Applicable
		CLM09	Release of Information Indicator	R	1/1	I - Informed Consent to Release Medical Information for conditions or diagnoses regulated by federal statutes Y - Yes, provider has a signed statement permitting release of medical billing data related to a claim
		CLM10	Patient Signature Source Code	S	1/1	P - Signature generated by provider because patient was unavailable.
		CLM11-1	Related Causes Code 1	R	2/3	AA - Auto Accident EM - Employment OA - Other Accident
		CLM11-2	Related Causes Code 2	S	2/3	AA - Auto Accident EM - Employment OA - Other Accident
		CLM11-3	Not Used by HIPAA			
		CLM11-4	Auto Accident State or Province Code	S	2/2	Auto accident state or province code
		CLM11-5	Auto Accident Country Code	S	2/3	Required only if country is not USA.
CLM12	Special Program Indicator	S	2/3	02 - Physically Handicapped Children's Program 03 - Special Federal Funding 05 - Disability 7 Third Party Processing Delay 09 - Second Opinion or Surgery		
CLM13- CLM19	Not Used by HIPAA					



	CLM20	Delay Reason Code	S	1/2	1 - Proof of Eligibility Unknown or Unavailable 2 - Litigation, 3 - Authorization Delays 4 - Delay in Certifying Provider, 5 - Delay in Supplying Billing Forms 6 - Delay in Delivery of Custom-made Appliances 7 - Third Party Processing Delay 8 - Delay in Eligibility Determination 9 - Original Claim Rejected or Denied Due to a Reason Unrelated to Billing Limitation Rules 10 - Administration Delay in Prior Approval Process 11 Other 15 Natural Disaster	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
164-181	2300	DTP01	Onset of Current Illness or Injury Date	R	1/35	<b>431</b> Onset of Current Symptoms or Illness <b>454</b> Initial Treatment <b>453</b> Acute Manifestation <b>439</b> Accident <b>484</b> Last Menstrual Period <b>471</b> Hearing or Vision Prescription <b>297</b> Last Worked <b>304</b> Last Seen <b>296</b> Work Return <b>435 Hospital Admission</b> <b>096</b> Hospital Discharge <b>090</b> Assumed Care <b>091</b> Relinquished Care <b>444</b> Property Casualty First <b>050</b> Repricer Received  <b>NOTE: 435 Admission required on Inpatient Claims</b>
		DTP02	Initial Treatment Date	R	1/35	<b>D8</b> - Date (when DTP01 = 314 or 361) or <b>RD8</b> - Date Range (when DTP01 = 314)
		DTP03	Last Seen Date	R	1/35	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
186		CN101	Contract Type Code	R	2/2	01 - Diagnosis Related Group (DRG) 02 - Per Diem 03 - Variable Per Diem 04 - Flat 05 - Capitated 06 - Percent 09 - Other
		CN102	Contract Amount	S	1/18	
		CN103	Contract Percentage	S	1/6	
		CN104	Contract Code	S	1/50	
		CN105	Terms Discount Percentage	S	1/6	
		CN106	Contract Version Identifier	S	1/30	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
188	2300	AMT01	Amount Qualifier Code	R	1/3	<b>F5</b> Patient Amount Paid/Responsibility
		AMT02	Patient Amount Paid	R	1/18	<b>(REQUIRED)</b> Monetary Amount – Patient Amount Paid/Responsibility <b>If Loop 2430 CAS*PR is sent. Value of all CAS*PR must match AMT*F5 Amount</b>
		AMT03	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

189-206	2300	REF01	Referencing Identification Qualifier	R	2/3	<b>F5</b> Medicare Version Code <b>EW</b> Mammography Certification <b>4N</b> Special Payment Reference <b>G1 (G - one)</b> Prior Authorization Number <b>9F</b> Referral Number <b>F8</b> Original Reference ID Number <b>X4</b> CLIA number <b>9C</b> Repricer's claim number for a previously adjusted (resubmitted) claim <b>9A</b> Repricer's claim number <b>D9</b> Clearinghouse or Value Added Network unique claim ID <b>1J</b> NPI of Home Health or Hospice Care Facility <b>EA</b> Medical Record Identification Number <b>P4</b> Project Code <b>LX</b> IDE number <b>NOTE: REF*F8 REQUIRED if CLM05-03 = 7 or 8</b>
		REF02	Reference Identification Reference Information	R	1/50	<b>NOTE: If F8 is sent Original Payer Claim Control Number</b>
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
211	2300	CR102	Patient Weight	S	1/10	<b>LB</b> Pound  <b>NOTE: Required when CLM05-01 is '41' or '42'</b>
		CR103	Not Used by HIPAA			
		CR104	Ambulance Transport Reason Code	R	1/1	A - Patient was transported to nearest facility for care of symptoms, complaints, or both B - Patient was transported for the benefit of a preferred physician C - Patient was transported for the nearness of family members D - Patient was transport E - Patient transferred to rehabilitation facility <b>DH</b> Miles
		CR106	Transport Distance	R	1/15	
		CR107 CR108	Not Used by HIPAA			
		CR109	Round Trip Purpose Description	S	1/80	
		CR110	Stretcher Purpose Description	S	1/80	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
214	2300	CR201- CR207	Not Used by HIPAA			
		CR208	Patient Condition Code for Spinal Manipulation	R	1/1	A - Acute Condition C - Chronic Condition D - Non-acute E - Non-Life Threatening F - Routine G - Symptomatic M - Acute Manifestation of a Chronic Condition
		CR209	Not Used by HIPAA			
		CR210	Patient Condition Description - Spinal Manipulation 1	S	1/80	

		CR211	Patient Condition Description - Spinal Manipulation 2	S	1/80	
		CR212	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
216	2300	CRC01	Code Category		2/2	<b>07</b> Certification condition code applies indicator. N No, Y Yes
		CRC02	Ambulance Certification Condition Indicator 1	R	1/1	
		CRC03	Ambulance Condition Indicator Code 1a	R	2/3	01 Patient was admitted to a hospital 04 Patient was moved by stretcher 05 Patient was unconscious or in shock 06 Patient was transported in an emergency situation 07 Patient had to be physically restrained 08 Patient had visible hemorrhaging 09 Ambulance service was medically necessary 12 Patient is confined to a bed or chair
		CRC04	Ambulance Condition Indicator Code 1b	S	2/3	See codes in CRC03 (field 48)
		CRC05	Ambulance Condition Indicator Code 1c	S	2/3	See codes in CRC03 (field 48)
		CRC06	Ambulance Condition Indicator Code 1d	S	2/3	See codes in CRC03 (field 48)
		CRC07	Ambulance Condition Indicator Code 1e	S	2/3	See codes in CRC03 (field 48)
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
219	2300	CRC01	Vision Code Category 1	R	2/2	E1 - Spectacle Lenses E2 - Contact Lenses E3 - Spectacle Frames Y - Yes N - No
		CRC02	Vision Certification Condition Indicator 1	R	1/1	
		CRC03	Vision Condition Indicator Code 1a	R	2/3	L1 - General Standard of 20 Degree or .5 Diopter Sphere or Cylinder Change Met L2 - Replacement Due to Loss or Theft L3 - Replacement Due to Breakage or Damage L4 - Replacement Due to Patient Preference L5 - Replacement Due to Medical Reason See CRC03 (field 67)
		CRC04	Vision Condition Indicator Code 1b	S	2/3	See CRC03 (field 67)
		CRC05	Vision Condition Indicator Code 1c	S	2/3	See CRC03 (field 67)
		CRC06	Vision Condition Indicator Code 1d	S	2/3	See CRC03 (field 67)
		CRC07	Vision Condition Indicator Code 1e	S	2/3	See CRC03 (field 67)
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
221	2300	CRC01	Code Category	R	2/2	<b>75</b> Functional limitations
		CRC02	Homebound Certification Condition Indicator	R	1/1	
		CRC03	Homebound Indicator	R	2/3	IH - Independent at Home
		CRC04-CRC07	Not Used by HIPAA		1/1	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
221	2300	CRC01	Code Category		2/2	<b>ZZ</b> Mutually defined

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		CRC02	EPSDT Certification Condition Indicator	R	1/1	Y - Yes N - No	
		CRC03	EPSDT Condition Indicator Code 1	R	2/3	AV - Available - Not Used NU - Not Used S2 - Under Treatment ST - New Services Requested	
		CRC04	EPSDT Condition Indicator Code 2	S	2/3	See CRC03 (field 89)	
		CRC05	EPSDT Condition Indicator Code 3	S	2/3	See CRC03 (field 89)	
		CRC06	Not Used by HIPAA				
		CRC07					
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
226	2300	HI01-1	Principal Diagnosis Qualifier	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI01-2	Principal Diagnosis	R	1/30		
		HI02-1	Diagnosis Qualifier 2	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI02-2	Diagnosis Code 2	S	1/30		
		HI03-1	Diagnosis Qualifier 3	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI03-2	Diagnosis Code 3	S	1/30		
		HI04-1	Diagnosis Qualifier 4	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI04-2	Diagnosis Code 4	S	1/30		
		HI05-1	Diagnosis Qualifier 5	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI05-2	Diagnosis Code 5	S	1/30		
		HI06-1	Diagnosis Qualifier 6	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI06-2	Diagnosis Code 6	S	1/30		
		HI07-1	Diagnosis Qualifier 7	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI07-2	Diagnosis Code 7	S	1/30		
		HI08-1	Diagnosis Qualifier 8	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI08-2	Diagnosis Code 8	S	1/30		
		HI09-1	Diagnosis Qualifier 9	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI09-2	Diagnosis Code 9	S	1/30		
		HI010-1	Diagnosis Qualifier 10	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI10-2	Diagnosis Code 10	S	1/30		
		HI011-1	Diagnosis Qualifier 11	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI11-2	Diagnosis Code 11	S	1/30		
		HI012-1	Diagnosis Qualifier 12	R	1/3	BK - ICD-9 ABK - ICD-10	
		HI12-2	Diagnosis Code 12	S	1/30		
			Not Used by HIPAA				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
239	2300	HI01-2	Principal Anesthesia Related Code	S	1/30	<b>BP</b> Health Care Financing Administration Common Procedural Coding System Principal Procedure	
		HI02-2	Additional Anesthesia Related Code	S	1/30	<b>BO</b> Health Care Financing Administration Common Procedural Coding System	
		HI03- HI12	Not Used by HIPAA				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
242	2300	HI01-2	Condition Indicator Code 1	S	1/30	<b>BG</b> Condition	
		HI02-2	Condition Indicator Code 2	S	1/30	See HI01-2 for codes	
		HI03-2	Condition Indicator Code 3	S	1/30	See HI01-2 for codes	
		HI04-2	Condition Indicator Code 4	S	1/30	See HI01-2 for codes	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		HI05-2	Condition Indicator Code 5	S	1/30	See HI01-2 for codes
		HI06-2	Condition Indicator Code 6	S	1/30	See HI01-2 for codes
		HI07-2	Condition Indicator Code 7	S	1/30	See HI01-2 for codes
		HI08-2	Condition Indicator Code 8	S	1/30	See HI01-2 for codes
		HI09-2	Condition Indicator Code 9	S	1/30	See HI01-2 for codes
		HI10-2	Condition Indicator Code 10	S	1/30	See HI01-2 for codes
		HI11-2	Condition Indicator Code 11	S	1/30	See HI01-2 for codes
		HI12-2	Condition Indicator Code 12	S	1/30	See HI01-2 for codes
252	2300	HCP01	Claim Pricing/Repricing Methodology	R	2/2	00 - Zero Pricing (Not Covered Under Contract) 01 - Priced as Billed at 100% 02 - Priced at the Standard Fee Schedule 03 - Priced at a Contractual Percentage 04 - Bundled Pricing 05 - Peer Review Pricing 07 - Flat Rate Pricing 08 - Combination Pricing 09 - Maternity Pricing 10 - Other Pricing 11 - Lower of Cost 12 - Ratio of Cost 13 - Cost Reimbursed 14 - Adjustment Pricing
		HCP02	Claim Repricing Allowed Amount	R	1/18	
		HCP03	Claim Repricing Saving Amount	S	1/18	
		HCP04	Claim Level Repricing Organization ID	S	1/50	
		HCP05	Claim Repricing Per Diem or Flat Rate	S	1/9	
		HCP06	Claim Repricing Approved Ambulatory Patient Group Code	S	1/50	
		HCP07	Claim Repricing Approved Ambulatory Patient Group Amount	S	1/18	
		HCP08- HCP12	Not Used by HIPAA			
		HCP13	Claim Repricing Reject Reason Code	S	2/2	T1 - Cannot Identify Provider as TPO (3rd Party Organization) Participant T2 - Cannot Identify Payer as TPO Participant T3 - Cannot Identify Insured as TPO Participant T4 - Payer Name or Identifier Missing T5 - Certification Information Missing T6 - Claim does not contain enough information for repricing
		HCP14	Claim Repricing Policy Compliance Code	S	1/2	1 - Procedure Followed (Compliance) 2 - Not Followed - Call Not Made (Non-Compliance) 3 - Not Medically Necessary (Non-Compliance) 4 - Not Followed Other (Non-Compliance Other) 5 - Emergency Admit to Non-Network Hospital
		HCP15	Claim Repricing Exception Code	R	1/2	1 - Non-Network professional provider in Network hospital 2 - Emergency Care 3 - Services or Specialist not in Network 4 - Out-of-Service Area 5 - State Mandates 6 - Other <b>NOTE: REQUIRED if Known 1 or 3 = Out of Network 6 = In Network</b>

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
257	2310A	NM101	Entity Identifier Code	R	2/3	<b>DN</b> Referring Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	Referring Provider Last Name	R	1/60	
		NM104	Referring Provider First Name	S	1/35	
		NM105	Referring Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Referring Provider Name Suffix	S	1/10	
		NM109	Referring Provider Primary ID XX	R	2/80	<b>XX</b> NPI (HIPAA National Provider ID)
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
257	2310A	NM101	Entity Identifier Code	R	2/3	<b>P3</b> Primary Care Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	PCP Provider Last Name	R	1/60	
		NM104	PCP Provider First Name	S	1/35	
		NM105	PCP Provider Middle Name	S	1/25	
		NM107	PCP Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> NPI (HIPAA National Provider ID)
		NM109	PCP Provider Primary ID	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
260	2310A	REF01	Reference Identifier Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>(REQUIRED)</b>
		REF02	Referring Provider Secondary ID	S	1/50	<b>1G</b> Provider UPIN number <b>REF*G2*9999 = Tribal Provider</b>
		REF03	Not Used by HIPAA			
		REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
						In the absence of a valid Rendering Provider Name or NPI (i.e. PA, PT, or nurse) please use the Physician Name and NPI that the services were provided under or the Physician Name and NPI that the member is assigned to.
262	<b>2310B</b>	NM101	Entity Identifier Code	R	2/3	<b>82</b> Rendering Provider <b>REQUIRED if different than Billing</b>
		<b>NM102</b>	<b>Entity Type Qualifier</b>	<b>R</b>	<b>1/1</b>	<b>1</b> Person
		<b>NM103</b>	<b>Rendering Provider Last/Organization Name</b>	<b>R</b>	<b>1/60</b>	
		NM104	Rendering Provider First Name	S	1/35	
		NM105	Rendering Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Rendering Provider Name Suffix	S	1/10	
		<b>NM108</b>	<b>Identification Code Qualifier</b>	<b>R</b>	<b>1/2</b>	<b>XX</b> NPI (HIPAA National Provider ID)
		<b>NM109</b>	<b>Rendering Provider Primary ID</b>	<b>R</b>	<b>2/80</b>	<b>REQUIRED if different than Billing</b>

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		NM110- NM112	Not Used by HIPAA			
265	2310B	PRV01	Provider Code		1/3	<b>PE</b> Performing
		PRV02	Reference Identifier Qualifier		2/3	<b>PXC</b> Rendering provider specialty type
		<b>PRV03</b>	<b>Rendering Provider Taxonomy Code</b>	R	1/50	<b>REQUIRED if Rendering Provider is present</b>
		PRV04- PRV06	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
267	2310B	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number
		REF02	Rendering Provider Secondary ID G2	S	1/50	<b>REF*G2*9999 = Tribal Provider</b>
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
269	2310C	NM101	Entity Identifier Code	R	2/3	<b>77</b> Service Location <b>NOTE: Required if Rendering Provider is present</b>
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> (non-Person)
		NM103	Service Facility Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Facility Primary ID	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
272	2310C	N301	Service Facility Address 1	R	1/55	
		N302	Service Facility Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
273	2310C	N401	Service Facility City	R	2/30	
		N402	Service Facility State	S	2/2	
		N403	Service Facility Zip Code	S	3/15	
		N404	Service Facility Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Service Facility Sub Country Code	S	1/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
275	2310C	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number
		REF02	Service Facility Secondary ID	S	1/50	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		REF03 REF04	Not Used by HIPAA			
277	2310C	PER01	Contact Function Code	R	2/2	<b>IC</b> Information Contact
		PER02	Service Facility Contact Name 1	S	1/60	
		PER03	Communication Number Qualifier	R	2/2	<b>TE</b> Telephone
		PER04	Contact Telephone Number 1	S	1/256	
		PER05	Communication Number Qualifier	S	2/2	<b>EX</b> Telephone Ext
		PER06	Contact Telephone Extension 1	S	1/256	
		PER07- PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
280	2310D	NM101	Entity Identifier Code	R	2/3	<b>DQ</b> Referring Provider Entity Identifier Code
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	Supervising Provider Last Name	R	1/60	
		NM104	Supervising Provider First Name	S	1/35	
		NM105	Supervising Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Supervising Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> NPI (HIPAA National Provider ID)
		NM109	Supervising Provider Primary ID XX	R	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
283	2310D	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number
		REF02	Supervising Provider Secondary ID	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
285	2310E	NM101	Entity Identifier Code	R	2/3	<b>PW</b> Pickup address
		NM102	Entity Type Qualifier	R	1/1	<b>Note: Required when CLM05-01 = '41'</b> <b>2</b> non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
287	2310E	N301	Ambulance Pickup Address 1	R	1/55	
		N302	Ambulance Pickup Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
288	2310E	N401	Ambulance Pickup City	R	2/30	
		N402	Ambulance Pickup State	R	2/2	
		N403	Ambulance Pickup Zip Code	R	3/15	
		N404	Ambulance Pickup Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Pickup Sub Country Code	S	1/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments



	2310F	NM101	Entity Identifier Code	R	2/3	45 drop off location
		NM102	Entity Type Qualifier	R	1/1	<b>Note: Required when CLM05-01 = '41'</b> 2 non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
292	2310F	N301	Ambulance Drop-Off Address 1	R	1/55	
		N302	Ambulance Drop-Off Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
293	2310F	N401	Ambulance Drop-Off City	R	2/30	
		N402	Ambulance Drop-Off State	R	2/2	
		N403	Ambulance Drop-Off Zip Code	R	3/15	
		N404	Ambulance Drop-Off Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Drop-Off Sub Country Code	S	1/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
295	2320	SBR01	Payer Responsibility Sequence Number Code	R	1/1	A - Payer Responsibility Four B - Payer Responsibility Five C - Payer Responsibility Six D - Payer Responsibility Seven E - Payer Responsibility Eight F - Payer Responsibility Nine G - Payer Responsibility Ten H - Payer Responsibility Eleven <b>P - Primary</b> S - Secondary T - Tertiary U - Unknown
		SBR02	Individual Relationship Code	R	2/2	01 - Spouse 18 - Self 19 - Child 20 - Employee 21 - Unknown 39 - Organ Donor 40 - Cadaver Donor 53 - Life Partner G8 - Other Relationship
		SBR03	Other Insured Group or Policy Number	S	1/50	
		SBR04	Other Insured Group Name	S	1/60	

	SBR05	Insurance Type Code		S	1/3	12 - Medicare Secondary Working Aged Beneficiary or Spouse with Employer Group Health Plan 13 - Medicare Secondary End-Stage Renal Disease Beneficiary in 12 month coordination period with employer's group health plan 14 - Medicare Secondary, No-fault Insurance including Auto as Primary 15 - Medicare Secondary Worker's Compensation 16 - Medicare Secondary Public Health Service (PHS) or Other Federal Agency 41 - Medicare Secondary Black Lung 42 - Medicare Secondary Veteran's Administration 43 - Medicare Secondary Disabled Beneficiary Under Age 65 with Large Group Health Plan (LGHP) 47 - Medicare Secondary, Other Liability Insurance is Primary
	SBR06 SBR08	Not Used by HIPAA				
	SBR09	Claim Filing Indicator Code		S	1/2	11' - Other Non-Federal Programs, '12' - PPO, '13' - POS, '14' - EPO, '15' - Indemnity, '16' - HMO Medicare Risk, '17' - Dental Maintenance Organization 'AM' - Automobile Medical, 'BL' - Blue Cross/Blue Shield, 'CH' - CHAMPUS, 'CI' - Commercial Insurance Company, 'DS' - Disability, 'HM' - HMO, 'FI' - Federal Employees Program, 'LM' - Liability Medical, 'MA' - Medicare Part A, 'MB' - Medicare Part B, 'MC' - Medicaid, 'OF' - Other Federal Program, 'TV' - Title V, 'VA' - Veteran Administration Plan, 'WC' - Workers' Compensation Health Claim, 'ZZ' - Mutually Defined
<b>Seg:</b>	<b>CAS</b>	<b>Occur</b>	<b>5</b>	<b>Claim Level Adjustments</b>	<b>S</b>	<b>Page:</b>
299-304	2320	CAS01		Claim Adjustment Group Code 1	R	299 1/2
		CAS02		Adjustment Reason Code 1a	R	1/5
		CAS03		Adjustment Amount 1a	R	1/18
		CAS04		Adjustment Quantity 1a	S	1/15
		CAS05		Adjustment Reason Code 1b	S	1/5
		CAS06		Adjustment Amount 1b	S	1/18
		CAS07		Adjustment Quantity 1b	S	1/15
		CAS08		Adjustment Reason Code 1c	S	1/5
		CAS09		Adjustment Amount 1c	S	1/18
		CAS10		Adjustment Quantity 1c	S	1/15
		CAS11		Adjustment Reason Code 1d	S	1/5
		CAS12		Adjustment Amount 1d	S	1/18
		CAS13		Adjustment Quantity 1d	S	1/15
		CAS14		Adjustment Reason Code 1e	S	1/5
		CAS15		Adjustment Amount 1e	S	1/18
		CAS16		Adjustment Quantity 1e	S	1/15

**NOTE: Required at Loop 2430**

		CAS17	Adjustment Reason Code 1f	S	1/5	
		CAS18	Adjustment Amount 1f	S	1/18	
		CAS19	Adjustment Quantity 1f	S	1/15	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
305-307	2320	AMT01	Amount Qualifier Code	R	1/3	<b>D</b> Payor Amount Paid <b>(Required when sending SVD segment)</b>
		AMT02	Amount	R	1/18	<b>EAF</b> Amount Owed <b>A8</b> Non-covered Charges - Actual
		AMT03	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
308	2320	OI01 OI02	Not Used by HIPAA			
		OI03	Benefits Assignment Certification Indicator	R	1/1	Indicates whether insured has authorized benefits to be assigned to the provider N - No W - Not Applicable (Use when patient refuses to assign benefits) Y - Yes <b>(Required when sending segment)</b>
		OI04	Patient Signature Source Code	R	1/1	P - Signature generated by provider
		OI05	Not Used by HIPAA			
		OI06	Release of Information Code	R	1/1	Indicates whether provider has signed authorization for release of medical information I - Informed Consent to Release Medical Information for conditions or diagnoses regulated by federal statutes Y - Yes, provider has signed statement perm
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
310	2320	MOA01	Reimbursement Rate	S	1/10	
		MOA02	Claim HCPCS Payable Amount	S	1/18	
		MOA03	Remittance Remark Code 1	S	1/50	
		MOA04	Remittance Remark Code 2	S	1/50	
		MOA05	Remittance Remark Code 3	S	1/50	
		MOA06	Remittance Remark Code 4	S	1/50	
		MOA07	Remittance Remark Code 5	S	1/50	
		MOA08	Claim ESRD Payment Amount	S	1/18	End Stage Renal Disease payment amount
		MOA09	Nonpayable Professional Component Amount	S	1/18	Professional component amount billed but not payable
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
313	2330A	NM101	Entity Identifier Code	R	2/3	<b>IL</b> Insured or Subscriber <b>(Required when sending SVD segment)</b>
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> - person <b>2</b> - organization
		NM103	Other Insured Last Name	R	1/60	
		NM104	Other Insured First Name	S	1/35	
		NM105	Other Insured Middle Name	S	1/25	
		NM106	Not Used by HIPAA			

		NM107	Other Insured Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>MI</b> Member identification number
						<i>II HIPAA National Individual Identifier (future use)</i>
		NM109	Other Insured Primary ID	S	2/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
316	2330A	N301	Other Insured Address 1	R	1/55	
		N302	Other Insured Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
317	2330A	N401	Other Insured City	R	2/30	<b>(Required when sending SVD segment)</b>
		N402	Other Insured State	S	2/2	
		N403	Other Insured Zip Code	S	3/15	
		N404	Other Insured Country Code	S	2/3	
		N405 N406	Not Used by HIPAA			
		N407	Other Insured Sub-Country Code	S	2/3	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
319	2330A	REF01	Reference Identification Qualifier		2/3	<b>SY</b> Social security number (cannot be used for Medicare)
		REF02	Other Insured Secondary ID	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
320	2330B	NM101	Entity Identifier Code	R	2/3	<b>PR</b> Payer <b>(Required when sending SVD segment)</b>
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> Non-Person Entity
		NM103	Other Payer Name	R	1/60	
		NM104- NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	½	<b>PI</b> Payer identification number
		NM109	Other Payer Primary ID 2	S	2/80	<b>XV</b> HCFA National Plan ID (future use)
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
322	2330B	N301	Other Payer Address 1	R	1/55	
		N302	Other Payer Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
323	2330B	N401	Other Payer City	R	2/30	
		N402	Other Payer State	S	2/2	
		N403	Other Payer Zip Code	S	3/15	
		N404	Other Payer Country Code	S	2/3	
		N405 N406	Not Used by HIPAA			
		N407	Other Payer Sub-Country Code	S	2/3	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

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325	2330B	DTP01	Date/Time Qualifier	R	3/3	<b>573</b> Date Claim paid
		DTP02	Date Time Period Format Qualifier	R	2/3	<b>D8</b> Date Expressed in Format CCYYMMDD
		DTP03	Other Payer Adjudication or Payment Date	R	1/35	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
326	2330B	REF01	Reference Identification Qualifier	R	2/3	<b>2U</b> Payer identification number <b>FY</b> Claim office number <b>EI</b> Tax ID
		REF02	Other Payer Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
328	2330B	REF01	Reference Identification Qualifier	R	2/3	<b>G1</b> Prior Authorization Number <b>9F</b> Referral number <b>T4</b> Adjustment Indicator <b>F8</b> Original reference number
		REF02	Other Payer Control ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
332	2330C	NM101	Entity Identifier Code	R	2/3	<b>DN</b> Referring Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
334	2330C	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>1G</b> Provider UPIN Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Referring Provider 1 Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
336	2330D	NM101	Entity Identifier Code	R	2/3	<b>82</b> Rendering Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> person <b>2</b> non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
338	2330D	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>1G</b> Provider UPIN Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Rendering Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
340	2330E	NM101	Entity Identifier Code	R	2/3	<b>77</b> Service Location
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		NM103- NM112	Not Used by HIPAA			
342	2330E	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>LU</b> Location Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Service Facility Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
340	2330F	NM101	Entity Identifier Code	R	2/3	<b>DQ</b> Supervising Physician
		NM102	Entity Type Qualifier	R	1/1	1 Person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
345	2330F	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State License Number <b>LU</b> Location Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Supervising Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
347	2330G	NM101	Entity Identifier	R	2/3	<b>85</b> Billing Provider
		NM102	Entity Type Qualifier	R	1/1	1 Person 2 non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
349	2330G	REF01	Reference Identification Qualifier	R	2/3	<b>LU</b> Location Number <b>G2</b> Provider Commercial Number
		REF02	Other Payer Billing Provider Secondary ID	R	1/50	<b>LU</b> Location Number
		REF03	Not Used by HIPAA			
		REF04				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
350	2400	LX01	Service Line Number	R	1/6	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
351	2400	SV101-1	Procedure Code Qualifier	R	2/2	ER - Jurisdictionally Defined Procedure and Supply Codes HC - CPT/HCPCS code IV - HEIC code WK - Advanced Billing (ABC) code
		SV101-2	Procedure Code	R	1/48	
		SV101-3	Procedure Code Modifier 1	S	2/2	<b>NOTE: 340B physician administered drug include modifier "UD" in either SV101-3, -4, -5, or -6</b>
		SV101-4	Procedure Code Modifier 2	S	2/2	
		SV101-5	Procedure Code Modifier 3	S	2/2	
		SV101-6	Procedure Code Modifier 4	S	2/2	
		SV101-7	Procedure Code Description	S	1/80	Additional information when procedure code does not definitively describe condition.
		SV101-8	Not Used by HIPAA			

	SV102	Line Item Charge Amount	R	1/18	Submitted charge amount (implied decimal) Note: Zero is acceptable	
	SV103	Quantity Qualifier	R	2/2	MJ - Minutes UN - Unit	
	SV104	Quantity	R	1/15	Number of units (floating point)	
	SV105	Place of Service Code	S	1/2		
	SV106	Not Used by HIPAA				
	SV107-1	Diagnosis Code Pointer 1	R	1/2	Diagnosis code pointer	
	SV107-2	Diagnosis Code Pointer 2	S	1/2	Additional diagnosis code pointer	
	SV107-3	Diagnosis Code Pointer 3	S	1/2	Additional diagnosis code pointer	
	SV107-4	Diagnosis Code Pointer 4	S	1/2	Additional diagnosis code pointer	
	SV108	Not Used by HIPAA				
	SV109	Emergency Indicator	S	1/1	Y - Yes	
	SV110	Not Used by HIPAA				
	SV111	EPSDT Indicator	S	1/1	Y - Yes	
	SV112	Family Planning Indicator	S	1/1	Y - Yes	
	SV113	Not Used by HIPAA				
	SV114	Not Used by HIPAA				
	SV115	Co-Pay Status Code	S	1/1	0 - Copay Exempt	
	SV116- SV121	Not Used by HIPAA				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
359	2400	SV501-1	Product/Service ID Qualifier		2/2	HC (HCPCS) Codes
		SV501-2	Durable Medical Equipment Procedure Code	R	1/48	
		SV501-3- SV501-8	Not Used by HIPAA			
		SV503	Length of Medical Necessity	R	1/15	DA Length of medical necessity in days (floating point)
		SV504	DME Rental Price	R	1/18	DME Rental Price (implied decimal)
		SV505	DME Purchase Price	R	1/18	DME Purchase Price (implied decimal)
		SV506	Rental Unit Price Indicator	R	1/1	1 - Weekly 4 - Monthly 6 - Daily
		SV507	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
362	2400	PWK01	Attachment Report Type Code	R	2/2	03 Report Justifying Treatment Beyond Utilization Guidelines 04 Drugs Administered 05 Treatment Diagnosis 06 Initial Assessment 07 Functional Goals 08 Plan of Treatment 09 Progress Report 10 Continued Treatment 11 Chemical Analysis 13 Certified Test Report 15 Justification for Admission 21 Recovery Plan A3 Allergies/Sensitivities Document A4 Autopsy Report AM Ambulance Certification AS Admission Summary B2 Prescription B3 Physician Order B4 Referral Form BR Benchmark Testing Results

						BS Baseline BT Blanket Test Results CB Chiropractic Justification CK Consent Form(s) CT Certification D2 Drug Profile Document DA Dental Models DB Durable Medical Equipment Prescription DG Diagnostic Report DJ Discharge Monitoring Report DS Discharge Summary EB Explanation of Benefits (Coordination of Benefits or Medicare Secondary Payor) HC Health Certificate HR Health Clinic Records I5 Immunization Record IR State School Immunization Records LA Laboratory Results M1 Medical Record Attachment MT Models NN Nursing Notes OB Operative Note OC Oxygen Content Averaging Report OD Orders and Treatments Document OE Objective Physical Examination (including vital signs) Document OX Oxygen Therapy Certification OZ Support Data for Claim P4 Pathology Report P5 Patient Medical History Document PE Parenteral or Enteral Certification PN Physical Therapy Notes PO Prosthetics or Orthotic Certification PQ Paramedical Results PY Physician's Report PZ Physical Therapy Certification RB Radiology Films RR Radiology Reports RT Report of Tests and Analysis Report RX Renewable Oxygen Content Averaging Report SG Symptoms Document V5 Death Notification XP Photographs AA - Available on Request at Provider Site BM - By Mail EL - Electronically Only EM - Email FX - By Fax FT - File Transfer
3	PWK02	1/2	Attachment Transmission Code	R	2	
	PWK03 PWK04		Not Used by HIPAA			
4	PWK05 PWK06 PWK07- PWK09	2/80	Attachment Control Number	S	80	<b>AC</b> Attachment Control Number
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
366	2400	PWK01	Report Type Code		2/2	
		PWK02	DMERC Attachment Transmission Code	R	1/2	AB - Previously Submitted to Payer AD - Certification Included in this Claim AF - Narrative Segment Included in this Claim AG - No Documentation is Required NS - Not Specified (Paperwork available on request at provider's site)



Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
368	2400	CR101	Unit or Basis for Measurement Code		2/2	<b>LB</b> Pound	
		CR102	Patient Weight	S	1/10		
		CR103					Not Used by HIPAA
		CR104	Ambulance Transport Reason Code	R	1/1	A - Patient was transported to nearest facility for care of symptoms, complaints, or both B - Patient was transported for the benefit of a preferred physician C - Patient was transported for the nearness of family members D - Patient was transport E - Patient transported to Rehabilitation Facility	
		CR105	Unit or Basis for Measurement Code		2/2	<b>DH</b> Miles	
		CR106	Transport Distance	R	1/15		
		CR107					Not Used by HIPAA
		CR108					
		CR109	Round Trip Purpose Description	S	1/80		
		CR110	Stretcher Purpose Description	S	1/80		
		Page #:	Loop ID	Reference	Name	Codes	Length
371	2400	CR301	DME Certification	S	1/1	I - Initial R - Renewal S - Revised	
		CR302	Unit or Basis for Measurement Code			<b>MO</b> Months	
		CR303	DME Duration	S	1/15		
		CR304					
		CR305					
CR305					Not Used by HIPAA		
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
373	2400	CRC01	Code Category		2/2	<b>07</b> Ambulance Certification	
		CRC02	Ambulance Certification Condition 1	S	1/1	Y - Yes N - No . Note: This segment can occur up to 3 times.	
		CRC03	Ambulance Condition Indicator 1	S	2/3	1st occurrence 01 - Patient was admitted to a hospital 04 - Patient was moved by stretcher 05 - Patient was unconscious or in shock 06 - Patient was transported in an emergency situation 07 - Patient had to be physically restrained 08 - Patient had visible hemorrhaging 09 - Ambulance service medically necessary 12 - Patient is confined to a bed or chair	
		CRC04	Ambulance Condition Indicator 2	S	2/3	See CRC03 for list.	
		CRC05	Ambulance Condition Indicator 3	S	2/3	See CRC03 for list.	
		CRC06	Ambulance Condition Indicator 4	S	2/3	See CRC03 for list.	
		CRC07	Ambulance Condition Indicator 5	S	2/3	See CRC03 for list.	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments	
376	2400	CRC01	Code Category		2/2	<b>70</b> Hospice	
		CRC02	Hospice Employee Indicator	S	1/1	Y - Yes N - No	
		CRC03				<b>65</b> Open	
		CRC04-CRC07					Not Used by HIPAA

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
378	2400	CRC01	Code Category		2/2	<b>09</b> Durable Medical Equipment Certification
		CRC02	DME Certification Condition	S	1/1	Y - Yes N - No
		CRC03	DME Certification Condition Indicator 1	S	2/3	38 - Certification signed by the physician is on file at the supplier's office ZV - Replacement Item
		CRC04	DME Certification Condition Indicator 2	S	2/3	38 - Certification signed by the physician is on file at the supplier's office ZV - Replacement Item
		CRC05- CRC07	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
380	2400	DTP01	Date/Time Qualifier	R	3/3	<b>472</b> Service
		DTP02	Date Time Period Format Qualifier		2/3	<b>D8 or RD8</b>
		DTP03	Service Line To Date	S	1/35	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
386	2400	DTP03	Prescription Date	R	1/35	<b>DTP01 = 471</b> Prescription <b>DTP02 = D8</b>
		DTP03	Certification Revision Date	R	1/35	<b>DTP01 = 607</b> Certification Revision <b>DTP02 = D8</b>
		DTP03	Begin Therapy Date	R	1/35	<b>DTP01 = 463</b> Begin Therapy <b>DTP02 = D8</b>
		DTP03	Last Certification Date	R	1/35	<b>DTP01 = 461</b> Last Certification <b>DTP02 = D8</b>
		DTP03	Date Last Seen	R	1/35	<b>DTP01 = 304</b> Last Seen <b>DTP02 = D8</b>
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
387	2400	DTP03	Most Recent Hemoglobin or Hematocrit Date	R	1/35	Test Date. <b>DTP01 = 738</b> <b>DTP02 = D8</b>
		DTP03	Most Recent Serum Creatine Date	R	1/35	Test Date. <b>DTP01 = 739</b> <b>DTP02 = D8</b>
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
390	2400	DTP03	Shipped Date	R	1/35	<b>DTP01 = 011</b> Shipped <b>DTP02 = D8</b>
		DTP03	Last X-Ray Date	R	1/35	<b>DTP01 = 455</b> Last X-Ray <b>DTP02 = D8</b>
		DTP03	Initial Treatment Date	R	1/35	<b>DTP01 = 454</b> Initial Treatment <b>DTP02 = D8</b>
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
391- 392	2400	QTY01	Quantity Qualifier		2/2	
		QTY02	Ambulance Patient Count	R	1/15	<b>PT</b> Patients
		QTY02	Obstetric Anesthesia Additional Units	R	1/15	<b>FL</b> Units
		QTY03 QTY04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
393	2400	MEA01	Test Result ID 1	R	2/2	OG - Original TR - Test Results
		MEA02	Test Result Qualifier 1	R	1/3	HT – Height R1 – Hemoglobin R2 – Hematocrit R3 - Epoetin Starting Dosage R4 - Creatinine
		MEA03	Test Result Value 1	R	1/20	
		MEA01	Test Result ID 2	R	2/2	2nd occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 2	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 2	R	1/20	
		MEA01	Test Result ID 3	R	2/2	3rd occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 3	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 3	R	1/20	

		MEA01	Test Result ID 4	R	2/2	4th occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 4	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 4	R	1/20	
		MEA01	Test Result ID 5	R	2/2	5th occurrence. See Field 101 for codes
		MEA02	Test Result Qualifier 5	R	1/3	See Field 102 for codes
		MEA03	Test Result Value 5	R	1/20	
		MEA04- MEA12	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
395	2400	CN101	Contract Type Code	R	1/2	01 - Diagnosis Related Group (DRG) 02 - Per Diem 03 - Variable Per Diem 04 - Flat 05 - Capitated 06 - Percent 09 - Other
		CN102	Contract Amount	S	1/18	
		CN103	Contract Percentage	S	1/6	
		CN104	Contract Code	S	1/50	
		CN105	Terms Discount Percentage	S	1/6	
		CN106	Contract Version Number	S	1/30	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
397- 398	2400	REF01	Reference Identification Qualifier	R	2/3	<b>9B</b> Repriced Line Item Reference Number <b>9D</b> Adjusted Repriced Line Item Reference Number
		REF02	Reference Identification	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
399	2400	REF01	Reference Identification Qualifier	R	2/3	<b>G1</b> Prior Authorization Number
		REF02	Prior Authorization Number 2	R	1/50	See first REF02 above for codes/notes.
		REF03 REF04	Not Used by HIPAA Other Payer IDs mapped on CBS record.			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
401- 406	2400	REF01	Reference Identification Qualifier	R	2/3	<b>6R</b> Provider Control Number <b>BT</b> Batch Number <b>EW</b> Mammography Certification Number <b>X4</b> CLIA Number <b>F4</b> CLIA Facility Certification Number
		REF02	Line Item Control Number	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
407	2400	REF01		R	2/3	<b>9F</b> Referral Number
		REF02	Referral Number	R	1/50	
		REF03 REF04	Not Used by HIPAA Other Payer IDs mapped on CBS record.			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
409- 410	2400	AMT01	Amount Qualifier Code	R	1/3	<b>T</b> Tax <b>F4</b> Postage Claimed
		AMT02	Postage Claimed Amount	R	1/18	
		AMT03	Not Used by HIPAA			
Page	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

#:						
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
415	2400	PS101	Purchased Service Provider Identifier	R	1/50	
		PS102	Purchased Service Charge Amount	R	1/18	
		PS103	Not Used by HIPAA			
416	2400	HCP01	Line Pricing/Repricing Methodology	R	2/2	00 - Zero Pricing (Not Covered Under Contract) 01 - Priced as Billed at 100% 02 - Priced at the Standard Fee Schedule 03 - Priced at a Contractual Percentage 04 - Bundled Pricing 05 - Peer Review Pricing 06 - Per Diem Pricing 07 - Flat Rate Pricing 08 - Combination Pricing 09 - Maternity Pricing <b>10 - Other Pricing</b> 11 - Lower of Cost 12 - Ratio of Cost 13 - Cost Reimbursed 14 - Adjustment Pricing
		HCP02	Line Repricing Allowed Amount	R	1/18	<b>REQUIRED to report Service Line Allowed Amt</b>
		HCP03	Line Repricing Saving Amount	S	1/18	
		HCP04	Line Level Repricing Organization ID	S	1/50	
		HCP05	Line Repricing Per Diem or Flat Rate	S	1/9	
		HCP06	Line Repricing Approved Ambulatory Patient Group Code	S	1/50	
		HCP07	Line Repricing Approved Ambulatory Patient Group Amount	S	1/18	
		HCP08	Not Used by HIPAA			
		HCP09	Line Repricing Procedure Code Qualifier	S	2/2	ER - Jurisdiction Specific Procedure and Supply Codes HC - CPT/HCPCS code IV - HEIC code WK - Advanced Billing Concepts (ABC) Codes
		HCP10	Line Repricing Procedure Code	S	1/48	
		HCP11	Line Repricing Procedure Quantity Qualifier	S	2/2	MJ - Minutes UN - Unit
		HCP12	Line Repricing Procedure Quantity	S	1/15	
		HCP13	Line Repricing Reject Reason Code	S	2/2	T1 - Cannot Identify Provider as TPO (Third Party Organization) Participant T2 - Cannot Identify Payer as TPO Participant T3 - Cannot Identify Insured as TPO Participant T4 - Payer Name or Identifier Missing T5 - Certification Information Missing T6 - Claim does not contain enough information for repricing
		HCP14	Line Repricing Policy Compliance Code	S	1/2	1 - Procedure Followed (Compliance) 2 - Not Followed - Call Not Made (Non-Compliance Call Not Made) 3 - Not Medically Necessary (Non-Compliance Non-Medically Necessary) 4 - Not Followed Other (Non-Compliance Other) 5 - Emergency Admit to Non-Network Hospital

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	HCP15	Line Repricing Exception Code	S	1/2	1 - Non-Network Professional Provider in Network Hospital 2 - Emergency Care 3 - Services or Specialist not in Network 4 - Out-of-Service Area 5 - State Mandates 6 - Other	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
416	2410	LIN01	Not Used by HIPAA			
		LIN03	National Drug Code or UPC	R	1/48	<b>N4</b> National Drug Code in 5-4-2 Addendum 222A1 changed element name. <b>REQUIRED if PAD is administered by a physician not a pharmacy.</b> Not Used by HIPAA
		LIN04-LIN31				
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
426	2410	CTP01-CTP03	Not Used by HIPAA			
		CTP04	National Drug Unit Count	R	1/15	Quantity
		CTP05-1	Unit/Basis for Measurement	R	2/2	Basis of measurement for CTP04. F2 - International Unit GR – Gram ME – Milligram ML – Milliliter UN - Unit
		CTP05-2-CTP05-15	Not Used by HIPAA			
		CTP06-CTP11	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
428	2410	REF01	Prescription Number Qualifier	R	2/3	VY - Link Sequence Number XZ - Pharmacy Prescription Number
		REF02	Prescription Number	R	1/50	
		REF03 REF04	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
430	2420A	NM101	Entity Identifier Code	R	2/3	<b>82</b> Rendering Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1 - Person</b> <b>2 - Non-Person</b>
		NM103	Service Line Rendering Provider Last/Organization Name	R	1/60	
		NM104	Service Line Rendering Provider First Name	S	1/35	
		NM105	Service Line Rendering Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Service Line Rendering Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Line Rendering Provider Primary ID XX	S	2/80	
		NM110-NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
433	2420A	PRV01	Provider Code	R	1/3	<b>PE</b> Performing

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		PRV02	Reference Identification Qualifier	R	2/3	<b>PXC</b> Provider Taxonomy Code
		PRV03	Service Line Rendering Provider Taxonomy Code	R	1/50	
		PRV04-PRV06	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
434	2420A	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> Provider UPIN number <b>LU</b> Location number
		REF02	Service Line Rendering Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
436	2420B	NM101	Entity Identifier Code	R	2/3	<b>QB</b> Purchase Service Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person <b>2</b> Non-Person Entity
		NM103-NM106	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Line Purchased Service Provider Primary ID XX	S	2/80	
		NM110-NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
439	2420B	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	Service Line Purchased Service Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04				COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
441	2420C	NM101	Entity Identifier Code	R	2/3	<b>77</b> Service Facility last/organization name
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> (Service Location)
		NM103	Service Line Service Facility Name	R	1/60	
		NM104-NM107	Not Used by HIPAA			
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Service Line Service Facility Primary ID XX	S	2/80	
		NM110-NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments

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444	2420C	N301	Service Facility Address 1	R	1/55	
		N302	Service Facility Address 2	S	1/55	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
445	2420C	N401	Service Facility City	R	2/30	
		N402	Service Facility State	S	2/2	
		N403	Service Facility Zip Code	S	3/15	
		N404	Service Facility Country Code	S	2/3	Required only if country is not USA.
		N405	Not Used by HIPAA			
		N406				
		N407	Service Facility Sub-Country Code	S	2/3	Required only if country is not USA.
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
447	2420C	REF01	Reference Identification Qualifier	R	2/3	<b>LU</b> Location Number. <b>G2</b> Provider commercial number
		REF02	Service Line Service Facility Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs mapped on CBS record.			COB Data.
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
449	2420D	NM101	Entity Identifier Code	R	2/3	<b>DQ</b> Supervising Physician
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> - Person
		NM103	Supervising Provider Last Name	R	1/60	
		NM104	Supervising Provider First Name	R	1/35	
		NM105	Supervising Provider Middle Name	R	1/25	
		NM106	Not Used by HIPAA			
		NM107	Supervising Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier	R	1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Supervising Provider Primary ID	S	2/80	
		NM110- NM112	Not Used by HIPAA			
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
452	2420D	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>LU</b> Location Number. <b>G2</b> Provider commercial number
		REF02	Supervising Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04				COB Data.
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
454	2420E	NM101	Entity Identifier Code	R	2/3	<b>DK</b> Ordering Physician
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person
		NM103	Ordering Provider Last Name	R	1/60	
		NM104	Ordering Provider First Name	R	1/35	
		NM105	Ordering Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Ordering Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier		1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Ordering Provider Primary ID	S	2/80	

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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		NM110- NM112	Not Used by HIPAA			
457	2420E	N301	Ordering Provider Address 1	R	1/55	
		N302	Ordering Provider Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
458	2420E	N401	Ordering Provider City	R	2/30	
		N402	Ordering Provider State	S	2/2	
		N403	Ordering Provider Zip Code	S	3/15	
		N404	Ordering Provider Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ordering Provider Country Sub-Code	S	2/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
460	2420E	REF01	Reference Identification Qualifier	R	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	Ordering Provider Secondary ID	R	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs mapped on CBS record for REF01= G2			COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
462	2420E	PER01	Contact Function Code	R		<b>IC</b>
		PER02	Ordering Provider Contact Name	S	1/60	
		PER04/06 /08	Ordering Provider Telephone	S	1/80	<b>PER03/05/07 = TE</b>
		PER04/06 /08	Ordering Provider Telephone Extension	S	1/80	<b>PER05/07 = EX</b>
		PER04/06 /08	Ordering Provider Fax Number	S	1/80	<b>PER03/05/07 = FX</b>
		PER04/06 /08	Ordering Provider Email Address	S	1/80	<b>PER03/05/07 = EM</b>
		PER09	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
465	2420F	NM101	Entity Identifier Code	S	2/3	<b>DN</b> Referring Provider
		NM102	Entity Type Qualifier	S	1/1	<b>1</b> Person
		NM103	Referring Provider Last Name	S	1/60	
		NM104	Referring Provider First Name	S	1/35	
		NM105	Referring Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	Referring Provider Name Suffix	S	1/10	
		NM108	Identification Code Qualifier		1/2	<b>XX</b> HIPAA National Provider ID
		NM109	Referring Provider Primary ID XX	S	1/80	
		NM110- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
468	2420F	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	Referring Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04	Other Payer IDs mapped on CBS record for REF01= G2			COB Data.



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Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
465	2420F	NM101	Entity Identifier Code	R	2/3	<b>P3</b> Primary Care Provider
		NM102	Entity Type Qualifier	R	1/1	<b>1</b> Person. If not the primary care provider, this is the initial referring provider
		NM103	PCP Provider Last Name	S	1/60	
		NM104	PCP Provider First Name	S	1/35	
		NM105	PCP Provider Middle Name	S	1/25	
		NM106	Not Used by HIPAA			
		NM107	PCP Provider Name Suffix	S	1/10	
		NM109	PCP Provider Primary ID XX	S	1/80	<b>XX</b> HIPAA National Provider ID
NM110- NM112	Not Used by HIPAA					
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
468	2420F	REF01	Reference Identification Qualifier	S	2/3	<b>0B</b> State license number <b>G2</b> Provider commercial number <b>1G</b> UPIN
		REF02	PCP Provider Secondary ID	S	1/50	
		REF03	Not Used by HIPAA			
		REF04				COB Data.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
470	2420G	NM101	Entity Identifier Code		2/3	<b>PW</b> Pickup Up Address
		NM102	Entity Type Qualifier		1/1	<b>2</b> Non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
472	2420G	N301	Ambulance Pickup Address 1	R	1/55	
		N302	Ambulance Pickup Address 2	S	1/55	
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
473	2420G	N401	Ambulance Pickup City	R	2/30	
		N402	Ambulance Pickup State	S	2/2	
		N403	Ambulance Pickup Zip Code	S	3/15	
		N404	Ambulance Pickup Country Code	S	2/3	Required only if country is not USA.
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Pickup Country Sub-Code	S	2/3	Required only if country is not USA.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
475	2420H	NM101	Entity Identifier Code	R	2/3	<b>45</b> Drop off Location
		NM102	Entity Type Qualifier	R	1/1	<b>2</b> non-person
		NM103- NM112	Not Used by HIPAA			
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
477	2420H	N301	Ambulance Dropoff Address 1	R	1/55	
		N302	Ambulance Dropoff Address 2	S	1/55	
478	2420H	N401	Ambulance Dropoff City	R	2/30	
		N402	Ambulance Dropoff State	S	2/2	
		N403	Ambulance Dropoff Zip Code	S	3/15	
		N404	Ambulance Dropoff Country Code	S	2/3	

Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
		N405 N406	Not Used by HIPAA			
		N407	Ambulance Dropoff Country Sub-Code	S	2/3	Required only if country is not USA.
480	2430	SVD01	Other Payer Primary Identifier	R	2/80	Must match Loop 2330B NM109 <b>REQUIRED to report PAID Amount</b> Note: Zero is acceptable
		SVD02	Service Line Paid Amount	R	1/18	<b>NOTE: Loop 2430 CAS03 and SVD02 must balance to Loop 2400 SV103 (Prof) Line Item Charge Amount. SVD02 must balance to a value greater than or equal to zero (0)</b>
		SVD03-1	Procedure Code Qualifier	R	2/2	ER - Jurisdictionally Defined Procedure and Supply Codes HC - CPT/HCPCS code IV - HEIC code WK - Advanced Billing (ABC) code
		SVD03-2	Procedure Code	R	1/48	
		SVD03-3	Procedure Code Modifier 1	S	2/2	
		SVD03-4	Procedure Code Modifier 2	S	2/2	
		SVD03-5	Procedure Code Modifier 3	S	2/2	
		SVD03-6	Procedure Code Modifier 4	S	2/2	
		SVD03-7	Procedure Code Description	S	1/80	
		SVD03-8 SVD04	Not Used by HIPAA			
		SVD05	Paid Service Unit Count	R	1/15	
		SVD06	Bundled or Unbundled Line Number	S	1/6	References the service line number which this line was bundled into.
Page #:	Loop ID	Reference	Name	Codes	Length	Notes/ Comments
	2430	CAS01	Claim Adjustment Group Code	R	1/2	General category of payment adjustment: CO - Contractual Obligations CR - Correction and Reversals OA - Other Adjustments PI - Payor Initiated Reductions <b>PR - Patient Responsibility</b>  <b>NOTE: Required to report non-zero Member Cost Share and paid amount. When submitting Member Cost Share use code PR and include the appropriate Claim Adjustment Reason Code in (CAS02) as listed below</b>
	2430	CAS02	Adjustment Reason Code	R	1/5	Line Adjustment Reason Code – Required  Member Cost Share (PR qualifier), reason codes: <b>1 = Deductible Amount</b> <b>2 = Coinsurance Amount</b> <b>3 = Co-payment Amount</b>  Claim Adjustment Reason Codes are available via Washington Publishing: <a href="http://www.wpc-edi.com/reference/codelists/healthcare/claim-adjustment-reason-codes/">http://www.wpc-edi.com/reference/codelists/healthcare/claim-adjustment-reason-codes/</a>
	2430	CAS03	Monetary Amount	R	1/18	
	2430	CAS04	Quantity	S	1/5	Unit of Service
	2430	CAS05	Claim Reason Code	S	1/2	Line Adjustment Reason Code

2430	CAS06	Monetary Amount	S	1/5		
2430	CAS07	Quantity	S	1/5	Unit of Service	
2430	CAS08	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS09	Monetary Amount	S	1/5		
2430	CAS10	Quantity	S	1/5	Units of service	
2430	CAS11	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS12	Monetary Amount	S	1/5		
2430	CAS13	Quantity	S	1/5	Units of service	
2430	CAS14	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS15	Monetary Amount	S	1/5		
2430	CAS16	Quantity	S	1/5	Units of service	
2430	CAS17	Claim Reason Code	S	1/2	Line Adjustment Reason Code	
2430	CAS18	Monetary Amount	S	1/5		
2430	CAS19	Quantity	S	1/5	Units of service	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
490	2430	DTP01	Date/Time Qualifier	R	3/3	<b>573</b> Date Claim Paid or Processed
		DTP02	Date Time Period Format Qualifier	R	2/3	<b>D8</b> Date Expressed in Format CCYYMMDD
		DTP03	Service Adjudication or Payment Date	R	1/35	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
491	2430	AMT01	Amount Qualifier Code		1/3	<b>EAF</b> (implied decimal) (Amount owed)
		AMT02	Remaining Patient Liability	R	1/18	
		AMT03	Not Used by HIPAA			
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
492	2440	LQ01	Form Identification Code	R	1/3	AS - Form Type Code UT - HCFA DMERC Certificate of Medical Necessity Forms
		LQ02	Form Identifier	R	1/30	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
494	2440	FRM01	Question Number/Letter	R	1/20	
		FRM02	Question Response	S	1/1	N - No W - Not Applicable Y - Yes
		FRM03	Question Response Text	S	1/50	
		FRM04	Question Response Date	S	8/8	
		FRM05	Question Response Percent	S	1/6	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
496	Transaction Set Trailer	SE01	Number of Included Segments	R	1/10	
		SE02	Transaction Set Control Number	S	4/9	

<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
C.9	Functional Group Trailer	GE01	Number of Transactional Sets Included	R	1/6	
		GE02	Group Control Number	S	1/9	
<b>Page #:</b>	<b>Loop ID</b>	<b>Reference</b>	<b>Name</b>	<b>Codes</b>	<b>Length</b>	<b>Notes/ Comments</b>
C.10	Interchange Control Trailer	IEA01	Number of Included Functional Groups	R	1/5	
		IEA02	Interchange Control Number	S	9/9	

# Appendix

EDITOR'S NOTE:

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**Health Net\* of California, Inc. Bariatric Surgery Performance Centers**
**Northern California**

<b>Surgeon name and group</b>	<b>Physician address</b>	<b>Phone number</b>	<b>Center name</b>	<b>Center address</b>
Ali, M.D., Mohamed	2221 Stockton Blvd., Ste. E Sacramento, CA 95817	(916) 734-2680	U.C. Davis Medical Center <sup>1</sup>	2315 Stockton Blvd. Sacramento, CA 95817
Azagury, M.D., Dan	300 Pasteur Dr. Stanford, CA 94305	(650) 725-9777	Stanford Hospital and Clinic	300 Pasteur Dr. Stanford, CA 94305
Boone, M.D., Keith Valley Surgical Specialists	205 East River Park Circle, Ste. 460 Fresno, CA 93710	(559) 261-4500	Fresno Heart and Surgical Hospital	15 East Audubon Rd. Fresno, CA 93720
Carter, M.D., Jonathan	400 Parnassus Ave., 2nd Floor San Francisco, CA 94143- 0338	(415) 353-2161	U.C. San Francisco Medical Center	505 Parnassus Ave., 4th Floor San Francisco, CA 94143
Coirin, M.D., Antonio	1329 Spanos Court, Ste. B4 Modesto, CA 95355	(209) 300-7947	Memorial Medical Center	1700 Coffee Rd. Modesto, CA 95355
Eslami, M.D., Afshin	1737 Creekside Dr. Folsom, CA 95630	(916) 932-0315	Methodist Hospital	7500 Hospital Dr. Sacramento, CA 95823
Esquivel, M.D., Micaela	300 Pasteur Dr., H3680 Stanford, CA 94305	(408) 396-7669	Stanford Hospital and Clinic	300 Pasteur Dr. Stanford, CA 94305
Feng, M.D., John J. <sup>2</sup>	790 El Camino Real Palo Alto, CA 94301	(650) 853-6600 (650) 596-4120	El Camino Hospital	2500 Grant Rd. Mountain View, CA 94040
			Mills Peninsula	1783 El Camino Real Burlingame, CA 94010
Higa, M.D., Kelvin Valley Surgical Specialists	205 East River Park Circle, Ste. 460 Fresno, CA 93710	(559) 261-4500	Fresno Heart and Surgical Hospital	15 East Audubon Rd. Fresno, CA 93720

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**Health Net of California, Inc. Bariatric Surgery Performance Centers**
**Northern California, continued**

<b>Surgeon name and group</b>	<b>Physician address</b>	<b>Phone number</b>	<b>Center name</b>	<b>Center address</b>
Khalil, M.D., Beeman <sup>2</sup>	795 El Camino Real Palo Alto, CA 94301	(650) 853-5340	El Camino Hospital	2500 Grant Rd. Mountain View, CA 94040
	3200 Kearney St. Fremont, CA 94538	(510) 490-1222		
Kim, M.D., Teresa <sup>2</sup>	365 Hawthorne Ave., Ste. 101 Oakland, CA 94609	(510) 465-5523	Alta Bates Summit	350 Hawthorne Ave. Oakland, CA 94609
Legha, M.D., Prithvi <sup>2</sup>	701 E. El Camino Real Mountain View, CA 94040	(650) 404-8400	El Camino Hospital	2500 Grant Rd. Mountain View, CA 94040
Patching, M.D., Steven C. <sup>2</sup>	800 Howe Ave, Ste. 300 Sacramento, CA 95825	(916) 568-5564	Sutter General Hospital	2801 L St. Sacramento, CA 95816
Posselt, M.D., Andrew	400 Parnassus Ave., 2nd Floor San Francisco, CA 94143	(415) 353-2161	UCSF Medical Center	505 Parnassus Ave., 4th Floor San Francisco, CA 94143
Reddy, M.D., Subhash <sup>2</sup>	3 Medical Plaza, Ste. 200 Roseville, CA 95661	(916) 773-8750	Sutter Roseville Medical Center	1 Medical Plaza Roseville, CA 95661
Rogers, M.D., Stanley	400 Parnassus Ave., 2nd Floor San Francisco, CA 94143	(415) 353-2161	UCSF Medical Center	505 Parnassus Ave., 4th Floor San Francisco, CA 94143
Shadle, M.D., Benjamin D.	3 Medical Plaza, Ste. 200 Roseville, CA 95661	(916) 773-8750	Sutter Roseville Medical Center	1 Medical Plaza Roseville, CA 95661
	400 Plumas Blvd., Ste. 215 Yuba City, CA 95991			
Upadhyay, M.D., Ajay	365 Hawthorne Ave., Ste. 101 Oakland, CA 94609	(510) 465-5523	Alta Bates Summit	350 Hawthorne Ave. Oakland, CA 94609



**Health Net of California, Inc. Bariatric Surgery Performance Centers**
**Southern California**

<b>Surgeon name and group</b>	<b>Physician address</b>	<b>Telephone number</b>	<b>Center name</b>	<b>Center address</b>
Ali, M.D., Mir B. LePort Surgical Associates	18111 Brookhurst St., Ste. 5600 Fountain Valley, CA 92708	(714) 861-4666	Fountain Valley Regional Hospital	17100 Euclid St. Fountain Valley, CA 92708
			Orange Coast Memorial Medical Center	9920 Talbert Ave. Fountain Valley, CA 92708
Bernstein, M.D, David	401 East Highland Ave., Ste. 351 San Bernardino, CA 92404	(909) 475-8611	St. Bernardine Medical Center	2101 North Waterman Ave. San Bernardino, CA 92404
Bhojrul, M.D., Sunil	9850 Genesee Ave., Ste. 570 La Jolla, CA 92037	(858) 457-4917	Scripps La Jolla	10140 Campus Point Dr. San Diego, CA 92121
Billy, M.D., Helmuth	3200 Telegraph Rd. Ventura, CA 93003	(805) 676-9100	St. John's Regional Hospital	1600 N. Rose St. Oxnard, CA 93030
Braverman, M.D., Justin	12462 Putnam St., Ste. 500 Whittier, CA 90602	(562) 789-5449	PIH Whittier	12401 Washington Blvd. Whittier, CA 90602
Chen, M.D., Yijun	200 UCLA Medical Plaza Ste. 214 Los Angeles, CA 90095	(310) 794-7788	Ronald Regan UCLA Medical Center	757 Westwood Plaza Los Angeles, CA 90095
Daly, M.D., Shaun	101 The City Drive Bldg., 22C, 3rd Floor Orange, CA 92868	1-888-717-4463	University of California, Irvine	333 City Blvd. Orange, CA 92868
Dobrowolsky, M.D., Adrian	1510 San Pablo St., HCC I, Ste. 514 Los Angeles, CA 90033	(323) 442-6868	University of Southern California (USC)	1500 San Pablo St. Los Angeles, CA 90033
Dutson, M.D., Erik	200 UCLA Medical Plaza, Ste. 214 Los Angeles, CA 90095	(310) 794-7788	Ronald Reagan UCLA Medical Center	757 Westwood Plaza Los Angeles, CA 90095

**Health Net of California, Inc. Bariatric Surgery Performance Centers**
**Southern California, continued**

<b>Surgeon name and group</b>	<b>Physician address</b>	<b>Telephone number</b>	<b>Center name</b>	<b>Center address</b>
Ellner, M.D., Julie	5555 Reservoir Dr., Ste. 203 San Diego, CA 92120	(619) 229-3340	Alvarado Hospital Medical Center	6655 Alvarado Rd. San Diego, CA 92120
Francis, M.D., Kelly	18111 Brookhurst St., Ste. 6400 Fountain Valley, CA 92708	(714) 350-7258	Fountain Valley Regional Hospital	17100 Euclid St. Fountain Valley, CA 92708
			Orange Coast Memorial Medical Center	9920 Talbert Ave. Fountain Valley, CA 92708
Fuller, M.D., William	10666 N. Torrey Pines Rd., MS 213 La Jolla, CA 92037	(714) 861-4666	Scripps Mercy Hospital	4077 Fifth Ave., Mer 7 San Diego, CA 92103
N/A	N/A	N/A	Scripps Green Hospital	10666 North Torrey Pines Rd. La Jolla, CA 92037
Hanna, M.D., Karen	2385 S. Melrose Dr. Vista, CA 92081	(760) 300-3647	Palomar Medical Center (aka Pomerado)	15615 Pomerado Rd. Poway, CA 92064
Hinojosa, M.D., Marcelo	101 The City Drive Bldg., 22C, 3rd Floor Orange, CA 92868	1-888-717-4463	University of California, Irvine	333 City Blvd. Orange, CA 92868
Horgan, M.D., Santiago	9350 Campus Point Dr., Ste. 2A La Jolla, CA 92037	(619) 471-0701	University of California, San Diego Medical Center	9300 Campus Point Dr. La Jolla, CA 92037
Jacobsen, M.D., Garth	4520 Executive Dr., Ste. 111 San Diego, CA 92121	(858) 657-8860	University of California, San Diego Medical Center	9300 Campus Point Dr. La Jolla, CA 92037
Krahn, M.D., Douglas	401 East Highland Ave., Ste. 351 San Bernardino, CA 92404	(909) 475-8611	Chapman Medical Center	2601 E. Chapman Ave. Orange, CA 92869
			St. Bernardine Medical Center	2101 North Waterman Ave. San Bernardino, CA 92404

**Health Net of California Bariatric Surgery Performance Centers**
**Southern California, continued**

<b>Surgeon name and group</b>	<b>Physician address</b>	<b>Telephone number</b>	<b>Center name</b>	<b>Center address</b>
Lamar, M.D., Troy California Bariatric & General Surgery	51 N. 5th Ave., Ste. 202 Arcadia, CA 91006	(626) 445-0600	Methodist Hospital	300 West Huntington Dr. Arcadia, CA 91007
Lim, M.D., Lian	10666 N. Torrey Pines Rd., MS 213 La Jolla, CA 92037	(858) 554-8984	Scripps Mercy Hospital	4077 Fifth Ave., Mer 7 San Diego, CA 92103
Mueller, M.D., George	7910 Frost St., Ste. 250 San Diego, CA 92123	(858) 565-0104	Sharp Memorial Hospital	7901 Frost St. San Diego, CA 92123
Nguyen, M.D., James	1510 San Pablo St., Ste. 6200 Los Angeles, CA 90033	(800) 872-2273	University of Southern California (USC)	1500 San Pablo St. Los Angeles, CA 90033
Nguyen, M.D., Ninh	101 The City Drive Bldg., 22C, 3rd Floor Orange, CA 92868	1-888-717-4463	University of California, Irvine	333 City Blvd. Orange, CA 92868
Oliak, M.D., David	255 West Central Ave., Ste. 203 Brea, CA 92821	(714) 582-2530	Chapman Medical Center	2601 E. Chapman Ave. Orange, CA 92869
Paya, M.D., Mahbod	7320 Woodlake Ave., Ste. 170 West Hills, CA 91307	(818) 888-7090	West Hills Hospital Medical Center	7300 Medical Center Dr. West Hills, CA 91307
Powell, M.D., Wes	950 S. Arroyo Parkway, 3rd Floor Pasadena, CA 91105	(626) 449-0694	Methodist Hospital	300 West Huntington Dr. Arcadia, CA 91007
Quilici, M.D., Philippe	201 South Buena Vista St., Ste. 425 Burbank, CA 91505	(818) 848-8311	Providence St. Joseph Medical Center	501 S. Buena Vista Burbank, CA 91505
Russo, M.D., Michael	18111 Brookhurst St., Ste. 5600 Fountain Valley, CA 92708	(714) 861-4666	Fountain Valley Regional Hospital	17100 Euclid St. Fountain Valley, CA 92708
			Orange Coast Memorial Medical Center	9920 Talbert Ave. Fountain Valley, CA 92708
Samakar, M.D., Kamran	1450 San Pablo St., 6th Floor Los Angeles, CA 90033	(800) 872-2273	University of Southern California (USC)	1500 San Pablo St. Los Angeles, CA 90033

**Health Net of California Bariatric Surgery Performance Centers**
**Southern California, continued**

<b>Surgeon name and group</b>	<b>Physician address</b>	<b>Telephone number</b>	<b>Center name</b>	<b>Center address</b>
Smith, M.D., Brian	101 The City Drive Bldg., 22C, 3rd Floor Orange, CA 92868	1-888-717-4463	University of California, Irvine	333 City Blvd. Orange, CA 92868
Suh, M.D., David	2083 Compton Ave., Ste. 104 Corona, CA 92881	(951) 256-8191	Inland Regional Valley Medical Center	36485 Inland Valley Dr. Wildomar, CA 92595
	25495 Medical Center Dr., Ste. 203 Murietta, CA 92562			
Takata, M.D., Mark	10666 N. Torrey Pines Rd., MS 213 La Jolla, CA 92037	(714) 861-4666	Scripps Green Hospital	10666 North Torrey Pines Rd. La Jolla, CA 92037
Thoman, M.D., David	520 W. Junipero St. Santa Barbara, CA 93105	(805) 730-1470	Santa Barbara Cottage Hospital	2400 Bath St. Santa Barbara, CA 93105
Wittgrove, M.D., Alan	12865 Point Del Mar Way, Ste. 130 Del Mar, CA 92014	(858) 350-4700	Scripps La Jolla	10140 Campus Point Dr. San Diego, CA 92121

<sup>1</sup>U.C. Davis Medical Center is limited to performing Roux-en-y gastric bypass and revision gastric bypass surgeries for participating Health Net members not capitated by U.C. Davis Medical Group.

<sup>2</sup>Limited to providing bariatric surgery services to Sutter Health Net members only.



# Care Management Referral Form



DIRECTIONS: Select the member's plan below and email or fax the completed referral.

- **CA Commercial (Ambetter HMO/PPO, Employer Group plans (HMO, PPO, POS)) and Medicare Employer Groups** – Email completed form to Case.Management.Referrals@healthnet.com or fax completed form to **800-745-6955**.
- **CA Medicare** (including Medicare Advantage) for shared risk non-delegated plans. – Email completed form to Medicare\_CM@healthnet.com or fax completed form to **866-290-5957** for physical health care management. Note: For behavioral health care management, refer special needs plan members to MHN via email to mhn.snp@healthnet.com.
- **CA Medi-Cal** – Email completed form to CASHP.ACM.CMA@healthnet.com or fax completed form to **866-581-0540**.

URGENT Request

UC Blue & Gold Plan Member

## Part 1: Referring Source

First and last name:		Referral date:
Office contact person:	Phone number:	Fax number:

## Part 2: Member Information

Member first and last name:	Member ID#:	Date of birth:
Member address:	City:	ZIP Code:
Member phone number:		

## Member Diagnosis/Health Condition (check all that apply):

<input type="checkbox"/> Asthma	<input type="checkbox"/> COPD	<input type="checkbox"/> Hypertension
<input type="checkbox"/> Back pain	<input type="checkbox"/> Cystic fibrosis	<input type="checkbox"/> Kidney disease
<input type="checkbox"/> Behavioral health	<input type="checkbox"/> Diabetes	<input type="checkbox"/> Migraine/tension headache
<input type="checkbox"/> Anxiety	<input type="checkbox"/> Fibromyalgia	<input type="checkbox"/> Musculoskeletal
<input type="checkbox"/> Autism	<input type="checkbox"/> Frozen shoulder	<input type="checkbox"/> Obesity-weight management
<input type="checkbox"/> Depression	<input type="checkbox"/> Golf/tennis elbow	<input type="checkbox"/> Osteoarthritis
<input type="checkbox"/> Other (specify) _____	<input type="checkbox"/> Heart failure	<input type="checkbox"/> Prematurity and/or developmental delay
<input type="checkbox"/> Bursitis/tendonitis	<input type="checkbox"/> Hemophilia	<input type="checkbox"/> Rheumatoid arthritis
<input type="checkbox"/> CAD	<input type="checkbox"/> Hepatitis	<input type="checkbox"/> Sickle cell
<input type="checkbox"/> Cancer	<input type="checkbox"/> High risk pregnancy	<input type="checkbox"/> Transplant
<input type="checkbox"/> Carpal tunnel syndrome	Estimated date of delivery ___/___/___	<input type="checkbox"/> Traumatic brain injury
<input type="checkbox"/> Clinical Trials	<input type="checkbox"/> HIV/AIDS	<input type="checkbox"/> Other: _____

## Please check if any of the following referral reasons apply to the member:

- Member needs assistance with palliative care: \_\_\_\_\_
- Concerned about high emergency room utilization or frequent hospitalizations.
- Exhaustion of benefits.
- Member needs assistance with behavioral health needs.
- Member needs assistance with medical equipment.
- Member needs assistance with resources for:  housing/shelter,  food,  other (specify) \_\_\_\_\_.
- Member needs education on prescriptions and compliance.
- Member needs education/support with managing his/her chronic condition(s).
- Member needs prenatal care education and support services.
- Member needs transportation to medical appointments.
- Safety concerns.
- Other (specify) \_\_\_\_\_

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Please use this page to provide additional information (as needed).





Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

\_\_\_\_\_  
Authorized Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Type or Print Name

\_\_\_\_\_  
Name of Provider

\_\_\_\_\_  
Title

\_\_\_\_\_  
Address



# CONFIDENTIAL MORBIDITY REPORT

**PLEASE NOTE: Use this form for reporting all conditions except Tuberculosis and conditions reportable to DMV.**

## DISEASE BEING REPORTED ➔

<b>Patient Name - Last Name</b>		<b>First Name</b>		<b>MI</b>	<b>Ethnicity (check one)</b>	
Home Address: Number, Street		Apt./Unit No.		<b>Race (check all that apply)</b>		
City		State	ZIP Code		<input type="checkbox"/> African-American/Black <input type="checkbox"/> American Indian/Alaska Native <input type="checkbox"/> Asian (check all that apply) <input type="checkbox"/> Asian Indian <input type="checkbox"/> Hmong <input type="checkbox"/> Thai <input type="checkbox"/> Cambodian <input type="checkbox"/> Japanese <input type="checkbox"/> Vietnamese <input type="checkbox"/> Chinese <input type="checkbox"/> Korean <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Filipino <input type="checkbox"/> Laotian <input type="checkbox"/> Pacific Islander (check all that apply) <input type="checkbox"/> Native Hawaiian <input type="checkbox"/> Samoan <input type="checkbox"/> Guamanian <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> White <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Unknown	
Home Telephone Number		Cell Telephone Number		Work Telephone Number		
Email Address		Primary Language		<input type="checkbox"/> English <input type="checkbox"/> Spanish <input type="checkbox"/> Other: _____		
Birth Date (mm/dd/yyyy)		Age	Gender			
		<input type="checkbox"/> Years <input type="checkbox"/> Months <input type="checkbox"/> Days	<input type="checkbox"/> M to F Transgender <input type="checkbox"/> Male <input type="checkbox"/> F to M Transgender <input type="checkbox"/> Female <input type="checkbox"/> Other: _____			
Pregnant?		Est. Delivery Date (mm/dd/yyyy)		Country of Birth		
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown						
Occupation or Job Title		Occupational or Exposure Setting (check all that apply):				
		<input type="checkbox"/> Food Service <input type="checkbox"/> Day Care <input type="checkbox"/> Health Care <input type="checkbox"/> Correctional Facility <input type="checkbox"/> School <input type="checkbox"/> Other (specify): _____				
Date of Onset (mm/dd/yyyy)		Date of First Specimen Collection (mm/dd/yyyy)		Date of Diagnosis (mm/dd/yyyy)		Date of Death (mm/dd/yyyy)
Reporting Health Care Provider		Reporting Health Care Facility		<b>REPORT TO:</b>  (Obtain additional forms from your local health department.)		
Address: Number, Street		Suite/Unit No.				
City		State	ZIP Code			
Telephone Number		Fax Number				
Submitted by		Date Submitted (mm/dd/yyyy)				
Laboratory Name		City		State	ZIP Code	

SEXUALLY TRANSMITTED DISEASES (STDs)																															
<b>Gender of Sex Partners</b> (check all that apply) <input type="checkbox"/> Male <input type="checkbox"/> M to F Transgender <input type="checkbox"/> Female <input type="checkbox"/> F to M Transgender <input type="checkbox"/> Unknown <input type="checkbox"/> Other: _____		<b>STD TREATMENT</b> <input type="checkbox"/> Treated in office <input type="checkbox"/> Given prescription <b>Drug(s), Dosage, Route</b> _____ _____																													
		<b>Treatment Began</b> (mm/dd/yyyy) _____	<input type="checkbox"/> <b>Untreated</b> <input type="checkbox"/> Will treat <input type="checkbox"/> Unable to contact patient <input type="checkbox"/> Patient refused treatment <input type="checkbox"/> Referred to: _____																												
<b>If reporting Syphilis, Stage:</b> <input type="checkbox"/> Primary (lesion present) <input type="checkbox"/> Secondary <input type="checkbox"/> Early latent < 1 year <input type="checkbox"/> Latent (unknown duration) <input type="checkbox"/> Late latent > 1 year <input type="checkbox"/> Late (tertiary) <input type="checkbox"/> Congenital <b>Neurosyphilis?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown		<b>Syphilis Test Results</b> <table style="width: 100%;"> <tr> <td><input type="checkbox"/> RPR</td> <td><input type="checkbox"/> Pos</td> <td><input type="checkbox"/> Neg</td> <td>Titer: _____</td> </tr> <tr> <td><input type="checkbox"/> VDRL</td> <td><input type="checkbox"/> Pos</td> <td><input type="checkbox"/> Neg</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> FTA-ABS</td> <td><input type="checkbox"/> Pos</td> <td><input type="checkbox"/> Neg</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> TP-PA</td> <td><input type="checkbox"/> Pos</td> <td><input type="checkbox"/> Neg</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> EIA/CLIA</td> <td><input type="checkbox"/> Pos</td> <td><input type="checkbox"/> Neg</td> <td>_____</td> </tr> <tr> <td><input type="checkbox"/> CSF-VDRL</td> <td><input type="checkbox"/> Pos</td> <td><input type="checkbox"/> Neg</td> <td>_____</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Other: _____</td> </tr> </table>	<input type="checkbox"/> RPR	<input type="checkbox"/> Pos	<input type="checkbox"/> Neg	Titer: _____	<input type="checkbox"/> VDRL	<input type="checkbox"/> Pos	<input type="checkbox"/> Neg	_____	<input type="checkbox"/> FTA-ABS	<input type="checkbox"/> Pos	<input type="checkbox"/> Neg	_____	<input type="checkbox"/> TP-PA	<input type="checkbox"/> Pos	<input type="checkbox"/> Neg	_____	<input type="checkbox"/> EIA/CLIA	<input type="checkbox"/> Pos	<input type="checkbox"/> Neg	_____	<input type="checkbox"/> CSF-VDRL	<input type="checkbox"/> Pos	<input type="checkbox"/> Neg	_____	<input type="checkbox"/> Other: _____				<b>If reporting Chlamydia and/or Gonorrhea:</b> <b>Specimen Source(s)</b> (check all that apply) <input type="checkbox"/> Cervical <input type="checkbox"/> Pharyngeal <input type="checkbox"/> Rectal <input type="checkbox"/> Urethral <input type="checkbox"/> Urine <input type="checkbox"/> Vaginal <input type="checkbox"/> Other: _____
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<input type="checkbox"/> CSF-VDRL	<input type="checkbox"/> Pos	<input type="checkbox"/> Neg	_____																												
<input type="checkbox"/> Other: _____																															
		<b>Symptoms?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<b>If reporting Pelvic Inflammatory Disease:</b> (check all that apply) <input type="checkbox"/> Gonococcal PID <input type="checkbox"/> Chlamydial PID <input type="checkbox"/> Other/Unknown Etiology PID																												
		<b>Partner(s) Treated?</b> <input type="checkbox"/> Yes, treated in this clinic <input type="checkbox"/> Yes, Meds/Prescription given to patient for their partner(s) <input type="checkbox"/> Yes, other: _____	<input type="checkbox"/> No, instructed patient to refer partner(s) for treatment <input type="checkbox"/> No, referred partner(s) to: _____ <input type="checkbox"/> Unknown																												

VIRAL HEPATITIS																																																	
<b>Diagnosis (check all that apply)</b> <input type="checkbox"/> Hepatitis A <input type="checkbox"/> Hepatitis B (acute) <input type="checkbox"/> Hepatitis B (chronic) <input type="checkbox"/> Hepatitis B (perinatal) <input type="checkbox"/> Hepatitis C (acute) <input type="checkbox"/> Hepatitis C (chronic) <input type="checkbox"/> Hepatitis D <input type="checkbox"/> Hepatitis E		<b>Is patient symptomatic?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<b>Suspected Exposure Type(s)</b> <input type="checkbox"/> Blood transfusion, dental or medical procedure <input type="checkbox"/> IV drug use <input type="checkbox"/> Other needle exposure <input type="checkbox"/> Sexual contact <input type="checkbox"/> Household contact <input type="checkbox"/> Perinatal <input type="checkbox"/> Child care <input type="checkbox"/> Other: _____		<table style="width: 100%;"> <tr> <td>ALT (SGPT)</td> <td>Upper Limit: _____</td> <td rowspan="3"> <table style="width: 100%; text-align: center;"> <tr> <th>Pos</th> <th>Neg</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table> </td> </tr> <tr> <td>Result: _____</td> <td>Limit: _____</td> </tr> <tr> <td>AST (SGOT)</td> <td>Upper Limit: _____</td> </tr> <tr> <td>Result: _____</td> <td>Limit: _____</td> <td rowspan="2"> <table style="width: 100%; text-align: center;"> <tr> <th>Pos</th> <th>Neg</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table> </td> </tr> <tr> <td>Bilirubin result: _____</td> <td></td> </tr> </table>		ALT (SGPT)	Upper Limit: _____	<table style="width: 100%; text-align: center;"> <tr> <th>Pos</th> <th>Neg</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	Pos	Neg	<input type="checkbox"/>	<input type="checkbox"/>	Result: _____	Limit: _____	AST (SGOT)	Upper Limit: _____	Result: _____	Limit: _____	<table style="width: 100%; text-align: center;"> <tr> <th>Pos</th> <th>Neg</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	Pos	Neg	<input type="checkbox"/>	<input type="checkbox"/>	Bilirubin result: _____																								
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**Remarks:**

**Title 17. California Code of Regulations (CCR) §2500, §2593, §2641.5-2643.20, and §2800-2812 Reportable Diseases and Conditions\***

**§ 2500. REPORTING TO THE LOCAL HEALTH AUTHORITY.**

- **§ 2500(b)** It shall be the duty of every health care provider, knowing of or in attendance on a case or suspected case of any of the diseases or condition listed below, to report to the local health officer for the jurisdiction where the patient resides. Where no health care provider is in attendance, any individual having knowledge of a person who is suspected to be suffering from one of the diseases or conditions listed below may make such a report to the local health officer for the jurisdiction where the patient resides.
- **§ 2500(c)** The administrator of each health facility, clinic, or other setting where more than one health care provider may know of a case, a suspected case or an outbreak of disease within the facility shall establish and be responsible for administrative procedures to assure that reports are made to the local officer.
- **§ 2500(a)(14)** "Health care provider" means a physician and surgeon, a veterinarian, a podiatrist, a nurse practitioner, a physician assistant, a registered nurse, a nurse midwife, a school nurse, an infection control practitioner, a medical examiner, a coroner, or a dentist.

**URGENCY REPORTING REQUIREMENTS [17 CCR §2500(h)(i)]**

- Ⓢ ! = Report immediately by telephone (designated by a ♦ in regulations).
- † = Report immediately by telephone when two or more cases or suspected cases of foodborne disease from separate households are suspected to have the same source of illness (designated by a ● in regulations.)
- FAX Ⓢ Ⓣ = Report by electronic transmission (including FAX), telephone, or mail within one working day of identification (designated by a + in regulations).
- = All other diseases/conditions should be reported by electronic transmission (including FAX), telephone, or mail within seven calendar days of identification.

**REPORTABLE COMMUNICABLE DISEASES §2500(j)(1)**

<p>Acquired Immune Deficiency Syndrome (AIDS) (HIV infection only: see "Human Immunodeficiency Virus")</p> <p>FAX Ⓢ Ⓣ Arnebiasis</p> <p>Ⓢ ! Anaplasmosis/Ehrlichiosis</p> <p>FAX Ⓢ Ⓣ Ⓢ ! Anthrax, human or animal</p> <p>Ⓢ Ⓢ Ⓣ Babesiosis</p> <p>Ⓢ ! Botulism (Infant, Foodborne, Wound, Other)</p> <p>Ⓢ ! Brucellosis, animal (except infections due to <i>Brucella canis</i>)</p> <p>Ⓢ ! Brucellosis, human</p> <p>FAX Ⓢ Ⓣ Campylobacteriosis</p> <p>Chancroid</p> <p>FAX Ⓢ Ⓣ Chickenpox (Varicella) (only hospitalizations and deaths)</p> <p><i>Chlamydia trachomatis</i> infections, including lymphogranuloma venereum (LGV)</p> <p>Ⓢ ! Cholera</p> <p>Ⓢ ! Ciguatera Fish Poisoning</p> <p>Coccidioidomycosis</p> <p>Creutzfeldt-Jakob Disease (CJD) and other Transmissible Spongiform Encephalopathies (TSE)</p> <p>FAX Ⓢ Ⓣ Cryptosporidiosis</p> <p>Cyclosporiasis</p> <p>Cysticercosis or taeniasis</p> <p>Ⓢ ! Dengue</p> <p>Ⓢ ! Diphtheria</p> <p>Ⓢ ! Domoic Acid Poisoning (Amnesic Shellfish Poisoning)</p> <p>FAX Ⓢ Ⓣ Encephalitis, Specify Etiology: Viral, Bacterial, Fungal, Parasitic</p> <p>Ⓢ ! <i>Escherichia coli</i>: shiga toxin producing (STEC) including <i>E. coli</i> O157</p> <p>† FAX Ⓢ Ⓣ Foodborne Disease</p> <p>Giardiasis</p> <p>Gonococcal Infections</p> <p>FAX Ⓢ Ⓣ <i>Haemophilus influenzae</i>, invasive disease (report an incident of less than 15 years of age)</p> <p>Ⓢ ! Hantavirus Infections</p> <p>Ⓢ ! Hemolytic Uremic Syndrome</p> <p>FAX Ⓢ Ⓣ Hepatitis A, acute infection</p> <p>Hepatitis B (specify acute case or chronic)</p> <p>Hepatitis C (specify acute case or chronic)</p> <p>Hepatitis D (Delta) (specify acute case or chronic)</p> <p>Hepatitis E, acute infection</p> <p>Influenza, deaths in laboratory-confirmed cases for age 0-64 years</p> <p>Ⓢ ! Influenza, novel strains (human)</p> <p>Legionellosis</p> <p>Leprosy (Hansen Disease)</p> <p>Leptospirosis</p> <p>FAX Ⓢ Ⓣ Listeriosis</p> <p>Lyme Disease</p> <p>FAX Ⓢ Ⓣ Malaria</p> <p>Ⓢ ! Measles (Rubeola)</p> <p>FAX Ⓢ Ⓣ Meningitis, Specify Etiology: Viral, Bacterial, Fungal, Parasitic</p> <p>Ⓢ ! Meningococcal Infections</p> <p>Mumps</p> <p>Ⓢ ! Paralytic Shellfish Poisoning</p> <p>Pelvic Inflammatory Disease (PID)</p> <p>FAX Ⓢ Ⓣ Pertussis (Whooping Cough)</p> <p>Ⓢ ! Plague, human or animal</p> <p>FAX Ⓢ Ⓣ Poliovirus Infection</p> <p>FAX Ⓢ Ⓣ Psittacosis</p>	<p>FAX Ⓢ Ⓣ Q Fever</p> <p>Ⓢ ! Rabies, human or animal</p> <p>FAX Ⓢ Ⓣ Relapsing Fever</p> <p>Rickettsial Diseases (non-Rocky Mountain Spotted Fever), including Typhus and Typhus-like Illnesses</p> <p>Rocky Mountain Spotted Fever</p> <p>Rubella (German Measles)</p> <p>Rubella Syndrome, Congenital</p> <p>FAX Ⓢ Ⓣ Salmonellosis (Other than Typhoid Fever)</p> <p>Ⓢ ! Scombroid Fish Poisoning</p> <p>Ⓢ ! Severe Acute Respiratory Syndrome (SARS)</p> <p>Ⓢ ! Shiga toxin (detected in feces)</p> <p>FAX Ⓢ Ⓣ Shigellosis</p> <p>Ⓢ ! Smallpox (Variola)</p> <p>FAX Ⓢ Ⓣ <i>Staphylococcus aureus</i> infection (only a case resulting in death or admission to an intensive care unit of a person who has not been hospitalized or had surgery, dialysis, or residency in a long-term care facility in the past year, and did not have an indwelling catheter or percutaneous medical device at the time of culture)</p> <p>FAX Ⓢ Ⓣ Streptococcal Infections (Outbreaks of Any Type and Individual Cases in Food Handlers and Dairy Workers Only)</p> <p>FAX Ⓢ Ⓣ Syphilis</p> <p>Tetanus</p> <p>Toxic Shock Syndrome</p> <p>FAX Ⓢ Ⓣ Trichinosis</p> <p>FAX Ⓢ Ⓣ Tuberculosis</p> <p>Tularemia, animal</p> <p>Ⓢ ! Tularemia, human</p> <p>FAX Ⓢ Ⓣ Typhoid Fever, Cases and Carriers</p> <p>FAX Ⓢ Ⓣ <i>Vibrio</i> Infections</p> <p>Ⓢ ! Viral Hemorrhagic Fevers, human or animal (e.g., Crimean-Congo, Ebola, Lassa, and Marburg viruses)</p> <p>FAX Ⓢ Ⓣ West Nile virus (WNV) Infection</p> <p>Ⓢ ! Yellow Fever</p> <p>FAX Ⓢ Ⓣ Yersiniosis</p> <p>Ⓢ ! OCCURRENCE of ANY UNUSUAL DISEASE</p> <p>Ⓢ ! OUTBREAKS of ANY DISEASE (Including diseases not listed in § 2500). Specify if institutional and/or open community.</p>
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**HIV REPORTING BY HEALTH CARE PROVIDERS § 2641.5-2643.20**

Human Immunodeficiency Virus (HIV) infection is reportable by traceable mail or person-to-person transfer within seven calendar days by completion of the HIV/AIDS Case Report form (CDPH 8641A) available from the local health department. For completing HIV-specific reporting requirements, see Title 17, CCR, § 2641.5-2643.20 and <http://www.cdph.ca.gov/programs/aids/Pages/OAHIVReporting.aspx>

**REPORTABLE NONCOMMUNICABLE DISEASES AND CONDITIONS §2800-2812 and §2593(b)**

Disorders Characterized by Lapses of Consciousness (§2800-2812)  
 Pesticide-related illness or injury (known or suspected cases)\*\*  
 Cancer, including benign and borderline brain tumors (except (1) basal and squamous skin cancer unless occurring on genitalia, and (2) carcinoma in-situ and CIN III of the Cervix) (§2593)\*\*\*

**LOCALLY REPORTABLE DISEASES (If Applicable):**

\* This form is designed for health care providers to report those diseases mandated by Title 17, California Code of Regulations (CCR). Failure to report is a misdemeanor (Health & Safety Code §120295) and is a citable offense under the Medical Board of California Citation and Fine Program (Title 16, CCR, §1364.10 and 1364.11).

\*\* Failure to report is a citable offense and subject to civil penalty (§250) (Health and Safety Code §105200).

\*\*\* The Confidential Physician Cancer Reporting Form may also be used. See Physician Reporting Requirements for Cancer Reporting in CA at: [www.ccrca.org](http://www.ccrca.org).



## Criteria for Hospice Appropriateness

<p>General Criteria</p> <ul style="list-style-type: none"> <li><del>/</del> Patient's illness is incurable and has a declining functional status</li> <li><del>/</del> The patient/family have been informed that the patient's condition is terminal</li> <li><del>/</del> The patient/family have elected treatment directed toward palliative care</li> <li><del>/</del> Quality of life is currently unacceptable to the patient/family</li> <li><del>/</del> Prognosis is for a life expectancy of approximately 6 months or less (if the disease runs its normal course)</li> </ul>
<p>Observable clinical deterioration examples may include:</p> <ul style="list-style-type: none"> <li><del>/</del> Unintentional weight loss – more than 10% over last 3 - 6 months</li> <li><del>/</del> Decreased appetite/nutritional intake related to the terminal process</li> <li><del>/</del> Decreased activity tolerance with restriction in activities of daily living</li> <li><del>/</del> Decreased cognitive abilities</li> <li><del>/</del> Multiple emergency room visits (3 or more) in last 3 - 6 months</li> </ul>
<p>Disease Organ-Specific Examples</p>
<p>End-Stage Cardiopulmonary Disease:</p> <ul style="list-style-type: none"> <li><del>/</del> Disabling dyspnea at rest or with minimal exertion, poorly responsive to bronchodilators</li> <li><del>/</del> Recurrent congestive heart failure (NYHA Class IV) on optimal diuretic therapy</li> <li><del>/</del> Presence of cor pulmonale</li> <li><del>/</del> Recurrent pulmonary infections and/or respiratory failure</li> <li><del>/</del> Chronic hypoxemia (less than 90%) at rest on supplemental oxygen</li> <li><del>/</del> Chronic hypercapnia (pCO<sub>2</sub> more than 50 mm Hg)</li> <li><del>/</del> Intractable angina</li> <li><del>/</del> Resting tachycardia (more than 100/min) or symptomatic arrhythmias resistant to therapy</li> </ul>
<p>End-Stage Neurologic Disease:</p> <ul style="list-style-type: none"> <li><del>/</del> Severe Alzheimer's, stroke, Parkinson's, ALS, traumatic brain injury</li> </ul>
<p>End-Stage Renal Disease</p> <ul style="list-style-type: none"> <li><del>/</del> Chronic dialysis patient/candidate who has chosen to refuse dialysis</li> </ul>
<p>End-Stage Liver Disease</p> <ul style="list-style-type: none"> <li><del>/</del> Severe Ascites, esophageal varices, peripheral edema, jaundice, hepatic encephalopathy/coma</li> </ul>
<p>End-Stage AIDS</p> <ul style="list-style-type: none"> <li><del>/</del> Severe weight loss, recurrent infections, increased debilitation requiring supportive care, has been on therapy and refusing therapy or has displayed resistance to available medications</li> </ul>
<p>Malignant Disease</p> <ul style="list-style-type: none"> <li><del>/</del> Documented tissue diagnosis of malignant/metastatic disease. Incurable diagnosis and treatment is regarded most likely to be futile</li> <li><del>/</del> Evidence of progressive cancer and treatment (even if available) is felt to be futile</li> <li><del>/</del> Patient with curable disease but treatment is refused or cannot be tolerated. Or where treatment is for palliative reasons</li> </ul>



## Referral to Health Net Fax Form

- California – HMO/Point-of-Service (POS)/HSP, EPO, PPO, Medicare Advantage (MA) HMO

Decision Power® clinicians are available 24 hours a day, 365 days a year to provide education and support to eligible Health Net members who have chronic conditions. **To refer a patient to Health Net’s Decision Power Disease Management or Wellness programs, please complete this form and fax it to Decision Power at 1-800-451-4730. Note: Do not mail this completed form; fax only please.**

### Provider Information:

<b>Name:</b>	
<b>Office telephone:</b>	
<b>Email address:</b>	
<b>Date of referral:</b>	
<b>Reason for referral:</b>	

### Member Information:

First and Last Name	Subscriber ID #	Gender	DOB	Telephone #	Program Referred For

### Referrals are accepted for the following:

#### Targeted disease management conditions

- Asthma
- Chronic Obstructive Pulmonary Disease (COPD)
- Coronary Artery Disease (CAD)
- Diabetes
- Heart Failure (HF)

#### Types of Support

- Adherence to treatment plan
- Gap closure
- High-risk chronic condition management
- Medication persistence
- Nutrition/lifestyle changes

#### Lifestyle programs

- Quit For Life™ (tobacco cessation)
- Wellness Health Coaching (weight loss, stress management, exercise and/or healthy eating)

**Note: This form should not be used to refer Medi-Cal members.**

**\*For case management needs, please refer to the Healthcare Services Department Case Management Referral Form, available in the Forms section of the Provider Library on the Health Net provider website at [provider.healthnet.com](http://provider.healthnet.com), and fax to 1-800-745-6955.**

Enrollees have access to Decision Power through their current enrollment with any health plan offered by the following Health Net, LLC-affiliated companies: Health Net of California, Inc. and Health Net Life Insurance Company. Decision Power is not part of Health Net’s medical benefit plans. Decision Power services, including clinicians are additional resources that Health Net makes available to enrollees. Decision Power is not affiliated with Health Net’s provider network. Decision Power services are not subject to the Medicare appeals process. Disputes regarding products and services may be subject to Health Net’s grievance process. Health Net, LLC may revise or withdraw the availability of Decision Power without notice. Health Net of California, Inc. and Health Net Life Insurance Company are subsidiaries of Health Net, LLC and Centene Corporation. Health Net and Decision Power are registered service marks of Health Net, LLC. All rights reserved.





# DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, <i>if known</i> :  Congressional District, <i>if known</i> :	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>   Congressional District, <i>if known</i> :	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  CFDA Number, <i>if applicable</i> : _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$ _____	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.



<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
31231	Nasal endoscopy, diagnostic, unilateral or bilateral (separate procedure)	X	
31233	Nasal/sinus endoscopy, diagnostic with maxillary sinusoscopy (via inferior meatus or canine fossa puncture)	X	
31235	Nasal/sinus endoscopy, diagnostic with sphenoid sinusoscopy (via puncture of sphenoidal face or cannulation of ostium)	X	
31237	Nasal/sinus endoscopy, surgical; with biopsy, polypectomy or debridement (separate procedure)		X
31238	Nasal/sinus endoscopy, surgical; with control of hemorrhage		X
31239	Nasal/sinus endoscopy, surgical; with dacryocystorhinostomy		X
31240	Nasal/sinus endoscopy, surgical; with concha bullosa resection		X
31241	Nasal/sinus endoscopy, surgical; with ligation of sphenopalatine artery		X
31253	Nasal/sinus endoscopy, surgical; with ethmoidectomy, total (anterior and posterior), including frontal sinus exploration, with removal of tissue from frontal sinus, when performed		X
31254	Nasal/sinus endoscopy, surgical; with ethmoidectomy, partial (anterior)		X
31255	Nasal/sinus endoscopy, surgical; with ethmoidectomy, total (anterior and posterior)		X
31256	Nasal/sinus endoscopy, surgical; with maxillary antrostomy		X
31257	Nasal/sinus endoscopy, surgical; with ethmoidectomy, total (anterior and posterior), including sphenoidotomy		X
31259	Nasal/sinus endoscopy, surgical; with ethmoidectomy, total (anterior and posterior), including sphenoidotomy, with removal of tissue from the sphenoid sinus		X
31267	Nasal/sinus endoscopy, surgical; with removal of tissue from maxillary sinus		X
31276	Nasal/sinus endoscopy, surgical with frontal sinus exploration, with or without removal of tissue from frontal sinus		X
31287	Nasal/sinus endoscopy, surgical, with sphenoidotomy		X
31288	Nasal/sinus endoscopy, surgical, with sphenoidotomy; with removal of tissue from sphenoid sinus		X
31290	Nasal/sinus endoscopy, surgical, with repair of cerebrospinal fluid leak; ethmoid region		X
31291	Nasal/sinus endoscopy, surgical, with repair of cerebrospinal fluid leak; sphenoid region		X
31292	Nasal/sinus endoscopy, surgical; with medial or inferior orbital wall decompression		X
31293	Nasal/sinus endoscopy, surgical; with medial orbital wall and inferior orbital wall decompression		X
31294	Nasal/sinus endoscopy, surgical; with optic nerve decompression		X
31295	Nasal/sinus endoscopy, surgical; with dilation of maxillary sinus ostium, transnasal or via canine fossa		X
31296	Nasal/sinus endoscopy, surgical; with dilation of frontal sinus ostium		X
31297	Nasal/sinus endoscopy, surgical; with dilation of sphenoid sinus ostium		X
31298	Nasal/sinus endoscopy, surgical; with dilation of frontal sphenoid sinus osia (such as balloon dilation)		X
31505	Laryngoscopy, indirect; diagnostic (separate procedure)	X	
31510	Laryngoscopy, indirect; with biopsy	X	
31511	Laryngoscopy, indirect; with removal of foreign body		X
31512	Laryngoscopy, indirect; with removal of lesion		X
31513	Laryngoscopy, indirect; with vocal cord injection		X
31515	Laryngoscopy, direct, with or without tracheoscopy; for aspiration		X
31520	Laryngoscopy, direct, with or without tracheoscopy; diagnostic, newborn	X	
31525	Laryngoscopy, direct, with or without tracheoscopy; diagnostic, except newborn	X	

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
31526	Laryngoscopy, direct, with or without tracheoscopy; diagnostic, with operating microscope	X	
31527	Laryngoscopy, direct, with or without tracheoscopy; with insertion of obturator		X
31528	Laryngoscopy, direct, with or without tracheoscopy; with dilation, initial		X
31529	Laryngoscopy, direct, with or without tracheoscopy; with dilation, subsequent		X
31530	Laryngoscopy, direct, operative with foreign body removal		X
31531	Laryngoscopy, direct, operative with foreign body removal; with operating microscope		X
31535	Laryngoscopy, direct, operative, with biopsy		X
31536	Laryngoscopy, direct, operative, with biopsy; with operating microscope		X
31540	Laryngoscopy, direct, operative, with excision of tumor and/or stripping of vocal cords or epiglottis		X
31541	Laryngoscopy, direct, operative, with excision of tumor and/or stripping of vocal cords or epiglottis; with operating microscope		X
31545	Laryngoscopy, direct, operative, with operating microscope or telescope, with submucosal removal of non-neoplastic lesion(s) of vocal cord; reconstruction with local tissue flap(s)		X
31546	Laryngoscopy, direct, operative, with operating microscope or telescope, with submucosal removal of non-neoplastic lesion(s) of vocal cord; reconstruction with graft(s) (includes obtaining autograft)		X
31560	Laryngoscopy, direct, operative, with arythenoidectomy		X
31561	Laryngoscopy, direct, operative, with arythenoidectomy; with operating microscope		X
31570	Laryngoscopy, direct, with injection into vocal cord(s), therapeutic		X
31571	Laryngoscopy, direct, with injection into vocal cord(s), therapeutic; with operating microscope		X
31572	Laryngoscopy, flexible; with ablation or destruction of lesion(s) with laser, unilateral		X
31573	Laryngoscopy, flexible; with therapeutic injection(s) (eg, chemodenervation agent or corticosteroid, injected percutaneous, transoral, or via endoscope channel), unilateral		X
31574	Laryngoscopy, flexible; with injection(s) for augmentation (eg, percutaneous, transoral), unilateral		X
31575	Laryngoscopy, flexible fiberoptic; diagnostic	X	
31576	Laryngoscopy, flexible fiberoptic; with biopsy	X	
31577	Laryngoscopy, flexible fiberoptic; with removal of foreign body		X
31578	Laryngoscopy, flexible fiberoptic; with removal of lesion		X
31579	Laryngoscopy, flexible or rigid fiberoptic, with stroboscopy		X
31615	Tracheobronchoscopy through established tracheostomy incision		X
31622	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, diagnostic, with cell washing when performed (separate procedure)	X	
31623	Bronchoscopy, rigid or flexible, with brushing or protected brushings	X	
31624	Bronchoscopy, rigid or flexible, with bronchial alveolar lavage	X	
31625	Bronchoscopy, rigid or flexible, with bronchial or endobronchial biopsy(s), single or multiple sites	X	
31626	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, diagnostic, with placement of fiduciary markers, single or multiple		X
31627	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, diagnostic, with computer assisted image guided navigation (List separately in addition to code for primary procedure)	X	
31628	Bronchoscopy, rigid or flexible with transbronchial lung biopsy(s) single lobe	X	
31629	Bronchoscopy, rigid or flexible, with transbronchial needle aspiration biopsy(s), trachea, main stem and/or lobar bronchus(i)	X	

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
31630	Bronchoscopy, rigid or flexible, with tracheal/ bronchial dilation or closed reduction of fracture		X
31631	Bronchoscopy, rigid or flexible with placement of tracheal stent(s) (includes tracheal/bronchial dilation as required)		X
31632	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with transbronchial lung biopsy(s), each additional lobe (list separately in addition to code for primary procedure)	X	
31633	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with transbronchial needle aspiration biopsy(s), each additional lobe (list separately in addition to code for primary procedure)	X	
31634	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with balloon occlusion, with assessment of air leak, with administration of occlusive substance (for example fibrin glue), if performed procedure)		X
31635	Bronchoscopy, rigid or flexible, with removal of foreign body		X
31636	Bronchoscopy, rigid or flexible, with placement of bronchial stent(s) (includes tracheal/bronchial dilation as required), initial bronchus		X
31637	Bronchoscopy, rigid or flexible, each additional major bronchus stented (list separately in addition to code for primary procedure)		X
31638	Bronchoscopy, rigid or flexible, with revision of tracheal or bronchial stent inserted at previous session (includes tracheal/bronchial dilation as required)		X
31640	Bronchoscopy, rigid or flexible, with excision of tumor		X
31641	Bronchoscopy, (rigid or flexible); with destruction of tumor or relief of stenosis by any method other than excision (for example laser therapy, cryotherapy)		X
31643	Bronchoscopy, (rigid or flexible); with placement of catheter (s) for intracavitary radioelement application		X
31645	Bronchoscopy, (rigid or flexible); with therapeutic aspiration of tracheobronchial tree, initial (for example, drainage of lung abscess)		X
31646	Bronchoscopy, (rigid or flexible); with therapeutic aspiration of tracheobronchial tree, subsequent		X
31647	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with balloon occlusion, when performed, assessment of air leak, airway sizing, and insertion of bronchial valve(s), initial lobe		X
31648	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with removal of bronchial valve(s), initial lobe		X
31649	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with removal of bronchial valve(s), each additional lobe (List separately in addition to code for primary procedure)		X
31651	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with balloon occlusion, when performed, assessment of air leak, airway sizing, and insertion of bronchial valve(s), each additional lobe (List separately in addition to code for primary procedure[s])		X
31652	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with endobronchial ultrasound (EBUS) guided transtracheal and/or transbronchial sampling (e.g., aspiration[s]/biopsy[ies]), one or two mediastinal and/or hilar lymph node stations or structures	X	
31653	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with endobronchial ultrasound (EBUS) guided transtracheal and/or transbronchial sampling (e.g.,	X	

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
	aspiration[s]/biopsy[ies]), one or two mediastinal and/or hilar lymph node stations or structures		
31654	Bronchoscopy, rigid or flexible, including fluoroscopic guidance, when performed; with transendoscopic endobronchial ultrasound (EBUS) during bronchoscopic diagnostic or therapeutic intervention(s) for peripheral lesion(s) (list separately in addition to code for primary procedure[s])	X	
32601	Thoracoscopy, diagnostic (separate procedure); lungs and pleural space, without biopsy	X	
32604	Thoracoscopy, diagnostic (separate procedure); pericardial sac, with biopsy	X	
32606	Thoracoscopy, diagnostic (separate procedure); mediastinal space, with biopsy	X	
32607	Thoracoscopy; with diagnostic biopsy(ies) of lung infiltrate(s) (for example wedge, incisional), unilateral		X
32608	Thoracoscopy; with diagnostic biopsy(ies) of lung nodule(s) or mass(es) (for example wedge, incisional), unilateral		X
32609	Thoracoscopy; with biopsy(ies) of pleura		X
32650	Thoracoscopy, surgical; with pleurodesis (for example, mechanical or chemical) any method		X
32651	Thoracoscopy, surgical; with partial pulmonary decortication		X
32652	Thoracoscopy, surgical; with total pulmonary decortication, including intrapleural pneumonolysis		X
32653	Thoracoscopy, surgical; with removal of intrapleural foreign body or fibrin deposit		X
32654	Thoracoscopy, surgical; with control of traumatic hemorrhage		X
32655	Thoracoscopy, surgical; with excision-plication of bullae, including any pleural procedure		X
32656	Thoracoscopy, surgical; with parietal pleurectomy		X
32658	Thoracoscopy, surgical; with removal of clot or foreign body from pericardial sac		X
32659	Thoracoscopy, surgical; with creation of pericardial window or partial resection of pericardial sac for drainage		X
32661	Thoracoscopy, surgical; with excision of pericardial cyst, tumor, or mass		X
32662	Thoracoscopy, surgical; with excision of mediastinal cyst, tumor, or mass		X
32663	Thoracoscopy, surgical; with lobectomy, total or segmental		X
32664	Thoracoscopy, surgical; with thoracic sympathectomy		X
32665	Thoracoscopy, surgical; with esophagomyotomy (Heller type)		X
32666	Thoracoscopy, surgical; with therapeutic wedge resection (for example mass, nodule), initial unilateral		X
32667	Thoracoscopy, surgical; with therapeutic wedge resection (for example mass or nodule), each additional resection, ipsilateral (List separately in addition to code for primary procedure)		X
32668	Thoracoscopy, surgical; with diagnostic wedge resection followed by anatomic lung resection (List separately in addition to code for primary procedure)		X
32669	Thoracoscopy, surgical; with removal of a single lung segment (segmentectomy)		X
32670	Thoracoscopy, surgical; with removal of 2 lobes (bilobectomy)		X
32671	Thoracoscopy, surgical; with removal of lung (pneumonectomy)		X
32672	Thoracoscopy, surgical; with resection-plication for emphysematous lung (bullous or non-bullous) for lung volume reduction (LVRS), unilateral includes any pleural procedure, when performed		X
32673	Thoracoscopy, surgical; with resection of thymus, unilateral or bilateral		X



<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
32674	Thoracoscopy, surgical; with mediastinal and regional lymphadenectomy (List separately in addition to code for primary procedure)		X
39401	Mediastinoscopy; includes biopsy(ies) of mediastinal mass (e.g., lymphoma), when performed	X	
39402	Mediastinoscopy; with lymph node biopsy(ies) (e.g., lung cancer staging)	X	
43180	Esophagoscopy, rigid, transoral with diverticulectomy of hypopharynx or cervical esophagus (for example Zenker's diverticulum), with cricopharyngeal myotomy, includes use of telescope or operating microscope and repair, when performed		X
43191	Esophagoscopy, rigid, transoral; diagnostic, including collection of specimen(s) by brushing or washing when performed (separate procedure)	X	
43192	Esophagoscopy, rigid, transoral; with directed submucosal injection(s), any substance		X
43193	Esophagoscopy, rigid, transoral; with biopsy, single or multiple	X	
43194	Esophagoscopy, rigid, transoral; with removal of foreign body(s)		X
43195	Esophagoscopy, rigid, transoral; with balloon dilation (less than 30 mm diameter)		X
43196	Esophagoscopy, rigid, transoral; with insertion of guide wire followed by dilation over guide wire		X
43197	Esophagoscopy, flexible, transnasal; diagnostic, including collection of specimen(s) by brushing or washing when performed (separate procedure)	X	
43198	Esophagoscopy, flexible, transnasal; with biopsy, single or multiple	X	
43200	Esophagoscopy, flexible, transoral; diagnostic, including collection of specimen(s) by brushing or washing when performed (separate procedure)	X	
43201	Esophagoscopy, flexible, transoral diagnostic, with directed submucosal injection(s), any substance		X
43202	Esophagoscopy, flexible, transoral; with biopsy, single or multiple	X	
43204	Esophagoscopy, flexible, transoral; with injection sclerosis of esophageal varices		X
43205	Esophagoscopy, flexible, transoral; with band ligation of esophageal varices		X
43206	Esophagoscopy, flexible, transoral with optical endomicroscopy	X	
43210	Esophagogastroduodenoscopy, flexible, transoral; with esophagogastric fundoplasty, partial or complete, includes duodenoscopy when performed		X
43211	Esophagoscopy, flexible, transoral; with endoscopic mucosal resection		X
43212	Esophagoscopy, flexible, transoral; with placement of endoscopic stent (includes pre- and post-dilation and guide wire passage, when performed)		X
43213	Esophagoscopy, flexible, transoral; with dilation of esophagus, by balloon or dilator, retrograde (includes fluoroscopic guidance, when performed)		X
43214	Esophagoscopy, flexible, transoral; with dilation of esophagus with balloon (30 mm diameter or larger) (includes fluoroscopic guidance, when performed)		X
43215	Esophagoscopy, flexible, transoral; with removal of foreign body(s)		X
43216	Esophagoscopy, flexible, transoral; with removal of tumor(s), polyp(s), or other lesions(s) by hot biopsy forceps		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
43217	Esophagoscopy, flexible, transoral; with removal of tumor(s), polyp(s), or other lesion(s) by snare technique		X
43220	Esophagoscopy, flexible, transoral; with balloon dilation (less than 30 mm diameter)		X
43226	Esophagoscopy, flexible, transoral; with insertion of guide wire followed by dilator(s) over guide wire		X
43227	Esophagoscopy, flexible, transoral; with control of bleeding any method		X
43229	Esophagoscopy, flexible, transoral; with ablation of tumor(s), polyp(s), or other lesion(s) (includes pre- and post-dilation and guide wire passage, when performed)		X
43231	Esophagoscopy, flexible, transoral; with endoscopic ultrasound examination	X	
43232	Esophagoscopy, flexible, transoral; with transendoscopic ultrasound-guided intramural or transmural fine needle aspiration/biopsy(s)	X	
43233	Esophagogastroduodenoscopy, flexible, transoral; with dilation of esophagus with balloon (30 mm diameter or larger) (includes fluoroscopic guidance, when performed)		X
43235	Esophagogastroduodenoscopy, flexible, transoral; diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
43236	Esophagogastroduodenoscopy, flexible, transoral; diagnostic, with directed submucosal injection(s), any substance		X
43237	Esophagogastroduodenoscopy, flexible, transoral; diagnostic, with endoscopic ultrasound examination limited to the esophagus	X	
43238	Esophagogastroduodenoscopy, flexible, transoral; diagnostic, with transendoscopic ultrasound-guided intramural or transmural fine needle aspiration/biopsy(s), esophagus (includes endoscopic ultrasound examination limited to the esophagus)	X	
43239	Esophagogastroduodenoscopy, flexible, transoral; with biopsy, single or multiple	X	
43240	Esophagogastroduodenoscopy, flexible, transoral; with transmural drainage of pseudocyst (includes placement of transmural drainage catheter[s]/stent[s], when performed, and endoscopic ultrasound, when performed)		X
43241	Esophagogastroduodenoscopy, flexible, transoral; with insertion of intraluminal tube or catheter		X
43242	Esophagogastroduodenoscopy, flexible, transoral; with transendoscopic ultrasound-guided intramural or transmural fine needle aspiration/biopsy(s) (includes endoscopic ultrasound examination of the esophagus, stomach, and either the duodenum or a surgically altered stomach where the jejunum is examined distal to the anastomosis)	X	
43243	Esophagogastroduodenoscopy, flexible, transoral; with injection sclerosis of esophageal/gastric varices		X
43244	Esophagogastroduodenoscopy, flexible, transoral; with band ligation of esophageal/gastric varices		X
43245	Esophagogastroduodenoscopy, flexible, transoral; with dilation of gastric/duodenal stricture(s) (for example balloon, bougie)		X
43246	Esophagogastroduodenoscopy, flexible, transoral; with directed placement of percutaneous gastrostomy tube		X
43247	Esophagogastroduodenoscopy, flexible, transoral; with removal of foreign body(s)		X
43248	Esophagogastroduodenoscopy, flexible, transoral; with insertion of guide wire followed by passage of dilator(s) through esophagus over guide wire		X
43249	Esophagogastroduodenoscopy, flexible, transoral; with transendoscopic balloon dilation of esophagus (less than 30 mm diameter)		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
43250	Esophagogastroduodenoscopy, flexible, transoral; with removal of tumor(s), polyp(s), or other lesion(s) by hot biopsy forceps		X
43251	Esophagogastroduodenoscopy, flexible, transoral; with removal of tumor(s), polyp(s), or other lesion(s) by snare technique		X
43252	Esophagogastroduodenoscopy, flexible, transoral; with optical endomicroscopy	X	
43253	Esophagogastroduodenoscopy, flexible, transoral; with transendoscopic ultrasound-guided transmural injection of diagnostic or therapeutic substance(s) (for example anesthetic, neurolytic agent) or fiducial marker(s) (includes endoscopic ultrasound examination of the esophagus, stomach, and either the duodenum or a surgically altered stomach where the jejunum is examined distal to the anastomosis)		X
43254	Esophagogastroduodenoscopy, flexible, transoral; with endoscopic mucosal resection		X
43255	Esophagogastroduodenoscopy, flexible, transoral; with control of bleeding, any method		X
43257	Esophagogastroduodenoscopy, flexible, transoral; with delivery of thermal energy to the muscle of lower esophageal sphincter and/or gastric cardia, for treatment of gastroesophageal reflux disease		X
43259	Esophagogastroduodenoscopy, flexible, transoral; with endoscopic ultrasound examination, including the esophagus, stomach, and either the duodenum or a surgically altered stomach where the jejunum is examined distal to the anastomosis	X	
43260	Endoscopic retrograde cholangiopancreatography (ERCP); diagnostic, including collection of specimen(s) by brushing or washing (separate procedures)	X	
43261	Endoscopic retrograde cholangiopancreatography (ERCP); with biopsy, single or multiple	X	
43262	Endoscopic retrograde cholangiopancreatography (ERCP); with sphincterotomy/papillotomy		X
43263	Endoscopic retrograde cholangiopancreatography (ERCP); with pressure measurement of sphincter of Oddi (pancreatic duct or common bile duct)		X
43264	Endoscopic retrograde cholangiopancreatography (ERCP); with endoscopic retrograde removal of calculus/calculi from biliary and/or pancreatic ducts		X
43265	Endoscopic retrograde cholangiopancreatography (ERCP); with endoscopic retrograde destruction, lithotripsy of calculus/calculi, any method		X
43266	Esophagogastroduodenoscopy, flexible, transoral; with placement of endoscopic stent (includes pre- and post-dilation and guide wire passage, when performed)		X
43270	Esophagogastroduodenoscopy, flexible, transoral; with ablation of tumor(s), polyp(s), or other lesion(s) (includes pre- and post-dilation and guide wire passage, when performed)		X
43273	Endoscopic cannulation of papilla with direct visualization of pancreatic/common bile duct(s) (List separately in addition to code(s) for primary procedure)	X	
43274	Endoscopic retrograde cholangiopancreatography (ERCP); with placement of endoscopic stent into biliary or pancreatic duct, including pre- and post-dilation and guide wire passage, when performed, including sphincterotomy, when performed, each stent		X
43275	Endoscopic retrograde cholangiopancreatography (ERCP); with removal of foreign body(s) or stent(s) from biliary/pancreatic duct(s)		X
43276	Endoscopic retrograde cholangiopancreatography (ERCP); with removal and exchange of stent(s), biliary or pancreatic duct, including pre- and post-dilation and guide wire passage, when performed, including sphincterotomy, when performed, each stent exchanged		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
43277	Endoscopic retrograde cholangiopancreatography (ERCP); with trans-endoscopic balloon dilation of biliary/pancreatic duct(s) or of ampulla (sphincteroplasty), including sphincterotomy, when performed, each duct		X
43278	Endoscopic retrograde cholangiopancreatography (ERCP); with ablation of tumor(s), polyp(s), or other lesion(s), including pre- and post-dilation and guide wire passage, when performed		X
43284	Laparoscopy, surgical, esophageal sphincter augmentation procedure, placement of sphincter augmentation device (i.e., magnetic band), including cruroplasty when performed		X
43285	Removal of esophageal sphincter augmentation device		X
43290	Esophagogastroduodenoscopy, flexible, transoral; with deployment of intragastric bariatric balloon		X
43291	Esophagogastroduodenoscopy, flexible, transoral; with removal of intragastric bariatric balloon(s)		X
44360	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; diagnostic, with or without collection of specimen(s) by brushing or washing (separate procedures)	X	
44361	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with biopsy, single or multiple	X	
44363	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with removal of foreign body		X
44364	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with removal of tumor(s), polyp(s) or other lesion(s) by snare technique		X
44365	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with removal of tumor(s), polyp(s) or other lesion(s) by hot biopsy forceps or bipolar cautery		X
44366	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with control of bleeding (for example, injection, bipolar cautery, unipolar cautery, laser, heater probe, stapler, plasma coagulator)		X
44369	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with ablation of tumor(s), polyp(s) or other lesion(s) not amenable to removal by hot biopsy forceps, bipolar cautery or snare technique		X
44370	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with transendoscopic stent placement (includes predilation)		X
44372	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with placement of percutaneous jejunostomy tube		X
44373	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, not including ileum; with conversion of percutaneous gastrostomy tube to percutaneous jejunostomy tube		X
44376	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, including ileum; diagnostic, with or without collection of specimen(s) by brushing or washing (separate procedure)	X	
44377	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, including ileum; with biopsy, single or multiple	X	
44378	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, including ileum; with control of bleeding, (for example, injection, bipolar cautery, unipolar cautery, laser, heater probe, stapler, plasma coagulator)		X
44379	Small intestinal endoscopy, enteroscopy beyond second portion of duodenum, including ileum; with transendoscopic stent placement (includes predilation)		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
44380	Ileoscopy, through stoma; diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
44381	Ileoscopy, through stoma; with transendoscopic balloon dilation		X
44382	Ileoscopy, through stoma; with biopsy, single or multiple	X	
44384	Ileoscopy, through stoma; with placement of endoscopic stent (includes pre and post-dilation and guide wire passage, when performed)		X
44385	Endoscopic evaluation of small intestinal pouch (for example Kock pouch, ileal reservoir [S or J]); diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
44386	Endoscopic evaluation of small intestinal pouch (for example Kock pouch, ileal reservoir [S or J]); with biopsy, single or multiple	X	
44388	Colonoscopy through stoma; diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
44389	Colonoscopy through stoma; with biopsy, single or multiple	X	
44390	Colonoscopy through stoma; with removal of foreign body(s)		X
44391	Colonoscopy through stoma; with control of bleeding, any method		X
44392	Colonoscopy through stoma; with removal of tumor(s), polyp(s) or other lesion(s) by hot biopsy forceps		X
44394	Colonoscopy through stoma; with removal of tumor(s), polyp(s), or other lesion(s) by snare technique		X
44401	Colonoscopy through stoma; with ablation of tumor(s), polyp(s), or other lesion(s) (includes pre-and post-dilation and guide wire passage, when performed)		X
44402	Colonoscopy through stoma; with endoscopic stent placement (including pre- and post-dilation and guide wire passage, when performed)		X
44403	Colonoscopy through stoma; with endoscopic mucosal resection		X
44404	Colonoscopy through stoma; with directed submucosal injection(s), any substance		X
44405	Colonoscopy through stoma; with transendoscopic balloon dilation		X
44406	Colonoscopy through stoma; with endoscopic ultrasound examination, limited to the sigmoid, descending, transverse, or ascending colon and cecum and adjacent structures	X	
44407	Colonoscopy through stoma; with transendoscopic ultrasound guided intramural or transmural fine needle aspiration/biopsy(s), includes endoscopic ultrasound examination limited to the sigmoid, descending, transverse, or ascending colon and cecum and adjacent structures	X	
44408	Colonoscopy through stoma; with decompression (for pathologic distention) (for example volvulus, megacolon), including placement of decompression tube, when performed		X
45300	Proctosigmoidoscopy, rigid; diagnostic, with or without collection of specimen(s) by brushing or washing (separate procedure)	X	
45303	Proctosigmoidoscopy, rigid; with dilation, (for example, balloon, guide wire, bougie)		X
45305	Proctosigmoidoscopy, rigid; with biopsy, single or multiple	X	
45307	Proctosigmoidoscopy, rigid; with removal of foreign body		X
45308	Proctosigmoidoscopy, rigid; with removal of single tumor, polyp or other lesion by hot biopsy forceps or bipolar cautery		X
45309	Proctosigmoidoscopy, rigid; with removal of single tumor, polyp or other lesion by snare technique		X
45315	Proctosigmoidoscopy, rigid; with removal of multiple tumors, polyps or other lesions by hot biopsy forceps, bipolar cautery or snare technique		X
45317	Proctosigmoidoscopy, rigid; with control of bleeding, (for example, injection, bipolar cautery, unipolar cautery, laser, heater probe, stapler, plasma coagulator)		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
45320	Proctosigmoidoscopy, rigid; with ablation of tumor(s), polyp(s) or other lesion(s) not amenable to removal by hot biopsy forceps, bipolar cautery or snare technique (for example, laser)		X
45321	Proctosigmoidoscopy, rigid; with decompression of volvulus		X
45327	Proctosigmoidoscopy, rigid; with transendoscopic stent placement (includes predilation)		X
45330	Sigmoidoscopy, flexible; diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
45331	Sigmoidoscopy, flexible; with biopsy, single or multiple	X	
45332	Sigmoidoscopy, flexible; with removal of foreign body(s)		X
45333	Sigmoidoscopy, flexible; with removal of tumor(s), polyp(s) or other lesion(s) by hot biopsy forceps		X
45334	Sigmoidoscopy, flexible; with control of bleeding, any method		X
45335	Sigmoidoscopy, flexible; with direct submucosal injection(s), any substance		X
45337	Sigmoidoscopy, flexible; with decompression (for pathologic distention) (for example volvulus, megacolon), including placement of decompression tube, when performed		X
45338	Sigmoidoscopy, flexible; with removal of tumor(s), polyp(s) or other lesion(s) by snare technique		X
45346	Sigmoidoscopy, flexible; with ablation of tumor(s), polyp(s), or other lesion(s) (includes pre- and post-dilation and guide wire passage, when performed)		X
45340	Sigmoidoscopy, flexible; with transendoscopic balloon dilation		X
45341	Sigmoidoscopy, flexible; with endoscopic ultrasound examination	X	
45342	Sigmoidoscopy, flexible; with transendoscopic ultrasound guided intramural or transmural fine needle aspiration/biopsy(s)	X	
45346	Sigmoidoscopy, flexible; with ablation of tumor(s), polyp(s), or other lesion(s) (includes pre- and post-dilation and guide wire passage, when performed)		X
45347	Sigmoidoscopy, flexible; with placement of endoscopic stent (includes pre- and post-dilation and guide wire passage, when performed)		X
45349	Sigmoidoscopy, flexible; with endoscopic mucosal resection		X
45350	Sigmoidoscopy, flexible; with band ligation(s) (for example hemorrhoids)		X
45378	Colonoscopy, flexible, proximal to splenic flexure; diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
45379	Colonoscopy, flexible, with removal of foreign body(s)		X
45380	Colonoscopy, flexible, with biopsy, single or multiple	X	
45381	Colonoscopy, flexible, with directed submucosal injection(s), any substance		X
45382	Colonoscopy, flexible, with control of bleeding, any method		X
45384	Colonoscopy, flexible, with removal of tumor(s), polyp(s) or other lesion(s) by hot biopsy forceps		X
45385	Colonoscopy, flexible, with removal of tumor(s), polyp(s) or other lesion(s) by snare technique		X
45386	Colonoscopy, flexible, with transendoscopic balloon dilation		X
45388	Colonoscopy through stoma; diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
45389	Colonoscopy, flexible; with endoscopic stent placement (includes pre- and post-dilation and guide wire passage, when performed)		X
45390	Colonoscopy, flexible; with endoscopic mucosal resection		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
45391	Colonoscopy, flexible, with endoscopic ultrasound examination limited to the rectum, sigmoid, descending, transverse, or ascending colon and cecum, and adjacent structures.	X	
45392	Colonoscopy, flexible, with transendoscopic ultrasound guided intramural or transmural fine needle aspiration/biopsy(s) includes endoscopic ultrasound examination limited to the rectum, sigmoid, descending, transverse, or ascending colon and cecum, and adjacent structures.	X	
45393	Colonoscopy, flexible; with decompression (for pathologic distention) (for example volvulus, megacolon), including placement of decompression tube, when performed		X
45398	Colonoscopy, flexible; with band ligation(s) (for example hemorrhoids)		X
46600	Anoscopy; diagnostic, including collection of specimen(s) by brushing or washing, when performed (separate procedure)	X	
46601	Anoscopy; diagnostic, with high-resolution magnification (HRA) (for example colposcope, operating microscope) and chemical agent enhancement, including collection of specimen(s) by brushing or washing, when performed	X	
46604	Anoscopy; with dilation, (for example, balloon, guide wire, bougie)		X
46606	Anoscopy; with biopsy, single or multiple	X	
46607	Anoscopy; with high-resolution magnification (HRA) (for example colposcope, operating microscope) and chemical agent enhancement, with biopsy, single or multiple	X	
46608	Anoscopy; with removal of foreign body		X
46610	Anoscopy; with removal of single tumor, polyp, or other lesion by hot biopsy forceps or bipolar cautery		X
46611	Anoscopy; with removal of single tumor, polyp, or other lesion by snare technique		X
46612	Anoscopy; with removal of multiple tumors, polyps or other lesions by hot biopsy forceps, bipolar cautery or snare technique		X
46614	Anoscopy; with control of bleeding (for example, injection, bipolar cautery, unipolar cautery, laser, heater probe, stapler, plasma coagulator)		X
46615	Anoscopy; with ablation of tumor(s), polyp(s), or other lesion(s) not amenable to removal by hot biopsy forceps, bipolar cautery or snare technique		X
47550	Biliary endoscopy, intraoperative (choledochoscopy) (list separately in addition to code for primary procedure)	X	
47552	Biliary endoscopy, percutaneous via T-tube or other tract; diagnostic, with or without collection of specimen(s) by brushing and/or washing (separate procedure)	X	
47553	Biliary endoscopy, percutaneous via T-tube or other tract; with biopsy, single or multiple	X	
47554	Biliary endoscopy, percutaneous via T-tube or other tract; with removal of calculus/calculi		X
47555	Biliary endoscopy, percutaneous via T-tube or other tract; with dilation of biliary duct stricture(s) without stent		X
47556	Biliary endoscopy, percutaneous via T-tube or other tract; with dilation of biliary duct stricture(s) with stent		X
49320	Laparoscopy, abdomen, peritoneum, and omentum, diagnostic, with or without collection of specimen(s) by brushing or washing (separate procedure)	X	
49321	Laparoscopy, surgical; with biopsy (single or multiple)	X	
49322	Laparoscopy, surgical; with aspiration of cavity or cyst (for example, ovarian cyst) (single or multiple)		X
49323	Laparoscopy, surgical; with drainage of lymphocele to peritoneal cavity		X
49327	Laparoscopy, surgical; with placement of interstitial device(s) for radiation therapy guidance (for example fiducial markers, dosimeter),		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
	intra-abdominal, intrapelvic, and/or retroperitoneum, including imaging guidance, if performed, single or multiple (List separately in addition to code for primary procedure)		
49329	Unlisted laparoscopy procedure, abdomen, peritoneum and omentum		X
50551	Renal endoscopy through established nephrostomy or pyelostomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service		X
50553	Renal endoscopy through established nephrostomy or pyelostomy, with or without irrigation, installation or ureteropyelography; with ureteral catheterization, with or without dilation of ureter		X
50555	Renal endoscopy through established nephrostomy or pyelostomy, with or without irrigation, installation or ureteropyelography; with biopsy		X
50557	Renal endoscopy through established nephrostomy or pyelostomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with fulguration and/or incision, with or without biopsy		X
50561	Renal endoscopy through established nephrostomy or pyelostomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with removal of foreign body or calculus		X
50562	Renal endoscopy through established nephrostomy or pyelostomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with resection of tumor		X
50570	Renal endoscopy through nephrotomy or pyelotomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service		X
50572	Renal endoscopy through nephrotomy or pyelotomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with ureteral catheterization, with or without dilation of ureter		X
50574	Renal endoscopy through nephrotomy or pyelotomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with biopsy		X
50575	Renal endoscopy through nephrotomy or pyelotomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with endopyelotomy (includes cystoscopy, ureteroscopy, dilation of ureter and ureteral pelvic junction, incision of ureteral pelvic junction and insertion of endopyelotomy stent)		X
50576	Renal endoscopy through nephrotomy or pyelotomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with fulguration and/or incision, with or without biopsy		X
50580	Renal endoscopy through nephrotomy or pyelotomy, with or without irrigation, installation or ureteropyelography, exclusive of radiologic service; with removal of foreign body or calculus		X
50945	Laparoscopy, surgical; ureterolithotomy		X
50947	Laparoscopy, surgical; ureteroneocystostomy with cystoscopy and ureteral stent placement		X
50948	Laparoscopy, surgical; ureteroneocystostomy without cystoscopy and ureteral stent placement		X
50949	Unlisted laparoscopy procedure, ureter		X
50951	Ureteral endoscopy through established ureterostomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service		X
50953	Ureteral endoscopy through established ureterostomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with ureteral catheterization, with or without dilation of ureter		X
50955	Ureteral endoscopy through established ureterostomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with biopsy		X



<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
50957	Ureteral endoscopy through established ureterostomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with fulguration and/or incision, with or without biopsy		X
50961	Ureteral endoscopy through established ureterostomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with removal of foreign body or calculus		X
50970	Ureteral endoscopy with ureterotomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service		X
50972	Ureteral endoscopy with ureterotomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with ureteral catheterization, with or without dilation of ureter		X
50974	Ureteral endoscopy with ureterotomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with biopsy	X	
50976	Ureteral endoscopy with ureterotomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with fulguration and/or incision, with or without biopsy		X
50980	Ureteral endoscopy with ureterotomy, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with removal of foreign body or calculus		X
52000	Cystourethroscopy (separate procedure)	X	
52001	Cystourethroscopy with irrigation and evacuation of multiple obstructing clots		X
52005	Cystourethroscopy, with ureteral catheterization, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service		X
52007	Cystourethroscopy, with ureteral catheterization, with or without irrigation, instillation or ureteropyelography, exclusive of radiologic service; with brush biopsy of ureter and/or renal pelvis	X	
52010	Cystourethroscopy, with ejaculatory duct catheterization, with or without irrigation, instillation or duct radiology, exclusive of radiologic service		X
52204	Cystourethroscopy, with biopsy	X	
52250	Cystourethroscopy and radiotracer with or without biopsy or fulguration		X
52282	Cystourethroscopy, with insertion of urethral stent		X
52327	Cystourethroscopy (including ureteral catheterization); with subureteric injection of implant material		X
52330	Cystourethroscopy (including ureteral catheterization); with manipulation, without removal of ureteral calculus		X
52351	Cystourethroscopy with ureteroscopy and/or pyeloscopy; diagnostic	X	
52352	Cystourethroscopy with ureteroscopy and/or pyeloscopy; with removal or manipulation of calculus (ureteral catheterization is included)		X
52353	Cystourethroscopy with ureteroscopy and/or pyeloscopy; with lithotripsy (ureteral catheterization is included)		X
52354	Cystourethroscopy with ureteroscopy and/or pyeloscopy; with biopsy and/or fulguration of lesion		X
52355	Cystourethroscopy with ureteroscopy and/or pyeloscopy; with resection of ureteral or renal pelvic tumor		X
52356	Cystourethroscopy, with ureteroscopy and/or pyeloscopy; with lithotripsy including insertion of indwelling ureteral stent (for example Gibbons or double-J type)		X
52400	Cystourethroscopy with incision, fulguration or resection of congenital posterior urethral valves, or congenital obstructive hypertrophic mucosal folds		X
52402	Cystourethroscopy with transurethral resection or incision of ejaculatory ducts		X
52441	Cystourethroscopy, with insertion of permanent adjustable transprostatic implant; single implant		X

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
52442	Cystourethroscopy, with insertion of permanent adjustable transprostatic implant; each additional permanent adjustable transprostatic implant (List separately in addition to code for primary procedure)		X
54690	Laparoscopy, surgical; orchiectomy		X
54692	Laparoscopy, surgical; orchiopexy for intra-abdominal testis		X
57452	Colposcopy of the cervix including upper/adjacent vagina	X	
57454	Colposcopy of the cervix including upper/adjacent vagina;with biopsy(s) of the cervix and endocervical curettage		X
57455	Colposcopy of the cervix including upper/adjacent vagina; with biopsy(s) of the cervix	X	
57456	Colposcopy of the cervix including upper/adjacent vagina; with endocervical curettage		X
57460	Colposcopy of the cervix including upper/adjacent vagina; with loop electrode biopsy(s) of the cervix	X	
57461	Colposcopy of the cervix including upper/adjacent vagina; with loop electrode conization of the cervix		X
58555	Hysteroscopy, diagnostic (separate procedure)		X
58558	Hysteroscopy, surgical; with sampling (biopsy) of endometrium and/or polypectomy, with or without D & C		X
58559	Hysteroscopy, surgical; with lysis of intrauterine adhesions (any method)		X
58560	Hysteroscopy, surgical; with division of resection of intrauterine septum (any method)		X
58561	Hysteroscopy, surgical; with removal of leiomyomata		X
58562	Hysteroscopy, surgical; with removal of impacted foreign body		X
58563	Hysteroscopy, surgical; with endometrial ablation (for example endometrial resection, electrosurgical ablation, thermoablation)		X
58565	Hysteroscopy, surgical; with bilateral fallopian tube cannulation to induce occlusion by placement of permanent implants		X
58660	Laparoscopy, surgical; with lysis of adhesions (salpingolysis, ovariolysis) (separate procedure)		X
58661	Laparoscopy, surgical; with removal of adnexal structures (partial or total oophorectomy and/or salpingectomy)		X
58662	Laparoscopy, surgical; with fulguration or excision of lesions of the ovary, pelvic viscera or peritoneal surface by any method		X
60650	Laparoscopy, surgical, with adrenalectomy, partial or complete, or exploration of adrenal gland with or without biopsy, transabdominal, lumbar or dorsal		X
G0104	Colorectal cancer screening; flexible sigmoidoscopy	X	
G0105	Colorectal cancer screening; colonoscopy on individual at high risk	X	
G0121	Colorectal cancer screening; colonoscopy on individual not meeting criteria for high risk	X	
S0601	Screening proctoscopy	X	
C7509	Bronchoscopy, rigid or flexible, diagnostic with cell washing(s) when performed, with computer-assisted image-guided navigation, including fluoroscopic guidance when performed	X	
C7510	Bronchoscopy, rigid or flexible, with bronchial alveolar lavage(s), with computer-assisted image-guided navigation, including fluoroscopic guidance when performed		X
C7511	Bronchoscopy, rigid or flexible, with single or multiple bronchial or endobronchial biopsy(ies), single or multiple sites, with computer-assisted image-guided navigation, including fluoroscopic guidance when performed	X	
C7512	Bronchoscopy, rigid or flexible, with single or multiple bronchial or endobronchial biopsy(ies), single or multiple sites, with transendoscopic endobronchial ultrasound (EBUS) during	X	

<b>Endoscopy Matrix</b>			
<b>CPT Code</b>	<b>Description of Endoscopy</b>	<b>Diagnostic</b>	<b>Therapeutic (Surgical)</b>
	bronchoscopic diagnostic or therapeutic intervention(s) for peripheral lesion(s), including fluoroscopic guidance when performed		
C7541	Diagnostic endoscopic retrograde cholangiopancreatography (ERCP), including collection of specimen(s) by brushing or washing, when performed, with endoscopic cannulation of papilla with direct visualization of pancreatic/common bile ducts(s)	X	
C7542	Endoscopic retrograde cholangiopancreatography (ERCP) with biopsy, single or multiple, with endoscopic cannulation of papilla with direct visualization of pancreatic/common bile ducts(s)	X	
C7543	Endoscopic retrograde cholangiopancreatography (ERCP) with sphincterotomy/papillotomy, with endoscopic cannulation of papilla with direct visualization of pancreatic/common bile ducts(s)		X
C7544	Endoscopic retrograde cholangiopancreatography (ERCP) with removal of calculi/debris from biliary/pancreatic duct(s), with endoscopic cannulation of papilla with direct visualization of pancreatic/common bile ducts(s)		X
C7550	Cystourethroscopy, with biopsy(ies) with adjunctive blue light cystoscopy with fluorescent imaging agent		X
C7554	Cystourethroscopy with adjunctive blue light cystoscopy with fluorescent imaging agent		X
0781T	Bronchoscopy, rigid or flexible, with insertion of esophageal protection device and circumferential radiofrequency destruction of the pulmonary nerves, including fluoroscopic guidance when performed; bilateral mainstem bronchi		X
0782T	Bronchoscopy, rigid or flexible, with insertion of esophageal protection device and circumferential radiofrequency destruction of the pulmonary nerves, including fluoroscopic guidance when performed; unilateral mainstem bronchus		X



## ICD-10-CM Codes for Abortion-Related Services

This list contains principal diagnosis codes for abortion and abortion-related services. If a code from this list is used as the principal diagnosis code, the services related to abortion will be processed by our claims processing systems at zero cost share to the member in accordance with Senate Bill 245 (the Abortion Accessibility Act). **Note: This list may not be all-inclusive and is subject to change.**

ICD-10 Codes	Descriptions
000.00	Abdominal pregnancy without intrauterine pregnancy.
000.01	Abdominal pregnancy with intrauterine pregnancy.
000.101	Right tubal pregnancy without intrauterine pregnancy.
000.102	Left tubal pregnancy without intrauterine pregnancy.
000.109	Unspecified tubal pregnancy without intrauterine pregnancy.
000.111	Right tubal pregnancy with intrauterine pregnancy.
000.112	Left tubal pregnancy with intrauterine pregnancy.
000.119	Unspecified tubal pregnancy with intrauterine pregnancy.
000.201	Right ovarian pregnancy without intrauterine pregnancy.
000.202	Left ovarian pregnancy without intrauterine pregnancy.
000.209	Unspecified ovarian pregnancy without intrauterine pregnancy.
000.211	Right ovarian pregnancy with intrauterine pregnancy.
000.212	Left ovarian pregnancy with intrauterine pregnancy.
000.219	Unspecified ovarian pregnancy with intrauterine pregnancy.
000.80	Other ectopic pregnancy without intrauterine pregnancy.
000.81	Other ectopic pregnancy with intrauterine pregnancy.
000.90	Unspecified ectopic pregnancy without intrauterine pregnancy.
000.91	Unspecified ectopic pregnancy with intrauterine pregnancy.
001.1	Incomplete and partial hydatidiform mole.
001.9	Hydatidiform mole, unspecified.
002.1	Missed abortion.
003.0	Genital tract and pelvic infection following incomplete spontaneous abortion.
003.1	Delayed or excessive hemorrhage following incomplete spontaneous abortion.
003.2	Embolism following incomplete spontaneous abortion.
003.30	Unspecified complication following incomplete spontaneous abortion.
003.32	Renal failure following incomplete spontaneous abortion.
003.33	Metabolic disorder following incomplete spontaneous abortion.

<b>ICD-10 Codes</b>	<b>Descriptions</b>
<b>O03.34</b>	Damage to pelvic organs following incomplete spontaneous abortion.
<b>O03.35</b>	Other venous complications following incomplete spontaneous abortion.
<b>O03.36</b>	Cardiac arrest following incomplete spontaneous abortion.
<b>O03.37</b>	Sepsis following incomplete spontaneous abortion.
<b>O03.38</b>	Urinary tract infection following incomplete spontaneous abortion.
<b>O03.39</b>	Incomplete spontaneous abortion with other complications.
<b>O03.4</b>	Incomplete spontaneous abortion without complication.
<b>O03.5</b>	Genital tract and pelvic infection following complete or unspecified spontaneous abortion.
<b>O03.6</b>	Delayed or excessive hemorrhage following complete or unspecified spontaneous abortion.
<b>O03.7</b>	Embolism following complete or unspecified spontaneous abortion.
<b>O03.80</b>	Unspecified complication following complete or unspecified spontaneous abortion.
<b>O03.81</b>	Shock following complete or unspecified spontaneous abortion.
<b>O03.82</b>	Renal failure following complete or unspecified spontaneous abortion.
<b>O03.83</b>	Metabolic disorder following complete or unspecified spontaneous abortion.
<b>O03.84</b>	Damage to pelvic organs following complete or unspecified spontaneous abortion.
<b>O03.85</b>	Other venous complications following complete or unspecified spontaneous abortion.
<b>O03.86</b>	Cardiac arrest following complete or unspecified spontaneous abortion.
<b>O03.87</b>	Sepsis following complete or unspecified spontaneous abortion.
<b>O03.88</b>	Urinary tract infection following complete or unspecified spontaneous abortion.
<b>O03.89</b>	Complete or unspecified spontaneous abortion with other complications.
<b>O03.9</b>	Complete or unspecified spontaneous abortion without complication.
<b>O04.5</b>	Genital tract and pelvic infection following (induced) termination of pregnancy.
<b>O04.6</b>	Delayed or excessive hemorrhage following (induced) termination of pregnancy.
<b>O04.7</b>	Embolism following (induced) termination of pregnancy.
<b>O04.80</b>	(Induced) termination of pregnancy with unspecified complications.
<b>O04.81</b>	Shock following (induced) termination of pregnancy.
<b>O04.82</b>	Renal failure following (induced) termination of pregnancy.
<b>O04.83</b>	Metabolic disorder following (induced) termination of pregnancy.
<b>O04.84</b>	Damage to pelvic organs following (induced) termination of pregnancy.
<b>O04.85</b>	Other venous complications following (induced) termination of pregnancy.
<b>O04.86</b>	Cardiac arrest following (induced) termination of pregnancy.
<b>O04.87</b>	Sepsis following (induced) termination of pregnancy.
<b>O04.88</b>	Urinary tract infection following (induced) termination of pregnancy.

<b>ICD-10 Codes</b>	<b>Descriptions</b>
<b>O04.89</b>	(Induced) termination of pregnancy with other complications.
<b>O07.0</b>	Genital tract and pelvic infection following failed attempted termination of pregnancy.
<b>O07.1</b>	Delayed or excessive hemorrhage following failed attempted termination of pregnancy.
<b>O07.2</b>	Embolism following failed attempted termination of pregnancy.
<b>O07.30</b>	Failed attempted termination of pregnancy with unspecified complications.
<b>O07.31</b>	Shock following failed attempted termination of pregnancy.
<b>O07.32</b>	Renal failure following failed attempted termination of pregnancy.
<b>O07.33</b>	Metabolic disorder following failed attempted termination of pregnancy.
<b>O07.34</b>	Damage to pelvic organs following failed attempted termination of pregnancy.
<b>O07.35</b>	Other venous complications following failed attempted termination of pregnancy.
<b>O07.36</b>	Cardiac arrest following failed attempted termination of pregnancy.
<b>O07.37</b>	Sepsis following failed attempted termination of pregnancy.
<b>O07.38</b>	Urinary tract infection following failed attempted termination of pregnancy.
<b>O07.39</b>	Failed attempted termination of pregnancy with other complications.
<b>O07.4</b>	Failed attempted termination of pregnancy without complication.
<b>O08.2</b>	Embolism following ectopic and molar pregnancy.
<b>O08.3</b>	Shock following ectopic and molar pregnancy.
<b>O08.4</b>	Renal failure following ectopic and molar pregnancy.
<b>O08.82</b>	Sepsis following ectopic and molar pregnancy.
<b>O08.83</b>	Urinary tract infection following an ectopic and molar pregnancy.
<b>O08.89</b>	Other complications following an ectopic and molar pregnancy.
<b>O20.0</b>	Threatened abortion.
<b>O20.8</b>	Other hemorrhage in early pregnancy.
<b>O20.9</b>	Hemorrhage in early pregnancy, unspecified.
<b>Q89.7</b>	Multiple congenital malformations, not elsewhere classified.
<b>Z33.2</b>	Encounter for elective termination of pregnancy.
<b>Z64.0</b>	Problems related to unwanted pregnancy.







**ELECT OPEN ACCESS**

**Member ID # [XXXXXXXXXXXX]**

**Medical Group and PCP**

OU7 Health Care Partners of Los Angeles  
1-818-773-4433  
4747 Buena Vista St.  
Burbank, CA 91505-7865  
Effective date with PPG: MM/DD/YYYY

Dr. Martin Short  
1-818-773-4433

In case of emergency call 911

	<b>Out of Pocket Max</b>	<b>In-Network</b>	<b>Out-of-Network</b>
<b>One Member</b>	\$X,XXX	\$X,XXX	\$X,XXX
<b>Family</b>	\$X,XXX	\$X,XXX	\$X,XXX

Member FIRST MI LASTNAME  
Subscriber FIRST M LASTNAME  
Effective Date 01/01/2020  
Group Name From ABS or PEGA  
Group # 234532  
Plan Xxxxxx

	<b>Your Health Net Provider</b>	<b>Other Health Net participating providers</b>
<b>PCP visit</b>	\$XX	\$XX
<b>Minute Clinic</b>	\$XX	
<b>Specialist</b>	\$XX	\$XX
<b>Urgent Care</b>	\$XX	\$XX
<b>ER</b>	\$XXX	\$XXX

	<b>In-Network</b>	<b>Out-of-Network</b>
<b>Deductibles</b>		
<b>One Member</b>	\$X,XXX	\$X,XXX
<b>Family</b>	\$X,XXX	\$X,XXX

**www.healthnet.com**

<b>Member Services</b>	1-800-676-6976 (TTY: 711)
<b>Mental Health Benefits and Appointments</b>	1-888-426-0030 (TTY: 711)
<b>24-hour Nurse Advice Line</b>	1-800-893-5597 (TTY: 711)
<b>24/7 Video Doctor Appointment</b>	www.teladoc.com

**Provider Services** 1-800-641-7761  
**To report, or request approval for, inpatient admits, call:** 1-800-995-7890  
**Pharmacy Help Desk** 1-800-600-0180  
RxBIN #004336 RxPCN 'HNET' Processor Caremark

**California Medical & Mental Health Benefit Claims**  
Health Net Commercial Claims  
Payer ID 95567, PO Box 9040  
Farmington, MO 63640-9040

**Outside of California Medical & Mental Health Benefit Claims**  
Cigna Medical Claims  
Payer ID 62308, PO Box 188061  
Chattanooga, TN 37422-8061

Health Net of California, Inc. provides the health benefits under this plan

Benefits are not insured by Cigna or affiliates



Access may vary

AWAY FROM HOME CARE

**Your Health Net ID Card**

Attached is a new Health Net ID Card. If there is an error on this card, or you have any questions about your coverage, please call Health Net's Member Services and provide them with your Group and Subscriber ID number. You will find the Member Services phone number on the back of this card and your Group and Subscriber ID number on the face of this card.

Carry this ID Card with you at all times, and present it to your health care provider when getting the care you need.

See your plan documents for a description of your benefits.

**Your Primary Care Physician**

Your PCP oversees all your health care and provides referrals if specialty care is needed. Your health plan uses the Elect Open Access provider network. In order to be covered please make sure you use doctors, hospitals, etc. that are in the Elect Open Access provider network. If your situation is an emergency, call 911 or go to the nearest hospital or emergency care facility.

**Prior Authorization Requirements**

For a current listing of services subject to prior authorization before they are rendered, please refer to your Evidence of Coverage or Certificate of Insurance. You may also visit Health Net's website at www.healthnet.com or call the Customer Contact Center at 1-800-361-3366 (TTY: 711).

**Teladoc 24/7 Video Doctor Visits**

Your new telehealth service provider is Teladoc. Teladoc gives you 24/7 access to U.S. board-certified doctors. You can access them with ease – either through the web, your phone or through the Teladoc app. Get the care you need in minutes from the comfort of home or at work. Or, get care even while traveling!

You may receive services on an in-person basis or via telehealth, if available, from your primary care provider, a treating specialist or from another contracting individual health professional, contracting clinic, or contracting health facility consistent with the service and existing timeliness and geographic access standards required under California law. Any cost share for services received through Teladoc will accrue toward your out-of-pocket maximum and deductible (if your plan has a deductible). By scheduling through Teladoc, you consent to receive services via telehealth through Teladoc. See your health plan coverage document for coverage information and for the definition of telehealth services. You have a right to access your medical records for services received through Teladoc. Unless you choose otherwise, any services provided through Teladoc shall be shared with your primary care provider.

**IF AN EMERGENCY ARISES**

If your situation is an emergency: Call 911 or go to the nearest Hospital or emergency care facility. If you are ill but unsure of the seriousness of your condition: You may call your Medical Group for assistance. In all cases, contact your Primary Care Physician/Medical Group as soon as possible to inform them about your condition.





**HMO**

**Member ID # [XXXXXXXXXXXX]**

**Medical Group and PCP**

OU7 Health Care Partners of Los Angeles  
1-818-773-4433

Effective date with PPG: MM/DD/YYYY

Dr. Martin Short  
4747 Buena Vista St.  
Burbank, CA 91505-7865  
1-818-773-4433

In case of emergency call 911

Member FIRST MI LASTNAME  
Subscriber FIRST M LASTNAME  
Effective Date 01/01/2020  
Group Name From ABS or PEGA  
Group # 234532  
Plan Xxxxxx

PCP visit \$XX  
Specialist \$XX  
MinuteClinic \$XX  
Urgent Care \$XX  
ER \$XX

Deductibles	In-Network	Out-of-Network	Out of Pocket Max	In-Network	Out-of-Network
One Member	N/A	N/A	One Member	\$1,500	N/A
Family	N/A	N/A	Family	\$4,500	N/A

[www.healthnet.com](http://www.healthnet.com)

Member Services	1-800-522-0088 (TTY: 711)
Mental Health Benefits and Appointments	1-800-426-0030 (TTY: 711)
24-hour Nurse Advice Line	1-800-893-5597 (TTY: 711)
24/7 Video Doctor Appointment	www.teladoc.com

**Provider Services** 1-800-641-7761

**To report, or request approval for, inpatient admits, call:** 1-800-995-7890

**Pharmacy Help Desk** 1-800-600-0180

RxBIN #004336 RxPCN HNET Processor Caremark

**California Medical and Mental Health Benefit Claims**

Health Net Commercial Claims  
Payer ID 95567, PO Box 9040  
Farmington, MO 63640-9040

**Outside of California Medical & Mental Health Benefit Claims**

Cigna Medical Claims  
Payer ID 62308, PO Box 188061  
Chattanooga, TN 37422-8061

Health Net of California, Inc. provides the health benefits under this plan

Benefits are not insured by Cigna or affiliates



Access may vary

AWAY FROM HOME CARE

**Your Health Net ID card**

Attached is a new Health Net ID card. If there is an error on this card, or you have any questions about your coverage, please call Health Net's Member Services and provide them with your Group and Subscriber ID number. You will find the Member Services phone number on the back of this card and your Group and Subscriber ID number on the face of this card.

Carry this ID Card with you at all times, and present it to your health care provider when getting the care you need.

See your plan documents for a description of your benefits.

**Your Primary Care Physician**

Your PCP oversees all your health care and provides referrals if specialty care is needed. Your health plan uses the HMO provider network. In order to be covered please make sure you use doctors, hospitals, etc. that are in the HMO provider network. If your situation is an emergency, call 911 or go to the nearest hospital or emergency care facility.

**Teladoc 24/7 Video Doctor Visits**

Your new telehealth service provider is Teladoc. Teladoc gives you 24/7 access to U.S. board-certified doctors. You can access them with ease – either through the web, your phone or through the Teladoc app. Get the care you need in minutes from the comfort of home or at work. Or, get care even while traveling!

You may receive services on an in-person basis or via telehealth, if available, from your primary care provider, a treating specialist or from another contracting individual health professional, contracting clinic, or contracting health facility consistent with the service and existing timeliness and geographic access standards required under California law. Any cost share for services received through Teladoc will accrue toward your out-of-pocket maximum and deductible (if your plan has a deductible). By scheduling through Teladoc, you consent to receive services via telehealth through Teladoc. See your health plan coverage document for coverage information and for the definition of telehealth services. You have a right to access your medical records for services received through Teladoc. Unless you choose otherwise, any services provided through Teladoc shall be shared with your primary care provider.





PO Box 989883, West Sacramento, CA 95798-9883

ELECTRONIC SERVICE REQUESTED  
90703

[MEMBERS NAME]  
[MEMBERS MAILING ADDRESS]  
[MEMBERS CITY STATE ZIP+4]



**IFP Ambetter HMO network**

**Member ID#** [R/Uxxxxxxxxx]

Effective date with PPG [MM/DD/YYYY]

**Physician Group and PCP**

[PPG NAME]  
PPG# [MXXX]  
[PPG PHONE NUMBER]

[PCP NAME]  
[PCP Street Address]  
[PCP City, State Zip]  
[PCP Phone number]

**Member name** [FIRST MI LAST NAME]  
**Subscriber** [FIRST LAST NAME]  
**Effective date** [MM/DD/YYYY]  
**Plan** [XXX] Including Metallic Level and Actuarial Number

**Health Net of California, Inc.**

(Copays may apply after deductible)

**PCP Visit \$XX Specialist Visit \$XX ER \$XXX Urgent Care \$XX Teladoc \$XX**

**Your Health Net ID card**

Attached is your new Health Net member identification card. Please discard any old identification cards you may have from Health Net. If there is an error on this card, or you need to verify eligibility for benefits, please call us at 1-800-839-2172 (TTY: 711). Please see your Plan Contract and Evidence of Coverage for full plan details.

**Your physician group**

You have selected or have been assigned the physician group listed on your card to manage your care. In order to be covered by Health Net, all medical and hospital services must be rendered or authorized by the assigned physician group, unless it's an emergency.

**Your primary care physician**

Your PCP oversees all your health care and provides referrals if specialty care is needed. Your health plan uses the Ambetter HMO provider network. In order to be covered, please make sure you use doctors, hospitals, etc. that are in the Ambetter HMO provider network. If your situation is an emergency, call 911 or go to the nearest hospital or emergency care facility. Want to change your PCP? You can:

- Go to [www.myhealthnetca.com](http://www.myhealthnetca.com) to find a doctor in the Ambetter HMO provider network.
- Call 1-800-839-2172 (TTY: 711), Monday through Friday, 8:00 a.m to 6 p.m.

**Teladoc 24/7 Video Doctor Visits**

Teladoc gives you 24/7 access to U.S. board-certified doctors. You can access them with ease - either through the web, your phone or through the Teladoc app. Get the care you need in minutes from the comfort of home or at work. Or, get care even while traveling!


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You may receive services on an in-person basis or via telehealth, if available, from your primary care provider, a treating specialist or from another contracting individual health professional, contracting clinic, or contracting health facility consistent with the service and existing timeliness and geographic access standards required under California law. Any cost share for services received through Teladoc Health (Teladoc) will accrue toward your out-of-pocket maximum and deductible (if your plan has a deductible). By scheduling through Teladoc, you consent to receive services via telehealth through Teladoc. See your health plan coverage document for coverage information and for the definition of telehealth services. You have a right to access your medical records for services received through Teladoc. Unless you choose otherwise, any services provided through Teladoc shall be shared with your primary care provider.

[www.myhealthnetca.com](http://www.myhealthnetca.com)  
Customer Contact Center  
Mental Health Benefits  
24-hour Nurse Advice  
Virtual Doctor Visits

1-800-839-2172  
1-888-426-0030  
1-800-893-5597  
[www.Teladoc.com](http://www.Teladoc.com)

Pharmacy Help Desk 1-800-800-0180  
 RBIN: 004336 R4PCN: ADV R4GRP: R4S466  
 Processor: Caremark Pharmacy Claims  
 Health Net Commercial Claims  
 Payer ID 68069 PO Box 9040, Farmington, MO 63640-9040  
 Inpatient Admissions 1-800-995-7890  
 Providers Contact Center 1-877-857-0701  
 Mental Health Claims - MHN  
 Payer ID 22771 PO Box 14621, Lexington, KY 40512-4621




MultiPlan  
 Access may vary

[www.myhealthnetca.com](http://www.myhealthnetca.com)  
Customer Contact Center  
Mental Health Benefits  
24-hour Nurse Advice  
Virtual Doctor Visits

1-800-839-2172  
1-888-426-0030  
1-800-893-5597  
[www.Teladoc.com](http://www.Teladoc.com)

Pharmacy Help Desk 1-800-800-0180  
 RBIN: 004336 R4PCN: ADV R4GRP: R4S466  
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 Providers Contact Center 1-877-857-0701  
 Mental Health Claims - MHN  
 Payer ID 22771 PO Box 14621, Lexington, KY 40512-4621



MultiPlan  
 Access may vary





Member FIRST MI LASTNAME  
 Subscriber FIRST M LASTNAME  
 Effective Date 01/01/2020  
 Group Name From ABS or PEGA  
 Group # 234532  
 Plan Xxxxxx

PCP visit \$XX/\$XX/\$XX  
 Specialist \$XX/\$XX/\$XX  
 MinuteClinic \$XX/\$XX/\$XX  
 Urgent Care \$XX/\$XX/\$XX  
 ER \$XX/\$XX/\$XX

Deductibles	Tier 1	Tier 2
One Member	\$X,XXX	\$X,XXX
Family	\$X,XXX	\$X,XXX

**SELECT POS**  
 Member ID #[XXXXXXXXXXXX]

**Medical Group and PCP**  
 OU7 Health Care Partners of Los Angeles  
 1-818-773-4433  
 4747 Buena Vista St.  
 Burbank, CA 91505-7865  
 Effective date with PPG: MM/DD/YYYY

Dr. Martin Short  
 1-818-773-4433  
 In case of emergency call 911

Out Of Pocket Max	Tier 1	Tier 2
One Member	\$X,XXX	\$X,XXX
Family	\$X,XXX	\$X,XXX

[www.healthnet.com](http://www.healthnet.com)

Member Services 1-800-676-6976 (TTY: 711)  
 Mental Health Benefits and Appointments 1-800-426-0030 (TTY: 711)  
 24-hour Nurse Advice Line 1-800-893-5597 (TTY: 711)  
 24/7 Video Doctor Appointment [www.teladoc.com](http://www.teladoc.com)

**Provider Services** 1-800-641-7761  
**To report, or request approval for, inpatient admits, call:** 1-800-995-7890  
**Pharmacy Help Desk** 1-800-600-0180  
 RxBIN #004336 RxPCN 'HNET' Processor Caremark

**California Medical & Mental Health Benefit Claims**  
 Health Net Commercial Claims  
 Payer ID 95567, PO Box 9040  
 Farmington, MO 63640-9040

**Outside of California Medical & Mental Health Benefit Claims**  
 Cigna Medical Claims  
 Payer ID 62308, PO Box 188061  
 Chattanooga, TN 37422-8061

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Access may vary

AWAY FROM HOME CARE

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## Provider Communications



# Better Communication, Better Care:

PROVIDER TOOLS TO CARE FOR DIVERSE POPULATIONS



## INTRODUCTION FOR HEALTHCARE PROFESSIONALS:

### Why was this Cultural and Linguistic Provider Tool Kit created?

This set of materials was produced by a nation-wide team of healthcare professionals who, like you, are dedicated to providing high quality, effective, and compassionate care to their patients. In our awareness of differences in individual belief and behavior, changes in demographics and new legal mandates, we are constantly presented with new challenges in our attempts to deliver adequate and cultural sensitive health care to a diverse patient population. The material in this tool kit will provide you with resources and information to effectively communicate and understand our diverse patient populations. The tool kit also provides many useful instruments and aids to help with specific operational needs that can arise in your office or facility.

The tool kit contents are organized into four sections; each containing helpful background information and tools that can be reproduced and used as needed. Below you will find a list of the section topics and a small sample of their contents:

- **Interaction with a diverse patient base:** encounter tips for providers and their clinical staff, a mnemonic to assist with patient interviews, help in identifying literacy problems, and an interview guide for hiring clinical staff who have an awareness of diversity issues.
- **Communication across language barriers:** tips for locating and working with interpreters, common signs and common sentences in many languages, language identification flashcards, and employee language prescreening tool.
- **Understanding patients from various cultural backgrounds:** tips for talking about sex with a wide range of people, delivering care to lesbian, gay, bisexual or transgender, pain management across cultures, and information about different cultural backgrounds.
- **References and resources:** key legal requirements including 45 CFR 92 – Non Discrimination Rule, a summary of the "Culturally and Linguistically Appropriate Service (CLAS) Standards," which serve as a guide on how to meet legal requirements, Race/Ethnicity/Language categories, a bibliography of print resources, and a list of internet resources.

We consider this tool kit a work in progress. Patient needs and the tools we use to work with those changing needs will continue to evolve. We understand that some portions of this tool kit will be more useful than others for individual practices or service settings, after all, practices vary as much as the places where they are located. We encourage you to use what is helpful, disregard what is not, and, if possible communicate your reaction to the contents to the ICE Cultural and Linguistics Workgroup at: [CL\\_Team@iceforhealth.org](mailto:CL_Team@iceforhealth.org).

On behalf of the ICE Cultural and Linguistic Workgroup,

Diana Carr, MA  
Health Net of  
California, Inc.  
Medical  
Anthropologist

Peggy Payne, MA  
Cigna, Health Equity  
Strategy  
Clinical Nutritionist, Certified  
Gerontologist

Valencia Walker  
CTT+  
Cigna  
Language  
Assistance Services  
Manager

Lali (Eulalia) Witrago, MPH  
Health Net of California,  
Inc.  
Sr. Cultural and Linguistics  
Consultant

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**SECTION A: RESOURCES TO ASSIST COMMUNICATION  
WITH A DIVERSE PATIENT POPULATION BASE**



## A GUIDE TO INFORMATION IN SECTION A

### RESOURCES TO COMMUNICATE WITH A DIVERSE PATIENT BASE

The communication strategies suggested in this section are intended to minimize patient-provider, and patient-office staff miscommunications, and foster an environment that is non-threatening and comfortable to the patient.

We recognize that every patient encounter is unique. The goal is to eliminate cultural barriers that inhibit effective communication, diagnosis, treatment and care. The suggestions presented are intended to guide providers and build sensitivity to cultural differences and styles. By enhancing your cultural sensitivity and ability to tailor the delivery of care to your patients' needs you will:

- Enhance communication
- Decrease repeat visits
- Decrease unnecessary lab tests
- Increase compliance
- Avoid Civil Rights Act violations

**The following materials are available in this section:**

<b>Working with Diverse Patients: Tips for Successful Patient Encounters</b>	A tip sheet designed to help providers enhance their patient communication skills.
<b>Partnering with Diverse Patients: Tips for Office Staff to Enhance Communication</b>	A tip sheet designed to help office staff enhance their patient communication skills.
<b>Non-verbal Communication and Patient Care</b>	An overview of the impact of nonverbal communication on patient-provider relations and communication.
<b>"Diverse": A Mnemonic for Patient Encounters Tips for Identifying Health Literacy Issues</b>	A mnemonic to help you individualize care based on cultural/diversity aspects.
<b>Tips for Identifying and Addressing Health Literacy Issues</b>	A tip sheet to help understand and work with patients with Health literacy.
<b>Interview Guide for Hiring Office/Clinic Staff with Diversity Awareness</b>	A list of interview questions to help determine if a job candidate is likely to work well with individuals of diverse backgrounds.
<b>Americans with Disabilities Act (ADA) Sign Language and Alternative Formats Requirements</b>	Tip sheets to help providers better communicate with patients with vision, hearing, or speech disabilities.
<b>Americans with Disabilities Act (ADA) Requirements for Effective Communication How to Implement Language Services</b>	A tip sheet to help providers communicate effectively with their patients.
<b>Supporting Patients with 211 and 711 Community Services</b>	A tip sheet to help providers utilize community services for patients with special needs.

## WORKING WITH DIVERSE PATIENTS: TIPS FOR SUCCESSFUL PATIENT ENCOUNTERS

To enhance patient/provider communication and to avoid being unintentionally insulting or patronizing, be aware of the following:

**Styles of Speech:** *People vary greatly in length of time between comment and response, the speed of their speech, and their willingness to interrupt.*

- Tolerate gaps between questions and answers, impatience can be seen as a sign of disrespect.
- Listen to the volume and speed of the patient's speech as well as the content. Modify your own speech to more closely match that of the patient to make them more comfortable.
- Rapid exchanges, and even interruptions, are a part of some conversational styles. Don't be offended if no offense is intended when a patient interrupts you.
- Stay aware of your own pattern of interruptions, especially if the patient is older than you are.

**Eye Contact:** *The way people interpret various types of eye contact is tied to cultural background and life experience.*

- Most Euro-Americans expect to look people directly in the eyes and interpret failure to do so as a sign of dishonesty or disrespect.
- For many other cultures direct gazing is considered rude or disrespectful. Never force a patient to make eye contact with you.
- If a patient seems uncomfortable with direct gazes, try sitting next to them instead of across from them.

**Body Language:** *Sociologists say that 80% of communication is non-verbal. The meaning of body language varies greatly by culture, class, gender, and age.*

- Follow the patient's lead on physical distance and touching. If the patient moves closer to you or touches you, you may do the same. However, stay sensitive to those who do not feel comfortable, and ask permission to touch them.
- Gestures can mean very different things to different people. Be very conservative in your own use of gestures and body language. Ask patients about unknown gestures or reactions.
- Do not interpret a patient's feelings or level of pain just from facial expressions. The way that pain or fear is expressed is closely tied to a person's cultural and personal background.

**Gently Guide Patient Conversation:** *English predisposes us to a direct communication style; however other languages and cultures differ.*

- Initial greetings can set the tone for the visit. Many older people from traditional societies expect to be addressed more formally, no matter how long they have known their physician. If the patient's preference is not clear, ask how they would like to be addressed.
- Patients from other language or cultural backgrounds may be less likely to ask questions and more likely to answer questions through narrative than with direct responses. Facilitate patient-centered communication by asking open-ended questions whenever possible.
- Avoid questions that can be answered with "yes" or "no." Research indicates that when patients, regardless of cultural background, are asked, "Do you understand," many will answer, "yes" even when they really do not understand. This tends to be more common in teens and older patients.
- Steer the patient back to the topic by asking a question that clearly demonstrates that you are listening.

## **PARTNERING WITH DIVERSE PATIENTS: TIPS FOR OFFICE STAFF TO ENHANCE COMMUNICATION**

### **1. Build rapport with the patient.**

- Address patients by their last name. If the patient's preference is not clear, ask, "How would you like to be addressed?"
- Focus your attention on patients when addressing them.
- Learn basic words in your patient's primary language, like "hello" or "thank you".
- Recognize that patients from diverse backgrounds may have different communication needs.
- Explain the different roles of people who work in the office.

### **2. Make sure patients know what you do.**

- Take a few moments to prepare a handout that explains office hours, how to contact the office when it is closed, and how the PCP arranges for care (i.e. PCP is the first point of contact and refers to specialists).
- Have instructions available in the common language(s) spoken by your patient base.

### **3. Keep patients' expectations realistic.**

- Inform patients of delays or extended waiting times. If the wait is longer than 15 minutes, encourage the patient to make a list of questions for the doctor, review health materials or view waiting room videos.

### **4. Work to build patients' trust in you.**

- Inform patients of office procedures such as when they can expect a call with lab results, how follow-up appointments are scheduled, and routine wait times.

### **5. Determine if the patient needs an interpreter for the visit.**

- Document the patient's preferred language in the patient chart.
- Have an interpreter access plan. An interpreter with a medical background is preferred to family or friends of the patient.
- Assess your bilingual staff for interpreter abilities. (see Employee Language Skills Self-Assessment Tool).
- Possible resources for interpreter services are available from health plans, the state health department, and the Internet. See contracted health plans for applicable payment processes.

### **6. Give patients the information they need.**

- Have topic-specific health education materials in languages that reflect your patient base. (Contact your contracting health plans/contracted medical groups for resources.)
- Offer handouts such as immunization guidelines for adults and children, screening guidelines, and culturally relevant dietary guidelines for diabetes or weight loss.

### **7. Make sure patients know what to do.**

- Review any follow-up procedures with the patient before he or she leaves your office.
- Verify call back numbers, the locations for follow-up services such as labs, X-ray or screening tests, and whether or not a follow-up appointment is necessary.
- Develop pre-printed simple handouts of frequently used instructions, and translate the handouts into the common language(s) spoken by your patient base. (Contact your contracting health plans/contracted medical groups for resources.)



## NON- VERBAL COMMUNICATION AND PATIENT CARE

Non-verbal communication is a subtle form of communication that takes place in the **initial three seconds** after meeting someone for the first time and can continue through the entire interaction. Research indicates that non-verbal communication accounts for approximately **70%** of a communication episode. Non-verbal communication can impact the success of communication more acutely than the spoken word. Our culturally informed unconscious framework evaluates gestures, appearance, body language, the face, and how space is used. Yet, we are rarely aware of how persons from other cultures perceive our nonverbal communication or the subtle cues we have used to assess the person.

The following are case studies that provide examples of non-verbal miscommunication that can sabotage a patient-provider encounter. Broad cultural generalizations are used for illustrative purposes. They should not be mistaken for stereotypes. A stereotype and a generalization may appear similar, but they function very differently. A **stereotype** is an ending point; no attempt is made to learn whether the individual in question fits the statement. A **generalization** is a beginning point; it indicates common trends, but further information is needed to ascertain whether the statement is appropriate to a particular individual.

*Generalizations can serve as a guide to be accompanied by individualized in-person assessment. As a rule, ask the patient, rather than assume you know the patient's needs and wants. If asked, patients will usually share their personal beliefs, practices and preferences related to prevention, diagnosis and treatment.*

### Eye Contact



*Ellen was trying to teach her Navaho patient, Jim Nez, how to live with his newly diagnosed diabetes. She soon became extremely frustrated because she felt she was not getting through to him. He asked very few questions and never met her eyes. She reasoned from this that he was uninterested and therefore not listening to her.<sup>1</sup>*

It is rude to meet and hold eye contact with an elder or someone in a position of authority such as health professionals in most Latino, Asian, American Indian and many Arab countries. It may be also considered a form of social aggression if a male insists on meeting and holding eye contact with a female.

### Touch and Use of Space

*A physician with a large medical group requested assistance encouraging young female patients to make and keep their first well woman appointment. The physician stated that this group had a high no-show rate and appointments did not go as smoothly as the physician would like.*

Talk the patient through each exam so that the need for the physical contact is

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<sup>1, 2</sup> Galanti, G. (1997). *Caring for Patients from Different Cultures*. University of Pennsylvania Press.  
Hall, E.T. (1985). *Hidden Differences: Studies in International Communication*. Hamburg: Gruner & Jahr.  
Hall, E.T. (1990). *Understanding Cultural Differences*. Yarmouth, ME: Intercultural Press.

understood, prior to the initiation of the examination. Ease into the patients' personal space. If there are any concerns, ask before entering the three-foot zone. This will help ease the patient's level of discomfort and avoid any misinterpretation of physical contact. Additionally, physical contact between a male and female is strictly regulated in many cultures. An older female companion may be necessary during the visit.

### **Gestures**

*An Anglo patient named James Todd called out to Elena, a Filipino nurse: "Nurse, nurse." Elena came to Mr. Todd's door and politely asked, "May I help you?" Mr. Todd beckoned her to come closer by motioning with his right index finger. Elena remained where she was and responded in an angry voice, "What do you want?" Mr. Todd was confused. Why had Elena's manner suddenly changed?<sup>1</sup>*

Gestures may have dramatically different meanings across cultures. It is best to think of gestures as a local dialect that is familiar only to insiders of the culture. Conservative use of hand or body gestures is recommended to avoid misunderstanding. In the case above, Elena took offense to Mr. Todd's innocent hand gesture. In the Philippines (and in Korea) the "come here" hand gesture is used to call animals.

### **Body Posture and Presentation**

*Carrie was surprised to see that Mr. Ramirez was dressed very elegantly for his doctor's visit. She was confused by his appearance because she knew that he was receiving services on a sliding fee scale. She thought the front office either made a mistake documenting his ability to pay for service, or that he falsely presented his income.*

Many cultures prioritize respect for the family and demonstrate family respect in their manner of dress and presentation in public. Regardless of the economic resources that are available or the physical condition of the individual, going out in public involves creating an image that reflects positively on the family – the clothes are pressed, the hair is combed, and shoes are clean. A person's physical presentation is not an indicator of their economic situation.

### **Use of Voice**

*Dr. Moore had three patients waiting and was feeling rushed. He began asking health related questions of his Vietnamese patient Tanya. She looked tense, staring at the ground without volunteering much information. No matter how clearly he asked the question he couldn't get Tanya to take an active part in the visit.*

The **use** of voice is perhaps one of the most difficult forms of non-verbal communication to change, as we rarely hear how we sound to others. If you speak too fast, you may be seen as not being interested in the patient. If you speak too loud, or too soft for the space involved, you may be perceived as domineering or lacking confidence. Expectations for the use of voice vary greatly between and within cultures, for male and female, and the young and old. *The best suggestion is to search for non-verbal cues to determine how your voice is affecting your patient.*

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<sup>1</sup> Galanti, G. (1997). *Caring for Patients from Different Cultures*. University of Pennsylvania Press.  
Hall, E.T. (1985). *Hidden Differences: Studies in International Communication*. Hamburg: Gruner & Jahr.  
Hall, E.T. (1990). *Understanding Cultural Differences*. Yarmouth, ME: Intercultural Press.

## “DIVERSE” A MNEMONIC FOR PATIENT ENCOUNTERS

A mnemonic will assist you in developing a personalized care plan based on cultural/diversity aspects. Place in the patient's chart or use the mnemonic when gathering the patient's history on a SOAP progress note.

	Assessment	Sample Questions	Assessment Information/ Recommendations
<b>D</b>	<b>Demographics-</b> <i>Explore regional background, level of –acculturation, age and sex as they influence health care behaviors.</i>	Where were you born? Where was “home” before coming to the U.S.? How long have you lived in the U.S.? What is the patient’s age and sex?	
<b>I</b>	<b>Ideas-</b> <i>ask the patient to explain his/her ideas or concepts of health and illness.</i>	What do you think keeps you healthy? What do you think makes you sick? What do you think is the cause of your illness? Why do you think the problem started?	
<b>V</b>	<b>Views of health care treatments-</b> <i>ask about treatment preference, use of home remedies, and treatment avoidance practices.</i>	Are there any health care procedures that might not be acceptable? Do you use any traditional or home health remedies to improve your health? What have you used before? Have you used alternative healers? Which? What kind of treatment do you think will work?	
<b>E</b>	<b>Expectations-</b> <i>ask about what your patient expects from his/her doctor?</i>	What do you hope to achieve from today’s visit? What do you hope to achieve from treatment? Do you find it easier to talk with a male/female? Someone younger/older?	
<b>R</b>	<b>Religion-</b> <i>asks about your patient’s religious and spiritual traditions.</i>	Will religious or spiritual observances affect your ability to follow treatment? How? Do you avoid any particular foods? During the year, do you change your diet in celebration of religious and other holidays?	
<b>S</b>	<b>Speech-</b> <i>identifies your patient’s language needs including health literacy levels. Avoid using a family member as an interpreter.</i>	What language do you prefer to speak? Do you need an interpreter? What language do you prefer to read? Are you satisfied with how well you read? Would you prefer printed or spoken instructions?	
<b>E</b>	<b>Environment –</b> <i>identify patient’s home environment and the cultural/diversity aspects that are part of the environment. Home environment includes the patient’s daily schedule, support system and level of independence.</i>	Do you live alone? How many other people live in your house? Do you have transportation? Who gives you emotional support? Who helps you when you are ill or need help? Do you have the ability to shop/cook for yourself? What times of day do you usually eat? What is your largest meal of the day?	

## TIPS FOR IDENTIFYING AND ADDRESSING HEALTH LITERACY ISSUES

### LOW HEALTH LITERACY CAN PREVENT PATIENTS FROM UNDERSTANDING THEIR HEALTH CARE SERVICES.

Health Literacy is defined by the National Health Education Standards<sup>1</sup> as *"the capacity of an individual to obtain, interpret, and understand basic health information and services and the competence to use such information and services in ways which are health-enhancing."*

This includes the ability to understand written instructions on prescription drug bottles, appointment slips, medical education brochures, doctor's directions and consent forms, and the ability to negotiate complex health care systems. Health literacy is not the same as the ability to read and is not necessarily related to year of education. A person who functions adequately at home or work may have marginal or inadequate literacy in health care environment.

#### Possible Signs of Low Health Literacy

Your patients may frequently say:

- I forgot my glasses.
- My eyes are tired.
- I'll take this home for my family to read.
- What does this say? I don't understand this.

Your patients' behaviors may include:

- Not getting their prescriptions filled, or not taking their medications as prescribed.
- Consistently arriving late to appointments.
- Returning forms without completing them.
- Requiring several calls between appointments to clarify instructions.

#### Barriers to Health Literacy

- The ability to read and comprehend health information is impacted by a range of factors including age, socioeconomic background, education and culture.
- A patient's culture and life experience may have an effect on their health literacy.
- An accent, or a lack of accent, can be misread as an indicator of a person's ability to read English.
- Different family dynamics can play a role in how a patient receives and processes information.
- In some cultures it is inappropriate for people to discuss certain body parts or functions leaving some with a very poor vocabulary for discussing health issues.
- In adults, reading skills in a second language may take 6-12 years to develop.

### TIPS FOR DEALING with LOW HEALTH LITERACY<sup>1</sup>

<ul style="list-style-type: none"> <li>✓ Use simple words and avoid jargon.</li> <li>✓ Never use acronyms.</li> <li>✓ Avoid technical language (if possible).</li> <li>✓ Repeat important information – a patient's logic may be different from yours.</li> <li>✓ Ask patients to repeat back to you important information.</li> <li>✓ Ask open-ended questions.</li> <li>✓ Use medically trained interpreters familiar with cultural nuances.</li> </ul>	<ul style="list-style-type: none"> <li>✓ Give information in small chunks.</li> <li>✓ Articulate words.</li> <li>✓ “Read” written instructions out loud.</li> <li>✓ Speak slowly (don't shout).</li> <li>✓ Use body language to support what you are saying.</li> <li>✓ Draw pictures, use posters, models or physical demonstrations.</li> <li>✓ Use video and audio media as an alternative to written communications.</li> </ul>
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### ADDITIONAL RESOURCES

Use **Ask Me 3**<sup>2</sup>. Ask Me 3<sup>®</sup> is a program designed by health literacy experts intended to help patients become more active in their health care. It supports improved communication between patients, families and their health care providers.

Patients who understand their health have better health outcomes. Encourage your patients to ask these three specific questions:

1. What is my main problem?
2. What do I need to do?
3. Why is it important for me to do this?

Asking these questions is proven to help patients better understand their health conditions and what they need to do to stay healthy.

For more information or resources on Ask Me 3<sup>®</sup> and to view a video on how to use the questions, please visit <http://www.npsf.org/?page=askme3>. Ask Me 3 is a registered trademark licensed to the National Patient Safety Foundation (NPSF).



### American Medical Association (AMA)

The AMA offer multiple publications, tools and resources to improve patient outcomes. For more information, visit: <http://www.ama-assn.org/ama/pub/about-ama/ama-foundation.page>.

<sup>1</sup> Joint Committee on National Education Standards, 1995

<sup>2</sup> National Patient Safety Foundation, Ask Me 3<sup>®</sup>. <http://www.npsf.org/?page=askme3>

## INTERVIEW GUIDE FOR HIRING OFFICE/CLINIC STAFF WITH DIVERSITY AWARENESS

The following set of questions is meant to help you determine whether a job candidate will be sensitive to the cultural and linguistic needs of your patient population. By integrating some or all of these questions into your interview process, you will be more likely to hire staff that will help you create an office/clinic atmosphere of openness, affirmation, and trust between patients and staff. *Remember that bias and discrimination can be obvious and flagrant or small and subtle. Hiring practices should reflect this understanding.*



### INTERVIEW QUESTIONS

**Q. *What experience do you have in working with people of diverse backgrounds, cultures and ethnicities? The experiences can be in or out of a health care environment.***

The interviewee should demonstrate understanding and willingness to serve diverse communities. Any experience, whether professional or volunteer, is valuable.

**Q: *Please share any particular challenges or successes you have experienced in working with people from diverse backgrounds.***

You will want to get a sense that the interviewee has an appreciation for working with people from diverse backgrounds and understands the accompanying complexities and needs in an office setting.

**Q. *In the health care field we come across patients of different ages, language preference, sexual orientation, religions, cultures, genders, and immigration status, etc. all with different needs. What skills from your past customer service or community/healthcare work do you think are relevant to this job?***

This question should allow a better understanding of the interviewees approach to customer service across the spectrum of diversity, their previous experience, and if their skills are transferable to the position in question. Look for examples that demonstrate an understanding of varying needs. Answers should demonstrate listening and clear communication skills.

**Q. *What would you do to make all patients feel respected? For example, some Medicaid or Medicare recipients may be concerned about receiving substandard care because they lack private insurance.***

The answer should demonstrate an understanding of the behaviors that facilitate respect and the type of prejudices and bias that can result in substandard service and care.





## AMERICANS WITH DISABILITIES ACT (ADA) REQUIREMENTS

The following information is excerpts from the U.S. Department of Justice, Civil Rights Division, Disability Rights Section. For complete information, please visit: [www.ada.gov/effective-comm.htm](http://www.ada.gov/effective-comm.htm).

The Department of Justice published revised final regulations implementing the Americans with Disabilities Act (ADA) for title II (State and local government services) and title III (public accommodations and commercial facilities) on September 15, 2010, in the Federal Register. These requirements, or rules, clarify and refine issues that have arisen over the past 20 years and contain new, and updated, requirements, including the 2010 Standards for Accessible Design (2010 Standards).

### EFFECTIVE COMMUNICATION

#### Overview

**People who have vision, hearing, or speech disabilities (“communication disabilities”) use different ways to communicate. For example, people who are blind may give and receive information audibly rather than in writing and people who are deaf may give and receive information through writing or sign language rather than through speech.**

The ADA requires that title II entities (State and local governments) and title III entities (businesses and nonprofit organizations that serve the public) communicate effectively with people who have communication disabilities. The goal is to ensure that communication with people with these disabilities is equally effective as communication with people without disabilities. This publication is designed to help title II and title III entities (“covered entities”) understand how the rules for effective communication, including rules that went into effect on March 15, 2011, apply to them.

- The purpose of the effective communication rules is to ensure that the person with a vision, hearing, or speech disability can communicate with, receive information from, and convey information to, the covered entity.
- Covered entities must provide auxiliary aids and services when needed to communicate effectively with people who have communication disabilities.
- The key to communicating effectively is to consider the nature, length, complexity, and context of the communication and the person’s normal method(s) of communication.
- The rules apply to communicating with the person who is receiving the covered entity’s goods or services as well as with that person’s parent, spouse, or companion in appropriate circumstances.

### AUXILIARY AIDS AND SERVICES

The ADA uses the term “auxiliary aids and services” (“aids and services”) to refer to the ways to communicate with people who have communication disabilities.

- For people who are blind, have vision loss, or are deaf-blind, this includes providing a qualified reader; information in large print, Braille, or electronically for use with a computer screen-reading program; or an audio recording of printed information. A “qualified” reader means someone who is able to read effectively, accurately, and impartially, using any necessary specialized vocabulary.

- For people who are deaf, have hearing loss, or are deaf-blind, this includes providing a qualified note taker; a qualified sign language interpreter, oral interpreter, cued-speech interpreter, or tactile interpreter; real-time captioning; written materials; or a printed script of a stock speech (such as given on a museum or historic house tour). A “qualified” interpreter means someone who is able to interpret effectively, accurately, and impartially, both receptively (i.e., understanding what the person with the disability is saying) and expressively (i.e., having the skill needed to convey information back to that person) using any necessary specialized vocabulary.
- For people who have speech disabilities, this may include providing a qualified speech-to-speech transliterator (a person trained to recognize unclear speech and repeat it clearly) , especially if the person will be speaking at length, such as giving testimony in court, or just taking more time to communicate with someone who uses a communication board. In some situations, keeping paper and pencil on hand so the person can write out words that staff cannot understand or simply allowing more time to communicate with someone who uses a communication board or device may provide effective communication. Staff should always listen attentively and not be afraid or embarrassed to ask the person to repeat a word or phrase they do not understand.

In addition, aids and services include a wide variety of technologies including:
1) Assistive listening systems and devices;
2) Open captioning, closed captioning, real-time captioning, and closed caption decoders and devices;
3) Telephone handset amplifiers, hearing-aid compatible telephones; text telephones (TTYs), videophones, captioned telephones, and other voice, text, and video-based telecommunications products;
4) Videotext displays;
5) Screen reader software, magnification software, and optical readers;
6) Video description and secondary auditory programming (SAP) devices that pick up video-described audio feeds for television programs;
7) Accessibility features in electronic documents and other electronic and information technology that is accessible (either independently or through assistive technology such as screen readers).

## EFFECTIVE COMMUNICATION PROVISIONS

Covered entities must provide aids and services when needed to communicate effectively with people who have communication disabilities. The key to deciding what aid or service is needed to communicate **effectively** is to consider the nature, length, complexity, and context of the communication as well as the person’s normal method(s) of communication.

Some easy solutions work in relatively simple and straightforward situations. For example:

- In a lunchroom or restaurant, reading the menu to a person who is blind allows that person to decide what dish to order.
- In a retail setting, pointing to product information or writing notes back and forth to answer simple questions about a product may allow a person who is deaf to decide whether to purchase the product.
- Other solutions may be needed where the information being communicated is more extensive or complex.



For example:

*In a law firm, providing an accessible electronic copy of a legal document that is being drafted for a client who is blind allows the client to read the draft at home using a computer screen-reading program.*

*In a doctor's office, an interpreter generally will be needed for taking the medical history of a patient who uses sign language or for discussing a serious diagnosis and its treatment options.*

**A person's method(s) of communication are also key.**

For example,

- Sign language interpreters are effective only for people who use sign language.
- Other methods of communication, such as those described above, are needed for people who may have lost their hearing later in life and does not use sign language.
- Similarly, Braille is effective only for people who read Braille.
- Other methods are needed for people with vision disabilities who do not read Braille, such as providing accessible electronic text documents, forms, etc. that can be accessed by the person's screen reader program.

Covered entities are also required to accept telephone calls placed through Telecommunication Relay Services (TRS) and Video Relay Services (VRS), and staff that answers the telephone must treat relay calls just like other calls. The communications assistant will explain how the system works if necessary.

Remember, the purpose of the effective communication rules is to ensure that the person with a communication disability can receive information from, and convey information to, the covered entity.

**COMPANIONS**

In many situations, covered entities communicate with someone other than the person who is receiving their goods or services. For example:

- School staff usually talk to a parent about a child's progress;
- Hospital staff often talks to a patient's spouse, other relative, or friend about the patient's condition or prognosis.

The rules refer to such people as "companions" and require covered entities to provide effective communication for companions who have communication disabilities.

The term "companion" includes any family member, friend, or associate of a person seeking or receiving an entity's goods or services who is an appropriate person with whom the entity should communicate.

**USE OF ACCOMPANYING ADULTS OR CHILDREN AS INTERPRETERS**

Historically, many covered entities have expected a person who uses sign language to bring a family member or friend to interpret for him or her. These people often lacked the impartiality and specialized vocabulary needed to interpret effectively and accurately. It was particularly problematic to use people's children as interpreters.



The ADA places responsibility for providing effective communication, including the use of interpreters, directly on covered entities. They cannot require a person to bring someone to interpret for him or her. A covered entity can rely on a companion to interpret in only two situations.

(1) In an emergency involving an imminent threat to the safety or welfare of an individual or the public, an adult or minor child accompanying a person who uses sign language may be relied upon to interpret or facilitate communication only when a qualified interpreter is not available.

(2) In situations **not** involving an imminent threat, an adult accompanying someone who uses sign language may be relied upon to interpret or facilitate communication when a) the individual requests this, b) the accompanying adult agrees, and c) reliance on the accompanying adult is appropriate under the circumstances. This exception does **not** apply to minor children.

Even under exception (2), covered entities may **not** rely on an accompanying adult to interpret when there is reason to doubt the person's impartiality or effectiveness. For example:

- It would be inappropriate to rely on a companion to interpret who feels conflicted about communicating bad news to the person or has a personal stake in the outcome of a situation.
- When responding to a call alleging spousal abuse, police should never rely on one spouse to interpret for the other spouse.

#### WHO DECIDES WHICH AID OR SERVICE IS NEEDED?

When choosing an aid or service, title II entities are required to give primary consideration to the choice of aid or service requested by the person who has a communication disability. The state or local government must honor the person's choice, unless it can demonstrate that another equally effective means of communication is available, or that the use of the means chosen would result in a fundamental alteration or in an undue burden (see limitations below).

If the choice expressed by the person with a disability would result in an undue burden or a fundamental alteration, the public entity still has an obligation to provide an alternative aid or service that provides effective communication if one is available.

Title III entities are **encouraged** to consult with the person with a disability to discuss what aid or service is appropriate. The goal is to provide an aid or service that will be effective, given the nature of what is being communicated and the person's method of communicating.

**Covered entities may require reasonable advance notice from people requesting aids or services, based on the length of time needed to acquire the aid or service, but may not impose excessive advance notice requirements. "Walk-in" requests for aids and services must also be honored to the extent possible.**

For more information about the ADA, please visit the website or call the toll-free number. [www.ADA.gov](http://www.ADA.gov)  
[ADA Information Line](#) 800-514-0301 (Voice) and 800-514-0383 (TTY)



## ADA REQUIREMENTS FOR EFFECTIVE COMMUNICATION

The purpose of the effective communication rules is to ensure that the person with a vision, hearing or speech disability can communicate with, receive information from, and convey information to, the covered entity (physician office, clinic, hospital, nursing home, etc.)  
Covered entities must provide auxiliary aids and services when needed to communicate effectively with people who have communication disabilities. The person with the disability can choose the type of aid/service.

<b>Your patient may need assistance because ...</b>	<b>These are some options we can provide for you.....</b>
Am blind or have vision impairments that keep me from reading	<ul style="list-style-type: none"> <li>- Large print materials</li> <li>- Physician can complete form for talking books through National Library Service for the Blind and Physically Handicapped <a href="https://www.loc.gov/nls/pdf/application.pdf">https://www.loc.gov/nls/pdf/application.pdf</a></li> <li>- Physician can complete form for Vision enabled telephone-- <a href="http://www.californiaphones.org/application">http://www.californiaphones.org/application</a></li> <li>-Check with health plans to see what they have available (audio recordings of printed materials, etc.)</li> </ul>
Am hard of hearing and have trouble hearing and understanding directions, or answering the doorbell	<ul style="list-style-type: none"> <li>- Amplifier/ Pocket Talker</li> <li>- Written materials</li> <li>- Qualified sign language interpreter</li> <li>- Qualified note taker</li> <li>- Telecommunications Relay Service (TRS) 7-1-1</li> <li>- Have physician dictate into voice-recognition software and patient can type answers back</li> </ul>
Have difficulty speaking clearly and making myself understood	<ul style="list-style-type: none"> <li>- Allow for extra time and attentive listening</li> <li>- Qualified note taker</li> <li>- Telecommunications Relay Services (TRS) 7-1-1</li> <li>- Communication board or paper and pencil</li> <li>- Have physician dictate into voice-recognition software and patient can type answers back</li> </ul>

\* All requirements also apply to individual's companion or caregiver when communication with that person is appropriate. An individual's companion or caregiver should not be relied on to act as the qualified interpreter.

### Resources

- The Gerontological Society of America  
[http://aging.arizona.edu/sites/aging/files/activity\\_1\\_reading\\_1.pdf](http://aging.arizona.edu/sites/aging/files/activity_1_reading_1.pdf)
- American Speech Language Hearing Association  
<http://www.asha.org/public/speech/development/Communicating-Better-With-Older-People/>
- Administration for Community Living DHHS  
[http://www.aoa.acl.gov/AoA\\_Programs/Tools\\_Resources/Older\\_Adults.aspx](http://www.aoa.acl.gov/AoA_Programs/Tools_Resources/Older_Adults.aspx)
- The Look Closer, See Me Generational Diversity and Sensitivity training program  
[http://nursing.uc.edu/content/dam/nursing/docs/CFAWD/LookCloserSeeMe/Module%204\\_GDS\\_T\\_Reference%20Guide.pdf](http://nursing.uc.edu/content/dam/nursing/docs/CFAWD/LookCloserSeeMe/Module%204_GDS_T_Reference%20Guide.pdf)
- U.S Department of Justice- ADA requirements for Effective Communication  
<https://www.ada.gov/effective-comm.htm>

# Language Services: The KEY to Patient Engagement

**Where do I start?**  
Check out the Q&A below to learn more...



**Why does my office need a language service plan?**



Clear communication is the absolute heart of medical practice. Seven out of ten surveyed physicians indicated that language barriers represent a top priority for the health care field<sup>1</sup>. Unaddressed barriers can:

- Compromise quality of care
- Result in poor outcomes
- Have legal consequences
- Increase litigation risk

**Where do I start?**



**Get Ready:**

- Gather your team
- Make a commitment
- Identify needs

**Get Set:** *identify resources*

**Go:** *pull it all together, implement, evaluate, plan for the future*

**What language service needs should I begin to identify?**



**Keep it simple and write down:**

- *What you know about your patient demographics*
- *What you already do to provide language services*
- *Where you can grow and strengthen your language services*

**Where can I find resources?**



- [Providing Language Services](#)
- [Incorporating Interpreter Services](#)
- [Self-assessment checklist](#)
- [Language Access Assessment and Planning Tool](#)

## Get Ready, Get Set, Go!

**Get ready!**

- Identify a designee or small team and commit to improve your capacity to serve individuals with limited English proficiency (LEP)
- Identify the most common languages of LEP patients you serve
- Create a checklist of what is already in place related to: interpreters, qualified bilingual staff and translated materials
- Document what needs to be enhanced

**Get set!**

- Review resources and identify those most useful for your office

**Go!**

- Create plan, implement, evaluate and plan for the future:
- Staff training on language service plan and cultural competency



<sup>1</sup> Wirthlin Worldwide 2002 RWJF Survey





## **SUPPORTING PATIENTS WITH 211 AND 711 COMMUNITY SERVICES**

**211 and 711** are free and easy to use services that can be used as resources to support patients with special needs. Each of these services operates in all States and is offered at no cost to the caller 24 hours a day/7 days a week.

### **211**

211 is a free and confidential service that provides a single point of contact for people that are looking for a wide range of health and human services programs. With one call, individuals can speak with a local highly trained service professional to assist them in finding local social services agencies, and guide them through the maze of groups that specialize in housing assistance, food programs, counseling, hospice, substance abuse and other aid.

**For more information, look for your local 211.org.**

### **711**

711 is a no cost relay service that uses an operator, phone system and a special teletypewriter (TDD or TTY) to help people with hearing or speech impairments have conversations over the phone. The 711 relay service can be used to place a call to a TTY line or receive a call from a TTY line. Both voice and Telecommunications Relay Service (TRS) users can initiate a call from any telephone, anywhere in the United States, without having to remember and dial a seven or ten-digit access number.

Simply dial 711 to be automatically connected to a TRS operator. Once connected the TRS operator will relay your spoken message in writing and will read responses back to you.

In some areas, 711 offers speech impairment assistance. Special trained speech recognition operators available to help facilitate communication with individuals that may have speech impairments.

**For more information, visit <http://ddtp.cpuc.ca.gov/homepage.aspx>**

## **Teletype Device**

### **Relay Operator**

### **Cell or Landline Phone**



## **SECTION B: RESOURCES TO COMMUNICATE ACROSS LANGUAGE BARRIERS**

## A GUIDE TO INFORMATION IN SECTION B

### RESOURCES TO COMMUNICATE ACROSS LANGUAGE BARRIERS

This section offers resources to help health care providers identify the linguistic needs of their Limited English Proficient (LEP) patients and strategies to meet their communication needs.



Research indicates that LEP patients face linguistic barriers when accessing health care services. These barriers have negative impacts on patient satisfaction and knowledge of diagnosis and treatment. Patients with linguistic barriers are less likely to seek treatment and preventive services. This leads to poor health outcomes and longer hospital stays.

This section contains useful tips and ready-to-use tools to help remove the linguistic barriers and improve the linguistic competence of health care providers. The tools are intended to assist health care providers in delivering appropriate and effective linguistic services, which leads to:

- Increased patient health knowledge and compliance with treatment
- Decreased problems with patient-provider encounters and increased patient satisfaction
- Increased **appropriate** utilization of health care services by patients
- Potential reduction in liability from medical errors

The following materials area available in this section:

<b>Tips for Working with LEP Members</b>	Suggestions to help communicate with LEP patients.
<b>Useful Tips for Communicating Across Language Barriers</b>	Suggestions to help identify and document language needs.
<b>Tips for Working with Interpreters</b>	Suggestions to maximize the effectiveness of an interpreter.
<b>Tips for Locating Interpreter Services</b>	Information to know when locating interpreter services.
<b>Common Sentences in Foreign Languages (Spanish &amp; Vietnamese)</b>	Simple phrases that can be used to communicate with LEP patients while waiting for an interpreter.
<b>Common Signs in Foreign Languages (Spanish &amp; Vietnamese)</b>	Simple signs that can be enlarged and posted in your facility.
<b>Language Identification Flashcard</b>	Tool to identify patient languages.
<b>Employee Language Pre-Screening Survey</b>	Pre-screening tool to identify employees that may be eligible for formal language proficiency testing
<b>Request for Proposal (RFP) Questions</b>	Sample screening questions to interview translation vendors

## TIPS FOR WORKING WITH LIMITED ENGLISH PROFICIENT MEMBERS

**California law** requires that health plans and insurers offer free interpreter services to both LEP members and health care providers and also ensure that the interpreters are professionally trained and are versed in medical terminology and health care benefits.

### Who is a LEP member?

Individuals who do not speak English as their primary language and who have a limited ability to read, speak, write, or understand English, may be considered limited English proficient (LEP).

### How to identify a LEP member over the phone



- Member is quiet or does not respond to questions
- Member simply says yes or no, or gives inappropriate or inconsistent answers to your questions
- Member may have trouble communicating in English or you may have a very difficult time understanding what they are trying to communicate
- Member self identifies as LEP by requesting language assistance

### Tips for working with LEP members and how to offer interpreter services

- Member speaks no English and you are unable to discern the language
- Connect with contracted telephonic interpretation vendor to identify language needed.
- Member speaks some English:
- Speak slowly and clearly. Do not speak loudly or shout. Use simple words and short sentences.
- How to offer interpreter services:

*"I think I am having trouble with explaining this to you, and I really want to make sure you understand. Would you mind if we connected with an interpreter to help us? Which language do you speak?"*

**OR**

*"May I put you on hold? I am going to connect us with an interpreter." (If you are having a difficult time communicating with the member)*

### Best practice to capture language preference

For LEP members it is a best practice to capture the members preferred language and record it in the plan's member data system.

*"In order for me (or Health Plan) to be able to communicate most effectively with you, may I ask what your preferred spoken and written language is?"*

\*This universal symbol for interpretive services at the top right of this document is from Hablamos Juntos, a Robert Wood Johnson funded project found at:

[http://www.hablamosjuntos.org/signage/symbols/default.using\\_symbols.asp#bpw](http://www.hablamosjuntos.org/signage/symbols/default.using_symbols.asp#bpw)



## TIPS FOR COMMUNICATING: ACROSS LANGUAGE BARRIERS

Limited English Proficient (LEP) patients are faced with language barriers that undermine their ability to understand information given by healthcare providers as well as instructions on prescriptions and medication bottles, appointment slips, medical education brochures, doctor's directions, and consent forms. They experience more difficulty (than other patients) processing information necessary to care for themselves and others.

### Tips to Identify a Patient's Preferred Language

- Ask the patient for their preferred spoken and written language.
- Display a poster of common languages spoken by patients; ask them to point to their language of preference.

Post information relative to the availability of interpreter services.

Make available and encourage patients to carry "I speak..." or "Language ID" cards.

(Note: Many phone interpreter companies provide language posters and cards at no charge.)

### Tips to Document Patient Language Needs

For all Limited English Proficient (LEP) patients, document preferred language in paper and/or electronic medical records.

- Post color stickers on the patient's chart to flag when an interpreter is needed.  
(e.g. Orange =Spanish, Yellow=Vietnamese, Green=Russian).

### Tips to Assessing which Type of Interpreter to Use

- Telephone interpreter services are easily accessed and available for short conversations or unusual language requests.
- Face-to-face interpreters provide the best communication for sensitive, legal or long communications.
- Trained bilingual staff provides consistent patient interactions for a large number of patients.
- For reliable patient communication, avoid using minors and family members.

### Tips to Overcome Language Barriers

<b>Use Simple Words</b>	<ul style="list-style-type: none"> <li>• Avoid jargon and acronyms</li> <li>• Provide educational material in the languages your patients read</li> <li>• Limit/avoid technical language</li> </ul>
<b>Speak Slowly</b>	<ul style="list-style-type: none"> <li>• Do not shout, articulate words completely</li> <li>• Use pictures, demonstrations, video or audiotapes to increase understanding</li> <li>• Give information in small chunks and verify comprehension before going on.</li> </ul>
<b>Repeat Information</b>	<ul style="list-style-type: none"> <li>• Always confirm patient's understanding of the information - patient's logic may be different from yours</li> </ul>

## TIPS FOR WORKING WITH INTERPRETERS

### TELEPHONIC INTERPRETERS

- Tell the interpreter the purpose of your call. Describe the type of information you are planning to convey. \*
- Enunciate your words and try to avoid contractions, which can be easily misunderstood as the opposite of your meaning, e.g., "can't - cannot." \*
- Speak in short sentences, expressing one idea at a time.\*
- Speak slower than your normal speed of talking, pausing after each phrase.\*
- Avoid the use of double negatives, e.g., "If you don't appear in person, you won't get your benefits"\*
- Instead, "You must come in person in order to get your benefits."
- Speak in the first person. Avoid the "he said/she said." \*
- Avoid using colloquialisms and acronyms, e.g., "MFIP." If you must do so, please explain their meaning.\*
- Provide brief explanations of technical terms, or terms of art, e.g., "Spend-down" means the client must use up some of his/her monies or assets in order to be eligible for services." \*
- Pause occasionally to ask the interpreter if he or she understands the information that you are providing, or if you need to slow down or speed up in your speech patterns. If the interpreter is confused, so is the client. \*
- Ask the interpreter if, in his or her opinion, the client seems to have grasped the information that you are conveying. You may have to repeat or clarify certain information by saying it in a different way.\*
- **ABOVE ALL, BE PATIENT** with the interpreter, the client and yourself! Thank the interpreter for performing a difficult and valuable service. \*
- The interpreter will wait for you to initiate the closing of the call and will be the last to disconnect from the call.

When working with an interpreter over a speakerphone or with dual head/handsets, many of the principles of on-site interpreting apply. The only additional thing to remember is that the interpreter is "blind" to the visual cues in the room. The following will help the interpreter do a better job. \*\*

When the interpreter comes onto the line let the interpreter know the following: \*\*

- Who you are
- Who else is in the room
- What sort of office practice this is
- What sort of appointment this is

For example, "Hello interpreter, this is Dr. Jameson, I have Mrs. Dominguez and her adult daughter here for Mrs. Dominguez' annual exam." \*\*

- Give the interpreter the opportunity to introduce himself or herself quickly to the patient. \*\*
- If you point to a chart, a drawing, a body part or a piece of equipment, describe what you are pointing to as you do it.\*\*

## ON-SITE INTERPRETERS

- Hold a brief meeting with the interpreter beforehand to clarify any items or issues that require special attention, such as translation of complex treatment scenarios, technical terms, acronyms, seating arrangements, lighting or other needs.
- For **face-to-face** interpreting, position the interpreter off to the side and immediately behind the patient so that direct communication and eye contact between the provider and patient is maintained.
- For **American Sign Language (ASL)** interpreting, it is usually best to position the interpreter next to you as the speaker, the hearing person or the person presenting the information, opposite the deaf or hard of hearing person. This makes it easy for the deaf or hard of hearing person to see you and the interpreter in their line of sight.
- **Be aware** of possible gender conflicts that may arise between interpreters and patients. In some cultures, males should not be requested to interpret for females.
- **Be attentive** to cultural biases in the form of preferences or inclinations that may hinder clear communication. For example, in some cultures, especially Asian cultures, "yes" may not always mean "yes." Instead, "yes" might be a polite way of acknowledging a statement or question, a way of politely reserving one's judgment, or simply a polite way of declining to give a definite answer at that juncture.
- **Greet the patient first**, not the interpreter. \*\*
- During the medical interview, speak directly to the patient, not to the interpreter: "Tell me why you came in today" instead of "Ask her why she came in today." \*\*
- A professional interpreter will use the first person in interpreting, reflecting exactly what the patient said: e.g. "My stomach hurts" instead of "She says her stomach hurts." This allows you to hear the patient's "voice" most accurately and deal with the patient directly. \*\*
- Speak at an even pace in relatively short segments; pause often to allow the interpreter to interpret. You do not need to speak especially slowly; this actually makes a competent interpreter's job more difficult. \*\*
- Don't say anything that you don't want interpreted; it is the interpreter's job to interpret everything. \*\*
- If you must address the interpreter about an issue of communication or culture, let the patient know first what you are going to be discussing with the interpreter. \*\*





- Speak in: Standard English (avoid slang) \*\*
  - Layman's terms (avoid medical terminology and jargon)
  - Straightforward sentence structure
  - Complete sentences and ideas
- Ask one question at a time. \*\*
- Ask the interpreter to point out potential cultural misunderstandings that may arise. Respect an interpreter's judgment that a particular question is culturally inappropriate and either rephrase the question or ask the interpreter's help in eliciting the information in a more appropriate way. \*\*
- Do not hold the interpreter responsible for what the patient says or doesn't say. The interpreter is the medium, not the source, of the message. \*\*
- Avoid interrupting the interpretation. Many concepts you express have no linguistic or conceptual equivalent in other languages. The interpreter may have to paint word pictures of many terms you use.
- This may take longer than your original speech. \*\*
- Don't make assumptions about the patient's education level. An inability to speak English does not necessarily indicate a lack of education. \*\*
- Acknowledge the interpreter as a professional in communication. Respect his or her role. \*\*

\*\* "Addressing Language Access Issues in Your Practice - A Toolkit for Physicians and Their Staff Members," California Endowment website.

\* "Limited English Proficiency Plan," Minnesota Department of Human Services: Helpful hints for using telephone interpreters (page 6).



## TIPS FOR LOCATING INTERPRETER SERVICES

### Steps I need to take to locate interpreter services:

- 1) Identify the languages spoken by your patients, and
- 2) Identify the language services available to meet these needs

#### For example:

Language spoken by my patients	Resources to help me communicate with patients
Spanish	Certified bilingual staff
Armenian	Telephone interpreter or in person interpreter

Identify the language capability of your staff (See Employee Language Skills Self-Assessment)
<ul style="list-style-type: none"> <li>• Keep a list of available certified bilingual staff that can assist with LEP patients on-site.</li> </ul>
<ul style="list-style-type: none"> <li>• Ensure the competence of individuals providing language assistance by formally testing with a qualified bilingual proficiency testing vendor. Certified interpreters are HIPAA compliant.</li> </ul>
<ul style="list-style-type: none"> <li>• Do Not: Rely on staff other than certified bilingual/multilingual staff to communicate directly with individuals with limited English proficiency</li> </ul>
<ul style="list-style-type: none"> <li>• Do Not: Rely on a minor child to interpret or facilitate communication, except in an emergency involving an imminent threat to the safety or welfare of an individual or the public where there is no qualified interpreter for the individual with limited English proficiency immediately available. <b>IF you use a minor, document the reason a minor was used.</b></li> </ul>

Identify services available do not require an individual with limited English proficiency to provide his/her own interpreter
<ul style="list-style-type: none"> <li>• Ask all health plans you work with if and when they provide interpreter services, including American Sign Language interpreters, as a covered benefit for their members.</li> </ul>
<ul style="list-style-type: none"> <li>• Identify community based qualified interpreter resources</li> </ul>
<ul style="list-style-type: none"> <li>• Create and provide to your staff policies and procedures to access interpreter services.</li> </ul>
<ul style="list-style-type: none"> <li>• Keep an updated list of specific telephone numbers and health plan contacts for language services.</li> </ul>
<ul style="list-style-type: none"> <li>• If you are coordinating interpreter services directly, ask the agency providing the interpreter how they determine interpreter quality.</li> </ul>
<ul style="list-style-type: none"> <li>• 711 relay services are available to assist in basic communication with deaf or hard of hearing patients. In some areas services to communicate with speech impaired individuals may also be available.</li> </ul>

For further information, you may contact the National Council on Interpretation in Health Care, the Society of American Interpreters, the Translators & Interpreters Guild, the American Translators Association, or any local Health Care Interpreters association in your area.



## LANGUAGE IDENTIFICATION FLASHCARDS

The sheets on the following page can be used as a tool to assist the office staff or physician in identifying the language that your patient is speaking. Pass the sheets to the patient and point to the English statement. Motion to have the patient read the other languages and to point to the language that the patient prefers. (Conservative gestures can communicate this.) Record the patient's language preference in their medical record.

The **Language Identification Flashcard** was developed by the U.S. Census Department and can be used to identify most languages that are spoken in the United States.

Printer friendly version of the Language Assistance Flashcard is on next page.





# Interpreting Services Available

**English Translation:** Point to your language. An interpreter will be called. The interpreter is provided at no cost to you.

<b>Arabic</b> العربية أشر إلى لغتك. وسيتم الاتصال بمترجم فوري. كما سيتم إحضار المترجم الفوري مجانًا.	<b>Laotian</b> ພາສາລາວ ຊີ້ບອກພາສາທີ່ເຈົ້າເວົ້າໄດ້. ພວກເຮົາຈະຕິດຕໍ່ນາຍພາສາໃຫ້. ທ່ານບໍ່ຕ້ອງເສຍເງິນຄ່າແປໃຫ້ແກ່ນາຍແປພາສາ.
<b>Armenian</b> Հայերեն Ելեք, թե որ լեզվով եք խոսում: Թարգմանիչ կվանչեք: Թարգմանիչ ծառայությունները տրամադրվում են անվճար:	<b>Portuguese</b> Português Indique o seu idioma. Um intérprete será chamado. A interpretação é fornecida sem qualquer custo para você.
<b>Bengali</b> বাংলা আপনার ভাষার দিকে নির্দেশ করুন। একজন দ্বাভাষীকে ডাকা হবে। দ্বাভাষী আপনি নিখরচায় পাবেন।	<b>Punjabi</b> ਪੰਜਾਬੀ ਅਪਣੀ ਭਾਸ਼ਾ ਵੱਲ ਇਸ਼ਾਰਾ ਕਰੋ। ਜਿਸ ਮੁਤਾਬਕ ਇਕ ਦੁਭਾਸ਼ੀਆ ਬੁਲਾਇਆ ਜਾਵੇਗਾ। ਤੁਹਾਡੇ ਲਈ ਦੁਭਾਸ਼ੀਆ ਦੀ ਮੁਫਤ ਇੰਤਜ਼ਾਮ ਕੀਤਾ ਜਾਂਦਾ ਹੈ।
<b>Cambodian (Khmer)</b> ខ្មែរ (កម្ពុជា) សូមចង្អុលភាសាអ្នក។ យើងនឹងហៅអ្នកបកប្រែភាសាមកជូន។ អ្នកបកប្រែភាសានឹងជួយអ្នកដោយមិនគិតថ្លៃ។	<b>Russian</b> Русский Укажите язык, на котором вы говорите. Вам вызовут переводчика. Услуги переводчика предоставляются бесплатно.
<b>Chinese (Cantonese)</b> 廣東話 請指認您的語言，以便為您提供免費的口譯服務。	<b>Samoan</b> Fa'asamoa Fa'asino lau gagana. Ole a vala'au se fa'amatala'upu. Ua saunia se fa'amatala'upu e aunoa ma se tau e te totogiina.
<b>Chinese (Mandarin)</b> 普通话 请指认您的语言，以便为您提供免费的口译服务。	<b>Somali</b> Af-Soomaali Farta ku fiiqluqadaada... Waxa laguugu yeeri doonaa turjubaan. Turjubaanka wax lacagi kaaga bixi mayso.
<b>Farsi (Persian)</b> فارسی زبان مورد نظر خود را مشخص کنید. یک مترجم برای شما درخواست خواهد شد. مترجم بصورت رایگان در اختیار شما قرار می گیرد.	<b>Spanish</b> Español Señale su idioma y llamaremos a un intérprete. El servicio es gratuito.
<b>Greek</b> Ελληνικά Δείξτε τη γλώσσα σας και θα καλέσουμε ένα διερμηνέα. Ο διερμηνέας σας παρέχεται δωρεάν.	<b>Tagalog</b> Tagalog Ituro po ang inyong wika. Isang tagasalin ang ipagkakaloob nang libre sa inyo.
<b>Hindi</b> हिंदी अपनी भाषा को इंगित करें। जिसके अनुसार आपके लिए दुभाषिया बुलाया जाएगा। आपके लिए दुभाषिया की निशुल्क व्यवस्था की जाती है।	<b>Thai</b> ไทย ช่วยชี้ที่ภาษาที่ท่านพูด แล้วเราจะจัดหาสามให้ท่าน การใช้สามไม่ต้องเสียค่าใช้จ่าย
<b>Hmong</b> Hmoob Taw rau koj hom lus. Yuav hu rau ib tug neeg txhais lus. Yuav muaj neeg txhais lus yam uas koj tsis tau them dab tsi.	<b>Tongan</b> Tongan Lea Faka-Tonga Tuhu'i mai ho'o lea fakafonua. `E ui ha fakatonulea. `Oki ta'etotongi kia `a e fakatonulea.
<b>Japanese</b> 日本語 あなたの話す言語を指してください。無料で通訳サービスを提供します。	<b>Urdu</b> اردو اپنی زبان پر اشارہ کریں۔ ایک ترجمان کو بلاجائے گا۔ ترجمان کا انتظام آپ پر بغیر کسی خرچ کے کیا جائے گا۔
<b>Korean</b> 한국어 귀하께서 사용하는 언어를 지정하시면 해당 언어 통역 서비스를 무료로 제공해 드립니다.	<b>Vietnamese</b> Tiếng Việt Hãy chỉ vào ngôn ngữ của quý vị. Một thông dịch viên sẽ được gọi đến, quý vị sẽ không phải trả tiền cho thông dịch viên.

Provided courtesy of Industry Collaboration Effort and LanguageLine Solutions.

## COMMON SIGNS IN MULTIPLE LANGUAGES

You may use this tool to mark special areas in your office to help your Limited English Proficient (LEP) patients. It is suggested that you laminate each sign and post it.

English		Welcome
Español	<i>Spanish</i>	Bienvenido/a
Tiếng Việt	<i>Vietnamese</i>	Hân hạnh tiếp đón quý vị
中文	<i>Chinese</i>	歡迎

English		Registration
Español	<i>Spanish</i>	Oficina de Registro
Tiếng Việt	<i>Vietnamese</i>	Quầy tiếp khách
中文	<i>Chinese</i>	登記處

English		Cashier
Español	<i>Spanish</i>	Cajera
Tiếng Việt	<i>Vietnamese</i>	Quầy trả tiền
中文	<i>Chinese</i>	收銀部

English		Enter
Español	<i>Spanish</i>	Entrada
Tiếng Việt	<i>Vietnamese</i>	Lối vào
中文	<i>Chinese</i>	入口


English		Exit
Español	<i>Spanish</i>	Salida
Tiếng Việt	<i>Vietnamese</i>	Lối ra
中文	<i>Chinese</i>	出口

English		Restroom
Español	<i>Spanish</i>	Baños
Tiếng Việt	<i>Vietnamese</i>	Phòng vệ sinh
中文	<i>Chinese</i>	洗手間



 **Point to a sentence**

 **Señale una frase**

 **Xin chỉ vào câu**

 **指向句子**

<i>Instructions</i>	<i>Instrucciones</i>	<i>Chỉ Dẫn</i>	<i>指示</i>
<i>We can use these cards to help us understand each other. Point to the sentence you want to communicate. If needed, later we will call an interpreter.</i>	<i>Podemos utilizar estas tarjetas para entendernos. Señale la frase que desea comunicar. Si necesita, después llamaremos a un intérprete.</i>	<i>Chúng ta có thể dùng những thẻ này để giúp chúng ta hiểu nhau. Xin chỉ vào câu đúng nghĩa quý vì muốn nói. Chúng tôi sẽ nhờ một thông dịch viên đến giúp nếu chúng ta cần nói nhiều hơn.</i>	<i>這卡可以幫助大家更明白對方。請指向您想溝通的句子，如有需要，稍後我們可以為您安排傳譯員。</i>



**COMMON SENTENCES IN MULTIPLE LANGUAGES (ENGLISH-SPANISH-VIETNAMESE-CHINESE)**

English	Spanish / Español	Vietnamese / Tiếng Việt	CI
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∅ Point to a sentence ∅ Señale una frase ∅ Xin chỉ vào câu ∅

<i>Courtesy statements</i>	<i>Frases de cortesía</i>	<i>Từ ngữ lịch sự</i>	
Please wait.	Por favor espere (un momento).	Xin vui lòng chờ.	請等等
Thank you.	Gracias.	Cám ơn.	多謝
One moment, please.	Un momento, por favor.	Xin đợi một chút.	請等一會

∅ Point to a sentence ∅ Señale una frase ∅ Xin chỉ vào câu ∅

<i>Patient may say....</i>	<i>El paciente puede decir...</i>	<i>Bệnh nhân có thể nói...</i>	病
My name is...	Mi nombre es ...	Tôi tên là...	我的名字是
I need an interpreter.	Necesito un intérprete.	Chúng tôi cần thông dịch viên.	我需要一位
I came to see the doctor, because...	Vine a ver al doctor porque ...	Tôi muốn gặp bác sĩ vì...	我來見醫生
I don't understand.	No entiendo.	Tôi không hiểu.	我不明白

<b>Patient may say...</b>	<b>El paciente puede decir...</b>	<b>Bệnh nhân có thể nói...</b>	<b>病人可能會說...</b>
Please hurry. It is urgent.	Por favor apúrese. Es urgente.	Vui lòng nhanh lên. Tôi có chuyên khẩn cấp.	請盡快，這是非常緊急。
Where is the bathroom?	Dónde queda el baño?	Phòng vệ sinh ở đâu?	洗手間在那裏？
How much do I owe you?	Cuánto le debo?	Tôi cần phải trả bao nhiêu tiền?	我欠您多少錢？
Is it possible to have an interpreter?	Es posible tener un intérprete?	Có thể nhờ một thông dịch viên đến giúp chúng ta không?	可否找一位傳譯員？

∅ Point to a sentence ∅ Señale una frase ∅ Xin chỉ vào câu ∅ 指向句子

<b>Staff may ask or say...</b>	<b>El personal del médico le puede decir...</b>	<b>Nhân viên có thể hỏi hoặc nói...</b>	<b>職員可能會問或說。。。</b>
How may I help you?	¿En qué puedo ayudarle?	Tôi có thể giúp được gì?	我怎樣可以幫您呢？
I don't understand. Please wait.	No entiendo. Por favor espere.	Tôi không hiểu. Xin đợi một chút.	我不明白，請等等。
What language do you prefer?	¿Qué idioma prefiere?	Quý vị thích dùng ngôn ngữ nào?	您喜歡用什麼語言呢： <ul style="list-style-type: none"> <li>• Cantonese 廣東話</li> <li>• Mandarin 國語</li> </ul>
We will call an interpreter.	Vamos a llamar a un intérprete.	Chúng tôi sẽ gọi thông dịch viên	我們會找一位傳譯員。
An interpreter is coming.	Ya viene un intérprete.	Sẽ có một thông dịch viên đến giúp chúng ta.	傳譯員就快到。



**COMMON SENTENCES IN MULTIPLE LANGUAGES (ENGLISH-SPANISH-VIETNAMESE-CHINESE)**

English	Spanish / Español	Vietnamese / Tiếng Việt
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Point to a sentence	Señale una frase	Xin chỉ vào câu	職員
<i>Staff may ask or say...</i>	<i>El personal del médico le puede decir...</i>	<i>Nhân viên có thể hỏi hoặc nói...</i>	
What is your name?	¿Cuál es su nombre?	Quý vị tên gì?	您叫什麼?
Who is the patient?	¿Quién es el paciente?	Ai là bệnh nhân?	誰是病人?
Please write <u>the patient's</u> :	Por favor escriba, acerca del <u>paciente</u> :	Xin viết lý lịch của <u>bệnh nhân</u> :	請寫出病歷
Name	Nombre	Tên	姓名
Address	Dirección	Địa Chỉ	地址
Telephone number	Número de teléfono	Số Điện Thoại	電話號碼
Identification number	Número de identificación	Số ID	醫療卡號
Birth date:	Fecha de nacimiento:	Ngày Sinh:	出生日期
Month/Day/Year	Mes/Día/Año	Tháng/Ngày/Năm	
<i>Now, fill out these forms, please</i>	<i>Ahora, por favor conteste estas formas.</i>	<i>Bây giờ xin điền những đơn này.</i>	現

Ø Point to a sentence

Ø Señale una frase

Ø Lonje dwèt ou sou yon fraz

<i>Instructions</i>	<i>Instrucciones</i>	<i>Esplikasyon</i>
<i>We can use these cards to help us understand each other. Point to the sentence you want to communicate. If needed, later we will call an interpreter.</i>	<i>Podemos utilizar estas tarjetas para entendernos. Señale la frase que desea comunicar. Si necesita, después llamaremos a un intérprete.</i>	<i>Nou kapab sèvi ak kat sa yo pou ede nou youn konprann lòt. Lonje dwèt ou sou sa ou vle di a. Si nou bezwen yon entèprèt, n ap voye chache youn apre.</i>



## COMMON SENTENCES IN MULTIPLE LANGUAGES\ (ENGLISH-SPANISH-FRENCH CREOLE)

English	Spanish / Español	Creole/ Kr
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Point to a sentence	Señale una frase	Lonje dwèt ou s
<i>Courtesy statements</i>	<i>Frases de cortesía</i>	<i>Pawòl pou Ka</i>
Please wait.	Por favor espere (un momento).	Tanpri, tann (yon moman)
Thank you.	Gracias.	Mési.
One moment, please.	Un momento, por favor.	Tann yon moman, tanpri.
<i>Patient may say....</i>	<i>El paciente puede decir...</i>	<i>Pasyan an ka</i>
My name is.....	Mi nombre es .....	Non mwen se....
I need an interpreter.	Necesito un intérprete.	Mwen bezwen yon entèpt
I came to see the doctor, because ....	Vine a ver al doctor porque .....	Mwen vin wè doktè a, paske....
I don't understand.	No entiendo.	Mwen pa konprann.
Please hurry. It is urgent.	Por favor apùrese. Es urgente.	Tanpri Ľ vit. Sa ijian.
Where is the bathroom?	Dónde queda el baño?	Kote twaìt la yo?
How much do I owe you?	Cuánto le debo?	Konbyen pou mwen peye?
Is it possible to have an interpreter?	Es posible tener un intérprete?	ske mwen ka gen yon entèpt?

<i>Staff may ask or say....</i>	<i>El personal del médico le puede decir...</i>	<i>Anplwaye medikal la kapab di oubyen mande...</i>
Please hold. I will be right back	Por favor espere un momento. Ya regreso.	Tanpri, tann yon moman. M ap tounen touswit.
How may I help you?	¿En qué puedo ayudarle?	Kisa mwen ka f pou ou?
I don't understand. Please wait.	No entiendo. Por favor espere.	Mwen pa komprann. Tanpri, tann yon moman.
What language do you prefer?	¿Qué idioma prefiere?	Ki lang ou pito?
We will call an interpreter.	Vamos a llamar a un intérprete.	Nou pral rele yon enfpit.
An interpreter is coming.	Ya viene un intérprete.	Gen yon enfpit ki nan wout.
What is your name?	¿Cuál es su nombre?	Kouman ou rele?
Who is the patient?	¿Quién es el paciente?	Ki moun ki pasyan an?



## COMMON SENTENCES IN MULTIPLE LANGUAGES\ (ENGLISH-SPANISH-FRENCH CREOLE)

English	Spanish / Español	Creole/ Krey
Ø Point to a sentence	Ø Señale una frase	Ø Lonje dwèt ou sou
<i>Staff may ask or say....</i>	<i>El personal del médico le puede decir...</i>	<i>Anplwaye medikal la kapab o</i>
Please write <u>the patient's</u> :	Por favor escriba, acerca <u>del paciente</u> :	Tanpri, ekri enfimasyon sa yo <u>po</u>
Name	Nombre	Non
Address	Dirección	Adr̃s
Telephone number	Número de teléfono	Nimewo telefòn
Identification number	Número de identificación	Nimewo didantite
Birth date:	Fecha de nacimiento:	Dat nesans:
Month / Day / Year	Mes / Día / Año	Mwa / Jou
<i>Now, fill out these forms, please</i>	<i>Ahora, por favor conteste estas formas.</i>	<i>Kounye a, ekri enfimasyon yo ma</i>





## **EMPLOYEE LANGUAGE PRE-SCREENING TOOL**

Dear Physician:

The attached prescreening tool is provided as a resource to assist you in identifying employees that may be eligible for formal language proficiency testing. Those who self-assess at 3 or above are candidates that are more likely to pass a professional language assessment.

This screening tool is not meant to serve as an assessment for qualified medical interpreters or meet the CA Language Assistance Program law or any other regulatory requirements.

Thank you

Printer friendly version of the **EMPLOYEE  
LANGUAGE PRE SCREENING TOOL KIT**  
provided on next page.

### EMPLOYEE LANGUAGE PRE SCREENING TOOL KEY

<b>Key Spoken Language</b>	
(1)	Satisfies elementary needs and minimum courtesy requirements. Able to understand and respond to 2-3 word entry-level questions. May require slow speech and repetition.
(2)	Meets basic conversational needs. Able to understand and respond to simple questions. Can handle casual conversation about work, school, and family. Has difficulty with vocabulary and grammar.
(3)	Able to speak the language with sufficient accuracy and vocabulary to have effective formal and informal conversations on most familiar topics related to health care.
(4)	Able to use the language fluently and accurately on all levels related to health care work needs. Can understand and participate in any conversation within the range of his/her experience with a high degree of fluency and precision of vocabulary. Unaffected by rate of speech.
(5)	Speaks proficiently equivalent to that of an educated native speaker. Has complete fluency in the language, including health care topics, such that speech in all levels is fully accepted by educated native speakers in all its features, including breadth of vocabulary and idioms, colloquialisms, and pertinent cultural preferences. Usually has received formal education in target language.
<b>Key Reading</b>	
(1)	No functional ability to read. Able to understand and read only a few key words.
(2)	Limited to simple vocabulary and sentence structure.
(3)	Understands conventional topics, non-technical terms and health care terms.
(4)	Understands materials that contain idioms and specialized health care terminology; understands a broad range of literature.
(5)	Understands sophisticated materials, including those related to academic, medical and technical vocabulary.
<b>Key Writing</b>	
(1)	No functional ability to write the language and is only able to write single elementary words.
(2)	Able to write simple sentences. Requires major editing.
(3)	Writes on conventional and simple health care topics with few errors in spelling and structure. Requires minor editing.
(4)	Writes on academic, technical, and most health care and medical topics with few errors in structure and spelling.
(5)	Writes proficiently equivalent to that of an educated native speaker/writer. Writes with idiomatic ease of expression and feeling for the style of language. Proficient in medical, healthcare, academic and technical vocabulary.
<b>Interpretation vs. Translation</b>	<p><b>Interpretation:</b> Involves spoken communication between two parties, such as between a patient and a pharmacist, or between a family member and doctor.</p> <p><b>Translation:</b> Involves very different skills from interpretation. A translator takes a written document in one language and changes it into a document in another language, preserving the tone and meaning of the original.</p> <p><i>Source: University of Washington Medical Center</i></p>



**EMPLOYEE LANGUAGE PRESCREENING TOOL  
(FOR CLINICAL AND NON-CLINICAL EMPLOYEES)**

This prescreening tool is intended for clinical and non-clinical employees who are bilingual and are being considered for formal language proficiency testing.

Employee's Name: \_\_\_\_\_ Department/Job Title: \_\_\_\_\_  
\_\_\_\_\_

Work Days: Mon / Tues/ Wed/ Thurs/ Fri/ Sat/ Sun Work Hours (Please Specify): \_\_\_\_\_  
\_\_\_\_\_

**Directions:** (1) List any/all language(s) or dialects you know.  
(2) Indicate how fluently you speak, read and/or write each language

Language	Dialect, region, or country	Fluency: see attached key (Circle)			I would like to use my language skills to speak with patients (Circle)	I would like to use my reading language skills to communicate with patients (Circle)	I would like to use my language skills to write patient communications (Circle)
		Speaking	Reading	Writing	Yes No	Yes No	Yes No
1.		1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	Yes No	Yes No	Yes No
2.		1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	Yes No	Yes No	Yes No
3.		1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	Yes No	Yes No	Yes No
4.		1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	Yes No	Yes No	Yes No

**TO BE SIGNED BY THE PERSON COMPLETING THIS FORM**

I, \_\_\_\_\_, attest that the information provided above is accurate.

Date: \_\_\_\_\_



## SCREENING QUESTIONS FOR INTERVIEWING TRANSLATION VENDORS

Request for Proposal (RFP) Questionnaire Screening questions for interviewing Translation Vendors
<b>General Business Requirements Questions</b>
1. What geographic areas do you currently serve?
2. Please indicate your areas of expertise (i.e. Medical/Health, Education, Law, etc.).
3. Is your company aware and automatically follow special certifications for states you provide services in/for?
4. Please list all languages currently available. List only languages that have at least one active translator currently and regularly available. Also list whether the translators available are native speakers and if so, where they are from.
5. Please list the 3-5 most common languages your organization translates.
6. Describe your process for translating documents based on regional dialects for one language. For example, how do you facilitate translating a document into Spanish for Southern California and New York?
7. Describe how your translation staff is knowledgeable in the sensitivities, norms, and regional dialects of various cultural groups?
8. Please list all national states and global countries you provide Services in.
9. What differentiates your company from your competition as it relates to the services outlined in this RFP?
10. Are you able to customize your services at the client level? Please provide an example of how you may customize other programs in place.
11. Is your company able to assign dedicated resource team to support services?
12. What percent of your current business is providing services within the health care industry?
13. Please define the language proficiency of medical terminology and use of health care industry language for employees providing services.
14. Do you use validated test instruments to assess your medical or health care terminology translators?
15. Do you support the most recent version of InDesign?
16. What is your process for ensuring software capabilities are up-to-date while still maintaining support for older file formats?
17. Can you produce translations on any day of the year?
18. What are your company's top three measures of a successful relationship between your company's organization and your clients? State how your company would measure and report each.
19. Please demonstrate how your company was flexible with an unusual client request.
20. What is your process to work with document owners to fine tune translations to match their specific target audience?



<b>Request for Proposal (RFP) Questionnaire Screening questions for interviewing Translation Vendors</b>
21. Do you maintain a translation glossary for each of your clients? (Glossary- a set of terms and their preferred translation)
22. Are you open to the total translation memory being provided to us (health plan) upon request?
23. Can you provide Spanish translations and translations into traditional Chinese characters within 24 hours?
<b>Administration Questions</b>
1. What are your standard hours of operation?
2. Do you have a privacy and confidentiality policy? If yes, please describe.
3. What are your policies regarding direct contact between a translator and the client?
4. What is the average amount of time to complete a translated document from receipt to delivery?
5. How much advance notice is needed to request translation services?
<b>Customer Service Questions</b>
1. Please describe your Customer Service model for these services.
2. Please describe the grievance and complaint escalation process and resolution of service issues?
3. What is the experience level of project management team with localization and cultural adaptation?
4. What is the coverage of services for different time zones?
5. Do you provide full or partial services on holidays and weekends?
6. Describe new hire onboarding and ongoing training and specialized health care industry training provided to staff and/or contracted individuals.
7. Please explain your capabilities to ensure cultural adaptation.
<b>Service Level Questions</b>
1. Please list and describe your standard Service Levels. You may attach them separately.
2. Do you offer service guarantees? If yes, please provide.
<b>Translation Services Questions</b>
1. How long has your company been providing Translation Services as part of its offering?
2. Process - Please provide an overview of your full Translation Services process from initial engagement from customer to completion.
3. Please translate the provided document labeled "XXXX"
<b>Quality Assurance Practices/Proficiencies Questions</b>
1. Please describe the process for screening potential interpreters and translators.
2. What are the educational credentials of your translators? Do your credentialed translators do all the translation work or do they merely supervise the work of others?
3. Are your translator's employees of the company or are they contracted employees? What percentage belongs to each group (% employees and % contracted)?



**Request for Proposal (RFP) Questionnaire Screening questions for interviewing Translation Vendors**

4. Please indicate which of the following skills are evaluated in an initial screening or translators: <input type="checkbox"/> Basic Language Skills <input type="checkbox"/> Cultural Awareness <input type="checkbox"/> Written Translation Skills <input type="checkbox"/> Industry Specific Terminology <input type="checkbox"/> Ethics <input type="checkbox"/> Others (Please explain)
5. What training program is provided to translators once they have been hired? Please include details of any in-house or outsourced training including number of hours, topics covered, etc.
6. Is continuing education required? If yes, how many hours per year?
7. What percentage of your translators are certified by: <input type="checkbox"/> Internal Process <input type="checkbox"/> Federal Court <input type="checkbox"/> State Process <input type="checkbox"/> Private External Organization (please list)
8. Describe your internal quality control or monitoring process.
9. What system do you have in place to resolve complaints?
10. Please describe your accuracy standards. What guarantees do you provide? Would you be willing to put 20 percent of your fees at risk contingent upon meeting agreed-upon guaranteed standards? Would you consider a Service Level Agreement (SLA)? If so, what standards do you customarily include in an SLA?
11. Do you provide an attestation or Certificate of Authenticity or equivalent document? If so, please provide a sample.
12. Please list all certifications and all other QM certifications your company holds/maintains.
13. Please describe your Quality Assurance program.
14. How often does your company review and revise the quality program?
15. How does your company ensure quality of services, including linguists and document translations, and actions for substandard performance?
16. Do you have a process to guarantee consistency between translations from multiple linguists? Please define this process and describe the process to ensure localization, terminology consistency, accuracy and appropriate literacy.
17. Describe your quality control processes. What do you have in place to assure that structure and format are precisely the same as the English original
18. How long has your company been providing Proficiency and Certification Services as part of its offering?
19. Please provide an overview of your Proficiency and Certification Service program.
20. Does your program include examination of general language usage in formal and professional context? Please Define.
21. Does your program include examination of fluency in the assessment language?
22. Describe industry experience and Supplier ability to use terminology and phrases in the assessment language that is specific to the healthcare industry
23. What type of reporting/scoring system does your program use to determine examinees proficiency level in the assessment language. The proficiency level describes the examinee's performance in several areas of oral language proficiency. If applicable, please include sample scorecard.



**Request for Proposal (RFP) Questionnaire Screening questions for interviewing Translation Vendors**

**Experience Questions**

1. How long have you been in business?
2. Please provide at least three references.
3. Please list current health care organization clients for whom you have provided written translation services. Please list the types of documents that have been translated for health care clients.
4. Can your organization guarantee that translators working on <<client's name>> documents will have had experience translating health care documents?
5. How do you address the uniqueness of some terminology that occurs in health care, particularly complementary health care?
6. Please describe your experience in translating health web sites and images. If applicable, please provide the names of client for which you have provided this service.
7. Do you currently or have you furnished translation services to any federal, state or local agency? If yes, list the organization and type of service provided.
8. Describe your range of graphic design/desktop publishing services that you provide, including both print and Web. Please indicate the number of staffed designers you have and the design software (PC/Mac Quark, InDesign, PageMaker, Illustrator, Freehand, Photoshop, Dream weaver, etc.) your staff uses to create brochures, flyers, and other marketing/education materials. Please provide a breakdown of the additional costs and average turnaround times associated with your graphic design services, including making changes or edits.
9. Describe whether or not your services include the review of culturally sensitive images and text. For example, do your services include the review of images within a graphic document in order to determine whether they are culturally sensitive and appropriate?

**Reporting Questions**

1. Do you offer a standard reporting package? If yes, please attach.
2. Do you provide reports confirming language proficiency of employees or contractors that provide services?

**Fee Questions**

1. Please describe your pricing practices and fee schedule.
2. Do you provide estimates for work to be performed? If so, please provide a quote to translate the attached documents into Spanish?
3. What kind of volume discounts do you offer?
4. Do you offer services on a single use basis?
5. What information is provided on billing statements? Please include a sample.



**Request for Proposal (RFP) Questionnaire Screening questions for interviewing Translation Vendors**

6. What is your pricing/billing policy for making edits or changes to documents translated? For a document that is 40 pages in length, what would the cost be to translate into 6 languages by in-country translators: <input type="checkbox"/> Simplified Chinese for China <input type="checkbox"/> Canadian French <input type="checkbox"/> Brazilian Portuguese <input type="checkbox"/> Japanese <input type="checkbox"/> Russian <input type="checkbox"/> Argentine Spanish
7. What is your pricing/billing policy for making edits or changes to documents translated? For a document that is 40 pages in length, what would the cost be to translate into 6 languages by in-country translators: <input type="checkbox"/> Simplified Chinese for China <input type="checkbox"/> Canadian French <input type="checkbox"/> Brazilian Portuguese <input type="checkbox"/> Japanese <input type="checkbox"/> Russian <input type="checkbox"/> Argentine Spanish
8. What guarantees are available if the work produced does not meet our expectations?
9. What is your flexibility and cost implication of translating a document into different dialects of one language? Are multiple dialects the same cost as multiple languages?
10. Are your prices the same for all languages; common and rarely spoken?
11. <<Client's name>> generally remits payment within 45 days of invoice date. Please indicate if this is not acceptable? What are your standard payment terms?
12. Please list and describe any fees associated with your program(s) and please list all rates associated with different languages, countries, processes, e.g. project management, engineering, translation or telephonic per minute rates, etc.
13. Do you provide pricing for leveraged (previously translated) words?
14. Are all translations priced per word or is there a minimum charge per document? For example if the content to be translated is 50 words, is the pricing per word or based on a minimum word count?
15. Do you charge for attestations, desk top publishing, rush jobs or providing documents in many different programs such as providing the same document in Word, PDF and In-Design or Quark?
<b>Technology Questions</b>
1. Do you use a submission portal? If so, is all communication via the submission portal?
2. What technology is used to manage translation memory?





**SECTION C: RESOURCES TO INCREASE AWARENESS OF  
CULTURAL BACKGROUNDS AND ITS IMPACT ON  
HEALTH CARE DELIVERY**

## A GUIDE TO INFORMATION IN SECTION C

### Resources to Increase Awareness of Cultural Background and its Impact on Health Care Delivery

Everyone approaches illness as a result of their own experiences, including education, social conditions, economic factors, cultural background, and spiritual traditions, among others. In our increasingly diverse society, patients may experience illness in ways that are different from their health professional's experience. Sensitivity to a patient's view of the world enhances the ability to seek and reach mutually desirable outcomes. If these differences are ignored, unintended outcomes could result, such as misunderstanding instructions and poor compliance.

The following tools are intended to help you review and consider important factors that may have an impact on health care. Always remember that even within a specific tradition, local and personal variations in belief and behavior exist. Unconscious stereotyping and untested generalizations can lead to disparities in access to service and quality of care. The bottom line is: if you don't know your patient well, ask respectful questions. Most people will appreciate your openness and respond in kind.

#### The following materials are available in this section:

<b>What is Health Disparities/Health Equity?</b>	A detailed description of Health Disparities
<b>Let's Talk About Sex</b>	A guide to help you understand and discuss gender roles, modesty, and privacy preferences that vary widely among different people when taking sexual health history information.
<b>Delivering Care to Lesbian, Gay, bisexual or Transgender (LGBT)</b>	A guide to the Lesbian, Gay, Bisexual or Transgender communities.
<b>Cultural Background – Information on Special Topics</b>	Points of reference to become familiar with diverse cultural backgrounds.
<b>Effectively Communicating with the Elderly</b>	A tip sheet on how to better communicate with elderly patients.
<b>Pain Management Across Cultures</b>	A guide to help you understand the ways people may use to describe pain and approach to treatment options.

## HEALTH EQUITY, HEALTH EQUALITY AND HEALTH DISPARITIES

### What does health equity mean?

**Health Equity is attainment of the highest level of health for all people.**

Achieving health equity requires valuing everyone equally with focused and ongoing societal efforts to address avoidable inequalities, historical and contemporary injustices, and the elimination of health and health care disparities.

Source: [http://minorityhealth.hhs.gov/npa/files/Plans/NSS/NSS\\_05\\_Section1.pdf](http://minorityhealth.hhs.gov/npa/files/Plans/NSS/NSS_05_Section1.pdf)

### What are health disparities and why do they matter to all of us?

**A health disparity is a particular type of health difference that is closely linked with social or economic disadvantage.**

Health disparities adversely affect groups of people who have systematically experienced greater social and/or economic obstacles to health and/or a clean environment based on:

- Racial or ethnic group
- Religion
- Socioeconomic status
- Gender
- Age
- Mental health
- Cognitive, sensory, or physical disability
- Sexual orientation
- Geographic location
- Other characteristics historically linked to discrimination or exclusion

Source: <http://minorityhealth.hhs.gov/npa>

Health disparities matter to all of us. Here are just 2 examples of what can happen when there are disparities...

**Example 1:** *A man who speaks only Spanish is not keeping his blood sugar under control because he does not understand how to take his medication. As a result, he suffers permanent vision loss in one eye.*

**Example 2:** *A gay man is treated differently after telling office staff that he is married to a man, and feels so uncomfortable that he does not tell the doctor his serious health concerns. As a result, he does not get the tests that he needs, his cancer goes untreated, and by the time he is diagnosed his tumor is stage 4.*



## The Difference between Health Equality and Health Equity

**Why treating everyone the same, without acknowledgement of diversity and the need for differentiation, may be clinically counterproductive**

**Equality** denotes that everyone is at the same level. **Equity** refers to the qualities of justness, fairness, impartiality and evenhandedness, while equality is about equal sharing and exact division. Source: <http://www.differencebetween.net/language/difference-between-equity-and-equality>

Health equity is different from health equality. The term refers specifically to the **absence of disparities in controllable areas** of health. It may not be possible to achieve complete health equality, as some factors are beyond human control. Source: World Health Organization, <http://www.who.int.healthsystems/topics/equity>

An example of **health inequality** is when one population dies younger than another because of genetic differences that cannot be controlled. An example of **health inequity** is when one population dies younger than another because of poor access to medications, which is something that could be controlled. Source: Kawachi I., Subramanian S., Almeida-Filho N. "A glossary for health inequalities. *J Epidemiol Community Health* 2002; 56:647-652.

## Health Equity and Culturally and Linguistically Appropriate Services (CLAS)

### How are they connected?

Health inequities in our nation are well documented. The provision of culturally and linguistically appropriate services (CLAS) is one strategy to help eliminate health inequities.

By tailoring services to an individual's culture and language preference, you can help bring about **positive health outcomes** for diverse populations.

The provision of health care services that **are respectful of and responsive to the health beliefs, practices and needs of diverse patients** can help close the gap in health care outcomes.

The pursuit of health equity must remain at the forefront of our efforts. We must always remember that dignity and quality of care are rights of all and not the privileges of a few.

For more background and information on CLAS, visit <https://www.thinkculturalhealth.hhs.gov>

### Plans for Achieving Health Equity and What You Can Do

With growing concerns about health inequities and the need for health care systems to reach increasingly diverse patient populations, cultural competence has become more and more a matter of national concern.

**As a health care provider, you can take the first step to improve the quality of health care services given to diverse populations.**

By learning to be more **aware of your own cultural beliefs** and more responsive to those of your patients, you and your office staff can think in ways you might not have before. That can lead to self-awareness and, over time, changed beliefs and attitudes that will translate into **better health care**.

Knowing your patients and making sure that you **collect and protect specific data**, for example their preferred spoken and written languages, can have a major impact on their care.

The website <https://www.thinkculturalhealth.hhs.gov>, sponsored by the Office of Minority Health, offers the latest resources and tools to promote cultural and linguistic competency in health care.

**You may access free and accredited continuing education programs** as well as tools to help you and your organization provide respectful, understandable and effective services.

**Source: Think Cultural Health (TCH)**, <https://www.thinkculturalhealth.hhs.gov> **Think Cultural Health** is the flagship initiative of the OMH Center for Linguistic and Cultural Competence in Health Care. The goal of **Think Cultural Health** is to Advance Health Equity at Every Point of Contact through the development and promotion of culturally and linguistically appropriate services

### Who else is addressing Health Disparities?

Many groups are working to address health disparities, including community health workers, patient advocates, hospitals, and health plans as well as government organizations.

The Affordable Care Act (ACA) required the establishment of Offices of Minority Health within six agencies of the Department of Health and Human Services (HHS):



- Agency for Healthcare Research and Quality (AHRQ)
- Centers for Disease Control and Prevention (CDC)
- Centers for Medicare & Medicaid Services (CMS)
- Food and Drug Administration (FDA)
- Health Resources and Services Administration (HRSA)
- Substance Abuse and Mental Health Services Administration (SAMHSA)

These offices join the HHS Office of Minority Health and NIH National Institute on Minority Health and Health Disparities to lead and coordinate activities that improve the health of racial and ethnic minority populations and eliminate health disparities. Source: Offices of Minority <http://minorityhealth.hhs.gov>

### Links to key resources for providers who want to end health disparities

- National Partnership for Action to End Health Disparities, <http://minorityhealth.hhs.gov/npa>
- Offices of Minority Health at HHS, <http://minorityhealth.hhs.gov>
- Think Cultural Health, <https://www.thinkculturalhealth.hhs.gov>

## LET'S TALK ABOUT SEX

Consider the following strategies when navigating the cultural issues surrounding the collection of sexual health histories.

Areas of Cultural Variation	Points To Consider	Suggestions
<b>Gender Roles</b>	<ul style="list-style-type: none"> <li>Gender roles vary and change as the person ages (i.e. women may have much more freedom to openly discuss sexual issues as they age).</li> <li>A patient may not be permitted to visit providers of the opposite sex unaccompanied (i.e. a woman's husband or mother-in-law will accompany her to an appointment with a male provider).</li> <li>Some cultures prohibit the use of sexual terms in front of someone of the opposite sex or an older person.</li> <li>Several family members may accompany an older patient to a medical appointment as a sign of respect and family support.</li> </ul>	<ul style="list-style-type: none"> <li>Before entering the exam room, tell the patient and their companion exactly what the examination will include and what needs to be discussed. Offer the option of calling the companion(s) back into the exam room immediately following the physical exam.</li> <li>As you invite the companion or guardian to leave the exam room, have a health professional of the same gender as the patient standing by and re-assure the companion or guardian that the person will be in the room at all times.</li> <li>Use same sex non-family members as interpreters.</li> </ul>
<b>Sexual Health and Patient Cultural Background</b>	<ul style="list-style-type: none"> <li>If a sexual history is requested during a non-related illness appointment, patients may conclude that the two issues – for example, blood pressure and sexual health are related.</li> <li>In many health belief systems there are connections between sexual performance and physical health that are different from the Western tradition.</li> <li>Example: Chinese males may discuss sexual performance problems in terms of a “weak liver.</li> <li>Be aware that young adults may not be collecting sexual history information is part of preventive care and is not based on an assumption that sexual behaviors are taking place.</li> <li>Printed materials on topics of sexual health may be considered inappropriate reading materials.</li> </ul>	<ul style="list-style-type: none"> <li>Explain to the patient why you are requesting sexually related information at that time.</li> <li>For young adults, clarify the need for collecting sexual history information and consider explaining how you will protect the confidentiality of their information.</li> <li>Offer sexual health education verbally. Whenever possible, provide sexual health education by a health care professional who is the same t. gender as the patient</li> </ul>



Areas of Cultural Variation	Points To Consider	Suggestions
<p><b>Confidentiality Preferences</b></p>	<ul style="list-style-type: none"> <li>• Patients may not tell you about their preferences and customs surrounding the discussion of sexual issues. You must watch their body language for signals or discomfort, or ask directly how they would like to proceed.</li> <li>• A patient may be required to bring family members to their appointment as companions or guardians. Printed materials on topics of sexual health may be considered inappropriate reading materials.</li> <li>• Be attentive to a patient's body language or comments that may indicate that they are uncomfortable discussing sexual health with a companion or guardian in the room.</li> </ul>	<ul style="list-style-type: none"> <li>• It may help to apologize for the need to ask sexual or personal questions. Apologize and explain the necessity.</li> <li>• Try to offer the patient a culturally acceptable way to have a confidential conversation. For example: "To provide complete care, I prefer one-on-one discussions with my patients. However, if you prefer, you may speak with a female/male nurse to complete the initial information."</li> <li>• Inform the patient and the accompanying companion(s) of any applicable legal requirements regarding the collection and protection of personal health information.</li> </ul>

## LESBIAN, GAY, BISEXUAL OR TRANSGENDER (LGBT)

Communities are made up of many diverse cultures, sexual orientations, and gender identities. Individuals who identify as lesbian, gay, bisexual or transgender (LGBT)<sup>1</sup> may have unmet health and health care needs resulting in health disparities. In fact, the LGBT community is subject to a disproportionate number of health disparities and is at higher risk for poor health outcomes.

**According to Healthy People 2020<sup>2</sup>, LGBT health disparities include:**

### Psychosocial Considerations

- Youth are 2 to 3 times more likely to attempt suicide and are more likely to be homeless.
- LGBT populations have the highest rates of tobacco, alcohol, and other drug use.
- Elderly LGBT individuals face additional barriers to health because of isolation and a lack of social services and culturally competent providers.

### Clinical Considerations

- Lesbians are less likely to get preventive services for cancer; along with bisexual females are more likely to be overweight or obese.
- Gay men are at higher risk of HIV and other STDs, especially among communities of color.
- Transgender individuals have a high prevalence of HIV/STDs, victimization, mental health issues, and suicide and are less likely to have health insurance than straight or LGB individuals.



Visit [glma.org](http://glma.org) for more information about:

- Creating a welcoming environment,
- General guidelines (including referral resources),
- Confidentiality, and
- Sensitivity training.

Visit [glaad.org](http://glaad.org) for additional resources on how to fairly and accurately report on transgender people

<sup>1</sup> The term LGBT is used as an umbrella term to describe a person's sexual orientation or gender identity/expression including (but not limited to) lesbian, gay, bisexual, transgender, queer, questioning, intersex, and asexual. Transgender is an umbrella term for a person who's gender identity or expression does not match their sex assigned at birth.

<sup>2</sup> <https://www.healthypeople.gov/2020/topics-objectives/topic/lesbian-gay-bisexual-and-transgender-health>





Do not use any gender or sexual orientation terms to identify your patient without verifying how they specifically self-identify.

#### **Resources to Increase Awareness of Cultural Backgrounds and its Impact on Health Care Delivery**

- [GLMA cultural competence webinar series](#)
- [Providing Enhanced Resources Cultural Competency Training](#)
- [LGBT Health Resources](#)
- [Equal Employment Opportunity Commission](#) for your local EEOC field office
- [Creating an LGBT Friendly Practice](#)
- [LGBT Training Curricula for Behavioral Health and Primary Care Practitioners](#)
- [Preventing Discrimination](#)
- [Bullying Policies & Laws](#)

## CULTURAL BACKGROUND INFORMATION ON SPECIAL TOPICS

### Use of Alternative or Herbal Medications

- People who have lived in poverty, or come from places where medical treatment is difficult to get, will often come to the doctor only after trying many traditional or home treatments. Usually patients are very willing to share what has been used if asked in an accepting, nonjudgmental way. This information is important for the accuracy of the clinical assessment.



- Many of these treatments are effective for treating the symptoms of illnesses. However, some patients may not be aware of the difference between treating symptoms and treating the disease.
- Some treatments and “medicines” that are considered “folk” medicine or “herbal” medications in the United States are part of standard medical care in other countries. Asking about the use of medicines that are “hard to find” or that are purchased “at special stores” may get you a more accurate understanding of what people are using than asking about **“alternative,” “traditional,” “folk,” or “herbal” medicine.**

### Pregnancy and Breastfeeding

- Preferred and acceptable ages for a first pregnancy vary from culture to culture. Latinos are more accepting of teen pregnancy; in fact it is quite common in many of the countries of origin. Russians tend to prefer to have children when they are older. It is important to understand the cultural context of any particular pregnancy. Determine the level of social support for the pregnant women, which may not be a function of age.



- Acceptance of pregnancy outside of marriage also varies from culture to culture and from family to family. In many Asian cultures there is often a profound stigma associated with pregnancy outside of marriage. However, it is important to avoid making assumptions about how welcome any pregnancy may be.
- Some Vietnamese and Latino women believe that colostrum is not good for a baby. An explanation from the doctor about why the milk changes can be the best tool to counter any negative traditional beliefs.
- The belief that breastfeeding works as a form of birth control is very strongly held by many new immigrants. It is important to explain to them that breastfeeding does not work as well for birth control if the mother gets plenty of good food, as they are more able to do here than in other parts of the world.

## Weight

- In many poor countries, and among people who come from them, “chubby” children are viewed as healthy children because historically they have been better able to survive childhood diseases. Remind parents that sanitary conditions and medical treatment here protect children better than extra weight.
- In many of the countries that immigrants come from, weight is seen as a sign of wealth and prosperity. It has the same cultural value as extreme thinness has in our culture – treat it as a cultural as well as a medical issue for better success.

## Infant Health

- It is very important to avoid making too many positive comments about a baby’s general health.
  - Among traditional Hmong, saying a baby is “pretty” or “cute” may be seen as a threat because of fears that spirits will be attracted to the child and take it away
  - Some traditional Latinos will avoid praise to avoid attracting the “evil eye”
  - Some Vietnamese consider profuse praise as mockery
- It is often better to focus on the quality of the mother’s care – “the baby looks like you take care of him well.”
- Talking about a new baby is an excellent time to introduce the idea that preventive medicine should be a regular part of the new child’s experience. Well-baby visits may be an entirely new concept to some new mothers from other countries. Protective immunizations are often the most accepted form of preventive medicine. It may be helpful to explain well-baby visits and check-ups as a kind of extension of the immunization process.

## Substance Abuse

- When asking question regarding issues of substance (or physical) abuse, concerns about family honor and privacy may come into play. For example, in Vietnamese and Chinese cultures family loyalty, hierarchy, and filial piety are of the utmost importance and may therefore have a direct effect on how a patient responds to questioning, especially if family members are in the same room. Separating family members, even if there is some resistance to the idea, may be the only way to accurately assess some of these problems.



- Gender roles are often expressed in the use or avoidance of many substances, especially alcohol and cigarettes. When discussing and treating these issues the social component of the abuse needs to be considered in the context of the patient’s culture.
- Alcohol is considered part of the meal in many societies, and should be discussed together with eating and other dietary issues.



## Physical Abuse

- Ideas about acceptable forms of discipline vary from culture to culture. In particular, various forms of corporal punishment are accepted in many places. Emphasis must be placed on what is acceptable [here](#), and what may cause physical harm.
- Women may have been raised with different standards of personal control and autonomy than we expect in the United States. They may be accepting physical abuse *not* because of feelings of low self-esteem, but because it is socially accepted among their peers, or because they have nobody they can go to with their concerns. It is important to treat these cases as social rather than psychological problems.
- Immigrants learn quickly that abuse is reported and will lead to intervention by police and social workers. Even victims may not trust doctors, social workers, or police. It may take time and repeated visits to win the trust of patients. Remind patients that they do not have to answer questions (silence may tell you more than misleading answers). Using depersonalized conversational methods will increase success in reaching reluctant patients.
- Families may have members with conflicting values and rules for acceptable behavior that may result in conflicting reports about suspected physical abuse. This does not necessarily mean that anyone is being deceptive, just seeing things differently. This may cause special difficulties for teens who may have adopted new cultural values common to Western society, but must live in families that have different standards and behaviors.
- Behavioral indicators of abuse are different in different cultures. Many people are not very emotionally and physically expressive of physical and mental pain. Learn about the cultural norms of your patient populations to avoid overlooking or misinterpreting unknown signs of trauma.
- Do not confuse physical evidence of traditional treatments with physical abuse. Acceptable traditional treatments, such as coin rubbing or cupping, may leave marks on the skin, which look like physical abuse. Always consider this possibility if you know the family uses traditional home remedies.

### Communicating with the Elderly

- Always address older patients using formal terms of address unless you are directly told that you may use personal names. Also remind staff that they should do the same.
- Stay aware of how the physical setting may be affecting the patient. Background noise, glaring or reflecting light, and small print forms are examples of things that may interfere with communication. The patients may not say anything, or even be aware that something physical is interfering with their understanding.
- Stay aware that many people believe that giving a patient a terminal prognosis is unlucky or will bring death sooner and families may not want the patient to know exactly what is expected to happen. If the family has strong beliefs along these lines the patient probably shares them. Follow ethical and legal requirements, but stay cognizant of the patient's cultural perspective. Offer the opportunity to learn the truth, at whatever level of detail desired by the patient.
- It is important to explain the specific needs for having an advance directive before talking about the treatment choices and instructions. This will help alleviate concerns that an advance directive is for the benefit of the medical staff rather than the patient.
- Elderly, low-literacy patients may be very skilled at disguising their lack of reading skills and may feel stigmatized by their inability to read. If you suspect this is the case you should not draw attention to this issue but seek out other methods of communication.



## EFFECTIVELY COMMUNICATING WITH THE ELDERLY

Older Adult Communication from Your Patients Perspective	
I Wish You Knew...	I Wish You Would Do...
<i>I want to be respected and addressed formally. I appreciate empathy.</i>	Introduce yourself and greet me with Mr., Mrs. or Ms. Avoid using overly friendly terms, patronizing speech such as "honey, dear" and baby talk. Be empathetic and try to see through my lens.
<i>I want to be spoken to directly, even if my caregiver is with me. I want to participate in the conversation and in making decisions.</i>	Don't assume I cannot understand or make decisions. Include me in the conversation. Speak to me directly and check for understanding.
<i>I can't hear well with lots of background noise and it is hard to see with glaring or reflecting light.</i>	When possible, try to find a quiet place when speaking to hard of hearing patients. If there is unavoidable noise, speak clearly, slower and with shorter phrases as needed. Adjust glare or reflecting light as much as possible
<i>I may have language barrier and cultural beliefs that may affect adherence to the treatment plan.</i>	Offer language assistance to help us better understand each other. Ask about cultural beliefs that may impact my adherence to the treatment plan. (See Kleinman's Questions)
<i>Medical jargon and acronyms confuse me.</i>	Use layperson language, not acronyms or popular slang terms.
<i>I respect my doctor and am not always comfortable asking questions. I don't like to be rushed.</i>	Encourage questions. Avoid interrupting or rushing me. Don't make me feel like you do not have time to hear me out. Give me time to ask questions and express myself. After you ask a question, allow time for responses. Do not jump quickly from one topic to another without an obvious transition.
<i>Nodding my head doesn't always mean I understand,</i>	Focus on what is most important for me to know. Watch for cues to guide communication and information sharing. Ask questions to see if I truly comprehend. Check for understanding using Teach-Back.
<i>I need instructions to take home with me. I may be very skilled at disguising my lack of reading skills and may be embarrassed to tell you.</i>	Explain what will happen next. Watch for cues that indicate vision or literacy issues to inform you about the best way to communicate with me. Don't draw too much attention to my reading skills. Seek appropriate methods to effectively communicate with me, including large font and demonstration.
<i>Some topics such as advance directives or a terminal prognosis are very sensitive for me.</i>	<p>Explain the specific need of having an advance directive before talking about treatment choices to help me alleviate my concern that this advance directive is for the benefit of the medical staff and not me.</p> <p>Related to a terminal prognosis, follow ethical and legal requirements, but be aware of my cultural perspective. Offer me the opportunity to learn the truth, at whatever level of detail that I desire. My culture may be one that believes that giving a terminal prognosis is unlucky or will bring death sooner and my family and I may not want you to tell me directly.</p>



## Resources

- The Gerontological Society of America  
[http://aging.arizona.edu/sites/aging/files/activity\\_1\\_reading\\_1.pdf](http://aging.arizona.edu/sites/aging/files/activity_1_reading_1.pdf)
- American Speech Language Hearing Association  
<http://www.asha.org/public/speech/development/Communicating-Better-With-Older-People/>
- Administration for Community Living DHHS  
[http://www.aoa.acl.gov/AoA\\_Programs/Tools\\_Resources/Older\\_Adults.aspx](http://www.aoa.acl.gov/AoA_Programs/Tools_Resources/Older_Adults.aspx)
- The **LOOK CLOSER, SEE ME** Generational Diversity and Sensitivity training program  
[http://nursing.uc.edu/content/dam/nursing/docs/CFAWD/LookCloserSeeMe/Module%204\\_GDS\\_I\\_Reference%20Guide.pdf](http://nursing.uc.edu/content/dam/nursing/docs/CFAWD/LookCloserSeeMe/Module%204_GDS_I_Reference%20Guide.pdf)

## PAIN MANAGEMENT ACROSS CULTURES

Your ability to provide adequate pain management to some patients can be improved with a better understanding of the differences in the way people deal with pain. Here is some important information about the cultural variations you may encounter when you treat patients for pain management.

**These tips are generalizations only. It is important to remember that each patient should be treated as an individual.**

Areas of Cultural Variation	Points to Consider	Suggestions
<b>Reaction to pain and expression of pain</b>	<ul style="list-style-type: none"> <li>• Cultures vary in what is considered acceptable expression of pain. As a result, expression of pain will vary from stoic to extremely expressive for the same level of pain.</li> <li>• Some men may not verbalize or express pain because they believe their masculinity will be questioned.</li> </ul>	<ul style="list-style-type: none"> <li>• Do not mistake lack of verbal or facial expression for lack of pain. Under-treatment of pain is a problem in populations where stoicism is a cultural norm.</li> <li>• Because the expression of pain varies, <b>ask</b> the patient what level, or how much, pain relief they think they need.</li> <li>• Do not be judgmental about the way someone is expressing their pain, even if it seems excessive or inappropriate to you. The way a person in pain behaves is socially learned.</li> </ul>
<b>Spiritual and religious beliefs about using pain medication</b>	<ul style="list-style-type: none"> <li>• Members of several faiths will not take pain relief medications on religious fast days, such as Yom Kippur or daylight hours of Ramadan. For these patients, religious observance may be more important than pain relief.</li> <li>• Other religious traditions forbid the use of narcotics.</li> <li>• Spiritual or religious traditions may affect a patient's preference for the form of medication delivery, oral, IV, or IM.</li> </ul>	<ul style="list-style-type: none"> <li>• Consultation with the family and Spiritual Counselor will help you assess what is appropriate and acceptable. Variation from standard treatment regimens may be necessary to accommodate religious practices.</li> <li>• Accommodating religious preferences, when possible, will improve the effectiveness of the pain relief treatment.</li> <li>• Offer a choice of medication delivery. If the choice is less than optimal, ask why the patient has that preference and negotiate treatment for best results.</li> </ul>
<b>Beliefs About Drug Addiction</b>	<ul style="list-style-type: none"> <li>• Recent research has shown that people from different genetic backgrounds react to pain medication differently. Family history and community tradition may contain evidence about specific medication effects in the population.</li> <li>• Past negative experience with pain medication shapes current community beliefs, even if the</li> </ul>	<ul style="list-style-type: none"> <li>• Be aware of potential differences in the way medication acts in different populations. A patient's belief that they are more easily addicted may have a basis in fact.</li> <li>• Explain how the determination of type and amount of medication is made. Explain changes from past practices.</li> </ul>



		<ul style="list-style-type: none"> <li>• what the patient may be using. There may be some reluctance to tell you about alternative therapies until they feel it is "safe" to talk about them.</li> <li>• Accommodate or integrate your treatments with alternative treatments when possible.</li> </ul>
<b>Methods Needed to Assess pain</b>	<ul style="list-style-type: none"> <li>• Most patients are able to describe their pain using a progressive scale, but others are not comfortable using a numerical scale, and the scale of facial expressions (smile to grimace) may be more useful.</li> </ul>	<ul style="list-style-type: none"> <li>• Ask the patient specifically how they can best describe their pain.</li> <li>• Use multiple methods of assessing pain-scales and analogies, if you feel the assessment of pain is producing ambiguous or incorrect results.</li> <li>• Once the severity of the pain can be assessed, explain in detail the expected result of the use of the pain medication in terms of whatever descriptive tools the patient has used. Check comprehension with teach-back techniques.</li> <li>• Instead of using scales, which might not be known to the patient, asking for comparative analogies, such as "like a burn from a stove," "cutting with a knife," or "stepping on a stone," may produce a more accurate description.</li> </ul>

\* **Note:** Avoid using family members as interpreters. **Minors** are **prohibited** from being used as interpreters. Find an interpreter with a health care background. **Document** in the patient's medical chart the request for or refusal of an interpreter.



**SECTION D: REFERENCE RESOURCES FOR CULTURALLY  
AND LINGUISTIC SERVICES**

## A GUIDE TO INFORMATION IN SECTION D

### Reference Resources for Culturally and Linguistic Services

Cultural and linguistic services have been mandated for federally funded program recipients in response to the growing evidence of health care disparities and as partial compliance with Title VI of the Civil Rights Act of 1964. The major requirements for the provision of cultural and linguistic services for patients in federally funded programs are included in this section.

### Eliminate Health Disparities

Culturally and linguistically appropriate services are increasingly recognized as a key strategy to eliminating disparities in health and health care (e.g., Betancourt, 2004; 2006; Brach & Fraser, 2000; HRET, 2011). Among several other factors, lack of cultural competence and sensitivity among health and health care professionals has been associated with the perpetuation of health disparities (e.g., Geiger, 2001; Johnson, Saha, Arbelaez, Beach, & Cooper, 2004). This is often the result of miscommunication and incongruence between the patient or consumer's cultural and linguistic needs and the services the health or health care professional is providing (Zambrana, Molnar, Munoz, & Lopez, 2004). The provision of culturally and linguistically appropriate services can help providers address these issues by providing knowledge and skills to manage the provider-level, individual-level, and system-level factors referenced in the Institute of Medicine's seminal report *Unequal Treatment* that intersect to perpetuate health disparities (IOM, 2003).<sup>1</sup>

### Health Equity & Culturally and Linguistically Appropriate Services are Connected

Culturally and linguistically appropriate services (CLAS) are one strategy to help eliminate health inequities. By tailoring services to an individual's culture and language preference, providers can help bring about positive health outcomes for diverse populations. The provision of health care services that are respectful of and responsive to the health beliefs, practices and needs of diverse patients can help close the gap in health care outcomes.<sup>1</sup>

### This section includes:

- Current cultural and linguistic requirements for federally funded programs.
- Guidelines for cultural and linguistic services.
- Purpose of the enhanced National CLAS Standards.
- Web based resources for more information related diversity and the delivery of cultural and linguistic services.

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<sup>1</sup> <https://www.thinkculturalhealth.hhs.gov/>



The following materials are available in this section:

<b>45 CFR 92, Non Discrimination Rule</b>	Language Assistance Services requirements as part of the Affordable Care Act modifications (2016).
<b>Title VI of the Civil Rights Act of 1964</b>	The Civil Rights Act of 1964 text.
<b>Standards to Provide “CLAS” Culturally and Linguistically Appropriate Services</b>	A summary of the fifteen “CLAS” standards.
<b>Executive Order 13166, August 2000</b>	The text of the Executive Order signed in August 2000 that mandated language services for Limited English Proficient (LEP) members enrolled in federally funded programs.
<b>Race/Ethnicity/Language (REL) Categories</b>	Importance of collecting REL and appropriate use.
<b>Bibliography of Major Sources Used in the Production of the Tool Kit</b>	A listing of resources that informed the work of the ICE Cultural and Linguistic Workgroup.
<b>Cultural Competence Web Resources</b>	A listing of internet resources related to diversity and the delivery of cultural and linguistic services.
<b>Acknowledgement of Contributors from the ICE Cultural and Linguistic Workgroup</b>	A listing of the contributors from the ICE Cultural and Linguistic Workgroup.

## 45 CFR 92, NON DISCRIMINATION RULE

§ 92.201 Meaningful access for individuals with limited English proficiency. (a) General requirement. A covered entity shall take reasonable steps to provide meaningful access to each individual with limited English proficiency eligible to be served or likely to be encountered in its health programs and activities. (b) Evaluation of compliance. In evaluating whether a covered entity has met its obligation under paragraph (a) of this section, the Director shall: (1) Evaluate, and give substantial weight to, the nature and importance of the health program or activity and the particular communication at issue, to the individual with limited English proficiency; and (2) Take into account other relevant factors, including whether a covered entity has developed and implemented an effective written language access plan, that is appropriate to its particular circumstances, to be prepared to meet its obligations in § 92.201 (a). (c) Language assistance services requirements.

Language assistance services required under paragraph (a) of this section must be provided free of charge, be accurate and timely, and protect the privacy and independence of the individual with limited English proficiency. (d) Specific requirements for interpreter and translation services. Subject to paragraph (a) of this section: (1) A covered entity shall offer a qualified interpreter to an individual with limited English proficiency when oral interpretation is a reasonable step to provide meaningful access for that individual with limited English proficiency; and (2) A covered entity shall use a qualified translator when translating written content in paper or electronic form. (e) Restricted use of certain persons to interpret or facilitate communication.

A covered entity shall not: (1) Require an individual with limited English proficiency to provide his or her own interpreter; (2) Rely on an adult accompanying an individual with limited English proficiency to interpret or facilitate communication, except: (i) In an emergency involving an imminent threat to the safety or welfare of an individual or the public where there is no qualified interpreter for the individual with limited English proficiency immediately available; or (ii) Where the individual with limited English proficiency specifically requests that the accompanying adult interpret or facilitate communication, the accompanying adult agrees to provide such assistance, and reliance on that adult for such assistance is appropriate under the circumstances; (3) Rely on a minor child to interpret or facilitate communication, except in an emergency involving an imminent threat to the safety or welfare of an individual or the public where there is no qualified interpreter for the individual with limited English proficiency immediately available; or (4) Rely on staff other than qualified bilingual/multilingual staff to communicate directly with individuals with limited English proficiency. (f) Video remote interpreting services.

A covered entity that provides a qualified interpreter for an individual with limited English proficiency through video remote interpreting services in the covered entity's health programs and activities shall provide: (1) Real-time, full-motion video and audio over a dedicated high-speed, wide-bandwidth video connection or wireless connection that delivers high quality video images that do not produce lags, choppy, blurry, or grainy images, or irregular pauses in communication; (2) A sharply delineated image that is large enough to display the interpreter's face and the participating individual's face regardless of the individual's body position; (3) A clear, audible transmission of voices; and (4) Adequate training to users of the technology and other involved individuals so that they may quickly and efficiently set up and operate the video remote interpreting. (g) Acceptance of language assistance services is not required. Nothing in this section shall be construed to require an individual with limited English proficiency to accept language assistance service.

## TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

**“No person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.”**



Under Title IV, any agency, program, or activity that receives funding from the federal government may not discriminate on the basis of race, color or national origin. This is the oldest and most basic of the many federal and state laws requiring “meaningful access” to healthcare, and “equal care” for all patients. Other federal and state legislation protecting the right to “equal care” outline how this principle will be operationalized.

State and Federal courts have been interpreting Title VI, and the legislation that it generated, ever since 1964. The nature and degree of enforcement of the equal access laws has varied from place to place and from time to time. Recently, however, both the Office of Civil Rights and the Office of Minority Health have become more active in interpreting and enforcing Title VI.



Additionally, in August 2000, the U.S. Department of Health and Human Services Office of Civil Rights issued “Policy Guidance on the Prohibition against National Origin Discrimination As it Affects Persons with Limited English Proficiency.” This policy established ‘national origin’ as applying to limited English-speaking recipients of federally funded programs.

## NATIONAL STANDARDS TO PROVIDE “CLAS” CULTURALLY AND LINGUISTICALLY APPROPRIATE SERVICES

The purpose of the enhanced National CLAS Standards is to provide a blueprint for health and health care organizations to implement CLAS that will advance health equity, improve quality, and help eliminate health care disparities. All 15 Standards are necessary to advance health equity, improve quality, and help eliminate health care disparities.

### Principal Standard:

1. Provide effective, equitable, understandable, and respectful quality care and services that are responsive to diverse cultural health beliefs and practices, preferred languages, health literacy, and other communication needs.

### Governance, Leadership, and Workforce:

2. Advance and sustain organizational governance and leadership that promotes CLAS and health equity through policy, practices, and allocated resources.

3. Recruit, promote, and support a culturally and linguistically diverse governance, leadership, and workforce that are responsive to the population in the service area.

4. Educate and train governance, leadership, and workforce in culturally and linguistically **appropriate policies and practices on an ongoing basis.**

### Communication and Language Assistance:



5. Offer language assistance to individuals who have limited English proficiency and/or other communication needs, at no cost to them, to facilitate timely access to all health care and services.

6. Inform all individuals of the availability of language assistance services clearly and in their preferred language, verbally and in writing.

7. Ensure the competence of individuals providing language assistance, recognizing that the use of untrained individuals and/or minors as interpreters should be avoided.

8. Provide easy-to-understand print and multimedia materials and signage in the languages commonly used by the populations in the service area.

### Engagement, Continuous Improvement, and Accountability:

9. Establish culturally and linguistically appropriate goals, policies, and management accountability, and infuse them throughout the organization’s planning and operations.



10. Conduct ongoing assessments of the organization's CLAS-related activities and integrate CLAS-related measures into measurement and continuous quality improvement activities.
11. Collect and maintain accurate and reliable demographic data to monitor and evaluate the impact of CLAS on health equity and outcomes and to inform service delivery.
12. Conduct regular assessments of community health assets and needs and use the results to plan and implement services that respond to the cultural and linguistic diversity of populations in the service area.
13. Partner with the community to design, implement, and evaluate policies, practices, and services to ensure cultural and linguistic appropriateness.
14. Create conflict and grievance resolution processes that are culturally and linguistically appropriate to identify, prevent, and resolve conflicts or complaints.
15. Communicate the organization's progress in implementing and sustaining CLAS to all stakeholders, constituents, and the general public.





## **EXECUTIVE ORDER 13166, AUGUST 2000**

### **Improving Access to Services for Persons with Limited English Proficiency (Verbatim)**

By the authority vested in me as President by the Constitution and the laws of the United States of America, and to improve access to federally conducted and federally assisted programs and activities for persons who, as a result of national origin, are limited in their English proficiency (LEP), it is hereby ordered as follows:

#### **Section 1. Goals.**

The Federal Government provides and funds an array of services that can be made accessible to otherwise eligible persons who are not proficient in the English language. The Federal Government is committed to improving the accessibility of these services to eligible LEP persons, a goal that reinforces its equally important commitment to promoting programs and activities designed to help individuals learn English. To this end, each Federal agency shall examine the services it provides and develop and implement a system by which LEP persons can meaningfully access those services consistent with, and without unduly burdening, the fundamental mission of the agency. Each Federal agency shall also work to ensure that recipients of Federal financial assistance (recipients) provide meaningful access to their LEP applicants and beneficiaries. To assist the agencies with this endeavor, the Department of Justice has today issued a general guidance document (LEP Guidance), which sets forth the compliance standards that recipients must follow to ensure that the programs and activities they normally provide in English are accessible to LEP persons and thus do not discriminate on the basis of national origin in violation of title VI of the Civil Rights Act of 1964, as amended, and its implementing regulations. As described in the LEP Guidance, recipients must take reasonable steps to ensure meaningful access to their programs and activities by LEP persons.

#### **Sec. 2. Federally Conducted Programs and Activities.**

Each Federal agency shall prepare a plan to improve access to its federally conducted programs and activities by eligible LEP persons. Each plan shall be consistent with the standards set forth in the LEP Guidance, and shall include the steps the agency will take to ensure that eligible LEP persons can meaningfully access the agency's programs and activities. Agencies shall develop and begin to implement these plans within 120 days of the date of this order, and shall send copies of their plans to the Department of Justice, which shall serve as the central repository of the agencies' plans.

#### **Sec. 3. Federally Assisted Programs and Activities.**

Each agency providing Federal financial assistance shall draft title VI guidance specifically tailored to its recipients that is consistent with the LEP Guidance issued by the Department of Justice. This agency-specific guidance shall detail how the general standards established in the LEP Guidance will be applied to the agency's recipients. The agency-specific guidance shall take into account the types of services provided by the recipients, the individuals served by the recipients, and other factors set out in the LEP Guidance. Agencies that already have developed title VI guidance that the Department of Justice determines is consistent with the LEP Guidance shall examine their existing guidance, as well as their programs and activities, to determine if additional guidance is necessary to comply with this order.



The Department of Justice shall consult with the agencies in creating their guidance and, within 120 days of the date of this order, each agency shall submit its specific guidance to the Department of Justice for review and approval. Following approval by the Department of Justice, each agency shall publish its guidance document in the Federal Register for public comment.

**Sec. 4. Consultations.**

In carrying out this order, agencies shall ensure that stakeholders, such as LEP persons and their representative organizations, recipients, and other appropriate individuals or entities, have an adequate opportunity to provide input. Agencies will evaluate the particular needs of the LEP persons they and their recipients serve and the burdens of compliance on the agency and its recipients. This input from stakeholders will assist the agencies in developing an approach to ensuring meaningful access by LEP persons that is practical and effective, fiscally responsible, responsive to the particular circumstances of each agency, and can be readily implemented.

**Sec. 5. Judicial Review.**

This order is intended only to improve the internal management of the executive branch and does not create any right or benefit, substantive or procedural, enforceable at law or equity by a party against the United States, its agencies, its officers or employees, or any person.

WILLIAM J. CLINTON

THE WHITE HOUSE

Office of the Press Secretary

(Aboard Air Force One)

For Immediate Release August 11, 2000

Reference: <http://www.usdoj.gov/crt/cor/Pubs/eolep.htm>

## RACE/ETHNICITY/LANGUAGE (REL) CATEGORIES IMPORTANCE OF COLLECTING REL AND APPROPRIATE USE

Collecting REL information helps providers to administer better care for patients. Access to accurate data is essential for successfully identifying inequalities in health that could be attributed to race, ethnicity or language barriers and to improve the quality of care and treatment outcomes.

The health plans collect this data and can make this data available to providers upon request. Provider must collect member spoken language preference and document this on the member's record. Below is the listing of the basic race and ethnicity categories used by health plans.

### Office of Management and Budget (OMB) Ethnicity Categories:

- Hispanic or Latino: A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
- Non-Hispanic or Latino: Patient is not of Hispanic or Latino ethnicity.
- Declined: A person who is unwilling to provide an answer to the question of Hispanic or Latino ethnicity.
- Unavailable: Select this category if the patient is unable to physically respond, there is no available family member or caregiver to respond for the patient, or if for any reason, the demographic portion of the medical record cannot be completed. Hospital systems may call this field "Unknown", "Unable to Complete," or "Other"

### Office of Management and Budget (OMB) Race Categories:

- American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.
- Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
- Black or African American: A person having origins in any of the black racial groups of Africa.
- Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands
- White: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.
- Some Other Race: A person who does not self-identify with any of the OMB race categories. \*OMB-Mod
- Declined: A person who is unwilling to choose/provide a race category or cannot identify him/herself with one of the listed races.
- *Unavailable: Select this category if the patient is unable to physically respond, there is no available family member or caregiver to respond for the patient, or if for any reason, the demographic portion of the medical record cannot be completed. Hospital systems complete," or "Other. "may call this field "Unknown," "Unable to*

Source: [www.whitehouse.gov/omb/fedreg\\_race-ethnicity](http://www.whitehouse.gov/omb/fedreg_race-ethnicity)

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[www.who.int.healthsystems/topics/equity](http://www.who.int.healthsystems/topics/equity)



## CULTURAL COMPETENCE WEB RESOURCES

U.S. Department of Health and Human Services - Think Cultural Health	<a href="https://www.thinkculturalhealth.hhs.gov">https://www.thinkculturalhealth.hhs.gov</a>
Diversity RX	<a href="http://diversityrx.org/resources">http://diversityrx.org/resources</a>
Institute for Healthcare Improvement	<a href="http://www.ihp.org/Pages/default.aspx">http://www.ihp.org/Pages/default.aspx</a>
U.S. Department of Health and Human Services - Office of Minority Health	<a href="http://www.minorityhealth.hhs.gov/">http://www.minorityhealth.hhs.gov/</a>
Cross Cultural Health Care Program	<a href="http://xculture.org">http://xculture.org</a>
National Institute of Health	<a href="https://www.nih.gov">https://www.nih.gov</a>
U.S. Department of Health and Human Services – Health Resources and Services Administration	<a href="http://www.hrsa.gov/culturalcompetence/index.html">http://www.hrsa.gov/culturalcompetence/index.html</a>
Provider's Guide to Quality & Culture	<a href="http://www.msh.org/resources/providers-guide-to-quality-culture">http://www.msh.org/resources/providers-guide-to-quality-culture</a>
U.S. Department of Justice – Civil Rights Division	<a href="https://www.justice.gov/crt">https://www.justice.gov/crt</a>
National Center for Cultural Competence – Georgetown University	<a href="http://www.ncccurricula.info/awareness/C7.html">http://www.ncccurricula.info/awareness/C7.html</a>
Industry Collaboration Effort (ICE)	<a href="http://iceforhealth.org/aboutice.asp">http://iceforhealth.org/aboutice.asp</a>

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**Remember – Web pages can expire often. If the web address does not work, use Google and search under the organization's name.**



## **GLOSSARY OF TERMS**

### Auxiliary Aid

services or devices that enable persons with impaired sensory, manual, or speaking skills to have an equal opportunity to participate in, and enjoy the benefits of, programs or activities conducted by the agency.

### American Sign Language Auxiliary Aid

services or devices that enable persons with impaired sensory, manual, or speaking skills to have an equal opportunity to participate in, and enjoy the benefits of, programs or activities conducted by the agency.

### American Sign Language (ASL)

a nonverbal method of communicating by deaf or speech-impaired people in which the hands and fingers are used to indicate words and concepts.

### Barrier

an obstacle, impediment, obstruction, boundary, or separation.

### Braille

a system of reading and printing that enables the blind to read by using the sense of touch. Raised dots arranged in patterns represent numerals and letters of the alphabet and can be identified by the fingers.

### Body Language

the revelation of attitude or mood through physical gestures, posture, or proximity; nonverbal communication.

### Communication

the sending of data, messaged, or other forms of information from one entity to another.

### Communication, Impaired Verbal

the state in which a person experiences a decreased, delayed, or absent ability to receive, process, transmit, and use a system of symbols or anything that conveys meaning.

### Communication, Nonverbal

in interpersonal relationships, the use of communication techniques that do not involve words.

### Cultural Competence

sensitivity to the cultural, philosophical, religious, and social preferences of people of varying ethnicities or nationalities. Professional skill in the use of such sensitivities facilitates the giving of optimal patient care.

### Culture

shared human artifacts, attitudes, beliefs, customs, entertainment, ideas, language, laws, learning, and moral conduct.

### Demographics

of or related to the study of changes that occur in the large groups of people over a period of time.

### Disability

any physical, mental, or functional impairment that limits a major activity. It may be partial or complete.

### Discrimination

the process of distinguishing or differentiating. **2.** Unequal and unfair treatment or denial of rights or privileges without reasonable cause.

### Diverse

of a different kind, form, character, etc.; unlike. **2.** including representatives from more than one social, cultural, or economic group, especially members of ethnic or religious minority groups.

### Engagement

in the behavioral sciences, a term often used to denote active involvement in everyday activities that have personal meaning.

### Gender Identity

ones self-concept with respect to being male or female: a person's sense of his or her true sexual identity.

### Health Disparities

is often interpreted to mean racial or ethnic disparities, many dimensions of disparity exist in the United States, particularly in health. If a health outcome is seen to a greater or lesser extent between populations, there is disparity. Race or ethnicity, sex, sexual identity, age, disability, socioeconomic status, and geographic location all contribute to an individual's ability to achieve good health.

### Health Equity

an avoidable and unfair difference in health status between segments of the population.

### Health Literacy

the ability to understand the causes, prevention, and treatment of disease. **2.** the degree of communication that enhances people's related information.

Interpretation

In psychotherapy, the analysis of the meaning of what the patient says or does. It is explained to the patient to help provide insight.

Interpreter

one who translates orally for parties conversing in different languages.

Language

the spoken or written words or symbols used by a population for communication.

Limited English Proficient (LEP)

is a term used in the United States that refers to a person who is not fluent in the English language, often because it is not their native language.

Mnemonic

Anything intended to aid memory.

Race

the descendants of a genetically cohesive ancestral group. **2.** A political or social designation for a group of people thought to share a common ancestry or common ethnicity.

Resource

an asset valuable commodity or service.

Service

help or assistance.

Speech

the oral expression of one's thoughts. **2.** the utterance of articulate words or sounds.

Speech transliterator

a person trained to recognize unclear speech and repeat it clearly

Teletypewriter

a telegraphic apparatus by which signals are sent by striking the letters and symbols of the keyboard of an instrument resembling a typewriter and are received by a similar instrument that automatically prints them in type corresponding to the keys struck.

Transgender

an umbrella term for people whose gender identity and/or gender expression differs from what is typically associated with the sex they were assigned at birth.



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## Guidance to Comply with New Interpreter Quality Standards Requirements on the use of Bilingual/Multilingual Staff as Interpreters

<b><u>Summary of Requirements and Documentation</u></b>		
<b>Requirement</b>	<b>Potential Evidence</b>	<b>Provider Office to Note Documentation of Qualification</b>
<b>Office has a documented policy to offer interpreter support to LEP patients</b>	<input type="checkbox"/> Local office written policy; or <input type="checkbox"/> Local office policy that defers and adheres to the policy distributed by medical group Note: Policy includes documentation of patient language needs in medical record	Written policy available for viewing by an auditor  Policy title:
<b>Adheres to generally accepted interpreter ethics principles, including client confidentiality</b>	Signed attestation of understanding of interpreter ethics and patient confidentiality. Must include a review of National Code of Ethics for Interpreters in Health Care published at:  <a href="http://www.ncihc.org/assets/documents/publications/NCIHC%20National%20Standards%20of%20Practice.pdf">http://www.ncihc.org/assets/documents/publications/NCIHC%20National%20Standards%20of%20Practice.pdf</a>	Signed attestations are available. <input type="checkbox"/> Yes  <input type="checkbox"/> No
<b>Has demonstrated proficiency in speaking and understanding both spoken English and at least one other spoken language</b>	<input type="checkbox"/> Formal assessment of proficiency; or  <input type="checkbox"/> Annual job performance evaluations that document proficiency in speaking and communicating in English and one other language	<input type="checkbox"/> Yes, assessment results are available for viewing; or <input type="checkbox"/> Yes, documentation from an annual job performance evaluation for proficiency in speaking and communicating in English and one other language is available
<b>Is able to interpret effectively, accurately, and impartially, both receptively and expressly, to and from such language(s) and English, using any necessary specialized vocabulary terminology and phraseology</b>	<input type="checkbox"/> Formal assessment of proficiency; or  Annual performance evaluations document <input type="checkbox"/> Ability to interpret effectively, <input type="checkbox"/> Ability to interpret accurately, <input type="checkbox"/> Ability to interpret impartially, <input type="checkbox"/> Ability to interpret receptively and expressly, <input type="checkbox"/> Ability to interpret to and from English and another language using any <b><u>necessary specialized vocabulary terminology and phraseology</u></b>  Note: see NCIHC Interpreter Code of Ethics for description of above.	<input type="checkbox"/> Yes, assessment results are available for viewing; or <input type="checkbox"/> Yes, documentation from an annual job performance evaluation for proficiency in speaking and communicating in English and one other language is available
<p><b>For more information on Interpreter Quality Standards, please see the Industry Collaboration Effort (ICE) Better Communication, Better Care: Provider Tools to Care for Diverse Populations, Section D.</b></p> <p><a href="http://www.iceforhealth.org/library/documents/Better_Communication,_Better_Care_-_Provider_Tools_to_Care_for_Diverse_Populations.pdf">http://www.iceforhealth.org/library/documents/Better_Communication,_Better_Care_-_Provider_Tools_to_Care_for_Diverse_Populations.pdf</a></p>		

## Language Proficiency Assessment Resources

The bilingual assessment vendors included on this list are suggestions that providers might consider if they choose to use a bilingual assessment vendor to help ensure that they are using qualified bilingual staff to provide patient care, as these organizations have self-attested that they meet the required criteria. However, that should not be considered an endorsement for any language service vendor by ICE. The ICE C&L Team has not in the past and does not now endorse any language service vendors.

Language Proficiency Assessment Resources						
Description & Types of Services						
Organization	Website / Contact Information	# of Offered Languages	Custom to Medical Specialty	Assessments	Certification &/or Experience	Cost
<b>Berkeley Language Institute (BU)</b> Supports the client's efforts to adhere to Federal, Department of Health & Human Services Standards for CLAS, and State laws and regulations (DMC and Joint Commission).	<a href="http://www.berkeleylanguageinstitute.com/index.html">http://www.berkeleylanguageinstitute.com/index.html</a>  1-510-655-9469  Marci Valdivieso <a href="mailto:marci@berkeleylanguageinstitute.com">marci@berkeleylanguageinstitute.com</a>	<b>8 languages offered:</b> Arabic, Chinese (Mandarin, Cantonese, and Taishanese/Toisan), French, Korean, Russian, Spanish, Tagalog, Vietnamese	Yes	<ul style="list-style-type: none"> <li>Professional language assessments for interpreters, translators, &amp; bilingual speakers</li> <li>Language Proficiency Oral Assessment – ideal for current &amp; pre-employment bilingual employees</li> <li>Language Proficiency Written Assessment</li> <li>Medical Staff Oral Assessment</li> <li>Healthcare Interpreter Assessments</li> </ul>	Evaluators are experienced linguists that have: <ul style="list-style-type: none"> <li>At least five years interpreter &amp; translator experience</li> <li>Have shown an aptitude to be language evaluators.</li> <li>They are generally certified by/with the National Board or CCHI if the language pair is an option, or</li> <li>They are otherwise assessed and trained prior to being given evaluation assignments</li> </ul>	Cost will vary depending on language pair and type of assessment \$115 - \$190/ person
<b>Culture Advantage</b> Designed by a culturally-diverse team of healthcare professionals & certified medical interpreters.	<a href="https://cultureadvantage.org/">https://cultureadvantage.org/</a>  1-316-217-0198  Marlene Obermeyer, MA, RN <a href="mailto:director@cultureadvantage.org">director@cultureadvantage.org</a>	<b>10 languages offered:</b> Arabic, Chinese Mandarin, Japanese, Farsi, Korean, Portuguese, Russian, Spanish, Tagalog, Vietnamese	Yes All medical specialties offered in the professional program	<ul style="list-style-type: none"> <li>Bilingual Staff Medical Interpreting Skills Assessment (MISA)</li> <li>Specialty-specific Medical Interpreting Skills Assessment</li> </ul>	Evaluators are healthcare professionals who speak the language pair & have received a Professional Clinical Interpreter Certificate; Evaluators may partner with a CMI/CHI who speaks the language pair	Cost will vary \$200 /MISA \$250 - \$950 for Online Courses
<b>ISI Language Solutions</b> ITAP helps healthcare facilities meet the linguistic and cultural requirements of Title VI of the Civil Rights Act, HIPAA, Medicare, Medicaid, Healthcare Reform, JCAHO and state regulations.	<a href="https://isilanguagesolutions.com/industries/healthcare/">https://isilanguagesolutions.com/industries/healthcare/</a>  1-818-753-9181  John Lopez <a href="mailto:john@isitrans.com">john@isitrans.com</a>  Christina Xu <a href="mailto:christina@isitrans.com">christina@isitrans.com</a>	<b>22 languages offered:</b> Arabic, Armenian, Bengali, Chinese (Cantonese & Mandarin), Farsi, French, Georgian, Gujarati, Hebrew, Hindi, Hmong, Japanese, Khmer, Korean, Portuguese, Russian, Spanish, Tagalog, Thai, Vietnamese	No	<ul style="list-style-type: none"> <li>Interpreter Training Assessment Program (ITAP) – 4 modules implemented individually or as a whole                             <ul style="list-style-type: none"> <li>Language Proficiency Assessment</li> <li>Building Cultural Competency Workshop</li> <li>Medical Terminology Workshop</li> <li>Medical Interpreting Ethics and Protocol Workshop</li> </ul> </li> </ul>	Professional Linguists <ul style="list-style-type: none"> <li>Certification or Accreditation from American Translators Association (ATA) or equivalent organization</li> <li>Degree in Translation or foreign equivalent</li> <li>Subject-Matter expertise in the field of Life Sciences</li> <li>Extensive experience in translation and linguistics</li> </ul>	Must contact for costs  Cost example: <ul style="list-style-type: none"> <li>Flat rate/ test - \$80</li> </ul>



Language Proficiency Assessment Resources						
Description & Types of Services						
Organization	Website / Contact Information	# of Offered Languages	Custom to Medical Specialty	Assessments	Certification &/or Experience	Cost
<b>Language Line Academy (LLA)</b> Our professional testing and training ensures the qualifications and skills of bilingual and interpreter staff for effective communication and documented proof for compliance with laws and regulations.	<a href="https://www.language-line.com/">https://www.language-line.com/</a> 1-844-552-8378 Ana Catalina Arguedas Fernández <a href="mailto:la@language-line.com">la@language-line.com</a>	<b>1 language offered:</b> Spanish	Yes Pediatrics Mental Health OB/Gyn Ophthalmology Gastroenterology Oncology Cardiology Pharmacy	<ul style="list-style-type: none"> <li>Healthcare Bilingual Fluency assessment for clinicians and medical staff</li> <li>Certificate of Competency in Medical Interpreting – test takes 45 minutes to one hour</li> <li>Interpreter Readiness Assessment</li> <li>Interpreter Skills Test</li> </ul>	LLA testers have a variety of qualifications, including: <ul style="list-style-type: none"> <li>M.A., Translation &amp; Interpretation</li> <li>Years of medical interpreting experience</li> <li>External interpreter certification credentials</li> </ul>	Cost will vary \$145 - \$160/ test Volume discounts available
<b>Language Testing International (LTI)</b> In partnership with the American Council on the Teaching of Foreign Languages (ACTFL), we proudly offer our corporate clients valid and reliable reading, writing, speaking, and listening tests.	<a href="https://www.language-testing.com/">https://www.language-testing.com/</a> 1-800-486-8444 Marketing/Scheduling Team Diane ext. 123 Dina ext. 127 <a href="mailto:info@language-testing.com">info@language-testing.com</a>	<b>100+ languages offered,</b> most popular: Arabic, French, German, Italian, Korean, Mandarin, Pashto, Persian Farsi, Portuguese, Russian, Spanish View complete list of languages online	Offers general testing/ proficiency assessments Does not specifically assess proficiency for healthcare interpretation or translation services	<ul style="list-style-type: none"> <li>Oral Proficiency Interview</li> <li>15 – 30 minute telephonic interview</li> <li>Oral Proficiency Interview – Computer</li> <li>20 – 40 minute on-demand, internet or phone-delivered proficiency test</li> <li>Writing Proficiency Test via the web</li> <li>20 – 80 minutes</li> <li>Listening Proficiency Test</li> <li>50 – 125 minutes</li> <li>Reading Proficiency Test</li> <li>50 – 125 minutes</li> </ul>	LTI strictly uses Certified ACTFL testers and raters Ensuring quality and validity of tests	Contact for costs Package options available for some languages Cost examples: • \$100 - \$200/ person for phone survey • \$159 for web based proctoring
<b>MasterWord</b> For professionals working in healthcare organizations, we aid in ensuring compliance with The Joint Commission, CLAS, as well as Section 1557 of the ACA standards with our impactful cultural competency training.	<a href="https://www.masterword.com/">https://www.masterword.com/</a> 1-866-716-4999 <a href="mailto:masterword@masterword.com">masterword@masterword.com</a>	<b>250+ languages offered</b> for interpreting and translation <b>Contact for languages offered for proficiency assessments</b>	Not specified Offers On Demand training & Webinars for Healthcare, includes: • Maternal Fetal Medicine • Cardiology • Mental Health • Oncology • Emergency	<ul style="list-style-type: none"> <li>Language Proficiency Assessment: 60 minutes</li> <li>Contact for languages</li> <li>Health Care Interpreter Assessment (HCA<sup>®</sup>): 32 min / 45 min. –oral / written</li> </ul> Currently the full assessment is available in Spanish, Arabic, Vietnamese, Chinese Mandarin, and Burmese. Other languages are also assessed by professional evaluators using a modified version of this assessment.	Assessments based on formats of CCHI & NBCMI national certification exams	On Demand Assessments: \$105 - \$155

# COMMUNICATIONS TOOL KIT



This document will help you in the design of written materials to be both inclusive, sensitive, and compliant with the National Culturally and Linguistically Appropriate Service (CLAS) Standards and Section 1557 of the Affordable Care Act (ACA).



We do not want to be exclusionary, insensitive, or contribute to people feeling they are not welcome. Using gender neutral and culturally sensitive wording when creating any documents-whether for staff, members, providers, or the community is best practice, aligns with regulations and it fosters inclusivity. We need to be aware of the language we use. Utilize the below list when writing or reviewing documents. The list includes

either offensive or non-inclusive phrases or words that have been found in materials, written as indicated. When reviewing documents, perform a search for the words as written below in the various ways (utilize the "find" function – select "Control F") and replace them with sensitive terms as applicable:

<b>Exclusionary</b>	<b>Inclusive</b>
his, her, his or her, his/her	their, the members
he, she, he or she, he/she	they, the members
him, her, him or her, him/her	them
himself, herself, himself or herself	themselves
woman, man, men or women	the member or the individual, members or individuals
gender specific screenings – well-woman etc.	take out the gender term and leave as "preventative screening" or "annual well-check". In general we need to use medical terms – do not "gender" services. Documents often reference "women should have a mammogram..." and instead should say "members should have a mammogram" etc.
pregnant women, pregnant woman	pregnant individuals, child-bearers, child-bearer
mother, father, mom, dad	parent as applicable
maternity	excluding any formal contract/program language requirement or information-change to "pregnancy", "childbirth", "pregnancy and childbirth" "prenatal", "postnatal" etc. as applicable
Gender-Male, Female - Sex and Gender/Gender Identity are different. Stay away from using them synonymously because it can be exclusionary; sex should reference medical terminology and gender/gender identity should reference the social construct of gender/gender identity...gender identities.	When need to know sex – include sex terms: male, female, or intersex When need to know gender – include gender/gender identity terms: woman, man, transgender, boy, girl, nonbinary, gender fluid, two-spirit, etc.- many more terms available.  Consider asking "sex assigned at birth" and "gender identity" to be more inclusive.
both sexes	for sex there is male, female, intersex if inferring gender/gender identity there are many terms (based on context change to "individuals" or just say "sex" of member or "gender identity of member")

<b>Offensive/Insensitive</b>	<b>Sensitive</b>
hearing impaired	deaf or hard of hearing
visual impairment	blind or low vision
LEP members	members with limited English proficiency
gender reassignment surgery, sex change	gender affirming surgery, transition
sexual preference	sexual orientation
hermaphrodite, hermaphroditism	"intersex" if applicable or if actually referencing gender affirming procedures, use "gender affirming treatment"
transgenders, a transgender, transgendered	Transgender should be used as an adjective, not a noun. For example, "Tony is a transgender man". Adding "ed" is insensitive-being transgender is a part of someone's identity, nothing happened to make someone transgender as the "ed" may suggest.

For additional questions on creating culturally sensitive materials:  
email [Ivy Diaz at ivy.diaz@healthnet.com](mailto:Ivy.Diaz@healthnet.com) or Peggy Payne, ICE Co-Chair at [peggy.payne@cigna.com](mailto:peggy.payne@cigna.com)

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**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
Q2055	ABECMA	Idecabtagene vicleuceel, suspension for intravenous infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J0287	ABELCET	Amphotericin B lipid complex	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0400	ABILIFY	Aripiprazole, intramuscular, 0.25 mg	THERAPEUTIC INJ	
J0402	ABILIFY ASIMTUFI <sup>®</sup>	Aripiprazole IM ER Susp Prefilled Syringe	THERAPEUTIC	
J0401	ABILIFY MAINTENA	Apriprazole 300mg, IM injection	THERAPEUTIC INJ	
J9264	ABRAXANE	Paclitaxel protein-bound particles, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5132	ABRILADA <sup>™</sup>	Injection, adalimumab-afzb , biosimilar, 10 mg- 0069-0347-02; 40mg 0069-0319-01.	THERAPEUTIC INJ	
Q5132	ABRILADA <sup>™</sup>	Injection, adalimumab-afzb , biosimilar, 20, 40mg - 00069-0333-02; 00069-0325-01; 00069-0325-02; 00069-0328-02; 00025-0325-02 ; 00025-0328-02; 00025-0333-02; 00025-0325-01	SELF-INJECTABLE	
TBD	ABRYSVO <sup>™</sup>	Respiratory Syncytial Virus Vaccine solution for intramuscular injection	THERAPEUTIC INJ	IMMUNIZATION
J0137	Acetaminophen	Injection, acetaminophen (Hikma) not therapeutically equivalent to J0131, 10 mg	THERAPEUTIC INJ	
J0134	Acetaminophen 10mg/ml solution	Injection, acetaminophen (fresenius kabi) not therapeutically equivalent to j0131, 10 mg	THERAPEUTIC INJ	
J0136	Acetaminophen 10mg/ml solution	Injection, acetaminophen (b braun) not therapeutically equivalent to j0131, 10 mg	THERAPEUTIC INJ	
J1120	ACETAZOLAMIDE SODIUM	Acetazolamide sodium injection	THERAPEUTIC INJ	
J0132	ACETYLCYSTEINE INJ	Acetylcysteine injection, 10 mg	THERAPEUTIC INJ	
J3262	ACTEMRA 162mg/0.9ml Syringe (50242-0138-01)	Tocilizumab, 1 mg	SELF-INJECTABLE	
J3262	ACTEMRA INJECTION (50242-0136-01, 50242-0137-01)	Tocilizumab 200mg, 400mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0802	ACTHAR <sup>®</sup> HP	Corticotropin injection, 40 MG	THERAPEUTIC INJ	
J0801	ACTHAR <sup>®</sup> HP	Corticotropin injection, 80 MG	THERAPEUTIC INJ	
90648	ACTHIB	Hemophilus influenza b vaccine (Hib), PRP-T conjugate (4-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J0795	ACTHREL	Corticotrelin Ovine Triflural	THERAPEUTIC INJ	
J9216	ACTIMMUNE	Interferon gamma 1-b 3 million units	SELF-INJECTABLE	CHEMO ADJUNCT*
J2997	ACTIVASE	Alteplase recombinant, 1mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0133	ACYCLOVIR SODIUM	Acyclovir, 5 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90715	ADACEL	Tdap vaccine, > 7 yrs, IM	THERAPEUTIC INJ	IMMUNIZATION
J2504	ADAGEN	Pegademase bovine, 25 IU	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0791	ADAKVEO	Crizanlizumab-tmca IV Solution	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3590	ADBRY <sup>™</sup>	Tralokinumab-ldrm) injection, for subcutaneous use	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J9042	ADCETRIS	Brentuximab vedotin Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J0153	ADENOCARD	Adenosine 6 MG	THERAPEUTIC INJ	
C9399, J3490	ADLYXIN	Lixisenatide Solution	SELF-INJECTABLE	
J0171	ADRENALIN	Adrenalin (epinephrine) inject	THERAPEUTIC INJ	
J9000	ADRIAMYCIN	Doxorubicin hcl 10 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J9190	ADRUCIL	Fluorouracil injection, 500 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9029	ADSTILADRIN®	Nadofaragene firadenovec-vncg) suspension, for intravesical use	THERAPEUTIC	CHEMOTHERAPY*
J0172	ADUHELM™	Aducantumab-avwa IV Solution	THERAPEUTIC INJ	
J7192	ADVATE	Factor VIII (antihemophilic factor, recombinant) per IU, not otherwise specified	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7207	ADYNOVATE	Antihemophilic Factor (Recombinant), PEGylated, is a human antihemophilic factor	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J3590	ADZYNMA	Adams13 recombinant-krhn	THERAPEUTIC INJ	
90685	AFLURIA® Peds Quadrivalent	Influenza virus vaccine, quadrivalent (IIV4), split virus, preservative free, 0.25 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90688	AFLURIA® Quadrivalent	Influenza virus vaccine, quadrivalent (IIV4), split virus, 0.5 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J7210	AFSTYLA	Injection, factor VIII, antihemophilic factor, recombinant	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J3246	AGGRASTAT	Tirofiban HCl, 0.25 mg	THERAPEUTIC INJ	
Q2034	AGRIFLU	Influenza virus vaccine, split virus, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J1720	A-HYDROCORT	Hydrocortisone sodium succinate	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3590	AIMOVIG	Erenumab-aooe injection, for subcutaneous use	SELF-INJECTABLE	
J3031	AJOVY	Fremanezumab-vfrm	SELF-INJECTABLE	
J0190	AKINETON	Biperiden lactate, per 5 mg	THERAPEUTIC INJ	
J3490	AKOVAZ	Ephedrine sulfate injection, USP for intravenous use	THERAPEUTIC INJ	
J1454	AKYNZEO	Fosnetupitant and palonosetron) for injection, for intravenous use	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1931	ALDURAZYME	Laronidase injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0216	Alfentanil	Alfentanil HCl injection	THERAPEUTIC INJ	
J9215	ALFERON N	Interferon alfa-N3 (human leukocyte derived), 250,000 IU inj	SELF-INJECTABLE	CHEMO ADJUNCT*
J9305	ALIMTA	Pemetrexed, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9057	ALIQOPA	Copanlisib for injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J9245	ALKERAN	Melphalan hydrochl 50 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J0206	Allopurinol	Injection, allopurinol sodium, 1 mg	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J2469	ALOXI	Palonosetron HCl, 25 mcg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J7190	ALPHANATE	Factor VIII (antihemophilic Factor [human]) per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7186	ALPHANATE VWF	Von Willebrand Factor complex, human, ristocetin coFactor (not otherwise specified ), per I.U.	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7193	ALPHANINE SD	Factor IX (antihemophilic Factor, purified, non-recombinant) per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7201	ALPROLIX	Coagulation Factor IX (Recombinant), Fc Fusion Protein], Lyophilized Powder for Solution for Intravenous Injection	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0270	Alprostadil 500	Injection, alprostadil, 1.25 mcg (code may be used for Medicare when drug administered under direct physician supervision, not for use when drug is self-administered)	SELF-INJECTABLE	
J7214	ALTUVIIIIO™	Antihemophilic factor (recombinant) DNA-derived, Factor VIII concentrate, lyophilized powder for solution, for intravenous use	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
Q1256	ALYMSYS®	Bevacizumab-maly injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J0289	AMBISOME	Amphotericin B liposome inj	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2920	A-METHAPRED	Methylprednisolone injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2930	A-METHAPRED	Methylprednisolone injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0215	AMEVIVE	Alefacept	THERAPEUTIC INJ	
S0017	AMICAR	Aminocaproic acid	THERAPEUTIC INJ	
J0278	AMIKACIN SULF INJ USP 1GRAM/4ML FLIPTOP VIAL	Amikacin sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0278	AMIKIN	Amikacin sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0280	AMINOPHYLLINE	Aminophyllin 250 MG inj	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0282	AMIODARONE HCL	Amiodarone hcl	THERAPEUTIC INJ	
C9399, J3490	AMJEVITA	Adalimumab-atto injection for subcutaneous use	SELF-INJECTABLE	
J1426	AMONDYS 45™	Casimersen injection, for intravenous use	THERAPEUTIC INJ	
J3470	AMPHADASE 150 UNIT/ML SOLN	Hyaluronidase, up to 150 units	THERAPEUTIC INJ	
J0285	AMPHOCIN	Amphotericin B	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0288	AMPHOTEC	Ampho b cholesteryl sulfate	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0285	AMPHOTERICIN B	Amphotericin B	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0290	AMPICILLIN SODIUM	Ampicillin 500 MG inj	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0295	AMPICILLIN-SULBACTAM	Ampicillin sodium per 1.5 gm	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0225	AMVUTTRA™	Vutrisiran injection, for subcutaneous use	THERAPEUTIC INJ	
J0300	AMYTAL SODIUM	Amobarbital 125 MG inj	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0716	ANASCORP	Centruroides immune f(ab)2, up to 120 milligrams	THERAPEUTIC INJ	
J0841	ANAVIP	Injection, crotalidae immune f(ab')2 (equine), 120 mg	THERAPEUTIC INJ	
J0690	ANCEF	Cefazolin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7169	ANDEXXA	Coagulation factor Xa (recombinant), inactivated-zhzo) Lyophilized Powder for Solution For Intravenous Injection	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0330	ANECTINE	Succinylcholine chloride inj	THERAPEUTIC INJ	
J0583	ANGIOMAX	Bivalirudin, 1mg	THERAPEUTIC INJ	
J1738	ANJESO	Meloxicam injection, for intravenous use	THERAPEUTIC INJ	
90581	ANTHRAX VACCINE	Anthrax vaccine, sc	THERAPEUTIC INJ	IMMUNIZATION
J1451	ANTIZOL	Fomepizole, 15 mg	THERAPEUTIC INJ	
J1260	ANZEMET	Dolasetron mesylate	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3490	APHEXDA™	Motixafortide for injection, for subcutaneous use	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0364	APOKYN	Apomorphine hydrochloride, 1mg	SELF-INJECTABLE	
C9145	APONVIE™	Injection, aprepitant, 1 mg	THERAPEUTIC	
J0739	APRETUDE™	Cabotegravir extended-release injectable suspension), for intramuscular use	THERAPEUTIC INJ	
J3430	AQUA-MEPHYTON	Vitamin K phytonadione inj	THERAPEUTIC INJ	
J0256	ARALAST	Alpha 1 proteinase inhibitor	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0390	ARALEN	Chloroquine injection	THERAPEUTIC INJ	
J0380	ARAMINE	Metaraminol bitartrate	THERAPEUTIC INJ	
J0881	ARANESP	Darbepoetin alfa, 1 microgram (non-ESRD use)	SELF-INJECTABLE	CHEMO ADJUNCT*
J0882	ARANESP	Darbepoetin alfa, 1 microgram (for ESRD on dialysis)	SELF-INJECTABLE	CHEMO ADJUNCT*
J2793	ARCALYST	Rilonacept Injection 220 mg Solr	SELF-INJECTABLE	
90679	AREXVY	Respiratory Syncytial Virus Vaccine, Adjuvanted	THERAPEUTIC INJ	IMMUNIZATION
J0883	ARGATROBAN	Injection, argatroban, 1 mg (for non-ESRD use)	THERAPEUTIC INJ	
J0884	ARGATROBAN	Injection, argatroban, 1 mg (for ESRD on dialysis)	THERAPEUTIC INJ	
J0891	ARGATROBAN	Injection, argatroban (accord), not therapeutically equivalent to j0883, 1 mg (for non-esrd use)	THERAPEUTIC INJ	
J0892	ARGATROBAN	Injection, argatroban (accord), not therapeutically equivalent to j0884, 1 mg (for esrd on dialysis)	THERAPEUTIC INJ	
J0898	ARGATROBAN	Injection, argatroban (AuroMedics), not therapeutically equivalent to J0883, 1 mg (for non-ESRD use)	THERAPEUTIC INJ	
J0899	ARGATROBAN	Injection, argatroban (AuroMedics), not therapeutically equivalent to J0884, 1 mg (for ESRD on dialysis)	THERAPEUTIC INJ	
J1944	ARISTADA	Aripiprazole lauroxil extended release suspension	THERAPEUTIC INJ	
J1943	ARISTADA INITIO	Aripiprazole lauroxil extended-release injectable suspension	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting



INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3302	ARISTOCORT FORTE	Triamcinolone diacetate inj	THERAPEUTIC INJ	
J3303	ARISTOSPAN INTRA-ARTICULAR	Triamcinolone hexacetonl inj	THERAPEUTIC INJ	
J3303	ARISTOSPAN INTRALESIONAL	Triamcinolone hexacetonl inj	THERAPEUTIC INJ	
J1652	ARIXTRA	Fondaparinux sodium, 0.5 mg	SELF-INJECTABLE	
J9261	ARRANON 5 MG/ML SOLN	Nelarabine, 50 Mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0391	ARTESUNATE	Artesunate 110MG Solution Reconstituted	THERAPEUTIC INJ	
J9302	ARZERRA	Ofatumumab	THERAPEUTIC INJ	CHEMOTHERAPY*
J1554	ASCENIV	Injection, immune globulin, intravenous, non-lyophilized (e.g. liquid),	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
C9399, J3490	ASCLERA	Polidocanol Injection	THERAPEUTIC INJ	
J9118	ASPARLAS	Calaspargase pegol-mknl, 10 units	THERAPEUTIC INJ	CHEMOTHERAPY*
J2275	ASTRAMORPH	Morphine sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7504	ATGAM	Lymphocyte immune globulin, antithymocyte globulin, equine, parenteral, 250 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2060	ATIVAN	Lorazepam injection	THERAPEUTIC INJ	
J0461	ATROPINE SULFATE	Atropine sulfate injection	THERAPEUTIC INJ	
90705	ATTENUVAX	Measles vaccine, SC	THERAPEUTIC INJ	IMMUNIZATION
C9257	AVASTIN	Bevacizumab, 0.25 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9035	AVASTIN	Bevacizumab injection, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3145	AVEED	Testosterone undecanoate 250 mg/ml	THERAPEUTIC INJ	
J2280	AVELOX	Moxifloxacin 100 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1826	AVONEX	Interferon beta-1A, 11 mcg for intramuscular use (See also J1825)	SELF-INJECTABLE	
Q3027	AVONEX	Interferon beta-1a, 33 mcg	SELF-INJECTABLE	
Q5121	AVSOLA	Injection, infliximab-axxq, biosimilar, 10mg	THERAPEUTIC INJ	
J0714	AVYCAZ	Ceftazidime-avibactam Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0073	AZACTAM	Aztreonam, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7501	AZATHIOPRINE SODIUM	Azathioprine parenteral	THERAPEUTIC INJ	TRANSPLANT*
J0457	Aztreonam	Injection, aztreonam, 100 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0475	BACLOFEN	Baclofen 10 MG injection	THERAPEUTIC INJ	
J2700	BACTOCILL IN DEXTROSE	Oxacillin sodium injeciton	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0470	BAL IN OIL	Dimecaprol injection	THERAPEUTIC INJ	
C9159	BALFAXAR®	Injection, prothrombin complex concentrate (human), per IU of Factor IX activity	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0184	BARHEMSYS	Amisulpride (antiemetic) IV soln 10 mg/4ml	THERAPEUTIC INJ	
J9023	BAVENCIO	Avelumab injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
C9462	BAXDELA	Delafloxacin for injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
Q0222	BEBTELOVIMAB	BEBTELOVIMAB IV SOLN 175 MG/2ML	THERAPEUTIC INJ	
J7194	BEBULIN VH	Factor IX, complex, per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J9032	BELEODAQ	Belinostat Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9036	BELRAPZO	Bendamustine hydrochloride injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J1200	BENADRYL	Diphenhydramine hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J9058	Bendamustine	Injection, bendamustine HCl (Apotex), 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9059	Bendamustine	Injection, bendamustine HCl (Baxter), 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9034	BENDEKA	Bendamustine HCl Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J7195	BENEFIX	Factor IX (antihemophilic Factor, recombinant) per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0490	BENLYSTA	Belimumab 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3590	BENLYSTA SubQ INJ	Belimumab subcutaneous solution auto-injector	SELF-INJECTABLE	
J0500	BENTYL	Dicyclomine injection	THERAPEUTIC INJ	
J0179	BEOVU	Brolucizumab-dbl, 1 mg Injection	THERAPEUTIC INJ	
J0597	BERINERT	C1 Esterase Inhibitor (Human)	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9229	BESPONSA	Inotuzumab ozogamicin for IV soln	THERAPEUTIC INJ	CHEMOTHERAPY*
C9999	BESREMI®	Ropeginterferon alfa-2b-njft) injection, for subcutaneous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J1830	BETASERON	Interferon beta-1b / .25 MG	SELF-INJECTABLE	
90620	BEXSERO	Meningococcal recombinant protein and outer membrane vesicle vaccine, serogroup B, 2 dose schedule, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90381	BEYFORTUS™	Nirsevimab-alip IM Soln Prefilled Syringe 100 MG/ML	THERAPEUTIC INJ	
90380	BEYFORTUS™	Nirsevimab-alip IM Soln Prefilled Syringe 50 MG/ML	THERAPEUTIC INJ	
J0558	BICILLIN C-R (25000)	Penicillin G benzathine and penicillin G procaine, 25,000U	THERAPEUTIC INJ	
J0561	BICILLIN L-A	Penicillin G benzathine, up to 600,000 units	THERAPEUTIC INJ	
J0561	BICILLIN L-A	Penicillin G benzathine, up to 1,200,000 units	THERAPEUTIC INJ	
J0561	BICILLIN L-A	Penicillin G benzathine, up to 2,400,000 units	THERAPEUTIC INJ	
J9050	BICNU	Carmustine, 100 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3590	BIMZELX®	Bimekizumab-bkzx	SELF-INJECTABLE	
J1556	BIVIGAM	Immune Globulin Intravenous (Human), 10% liquid	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9040	BLENOXANE	Bleomycin sulfate injection, 15 units	THERAPEUTIC INJ	CHEMOTHERAPY*
J9037	BLENREP	Belantamab mafodotin-blmf for iv soln 100 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9040	BLEOMYCIN SULFATE	Bleomycin sulfate injection, 15 units	THERAPEUTIC INJ	CHEMOTHERAPY*
J9039	BLINCYTO	Blinatumomab for Injection, IV	THERAPEUTIC INJ	CHEMOTHERAPY*

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J1740	BONIVA	Ibandronate sodium Injection, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90715	BOOSTRIX	Tdap vaccine	THERAPEUTIC INJ	IMMUNIZATION
J9046	Bortezomib	Injection, bortezomib (Dr. Reddy's), not therapeutically equivalent to J9041, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9048	Bortezomib	Injection, bortezomib (Fresenius Kabi), not therapeutically equivalent to J9041, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9049	Bortezomib	Injection, bortezomib (Hospira), not therapeutically equivalent to J9041, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9051	Bortezomib	Inj, bortezomib (maia)	THERAPEUTIC INJ	CHEMOTHERAPY*
J0585	BOTOX	OnabotulinumtoxinA, 1 unit	THERAPEUTIC INJ	
90287	BOTULINIM ANTITOXIN	Botulinim antitoxin, equine, any route	THERAPEUTIC INJ	
90288	BOTULISM	Botulism immune globulin, human, IV	THERAPEUTIC INJ	
J3355	BRAVELLE	Urofollitropin, 75 iu	SELF-INJECTABLE	INFERTILITY
J3105	BRETHINE	Terbutaline sulfate inj	THERAPEUTIC INJ	
Q2054	BREYANZI	Lisocabtagene maraleucel suspension for intravenous infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J0567	BRINEURA	Cerliponase alfa for intraventricular use	THERAPEUTIC INJ	
J2329	BRIUMVI™	Ublituximab-xiiy	THERAPEUTIC	
C9399, J3490	BRIVIACT	Brivaracetam injection, for intravenous use, CV-	THERAPEUTIC INJ	
J0576	BRIXADI™	Buprenorphine extended release subcutaneous injection	THERAPEUTIC INJ	
J0945	BROMPHENIRAMINE MALEATE	Brompheniramine maleate inj	THERAPEUTIC INJ	
J1939	BUMETANIDE	Injection, bumetanide, 0.5 mg	THERAPEUTIC INJ	
S0020	Bupivacaine	Bupivacaine hydro	THERAPEUTIC INJ	
J0665	Bupivacaine	Injection, bupivacaine, not otherwise specified, 0.5 mg	THERAPEUTIC INJ	
J0592	BUPRENEX	Buprenorphine hydrochloride	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0594	BUSULFEX 6MG/ML	Busulfan injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J0595	BUTORPHANOL TARTRATE	Butorphanol tartrate 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3490	BYDUREON	Exenatide extended release	SELF-INJECTABLE	
J3490	BYDUREON BCise	Exenatide extended release injectable suspension 2 mg	SELF-INJECTABLE	
J3490	BYETTA	Exenatide Injection	SELF-INJECTABLE	
J2249	BYFAVO®	Injection, remimazolam, 1 mg	THERAPEUTIC INJ	
Q5124	BYOOVIZ	Ranibizumab-nuna Intravitreal Injection	THERAPEUTIC INJ	
J0741	CABENUV	CABOTEGRAVIR & RILPIVIRINE	THERAPEUTIC INJ	HIV/AIDS
C9047	CABLIVI	Caplacizumab-yhdp	SELF-INJECTABLE	
J0706	CAFCIT	Caffeine citrate injection, 5 MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0636	CALCIJEX	Calcitriol per 0.1 mcg	THERAPEUTIC INJ	
S0161	CALCITROL	Calcitriol, 0.25 mg	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0600	CALCIUM DISODIUM VERSENATE	Edetate calcium disodium inj	THERAPEUTIC INJ	
J0610	CALCIUM GLUCONATE	Calcium gluconate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0612	Calcium gluconate	Injection, calcium gluconate (Fresenius Kabi), per 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0613	Calcium gluconate	Injection, calcium gluconate (WG Critical Care), per 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0611	Calcium gluconate	Injection, calcium gluconate (wg critical care), per 10 ml	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1741	CALDOLOR	Injection, ibuprofen, 100 mg	THERAPEUTIC INJ	
J0620	CALPHOSAN	Calcium glycer & lact/10 ML	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1952	CAMCEVI	Leuprolide injectable, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9010	CAMPATH	Alemtuzumab, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9206	CAMPTOSAR	Irinotecan injection, 20mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0637	CANCIDAS	Caspofungin acetate	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0670	CARBOCAINE	Mepivacaine HCL/10 ml	THERAPEUTIC INJ	
J9045	CARBOPLATIN	Carboplatin injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1566	CARIMUNE NF	Immune globulin, intravenous, lyophilized (eg powder), 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9052	Carmustine	Injection, carmustine (Accord), not therapeutically equivalent to J9050, 100 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1955	CARNITOR	Levocarnitine per 1 gm	THERAPEUTIC INJ	
Q2056	CARVYKTI	Ciltacabtagene autoleucl suspension for intravenous infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J3590	CASGEVY™	Exagamglogene autotemcel, suspension for intravenous infusion	THERAPEUTIC INJ	
J2997	CATHFLO ACTIVASE	Alteplase recombinant, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0270	CAVERJECT	Alprostadil for injection	SELF-INJECTABLE	
J0710	CEFADYL	Cephapirin sodium	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0688	CEFAZOLIN	Injection, cefazolin sodium (Hikma), not therapeutically equivalent to J0690, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0690	CEFAZOLIN SODIUM	Cefazolin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0689	Cefazolin sodium	Injection, cefazolin sodium (baxter), not therapeutically equivalent to j0690, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0701	Cefepime	Injection, cefepime HCl (Baxter), not therapeutically equivalent to Maxipime, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0703	Cefepime	Injection, cefepime HCl (B. Braun), not therapeutically equivalent to Maxipime, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0783	Cefepime	Cefepime hydrochloride (baxter), not therapeutically equivalent to maxipime, 500 mg J0703 Injection	THERAPEUTIC INJ	
J0715	CEFIZOX	Ceftizoxime sodium / 500 MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0715	CEFIZOX IN D5W	Ceftizoxime sodium / 500 MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0021	CEFOPERAZONE	Cefoperazone sodium	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0698	CEFOTAXIME SODIUM	Cefotaxime sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0074	CEFOTETAN	Cefotetan disodium	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0694	CEFOXITIN SODIUM	Cefoxitin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0713	CEFTAZIDIME	Ceftazidime per 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0697	CEFUROXIME SODIUM	njection, sterile cefuroxime sodium, per 750 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0697	CEFUROXIME-DEXTROSE	Sterile cefuroxime injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0702	CELESTONE SOLUSPAN	Betamethasone acetate 3 mg and betamethasone sodium phosphate 3 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J7599	CELLCEPT 500MG	Immunosuppressive drug, not otherwise classified	THERAPEUTIC INJ	TRANSPLANT*
J1890	CEPHALOTHIN SODIUM	Cephalothin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2724	CEPROTIN	Protein C concentrate, intravenous, human, 10 IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0713	CEPTAZ	Ceftazidime per 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q2009	CEREBYX	Fosphenytoin, 50 mg phenytoin equivalent	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0205	CEREDASE	Alglucerase injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1786	CEREZYME	Imiglucerase, per unit	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9150	CERUBIDINE	Daunorubicin, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
90650	CERVARIX	Human Papillomavirus Bivalent (Types 16 and 18) Vaccine, Recombinant	THERAPEUTIC INJ	IMMUNIZATION
J3490	CETROTIDE	Cetrorelix acetate for inj kit 0.25 mg	SELF-INJECTABLE	INFERTILITY
J0720	CHLORAMPHENICOL SOD SUCCINATE	Chloramphenicol sodium inject	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0720	CHLOROMYCETIN	Chloramphenicol sodium inject	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2401	Chloroprocaine	Chloroprocaine hydrochloride, per 1 mg	THERAPEUTIC INJ	
J3230	CHLORPROMAZINE HCL	Chlorpromazine hcl injection	THERAPEUTIC INJ	
90725	CHOLERA VACCINE	Cholera vaccine, injectable	THERAPEUTIC INJ	IMMUNIZATION
J0725	CHORIONIC GONADOTROPIN	Chorionic gonadotropin/1000u	SELF-INJECTABLE	INFERTILITY
Q5128	CIMERLI™	Injection, ranibizumab-eqrn , biosimilar, 0.1 mg	THERAPEUTIC INJ	
J0717	CIMZIA® Prefilled Syr KIT 200MG NDC 50474-710-81; 50474-710-79	Certolizumab, 200 mg/mL solution in a single-dose prefilled syringe	SELF-INJECTABLE	
J0717	CIMZIA® Vial NDC 50474-700-62	Certolizumab, 200 mg lyophilized powder in a single-dose vial	THERAPEUTIC INJ	
J2786	CINQAIR	Reslizumab	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0598	CINRYZE	Injection, C-1 esterase inhibitor (human), 10 units	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0185	CINVANTI	Aprepitant, Injection, 1 MG	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0744	CIPRO	Ciprofloxacin for intravenous infusion, 200 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0744	CIPRO IN D5W	Ciprofloxacin for intravenous infusion, 200 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9060	CISPLATIN	Cisplatin 10 mg injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9060	CISPLATIN	Cisplatin 50 mg injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9065	CLADRIBINE	Cladribine per 1 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J0698	CLAFORAN	Cefotaxime sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0698	CLAFORAN IN D5W	Cefotaxime sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0077	CLEOCIN	Clindamycin phosphate	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
C9248	CLEVIPREX	Clevidipine butyrate, 1 mg	THERAPEUTIC INJ	
J0736	Clindamycin	Injection, clindamycin phosphate, 300 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0737	Clindamycin	Injection, clindamycin phosphate (Baxter), not therapeutically equivalent to J0736, 300 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9027	CLOLAR	Clofarabine injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J2402	CLOROTEKAL®	Chloroprocaine hydrochloride injection, for intrathecal use	THERAPEUTIC INJ	
J7175	COAGADEX	Injection, factor X, (human), 1 IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0745	CODEINE PHOSPHATE	Codeine phosphate /30 MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0515	COGENTIN	Benztrapine mesylate, 1 mg	THERAPEUTIC INJ	
J0760	COLCHICINE	Colchicine injection	THERAPEUTIC INJ	
J0770	COLISTIMETHATE SODIUM	Colistimethate sodium inj	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9286	COLUMVI™	Glofitamab-gxhm injection for intravenous (IV) infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J0770	COLY-MYCIN M	Colistimethate sodium inj	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0780	COMPAZINE	Prochlorperazine, up to 10 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1595	COPAXONE	Glatiramer acetate	SELF-INJECTABLE	
J0282	CORDARONE IV	Amiodarone hcl	THERAPEUTIC INJ	
J7180	CORIFACT	Factor XIII Concentrate	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0834	CORTROSYN	Cosyntropin per 0.25 MG	THERAPEUTIC INJ	
J1742	CORVERT	Ibutilide fumarate injection	THERAPEUTIC INJ	
J1448	COSELA	Trilaciclib for injection, for intravenous	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3590	COSENTYX	Secukinumab Injection	SELF-INJECTABLE	
J3590	COSENTYX UNO	Secukinumab subcutaneous soln auto-injector 300 MG/2ML	SELF-INJECTABLE	
J9120	COSMEGEN	Dactinomycin, 0.5 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0834	Cosyntropin 0.25 MG (generic)	Cosyntropin, not otherwise specified, 0.25 mg	THERAPEUTIC INJ	
J2650	COTOLONE	Prednisolone acetate inj	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1833	CRESEMBA	Isavuconazonium sulfate Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0840	CROFAB	Injection, crotalidae polyvalent immune fab (Ovine), up to 1 gram	THERAPEUTIC INJ	
J0584	CRYSVITA	Burosumab-twza injection, for subcutaneous use	THERAPEUTIC INJ	
J0878	CUBICIN	Daptomycin injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3590	CUROSURF	Poractant alfa intratracheal suspension	THERAPEUTIC INJ	
J1551	CUTAQUIG	Immune Globulin Subcutaneous (Human) - hipp	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1555	CUVITRU	Immune Globulin Subcutaneous 20% Solution	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3420	CYANOCOBALAMIN	Vitamin B-12 cyanocobalamin, up to 1000mcg	THERAPEUTIC INJ	
J9070	CYCLOPHOSPHAMIDE	Cyclophosphamide 100 MG inj	THERAPEUTIC INJ	CHEMOTHERAPY*
J9080	CYCLOPHOSPHAMIDE	Cyclophosphamide 200 MG inj	THERAPEUTIC INJ	CHEMOTHERAPY*
J9090	CYCLOPHOSPHAMIDE	Cyclophosphamide 500 MG inj	THERAPEUTIC INJ	CHEMOTHERAPY*
J9091	CYCLOPHOSPHAMIDE	Cyclophosphamide 1.0 grm inj	THERAPEUTIC INJ	CHEMOTHERAPY*
J9092	CYCLOPHOSPHAMIDE	Cyclophosphamide 2.0 grm inj	THERAPEUTIC INJ	CHEMOTHERAPY*
C9087	CYCLOPHOSPHAMIDE	Injection, cyclophosphamide, (AuroMedics), 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9072	CYCLOPHOSPHAMIDE	Injection, cyclophosphamide, (Dr. Reddy's), 5 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J7516	CYCLOSPORINE	Cyclosporine, parenteral, 250mg	THERAPEUTIC INJ	TRANSPLANT*
J3590	CYLTEZO	Adalimumab-adbm injection, for subcutaneous use	SELF-INJECTABLE	
J9308	CYRAMZA	Ramucirumab injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J9100	CYTARABINE	Cytarabine hcl 100 MG inj	THERAPEUTIC INJ	CHEMOTHERAPY*
J9110	CYTARABINE	Cytarabine, 500mg	THERAPEUTIC INJ	CHEMOTHERAPY*
90291	CYTOGAM	Injection, cytomegalovirus, immune globulin intravenous (human), CMV-IgIV intravenous, human, per vial	THERAPEUTIC INJ	
J0850	CYTOGAM	Injection, cytomegalovirus, immune globulin intravenous (human), CMV-IgIV intravenous, human, per vial	THERAPEUTIC INJ	
J1570	CYTOVENE	Ganciclovir sodium injection	THERAPEUTIC INJ	HIV/AIDS
J9070	CYTOXAN	Cyclophosphamide 100 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9080	CYTOXAN	Cyclophosphamide 200 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9090	CYTOXAN	Cyclophosphamide 500 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9091	CYTOXAN	Cyclophosphamide 1.0 grm injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9092	CYTOXAN	Cyclophosphamide 2.0 grm injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1110	D.H.E. 45	Dihydroergotamine mesylate	SELF-INJECTABLE	
J9130	DACARBAZINE	Dacarbazine 100 mg injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9140	DACARBAZINE	Dacarbazine 200 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J0894	DACOGEN	Decitabine for Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J0875	DALVANCE	Dalbavancin hcl for iv soln 500 mg	THERAPEUTIC INJ	
J9348	DANYELZA	Naxitamab-gqqk 40MG/10ML Solution Injection, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
90700	DAPTACEL	Diphtheria and Tetanus Toxoids and Acellular Pertussis Vaccine Adsorbed	THERAPEUTIC INJ	IMMUNIZATION
J0878	Daptomycin	Injection, daptomycin (hospira), not therapeutically equivalent to j0878, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0877	Daptomycin	Daptomycin (hospira), not therapeutically equivalent to j0878, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0874	DAPTOMYCIN	Inj, daptomycin (baxter), not therapeutically equivalent to J0878	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0873	DAPTOMYCIN	Injection, daptomycin, not therapeutically equivalent to J0878, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9145	DARZALEX	Daratumumab injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J9144	DARZALEX FASPRO	Injection, daratumumab 10 mg and hyaluronidase-fihj	THERAPEUTIC INJ	CHEMOTHERAPY*
J9150	DAUNORUBICIN HCL	Daunorubicin, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9151	DAUNOXOME	Daunorubicin citrate liposomal formulation, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
C9160	DAXXIFY	DaxibotulinumtoxinA-lanm for injection, for intramuscular use	THERAPEUTIC INJ	
J2597	DDAVP	Desmopressin acetate, per 1 mcg	THERAPEUTIC INJ	
90714	DECAVAC	Tetanus and diphtheria toxoids (Td) adsorbed, preservative free, when administered to individuals 7 years or older, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J0893	Decitabine	Injection, decitabine (Sun Pharma) not therapeutically equivalent to J0894, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0895	DEFEROXAMINE MESYLATE	Deferoxamine mesylate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3490	DEFITELIO	Defibrotide sodium injection, for intravenous use	THERAPEUTIC INJ	
J1100	DEKASOL	Dexamethasone sodium phosphate 1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1094	DEKASOL LA	Dexamethasone acetate	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3265	DEMADEX	Torsemide 10 mg/ml	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2175	DEMEROL	Meperidine hydrochloride /100 MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9098	DEPOCYT	Cytarabine liposome, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1000	DEPO-ESTRADIOL	Depo-estradiol cypionate injection	THERAPEUTIC INJ	
J1020	DEPO-MEDROL 20	Methylprednisolone 20 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1030	DEPO-MEDROL 40	Methylprednisolone 40 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1040	DEPO-MEDROL 80	Methylprednisolone 80 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J3490	DEPO-PROVERA 150 MG	Medroxyprogesterone contraceptive injection	THERAPEUTIC INJ	
J1053	DEPO-PROVERA 400 MG	Medroxyprogesterone injection 1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3490	DEPO-SUBQ PROVERA 104	Medroxyprogesterone acetate Injection	THERAPEUTIC INJ	
J1071	DEPO-TESTOSTERONE	Testosterone cypionate, 1mg	THERAPEUTIC INJ	TRANSGENDER HORMONES
J0895	DESFERAL	Deferoxamine mesylate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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\*\* When administered by a nurse in a home setting



INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J2597	DESMOPRESSIN ACETATE	Desmopressin acetate	THERAPEUTIC INJ	
J1094	DEXAMETHASONE ACETATE	Dexamethasone acetate	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1100	DEXAMETHASONE SODIUM PHOSPHATE	Dexamethasone sodium phosphate 1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1100	DEXAMETHASONE SODIUM PHOSPHATE	Dexamethasone sodium phos	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1094	DEXASONE L.A.	Dexamethasone acetate	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1750	DEXFERRUM	Iron dextran, 50 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1190	DEXRAZOXANE	Dexrazoxane hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
C9048	DEXTENZA	Dexamethasone Ophthalmic Insert.	THERAPEUTIC INJ	
J7100	DEXTRAN 40 IN D5W	Dextran 40 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7100	DEXTRAN 40 IN NAACL	Dextran 40 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7110	DEXTRAN 75 IN D5W	Dextran 75 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7110	DEXTRAN 75 IN NAACL	Dextran 75 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7060	DEXTROSE	5% Dextrose/water	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7070	DEXTROSE	Infusion, D5W, 1000 cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7121	DEXTROSE in LACTATED RINGERS 5%	5% dextrose in lactated ringer's, 1000 mL	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S5010	DEXTROSE-NaCL 5 - 0.45% SOLUTION	5% dextrose and 0.45% normal saline, 1000 mL	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
C9034	DEXYCU	Dexamethasone intraocular suspension	THERAPEUTIC INJ	
J3360	DIAZEPAM	Diazepam, up to 5 mg	THERAPEUTIC INJ	
J0500	DICYCLOMINE HCL	Dicyclomine injection	THERAPEUTIC INJ	
J1450	DIFLUCAN IN SODIUM CHLORIDE	Fluconazole	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1162	DIGIBIND	Digoxin immune fab (ovine)	THERAPEUTIC INJ	
J1162	DIGIFAB	Digoxin immune fab (ovine)	THERAPEUTIC INJ	
J1160	DIGOXIN	Digoxin injection	THERAPEUTIC INJ	
J1110	DIHYDROERGOTAMINE MESYLATE	Dihydroergotamine mesylate	SELF-INJECTABLE	
J1170	DILAUDID	Hydromorphone injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0092	DILAUDID	Hydromorphone injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1180	DILOR	Dyphylline injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1240	DIMENHYDRINATE	Dimenhydrinate injection	THERAPEUTIC INJ	
J1200	DIPHENHYDRAMINE HCL	Diphenhydramine hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
90719	DIPHThERIA TOXOID	Diphtheria toxoid, IM	THERAPEUTIC INJ	IMMUNIZATION
90702	DIPHThERIA-TETANUS TOXOIDS	DT vaccine < 7 yrs, IM	THERAPEUTIC INJ	IMMUNIZATION
90718	DIPHThERIA-TETANUS TOXOIDS	Td vaccine > 7, IM	THERAPEUTIC INJ	IMMUNIZATION
J2704	DIPRIVAN	Propofol, 10 mg	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
90296	DIPHTHERIA ANTITOXIN	Diphtheria antitoxin, equine any route	THERAPEUTIC INJ	
J1245	DIPYRIDAMOLE INJECTION	Dipyridamole injection	THERAPEUTIC INJ	
J1205	DIURIL IV	Chlorothiazide sodium, per 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1250	DOBUTAMINE HCL	Dobutamine HCL 250 mg	THERAPEUTIC INJ	
J9172	Docetaxel	Injection, docetaxel (Ingenus), not therapeutically equivalent to J9171, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1265	DOPAMINE HCL	Dopamine hcl, 40 mg injection	THERAPEUTIC INJ	
J1265	DOPAMINE HCL 200MG IN 5% DEXTROSE	Dopamine injection	THERAPEUTIC INJ	
J1265	DOPAMINE HCL 800MG IN 5% DEXTROSE	Dopamine injection	THERAPEUTIC INJ	
J1265	DOPAMINE IN D5W	Dopamine injection	THERAPEUTIC INJ	
J1267	DORIBAX	Doripenem, 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1267	DORIBAX	Doripenem, 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q2050	DOXIL	Doxorubicin hydrochloride, liposomal, Doxil, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1790	DROPERIDOL	Droperidol injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1810	DROPERIDOL/FENTANYL CITRATE	Droperidol/fentanyl injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90723	DTAP-HEP B-IPV VACCINE	Dtap-Hep B-Ipv Vaccine, IM	THERAPEUTIC INJ	IMMUNIZATION
J9130	DTIC-DOME	Dacarbazine 100 mg injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9130	DTIC-DOME	Dacarbazine 200 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J3590, C9399	DUPIXENT	Dupilumab injection, for subcutaneous use	SELF-INJECTABLE	
J0735	DURACLON	Clonidine hydrochloride	THERAPEUTIC INJ	
J2270	DURAMORPH	Morphine sulfate, up to 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2274	DURAMORPH	Morphine sulfate, preservative-free for epidural or intrathecal use, 10mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2275	DURAMORPH	Morphine sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7318	DUROLANE	Sodium hyaluronic, for single intra-articular injection 60mg/3ml	THERAPEUTIC INJ	
J7351	DURYSTA	Injection, bimatoprost, intracameral implant, 1 microgram	THERAPEUTIC INJ	
J1130	DYLOJECT	Diclofenac sodium, 0.5 mg, Injection	THERAPEUTIC INJ	
J0586	DYSPORT	AbobotulinumtoxinA, 5 units	THERAPEUTIC INJ	
J3520	EDETATE DISODIUM	Edetate disodium /150 mg	THERAPEUTIC INJ	
J0270	EDEX	Injection, alprostadil, 1.25 mcg	SELF-INJECTABLE	
J3590, C9399	EGRIFTA SV	Tesamorelin acetate for inj 2 mg	SELF-INJECTABLE	HIV/AIDS
J9063	ELAHERE	Injection, mirvetuximab soravtansine-gynx, 1 mg , for intravenous use	THERAPEUTIC	CHEMOTHERAPY*
J1743	ELAPRASE	Idursulfase,1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J1743	ELAPRASE	Idursulfase, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1320	ELAVIL	Amitriptyline injection	THERAPEUTIC INJ	
J3060	ELELYSO	Taliglucerase alfa Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1413	ELEVIDYS	Delandistrogene moxeparovvec-rokl suspension, for intravenous infusion	THERAPEUTIC INJ	
J2508	ELFABRIO	Pegunigalsidase alfa-iwxj injection	THERAPEUTIC INJ	
J9217	ELIGARD	Leuprolide acetate suspension	THERAPEUTIC INJ	CHEMOTHERAPY*
J9217	ELIGARD	Leuprolide acetate suspension	THERAPEUTIC INJ	CHEMOTHERAPY*
J2783	ELITEK	Rasburicase, 0.5 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J9178	ELLENC	Epirubicin hcl, 2 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9175	ELLIOTTS B	Elliotts b solution per ml	THERAPEUTIC INJ	CHEMOTHERAPY*
J7205	ELOCTATE	Antihemophilic Factor (Recombinant), Fc Fusion Protein], Lyophilized Powder for Solution For Intravenous Injection	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J9263	ELOXATIN	Oxaliplatin	THERAPEUTIC INJ	CHEMOTHERAPY*
C9165	ELREXFIO	Elranatamab-bcmm Subcutaneous Soln 44 MG/1.1ML	THERAPEUTIC INJ	CHEMOTHERAPY*
J9020	ELSPAR	Asparaginase injection, 10,000 units	THERAPEUTIC INJ	CHEMOTHERAPY*
J9269	ELZONRIS	Tagraxofusp-erzs injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J1453	EMEND 115 MG SOLR	Fosaprepitant, 1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3590	EMGALITY	Galcanezumab-gnlm	SELF-INJECTABLE	
J0350	EMINASE	Anistreplase 30 u	THERAPEUTIC INJ	
C9151	EMPAVELI™	Pegcetacoplan subcutaneous Solution 1080 mg/20ml	THERAPEUTIC INJ	
J9176	EMPLICITI	Elotuzumab for Intravenous infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J1438	ENBREL	Etanercept injection	SELF-INJECTABLE	
90740	ENGERIX-B	Hepatitis B vaccine, dialysis or immunosuppressed patient dosage (3-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90746	ENGERIX-B	Hepatitis B vaccine, adult dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90744	ENGERIX-B 10 MCG/0.5ML INJ	Hepatitis B vaccine, pediatric/adolescent dosage (3-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90747	ENGERIX-B 20 MCG/ML INJ	Hepatitis B vaccine, dialysis or immunosuppressed patient dosage (4-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J9358	ENHERTU	Fam-trastuzumab deruxtecan-nxki for IV Solution	THERAPEUTIC INJ	CHEMOTHERAPY*
J1302	ENJAYMO™	Sutimlimab-jome) injection, for intravenous use	THERAPEUTIC INJ	
J3590	ENSPRYNG™	Satralizumab-mwge for subcutaneous use	SELF-INJECTABLE	
J3380	ENTYVIO	Vedolizumab for injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3380	ENTYVIO® (SubQ)	Vedolizumab soln <b>pen-injector</b> 108 MG/0.68ML 64764-0108-20; 64764-0108-21	SELF-INJECTABLE	
J3490	EPHEDRINE	Ephedrine sulfate inj 50 mg/ml	THERAPEUTIC INJ	

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INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0173	Epinephrine	Injection, epinephrine (belcher) not therapeutically equivalent to j0171, 0.1 mg	THERAPEUTIC INJ	
J0171	EPINEPHRINE HCL	Adrenalin epinephrine inject	THERAPEUTIC INJ	
J3490	EPIPEN	Epinephrine hcl injection device 1:1000	SELF-INJECTABLE	
J3490	EPIPEN JR	Epinephrine hcl injection device 1:1000	SELF-INJECTABLE	
C9155	EPKINLY™	Epcoritamab-bysp injection for subcutaneous (SC) use.	THERAPEUTIC INJ	CHEMOTHERAPY*
J0885	EPOGEN	Epoetin alfa, (for non-ESRD use), 1000 units	SELF-INJECTABLE	CHEMO ADJUNCT*
Q4081	EPOGEN	Epoetin Alfa, 100 Units (For ESRD On Dialysis) (For Renal Dialysis Facilities And Hospital Use)	SELF-INJECTABLE	
J0348	ERAXIS 50 MG	Anadulafungin injection	THERAPEUTIC INJ	
J9055	ERBITUX	Cetuximab injection	THERAPEUTIC INJ	CHEMOTHERAPY*
C9399, J3490	ERELZI	Etanercept-szszs injection, for subcutaneous	SELF-INJECTABLE	
J1330	ERGONOVINE MALEATE	Ergonovine maleate injection	THERAPEUTIC INJ	
J9019	ERWINAZE	Asparaginase Erwinia chrysanthemi Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1364	ERYTHROCIN	Erythromycin lactobionate 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1364	ERYTHROMYCIN LACTOBIONATE	Erythromycin lactobionate 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1805	Esmolol	Injection, esmolol HCl, 10 mg	THERAPEUTIC INJ	
J1806	Esmolol	Injection, esmolol HCl (WG Critical Care) not therapeutically equivalent to J1805, 10 mg	THERAPEUTIC INJ	
J7204	ESPEROCT	Antihemophilic factor (recombinant), glycopegylated-exei is a coagulation Factor VIII concentrate indicated for use in adults and children with hemophilia A	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J1380	ESTRADIOL VALERATE 10 MG/ML	Estradiol valerate injection, Up to 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*/ TRANSGENDER HORMONES+
J1430	ETHAMOLIN	Ethanolamine oleate 100 mg	THERAPEUTIC INJ	
J0207	ETHYOL	Amifostine	THERAPEUTIC INJ	CHEMO ADJUNCT*
J9181	ETOPOPHOS	Etoposide 10 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J7323	EUFLEXXA	Sodium Hyaluronate injection	THERAPEUTIC INJ	
J3111	EVENITY	Romosozumab-aqqg injection, for subcutaneous use	THERAPEUTIC INJ	
J1305	EVKEEZA	Evinacumab-dgnb injection, for intravenous	THERAPEUTIC INJ	
J9246	EVOMELA	Melphalan	THERAPEUTIC INJ	CHEMOTHERAPY*
J3490	EVUSHELD™	Tixagevimab 150 Mg/1.5ml & Cilgavimab 150 Mg/1.5ml IM Soln	THERAPEUTIC INJ	
C9399/J3490	EVZIO	Naloxone hydrochloride injection Auto-Injector	SELF-INJECTABLE	
J1428	EXONDYS 51	Eteplirsen IV Soln 100 MG/2ML	THERAPEUTIC INJ	
C9290	EXPAREL	Injection, bupivacaine liposome, 1 mg	THERAPEUTIC INJ	
J1830	EXTAVIA	Interferon beta-1b	SELF-INJECTABLE	
J0178	EYLEA	Aflibercept injection	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3490	EYLEA® HD	Aflibercept Injection 8 MG	THERAPEUTIC INJ	
J0180	FABRAZYME	Agalsidase beta injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0517	FASENRA	Benralizumab, for subcutaneous use	THERAPEUTIC INJ	
J0517	FASENRA PEN	Benralizumab subcutaneous soln auto-injector 30 mg/ml	SELF-INJECTABLE	
J9395	FASLODEX	Fulvestrant	THERAPEUTIC INJ	CHEMOTHERAPY*
J7198	FEIBA VH IMMUNO (ANTI-INHIBITOR COAGULANT COMPLEX)	Anti-inhibitor, per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J1951	FENSOLVI	Leuprolide acetate for injectable suspension,	THERAPEUTIC INJ	
J3010	FENTANYL CITRATE	Fentanyl citrate injecton	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1810	FENTANYL-DROPERIDOL	Droperidol/fentanyl injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q0138	FERAHEME INJECTION	Ferumoxytol 1 mg, for iron deefiency anemia, non-ESRD use	THERAPEUTIC INJ	
Q0139	FERAHEME INJECTION	Ferumoxytol 1 mg, for iron deefiency anemia, for ESRD on dialysis	THERAPEUTIC INJ	
J2916	FERRLECIT	Na ferric gluconate complex	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0699	FETROJA®	Injection, cefiderocol, 10 mg	THERAPEUTIC INJ	
J0693	FETROJA®	Injection, cefiderocol, 5 mg	THERAPEUTIC INJ	
J7177	FIBRYGA	Fibrinogen Concentrate (Human) Lyophilized Powder for Reconstitution	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J1744	FIRAZYR	Icatibant	SELF-INJECTABLE	
J9155	FIRMAGON	Degarelix, 1 mg for Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
S0030	FLAGYL	Metronidazole	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1572	FLEBOGAMMA	Immune globulin, intravenous, non-lyophilized (e.g liquid), 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1325	FLOLAN	Epoprostenol injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0155	FLOLAN STREILE DILUENT	Sterile diluent for poprostenol, 50 mL	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0034	FLOXIN	Ofloxacin, 400 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9200	FLOXURIDINE	Floxuridine injection, 500 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
90694	FLUAD® Quadrivalent	Influenza virus vaccine, quadrivalent (allV4), inactivated, adjuvanted, preservative free, 0.5 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90686	FLUARIX® Quadrivalent	Influenza virus vaccine, quadrivalent (IIV4), split virus, preservative free, 0.5 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90682	FLUBLOK® QUAD INJ 2022-23	nfluenza virus vaccine, quadrivalent (RIV4), derived from recombinant DNA, hemagglutinin (HA) protein only, preservative and antibiotic free, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

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**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
90674	FLUCELVAX® QUADRIVALENT 2022-2023	Influenza virus vaccine, quadrivalent (ccIV4), derived from cell cultures, subunit, preservative and antibiotic free, 0.5 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J1450	FLUCONAZOLE IN DEXTROSE	Fluconazole	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1450	FLUCONAZOLE IN SODIUM CHLORIDE	Fluconazole	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9185	FLUDARA	Fludarabine phosphate injection, 50 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9185	FLUDARABINE PHOSPHATE	Fludarabine phosphate injection, 50 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
90686	FLULAVAL® Quadrivalent	Influenza virus vaccine, quadrivalent (IIV4), split virus, preservative free, 0.5 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J9190	FLUOROURACIL	Fluorouracil injection, 500 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J2679	FLUPHENAZINE	Injection, fluphenazine HCl, 1.25 mg	THERAPEUTIC INJ	
J2680	FLUPHENAZINE DECANOATE	Fluphenazine decanoate 25 mg	THERAPEUTIC INJ	
Q2037	FLUVIRIN	Influenza virus vaccine, split virus, when administered to individuals 3 years of age and older, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90662	FLUZONE® High-Dose Quadrivalent	Influenza virus vaccine (IIV), split virus, preservative free, enhanced immunogenicity via increased antigen content, for intramuscular	THERAPEUTIC INJ	IMMUNIZATION
90685	FLUZONE® Quadrivalent	Influenza virus vaccine, quadrivalent (IIV4), split virus, preservative free, 0.25 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90688	FLUZONE® Quadrivalent	Influenza virus vaccine, quadrivalent (IIV4), split virus, 0.5 mL dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90687	FLUZONE® Quadrivalent	Influenza virus vaccine, quadrivalent (IIV4), split virus, 0.25 mL, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
S0128	FOLLISTIM AQ	Follitropin beta, 75 IU	SELF-INJECTABLE	INFERTILITY
J9307	FOLOTYN	Pralatrexate injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J0713	FORTAZ	Ceftazidime per 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0713	FORTAZ IN D5W	Ceftazidime per 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3110	FORTEO	Teriparatide, 10 mcg	SELF-INJECTABLE	
J1456	Fosaprepitant	Injection, fosaprepitant (Teva), not therapeutically equivalent to J1453, 1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1455	FOSCAVIR	Foscarnet sodium injection	THERAPEUTIC INJ	HIV/AIDS
J1645	FRAGMIN	Dalteparin sodium, per 2,500 IU	SELF-INJECTABLE	
J9200	FUDR	Floxuridine injection, 500 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5108	FULPHILA	Pegfilgrastim-jmdb biosimilar	SELF-INJECTABLE	CHEMO ADJUNCT*
Q5108	FULPHILA	Pegfilgrastim-jmdb, biosimilar, 0.5 mg	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)

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INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J9393	FULVESTRANT	Injection, fulvestrant (Teva) not therapeutically equivalent to J9395, 25 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9394	FULVESTRANT	Injection, fulvestrant (Fresenius Kabi) not therapeutically equivalent to J9395, 25 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9395	FULVESTRANT	Fulvestrant inj, 25 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J0285	FUNGIZONE	Amphotericin B	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1941	FUROSCIX	Injection, furosemide 20 mg	THERAPEUTIC INJ	
J1940	FUROSEMIDE	Furosemide injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0641	FUSILEV	Levoleucovorin calcium, 0.5 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1324	FUZEON	Enfuvirtide, 1 mg	SELF-INJECTABLE	HIV/AIDS
J9331	FYARRO	Sirolimus protein-bound particles for IV	THERAPEUTIC INJ	CHEMOTHERAPY*
Q1530	FYLNETRA	Pegfilgrastim-pbbk injection, for subcutaneous use	THERAPEUTIC INJ	
J0475	GABLOFEN	Baclofen inj	THERAPEUTIC INJ	
J1560	GAMASTAN 15-18%	Gamma globulin, intramuscular, over 10 cc (always use for any amount injected over 10cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	GAMASTAN 1 cc	Gamma globulin, intramuscular, 1 cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9210	GAMIFANT	Emapalumab-lzsg injection, for intravenous use	THERAPEUTIC INJ	
J1569	GAMMAGARD LIQUID	Immune globulin, intravenous, non-lyophilized (e.g liquid), 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1566	GAMMAGARD S/D	Immune globulin, intravenous, lyophilized (e.g powder), 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1561	GAMMAKED	Injection, immune globulin, intravenous, non-lyophilized, e.g. liquid	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1557	GAMMAPLEX	Immune Globulin Intravenous (human)	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1561	GAMUNEX-C	Immune globulin, (Gamunex/Gamunex-C/Gammaked	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1561-JB	GAMUNEX-C	Immune Globulin Injection (human) 10% Caprylate/chromatography purified - Subcutaneous	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1574	Ganciclovir	Injection, ganciclovir sodium (Exela) not therapeutically equivalent to J1570, 500 mg	THERAPEUTIC INJ	HIV/AIDS
S0132	GANIRELIX	Ganirelix acetate 250 mcg	SELF-INJECTABLE	INFERTILITY
J1457	GANITE	Gallium nitrate injection	THERAPEUTIC INJ	CHEMOTHERAPY*
90649	GARDASIL	Human Papilloma Virus (HPV) vaccine, types 6, 11, 16, 18 (quadrivalent), 3 dose schedule, for intramuscular use (Gardasil is only indicated in males and females from 9 through 26 years of age)	THERAPEUTIC INJ	IMMUNIZATION

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**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
90651	GARDASIL 9	Human Papillomavirus vaccine types 6, 11, 16, 18, 31, 33, 45, 52, 58, nonavalent (HPV), 3 dose schedule, for intramuscular use (Gardasil 9 is only indicated for females from 9 through 26 years of age and males from 9 through 15 years of age)	THERAPEUTIC INJ	IMMUNIZATION
J3490/C9399	GATTEX 5 MG KIT	Teduglutide [rDNA origin], for Injection, for subcutaneous use	SELF-INJECTABLE	
J9301	GAZYVA	Obinutuzumab Injection 10 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J7326	GEL-ONE	Hyaluronan or derivative, Gel-One, for intra-articular injection	THERAPEUTIC INJ	
J7328	GEL-SYN	Hyaluronan or derivative for intra-articular injection, 0.1 mg	THERAPEUTIC INJ	
J9196	Gemcitabine	Injection, gemcitabine hydrochloride (Accord), not therapeutically equivalent to J9201, 200 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9201	GEMZAR	Gemcitabine HCl, 200 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0395	GENESA	Arbutamine hcl injection	THERAPEUTIC INJ	
J2941	GENOTROPIN	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J1580	GENTAMICIN SULFATE	Gentamicin injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7100	GENTRAN 40 IN D5W	Dextran 40 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7100	GENTRAN 40 IN NAACL	Dextran 40 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7320	GENVISC 850	Hyaluronan or derivative, for intra-articular injection	THERAPEUTIC INJ	
J3486	GEODON	Ziprasidone mesylate	THERAPEUTIC INJ	
J3490	GIAPREZA	Angiotensin II Injection for Intravenous Infusion	THERAPEUTIC INJ	
J0223	GIVLAARI	Givosiran injection, for Subcutaneous use	THERAPEUTIC INJ	
J0257	GLASSIA	Alpha 1 proteinase inhibitor	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1595	GLATOPA	Glatiramer acetate, 20 mg	SELF-INJECTABLE	
J1610	GLUCAGEN	Glucagon hydrochloride, per 1mg	SELF-INJECTABLE	
J1610	GLUCAGON	Glucagon hydrochloride, per 1mg	SELF-INJECTABLE	
J1611	GLUCAGON	Injection, glucagon HCl (Fresenius Kabi), not therapeutically equivalent to J1610, per 1 mg	SELF-INJECTABLE	
J1610	GLUCAGON EMERGENCY	Glucagon hydrochloride, per 1mg	SELF-INJECTABLE	
J1596	Glycopyrrolate	Injection, glycopyrrolate, 0.1 mg	THERAPEUTIC INJ	
J1600	GOLD SODIUM THIOMALATE	Gold sodium thiomaleate injection	THERAPEUTIC INJ	
S0126	GONAL-F	Follitropin alfa 75 iu	SELF-INJECTABLE	INFERTILITY
J1447	GRANIX	tbo-filgrastim, 1 microgram	SELF-INJECTABLE	CHEMO ADJUNCT*
J1447	GRANIX	tbo-filgrastim, 1 microgram	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)
J1610	GVOKE	Glucagon hydrochloride, per 1mg	SELF-INJECTABLE	

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INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0599	HAEGARDA	C1 esterase inhibitor (human) for subcutaneous inj	SELF-INJECTABLE	
J9179	HALAVEN	Eribulin mesylate Injecton	THERAPEUTIC INJ	CHEMOTHERAPY*
J1630	HALDOL	Haloperidol injection	THERAPEUTIC INJ	
J1631	HALDOL DECANOATE	Haloperidol decanoate injection	THERAPEUTIC INJ	
J1630	HALOPERIDOL LACTATE	Haloperidol injection	THERAPEUTIC INJ	
90632	HAVRIX	Hepatitis A vaccine, adult dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90633	HAVRIX	Hepatitis A vaccine, pediatric/adolescent dosage (2-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90371	H-BIG	Hepatitis B Immune Globulin (HBIG), human, for intramuscular use (Price is per 1 mL)	THERAPEUTIC INJ	
J1270	HECTOROL	Doxercalciferol	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7192	HELIXATE FS	Factor VIII (antihemophilic factor, recombinant) per IU, not otherwise specified	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J1411	HEMGENIX®	Etranacogene dezaparvovec-drlb) suspension, for intravenous infusion	THERAPEUTIC INJ	
J7170	HEMLIBRA	Emicizumab-kxwh injection, for subcutaneous use	SELF-INJECTABLE	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7190	HEMOPIL M	Factor VIII (antihemophilic Factor [human]) per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
90748	HEP B/HIB VACCINE	Hepatitis B and Hemophilus influenza b vaccine (HepB-Hib), for intramuscular use (Price is per 0.5 mL dose)	THERAPEUTIC INJ	IMMUNIZATION
J1642	HEP FLUSH-10	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1571	HEPAGAM B - IM	Hepatitis B immune globulin (Hepagam B), intramuscular, 0.5 mL (see J1573 for IV use)	THERAPEUTIC INJ	
J1573	HEPAGAM B - IV	Hepatitis B immune globulin (Hepagam B), intravenous, 0.5 mL (see J1571 for IM use)	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1643	HEPARIN	Injection, heparin sodium (Pfizer), not therapeutically equivalent to J1644, per 1000 units	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1644	HEPARIN (PORCINE) IN D5W	Heparin sodium per 1000u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEPARIN (PORCINE) IN NACL	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1644	HEPARIN (PORCINE) IN NACL	Heparin sodium per 1000u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEPARIN (PORCINE) LOCK FLUSH	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEPARIN COMBINATION	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEPARIN LOCK FLUSH	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1644	HEPARIN SODIUM (BOVINE)	Heparin sodium per 1000u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1644	HEPARIN SODIUM (PORCINE)	Heparin sodium per 1000u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEPARIN SODIUM FLUSH	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1644	HEPARIN SODIUM IN NACL	Heparin sodium per 1000u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEPARIN SODIUM LOCK FLUSH	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
90739	HEPLISAV-B	Hepatitis B vaccine (HepB), adult dosage, 2 dose schedule, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J1642	HEP-LOCK	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEP-LOCK FLUSH	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1642	HEP-LOCK PF	Heparin sodium per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9355	HERCEPTIN	Trastuzumab, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9355	HERCEPTIN HYLECTA™	Trastuzumab, excludes biosimilar, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5113	HERZUMA	Trastuzumab-pkrb, biosimilar, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
90646	HIB VACCINE, PRP-D	Hib Vaccine, Prp-D, IM	THERAPEUTIC INJ	IMMUNIZATION
90648	HIBERIX	Hemophilus influenza b vaccine (Hib), PRP-T conjugate (4-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J1559	HIZENTRA	Immune Globulin Subcutaneous (human)	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90281	HUMAN IG, IM	Immune Globulin (IG), human, for intramuscular use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90283	HUMAN IG, IV	Immune Globulin (IGIV), human, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90284	HUMAN IG, SUB Q	Immune globulin (IGIV), human, for use in subcutaneous infusions, 100 mg, each	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7187	HUMATE-P	Von Willebrand Factor complex, human, ristocetin coFactor, per IU, VWF:RCO	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J2941	HUMATROPE	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J0135	HUMIRA	Adalimumab injection	SELF-INJECTABLE	
J7321	HYALGAN	Hyaluronan or derivative, for intra-articular injection, per dose	THERAPEUTIC INJ	
J9351	HYCAMTIN	Topotecan, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0360	HYDRALAZINE HCL	Hydralazine hcl injection	THERAPEUTIC INJ	
J1700	HYDROCORTISONE ACETATE	Hydrocortisone acetate injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1710	HYDROCORTONE	Hydrocortisone sodium ph injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1170	HYDROMORPHONE HCL	Hydromorphone injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3425	HYDROXO-COBOLAMINE	Injection, hydroxocobalamin, 10 mcg	THERAPEUTIC INJ	
J1729	HYDROXYprogesterone Caproate	HYDROXYprogesterone Caproate 1.25 GM/5ML SOLN	THERAPEUTIC INJ	
J3410	HYDROXYZINE HCL	Hydroxyzine hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3473	HYLENEX	Hyaluronidase, recombinant, 1 USP unit	THERAPEUTIC INJ	
J7322	HYMOVIS	Hyaluronan or derivative, Hymovis, for intra-articular injection, 1 mg	THERAPEUTIC INJ	
90375	HYPERRAB	Rabies Immune Globulin (Rig), human, for intramuscular and/or subcutaneous use	THERAPEUTIC INJ	
J1730	HYPERSTAT	Diazoxide injection	THERAPEUTIC INJ	
J1575	HYQVIA 10 GM/100ML KIT	Immune Globulin Infusion 10% [human] with recombinant human hyaluronidase	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
Q5131	IDACIO®	Adalimumab-aacf	SELF-INJECTABLE	
J9211	IDAMYCIN PFS	Idarubicin hcl injection, 5mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9211	IDARUBICIN	Idarubicin hcl injection, 5mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J7202	IDELVION	Coagulation Factor IX (Recombinant), Albumin Fusion Protein (rIX-FP), a recombinant human blood coagulation factor	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J9208	IFEX	Ifosfomide injection, 1 gram	THERAPEUTIC INJ	CHEMOTHERAPY*
J9208	IFOSFAMIDE	Ifosfomide injection, 1 gram	THERAPEUTIC INJ	CHEMOTHERAPY*
J2403	IHEEZO™	Chloroprocaine Hcl Ophth Gel 3%	THERAPEUTIC INJ	
J0638	ILARIS INJECTION	Canakinumab 180 mg	THERAPEUTIC INJ	
J3245	ILUMYA	Tildrakizumab-asmn 100 mg/ml injection, for subcutaneous use	THERAPEUTIC INJ	
J7313	ILUVIEN	fluocinolone acetonide, intravitreal implant, 0.01 mg	THERAPEUTIC INJ	
J3490	IMCIVREE	Setmelanotide injection, for subcutaneous use	SELF-INJECTABLE	
J9173	IMFINZI	Durvalumab soln for IV infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J3030	IMITREX	Sumatriptan, succinate	SELF-INJECTABLE	
J3030	IMITREX STATDOSE	Sumatriptan, succinate	SELF-INJECTABLE	
J9347	IMJUDO®	Tremelimumab-actl soln for IV infusion 25 mg/1.25ml	THERAPEUTIC INJ	CHEMOTHERAPY*
J9325	IMLYGIC	Talimogene laherparepvec, 1 million plaque forming units (PFU)	THERAPEUTIC INJ	CHEMOTHERAPY*
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 2cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 3cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 4cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 5cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 6cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 7cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 8cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 9cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1460	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, 10cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1560	IMMUNE GLOBULIN (HUMAN)	Gamma Globulin, intramuscular, over 10cc (always use for any amount injected over 10cc and place number of units)(1cc = 1 unit)	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90376	IMOGAM RABIES-HT	Rabies IG, heat treated	THERAPEUTIC INJ	
90675	IMOVAX RABIES	Rabies vaccine, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J7307	IMPLANON	Etonogestrel (Contraceptive) Implant System, Including Implants And Supplies	THERAPEUTIC INJ	
J7501	IMURAN	Azathioprine parenteral	THERAPEUTIC INJ	TRANSPLANT*
J1790	INAPSINE	Droperidol injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J2170	INCRELEX	Mecasermin [rDNA origin] Injection	SELF-INJECTABLE	GROWTH HORMONE
J1800	INDERAL	Propranolol injection	THERAPEUTIC INJ	
90700	INFANRIX	Diphtheria and Tetanus Toxoids and Acellular Pertussis Vaccine Adsorbed	THERAPEUTIC INJ	IMMUNIZATION
J1750	INFED	Iron dextran 50 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q5103	INFLECTRA	Infliximab, biosimilar, 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90654	INFLUENZA VACCINE	Influenza virus vaccine, split virus, preservative free, for intradermal use	THERAPEUTIC INJ	IMMUNIZATION
J9198	INFUGEM	Gemcitabine in sodium chloride injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J2270	INFUMORPH 200	Morphine sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2271	INFUMORPH 200	Morphine SO4 injection 100mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2275	INFUMORPH 200	Morphine sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2275	INFUMORPH 500	Morphine sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1439	INJECTAFER	Ferric carboxymaltose Injection	THERAPEUTIC INJ	
J1815	INSULIN	Injection, insulin, per 5 units	PHARMACY BENEFIT	
J1327	INTEGRILIN	Eptifibatide injection	THERAPEUTIC INJ	
J9214	INTRON-A	Interferon alfa-2b, recombinant, 1 million units	SELF-INJECTABLE	CHEMOTHERAPY*
J1335	INVANZ	Ertapenem injection, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2426	INVEGA HAFYERA™	Paliperidone palmitate inj, 1 MG	THERAPEUTIC INJ	
J2426	INVEGA SUSTENNA	Paliperidone palmitate	THERAPEUTIC INJ	
J3490	INVEGA TRINZA	Paliperidone palmitate extended release injectable	THERAPEUTIC INJ	
90713	IPOL	Poliovirus Vaccine Inactivated	THERAPEUTIC INJ	IMMUNIZATION
J1750	IRON DEXTRAN COMPLEX	Iron dextran, 50 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9315	ISTODAX	Romidepsin, 1mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9207	IXEMPRA KIT	Ixabepilone, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
90738	IXIARO SUSPENSION	Japanese encephalitis virus vaccine, inactivated, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
Q5109	IXIFI	Infliximab-qbtx, biosimilar, 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7213	IXINITY	Coagulation factor IX (recombinant) Lyophilized Powder for Solution for Intravenous Injection	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
C9162	IZERVAY	Avacincaptad Pegol Intravitreal Soln 2 MG/0.1ML (20 MG/ML)	THERAPEUTIC INJ	
J9281	JELMYTO	Mitomycin pyelocalyceal instillation, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9272	JEMPERLI	Dostarlimab-gxly	THERAPEUTIC INJ	CHEMOTHERAPY*
J7316	JETREA	Ocriplasmin Intravitreal Injection, 2.5 mg/mL	THERAPEUTIC INJ	
90735	JE-VAX	Japanese Encephalitis Virus Vaccine Inactivated	THERAPEUTIC INJ	IMMUNIZATION
J9043	JEVTANA	Cabazitaxel Injection 60 MG/1.5ML SOLN	THERAPEUTIC INJ	CHEMOTHERAPY*

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J7208	JIVI	Antihemophilic factor (recombinant), PEGylated-auc] lyophilized powder for solution, for intravenous use	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J9354	KADCYLA	Ado-trastuzumab emtansine for iv soln 100 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1290	KALBITOR	Ecallantide	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1840	KANAMYCIN SULFATE	Kanamycin sulfate 500 MG injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1850	KANAMYCIN SULFATE	Kanamycin sulfate 75 MG injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q5117	KANJINTI	Trastuzumab-anns,10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J2480	KANUMA	Sebelipase alfa injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7168	KCENTRA	Prothrombin Complex Concentrate (Human)) for Intravenous Use	THERAPEUTIC INJ	
90399	KEDRAB	Rabies Immune Globulin (Rlg), human, for intramuscular use	THERAPEUTIC INJ	
J0690	KEFZOL	Cefazolin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3301	KENALOG	Triamcinolone acetonide injection, 10 mg	THERAPEUTIC INJ	
C9460	KENGREAL	Cangrelor Injection	THERAPEUTIC INJ	
J2425	KEPIVANCE	Palifermin injectionection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1953	KEPPRA	Levetiracetam, 500 mg/5 mL injection	THERAPEUTIC INJ	
J1953	KEPPRA 500 MG/5ML SOLN	Levetiracetam, 10 mg	THERAPEUTIC INJ	
J3590	KESIMPTA	Ofatumumab soln auto-injector 20 mg/0.4ml	SELF-INJECTABLE	
J3490	KETAMINE	Ketamine hcl Injection	THERAPEUTIC INJ	
J1885	KETOROLAC TROMETHAMINE	Ketorolac tromethamine injection	THERAPEUTIC INJ	
J3590	KEVZARA	Sarilumab subcutaneous soln prefilled syringe	SELF-INJECTABLE	
J9271	KEYTRUDA	Pembrolizumab for injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J0642	KHAPZORY	Levoleucovorin for IV soln	THERAPEUTIC INJ	CHEMO ADJUNCT*
J9274	KIMMTRAK®	Tebentafusp-tebn IV soln 100 mcg/0.5m	THERAPEUTIC INJ	CHEMOTHERAPY*
J2046	KIMYRSA™	Oritavancin Diphosphate For IV Soln 1200 Mg	THERAPEUTIC INJ	
J3590	KINERET	Anakinra subcutaneous injection 100 mg/0.67ml	SELF-INJECTABLE	
90696	KINRIX SUSP	Diphtheria, tetanus toxoids, acellular pertussis vaccine and poliovirus vaccine, inactivated (DTaP-IPV), when administered to children 4 years through 6 years of age, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J7190	KOATE-DVI	Factor VIII (antihemophilic Factor [human]) per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7192	KOGENATE FS	Factor VIII (antihemophilic factor, recombinant) per IU, not otherwise specified	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0879	KORSUVA™	Difelikefalin injection, for intravenous use	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J7211	KOVALTRY	Antihemophilic Factor (Recombinant), is a recombinant, human DNA sequence derived, full length Factor VIII concentrate	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J2507	KRYSTEXXA	Pegloticase	THERAPEUTIC INJ	
C9399, J3490	KYBELLA	Deoxycholic Acid injection	THERAPEUTIC INJ	
Q2042	KYMRIAH	Tisagenlecleucel, up to 250 million car-positive viable T cells, including leukapheresis and dose preparation procedures, per infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J9047	KYPROLIS	Carfilzomib Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1626	KYTRIL	Granisetron hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
S0091	KYTRIL	Granisetron hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1920	Labetalol	Injection, labetalol HCl, 5 mg	THERAPEUTIC INJ	
J1921	Labetalol	Injection, labetalol HCl (Hikma) not therapeutically equivalent to J1820, 5 mg	THERAPEUTIC INJ	
J7120	LACTATED RINGER'S	Ringers lactate infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0217	LAMZEDE	Velmanase alfa-tycv) for injection, for intravenous use	THERAPEUTIC INJ	
J1160	LANOXIN	Digoxin injection	THERAPEUTIC INJ	
J1932	Lanreotide 1 mg (Cipla)	Lanreotide acetate, 1 mg	THERAPEUTIC INJ	
J9285	LARTRUVO	Injection, olaratumab, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0202	LEMTRADA	Alemtuzumab Injection for Intravenous Infusion	THERAPEUTIC INJ	
J0174	LEQEMBI™	Lecanemab-irmb injection, for intravenous use	THERAPEUTIC INJ	
J1306	LEQVIO®	Inclisiran injection, for subcutaneous use	THERAPEUTIC INJ	
J0640	LEUCOVORIN CALCIUM	Leucovorin calcium injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2820	LEUKINE	Sargramostim injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J9218	LEUPROLIDE ACETATE	Leuprolide acetate injection	SELF-INJECTABLE	CHEMOTHERAPY*
J9065	LEUSTATIN	Cladribine per 1 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J1956	LEVAQUIN	Levofloxacin injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1955	LEVOCARNITINE	Levocarnitine per 1 gm	THERAPEUTIC INJ	
J1960	LEVO-DROMORAN	Levorphanol tartrate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3490	Levothyroxine Injection, 200 mcg	Levothyroxine	THERAPEUTIC INJ	
J3490	Levothyroxine Injection, 500 mcg	Levothyroxine	THERAPEUTIC INJ	
J1980	LEVSIN	Hyoscyamine sulfate injection	THERAPEUTIC INJ	
J1990	LIBRIUM	Chlordiazepoxide injection	THERAPEUTIC INJ	
J9119	LIBTAYO	Cemiplimab-Rwlc IV Soln	THERAPEUTIC INJ	CHEMOTHERAPY*
J2001	LIDOCAINE IN D5W	Lidocaine injection	THERAPEUTIC INJ	
J2010	LINCOCIN	Lincomycin injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2021	LINEZOLID	Injection, linezolid (Hospira) not therapeutically equivalent to J2020, 200 mg	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0476	LIORESAL	Baclofen intrathecal	THERAPEUTIC INJ	
J7100	LMD IN NACL	Dextran 40 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9999	LOQTORZI™	Toripalimab-tpzi IV solution	THERAPEUTIC INJ	CHEMOTHERAPY*
J2060	LORAZEPAM	Lorazepam injection	THERAPEUTIC INJ	
J1650	LOVENOX	Enoxaparin sodium, 10 mg	SELF-INJECTABLE	
J2778	LUCENTIS 0.5 MG/0.05ML SOLN	Ranibizumab, 0.5 Mg	THERAPEUTIC INJ	
J2560	LUMINAL	Phenobarbital sodium injection	THERAPEUTIC INJ	
J0221	LUMIZYME	Alglucosidase alfa	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9313	LUMOXITI	Moxetumomab pasudotox-tdfk for injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J9350	LUNSUMIO™	Mosunetuzumab-axgb) injection, for intravenous use	THERAPEUTIC	CHEMOTHERAPY*
C9399, J3490	LUPANETA PACK	Leuprolide acetate for depot suspension; norethindrone acetate tablets	THERAPEUTIC INJ	
J9218	LUPRON	Leuprolide acetate injection Kit 5 mg	SELF-INJECTABLE	CHEMOTHERAPY*
J1950	LUPRON DEPOT	Leuprolide acetate 3.75 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J9217	LUPRON DEPOT	Leuprolide acetate suspension 7.5mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9217	LUPRON DEPOT-PED	Leuprolide acetate suspension	THERAPEUTIC INJ	CHEMOTHERAPY*
J3490	LUSEDRA	Fospropofol disodium Injection	THERAPEUTIC INJ	
A9513	LUTATHERA	Lutetium Lu 177 dotatate injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J1954	LUTRATE DEPOT®	Injection, leuprolide acetate for depot suspension, 7.5 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3490	LUVERIS	Lutropin alfa for subcutaneous injection 75 unit	SELF-INJECTABLE	INFERTILITY
J3398	LUXTURNA	Voretigene neparvovec-rzyl	THERAPEUTIC INJ	
J3590	LYFGENIA®	Lovotibeglogene autotemcel suspension for intravenous infusion	THERAPEUTIC INJ	
90665	LYME DISEASE VACCINE	Lyme disease vaccine, adult dosage, IM	THERAPEUTIC INJ	IMMUNIZATION
J2503	MACUGEN	Pegaptanib sodium, 0.3 mg	THERAPEUTIC INJ	
J3475	MAGNESIUM SULFATE	Magnesium sulfate	THERAPEUTIC INJ	
J2150	MANNITOL	Mannitol injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9353	MARGENZA	Injection, margetuximab-cmkb, 5 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0692	MAXIPIME	Cefepime hcl for injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90708	MEASLES-RUBELLA VACCINE	Measles-Rubella Vaccine, SC	THERAPEUTIC INJ	IMMUNIZATION
J1051	MEDROXYPROGESTERONE MICRO	Medroxyprogesterone injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0694	MEFOXIN IN DEXTROSE	Cefoxitin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90734	MENACTRA	Meningococcal conjugate vaccine, serogroups A, C, W, Y, quadrivalent, diphtheria toxoid carrier (MenACWY-D) or CRM197 carrier (MenACWY-CRM), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION

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\*\* When administered by a nurse in a home setting

**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
90644	MENHIBRIX	Meningococcal conjugate vaccine, serogroups C & Y and Hemophilus influenza b vaccine (Hib-MenCY), 4 dose schedule, when administered to children 2-15 months of age, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90733	MENOMUNE	Meningococcal Polysaccharide Vaccine, Groups A, C, Y and W-135 Combined	THERAPEUTIC INJ	IMMUNIZATION
S0122	MENOPUR	Menotropins 75 iu	SELF-INJECTABLE	INFERTILITY
90619	MENQUADFI™	Meningococcal conjugate vaccine, serogroups A, C, W, Y, quadrivalent, tetanus toxoid carrier (MenACWY-TT), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90734	MENVEO	Meningococcal conjugate vaccine, serogroups A, C, W, Y, quadrivalent, diphtheria toxoid carrier (MenACWY-D) or CRM197 carrier (MenACWY-CRM), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J2180	MEPERGAN	Meperidine/promethazine injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2175	MEPERIDINE HCL	Meperidine hydrochl /100 MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3397	MEPSEVII	Vestronidase alpha-vjvk	THERAPEUTIC INJ	
J2184	MEROPENEM	Injection, meropenem (B. Braun) not therapeutically equivalent to J2185, 100 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2185	MERREM	Meropenem 100MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90706	MERUVAX II	Rubella vaccine, SC	THERAPEUTIC INJ	IMMUNIZATION
J9209	MESNA	Mesna injection, 200mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9209	MESNEX	Mesna injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1230	METHADONE HCL	Methadone HCl, up to 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2210	METHERGINE	Methylergonovin maleate injection	THERAPEUTIC INJ	
J2800	METHOCARBAMOL	Methocarbamol injection	THERAPEUTIC INJ	
J9255	METHOTREXATE	Injection, methotrexate (Accord), not therapeutically equivalent to J9250 and J9260, 50 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9260	METHOTREXATE POWDER 1GM IN 50ML SD VIAL (P.F.)	Methotrexate sodium injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9250	METHOTREXATE SODIUM	Methotrexate sodium injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9260	METHOTREXATE SODIUM	Methotrexate sodium injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9250	METHOTREXATE SODIUM LPF	Methotrexate sodium injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J0210	METHYLDOPATE HCL	Methyldopate hcl injection	THERAPEUTIC INJ	
J1020	METHYLPRED 20	Methylprednisolone 20 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1030	METHYLPRED 40	Methylprednisolone 40 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1040	METHYLPREDNISOLONE ACETATE	Methylprednisolone 80 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1030	METHYLPREDNISOLONE ACETATE USP	Methylprednisolone 40 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*

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INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J2920	METHYLPREDNISOLONE SODIUM SUCC	Methylprednisolone injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2930	METHYLPREDNISOLONE SODIUM SUCC	Methylprednisolone injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2765	METOCLOPRAMIDE HCL	Metoclopramide hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1836	Metronidazole	Injection, metronidazole, 10 mg	THERAPEUTIC INJ	
J0630	MIACALCIN	Calcitonin salmon injection	SELF-INJECTABLE	
J2247	MICAFUNGIN	Injection, micafungin sodium (Par Pharm) not therapeutically equivalent to J2248, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90385	MICRHOGAM	Rh IG, minidose, IM	THERAPEUTIC INJ	
J2788	MICRHOGAM	Rho d immune globulin, human, minidose, 50 mcg (250 IU)	THERAPEUTIC INJ	
J2251	MIDAZOLAM	Injection, midazolam HCl (WG Critical Care) not therapeutically equivalent to J2250, per 1 mg	THERAPEUTIC INJ	
J2250	MIDAZOLAM HCL	Midazolam hydrochloride	THERAPEUTIC INJ	
J2260	MILRINONE IN DEXTROSE	Milrinone lactate Per 5 MG	THERAPEUTIC INJ	
J2260	MILRINONE LACTATE	Milrinone lactate Per 5 MG	THERAPEUTIC INJ	
J2265	MINOCIN	Minocycline hydrochloride Injection 1 mg	THERAPEUTIC INJ	
J0887	MIRCERA	Injection, epoetin beta, 1 microgram, (for ESRD on dialysis)	SELF-INJECTABLE	
J0888	MIRCERA	Injection, epoetin beta, 1 microgram, (for non-ESRD use)	SELF-INJECTABLE	CHEMO ADJUNCT*
J9270	MITHRACIN	Plicamycin, 2.5mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9280	MITOMYCIN	Mitomycin 5 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9291	MITOMYCIN	Mitomycin 40 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
90707	M-M-R II	MMR vaccine, SC	THERAPEUTIC INJ	IMMUNIZATION
J9349	MONJUVI	Tafasitamab-cxix For IV Soln 200 MG	THERAPEUTIC INJ	CHEMOTHERAPY*
J7190	MONOCLATE-P	Factor VIII (antihemophilic Factor [human]) per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J1437	MONOFERRIC	Injection ferric derisomaltose 10 mg	THERAPEUTIC INJ	
J7193	MONONINE	Factor IX (antihemophilic Factor, purified, non-recombinant) per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7327	MONOVISC	High Molecular Weight Hyaluronan	THERAPEUTIC INJ	
J2270	MORPHINE SULFATE	Morphine sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2271	MORPHINE SULFATE	Morphine SO4 injection 100mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2274	MORPHINE SULFATE	Morphine sulfate, preservative-free for epidural or intrathecal use, 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2272	MORPHINE SULFATE	Injection, morphine sulfate (Fresenius Kabi) not therapeutically equivalent to J2270, up to 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J2275	MORPHINE SULFATE (PF)	Morphine sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3590	MOUNJARO™	Tirzepatide Injection, for subcutaneous use	SELF-INJECTABLE	
J2281	MOXIFLOXACIN	Injection, moxifloxacin (Fresenius Kabi) not therapeutically equivalent to J2280, 100 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2562	MOZOBIL 24 MG/1.2ML SOLN	Plerixafor injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
90704	MUMPSVAX	Mumps vaccine, SC	THERAPEUTIC INJ	IMMUNIZATION
J9230	MUSTARGEN	Mechlorethamine hydrochloride, (nitrogen mustard), 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9280	MUTAMYCIN	Mitomycin 20 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9280	MUTAMYCIN	Mitomycin 40 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5107	MVASI	Bevacizumab-awwb	THERAPEUTIC INJ	CHEMOTHERAPY*
J3590	MYALEPT	Metreleptin for injection	SELF-INJECTABLE	
J2248	MYCAMINE	Micafungin sodium for Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9203	MYLOTARG	Gemtuzumab ozogamicin, 0.1 mg 4.5 MG SOLR	THERAPEUTIC INJ	CHEMOTHERAPY*
J0587	MYOBLOC	RimabotulinumtoxinB, 100 units	THERAPEUTIC INJ	
J1600	MYOCHRYSINE	Gold sodium thiomaleate injection	THERAPEUTIC INJ	
J0220	MYOZYME 10 MG	Alglucosidase Alfa, 10 Mg	THERAPEUTIC INJ	
90371	NABI-HB	Hepatitis B Immune Globulin (HBIG), human, for intramuscular use (Price is per 1 mL)	THERAPEUTIC INJ	
S0032	NAFCILLIN	Nafcillin sodium	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1458	NAGLAZYME 1MG/ML	Galsulfase injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2300	NALBUPHINE HCL	Nalbuphine hydrochloride	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3490	NALMEFENE	Nalmefene hcl inj 1 mg/ml	THERAPEUTIC INJ	
J2322	NANDROLONE DECANOATE	Nandrolone decanoate 200 MG	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2795	NAROPIN	Ropivacaine hcl injection	THERAPEUTIC INJ	
C9399, J3590	NATPARA	Parathyroid Hormone	SELF-INJECTABLE	
J9390	NAVELBINE	Vinorelbine tartrate, per 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J2515	NEMBUTAL	Pentobarbital sodium inj, 50 mg	THERAPEUTIC INJ	
J2710	NEOSTIGMINE METHYLSULFATE	Neostigmine methylsulfate injection	THERAPEUTIC INJ	
J2370	NEO-SYNEPHRINE	Phenylephrine hcl injection	THERAPEUTIC INJ	
J2506	NEULASTA ONPRO KIT	6 mg/0.6 mL Pegfilgrastim in a single-dose prefilled syringe co-packaged with the on-body injector (OBI)	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2506	NEULASTA®	Injection, pegfilgrastim, excludes biosimilar, 0.5 mg (Self-Injectable)	SELF-INJECTABLE	CHEMO ADJUNCT*
J2506	NEULASTA®	Injection, pegfilgrastim, excludes biosimilar, 0.5 mg (Therapeutic Inj)	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)
J1442	NEUPOGEN	Filgrastim 300 mcg injection	SELF-INJECTABLE	CHEMO ADJUNCT*
J1442	NEUPOGEN	Filgrastim 480 mcg injection	SELF-INJECTABLE	CHEMO ADJUNCT*

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J1442	NEUPOGEN	Filgrastim (G-CSF), excludes biosimilars, 1 microgram	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)
J3420	NEURO B-12 FORTE S	Vitamin B-12 cyanocobalamin, up to 1,000mcg	THERAPEUTIC INJ	
J3420	NEURO B-12 S	Vitamin B-12 cyanocobalamin, up to 1,000mcg	THERAPEUTIC INJ	
J3305	NEUTREXIN	Trimetrexate glucuronate	THERAPEUTIC INJ	
J3490	NEXIUM I.V. 20 MG SOLR	Esomeprazole injection	THERAPEUTIC INJ	
J7307	NEXPLANON	Etonogestrel (contraceptive) implant system, including implant and supplies	THERAPEUTIC INJ	
J0283	NEXTERONE®	Injection, amiodarone hydrochloride, 30 mg	THERAPEUTIC INJ	
J0219	NEXVIAZYME™	Avalglucosidase alfa-ngpt	THERAPEUTIC INJ	
J3490	NGENLA™	Somatrogon-ghla injection, for subcutaneous use	SELF-INJECTABLE	
J2404	Nicardipine	Injection, nicardipine, 0.1 mg	THERAPEUTIC INJ	
J9268	NIPENT	Pentostatin, per 10mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J2305	Nitroglycerin	Injection, nitroglycerin, 5 mg	THERAPEUTIC INJ	
Q5110	NIVESTYM	Filgrastim-aafi soln prefilled syringe 300 mcg or 480mcg	SELF-INJECTABLE	CHEMO ADJUNCT*
Q5110	NIVESTYM	Filgrastim-aafi, biosimilar, 1 microgram	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)
J2941	NORDITROPIN	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J2360	NORFLEX	Orphenadrine injection	THERAPEUTIC INJ	
90371	NOVAPLUS NABI-HB	Hepatitis B Immune Globulin (HBIG), human, for intramuscular use (Price is per 1 mL)	THERAPEUTIC INJ	
J0725	NOVAREL	Chorionic gonadotropin/1000u	SELF-INJECTABLE	INFERTILITY
J7182	NOVOEIGHT	Factor VIII, antihemophilic factor, recombinant per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7189	NOVOSEVEN	Factor VIIa (antihemophilic Factor, recombinant), per 1 microgram	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
C9399, J3490	NOXAFIL	Posaconazole Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2796	NPLATE	Romiplostim, 10 micrograms	THERAPEUTIC INJ	
J2300	NUBAIN	Nalbuphine hydrochloride	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2182	NUCALA	Mepolizumab for injection	THERAPEUTIC INJ	
J2182	NUCALA AUTO-INJECTOR	Injection, mepolizumab, 1 mg Auto-injector 00173-0892-01	SELF-INJECTABLE	
J3490	NULIBRY	Fosdenopterin	THERAPEUTIC INJ	
J0485	NULOJIX	Belatacept, 1 mg injection	THERAPEUTIC INJ	TRANSPLANT*
C9143	NUMBRINO™	Cocaine hydrochloride nasal solution , 1 mg		
J2410	NUMORPHAN	Oxymorphone hcl injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2941	NUTROPIN	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J2941	NUTROPIN AQ	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J2941	NUTROPIN DEPOT	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J7209	NUWIQ	Factor VIII (antihemophilic factor, recombinant) 1 I.U.	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0121	NUZYRA	Omadacycline for injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q5122	NYVEPRIA	Pegfilgrastim-apgf	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)
Q5122	NYVEPRIA™	Pegfilgrastim-apgf	SELF-INJECTABLE	CHEMO ADJUNCT*
J7188	OBIZUR	Antihemophilic Factor (Recombinant), Porcine Sequence IV Injection	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J2350	OCREVUS	Ocrelizumab injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1568	OCTAGAM	Immune Globulin, intravenous, non-lyophilized (e.g liquid), 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0131	OFIRMEV	Acetaminophen Injection	THERAPEUTIC INJ	
Q5114	OGIVRI	Trastuzumab-dkst, biosimilar, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
C9101	OLINVYK	Oliceridine injection, for intravenous use	THERAPEUTIC INJ	
J3490	OMIDRIA	Phenylephrine and ketorolac injection 1% / 0.3%	THERAPEUTIC INJ	
J3590	OMISIRGE®	Omidubicep-oln Suspension for IV Infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J2941	OMNITROPE	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J3590	OMVOH™	Mirikizumab-mrkz <b>Intravenous</b> injection 00002-7575-01	THERAPEUTIC INJ	
J3590	OMVOH™ SubQ	Mirikizumab-mrkz <b>subcutaneous</b> injection 00002-8011-01; 00002-8011-27	SELF-INJECTABLE	
J9266	ONCASPAR	Pegaspargase, per single dose vial	THERAPEUTIC INJ	CHEMOTHERAPY*
J9205	ONIVYDE	Irinotecan liposome injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J0222	ONPATTRO	Patisiran lipid complex injection, for intravenous use	THERAPEUTIC INJ	
J9160	ONTAK	Denileukin diftitox, 300 mcg	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5112	ONTRUZANT	Trastuzumab-dttb, biosimilar, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9299	OPDIVO	Nivolumab, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9298	OPDUALAG™	Nivolumab and relatlimab-rmbw) injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J2407	ORBACTIV	Oritavancin diphosphate for IV soln 400 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0129	ORENCIA 125mg/ml Sb-Q (00003-2188-11)	Abatacept subcutaneous inj 125 mg/1ml	SELF-INJECTABLE	
J0129	ORENCIA IV	Abatacept, 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2360	ORPHENADRINE CITRATE	Orphenadrine injection	THERAPEUTIC INJ	
J7505	ORTHOCLONE OKT3	Monoclonal antibodies	THERAPEUTIC INJ	TRANSPLANT*
J7324	ORTHOVISC	Hyaluronan or derivative, Orthovisc, for intra-articular injection, per dose (30 mg/2 mL)	THERAPEUTIC INJ	
C9399, J3490	OTREXUP	Methotrexate Injection	SELF-INJECTABLE	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3490	OVIDREL	Chorionic gonadotropin 250 MCG/0.5ML INJ	SELF-INJECTABLE	INFERTILITY
J2700	OXACILLIN SODIUM	Oxacillin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0224	OXLUMO	Injection, lumasiran, 0.5 mg	THERAPEUTIC INJ	
J2590	OXYTOCIN	Oxytocin injection	THERAPEUTIC INJ	
C9399, J3490	OZEMPIC	Semaglutide Soln, injection, for subcutaneous use	SELF-INJECTABLE	
J1096	OZURDEX	Dexamethasone intravitreal Implant	THERAPEUTIC INJ	
J9264	PACLITAXEL	Paclitaxel protein-bound particles, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9267	PACLitaxel	Paclitaxel, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9259	Paclitaxel	Injection, paclitaxel protein-bound particles (American Regent) not therapeutically equivalent to J9264, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9258	Paclitaxel protein-bound particles	Injection, paclitaxel protein-bound particles (Teva), not therapeutically equivalent to J9264, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9177	PADCEV®	Enfortumab vedotin-efv) for injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J3590, C9399	PALYNZIQ	Pegvaliase-pqpz injection, for subcutaneous use	SELF-INJECTABLE	
J2430	PAMIDRONATE DISODIUM	Pamidronate disodium /30 MG	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1566	PANGLOBULIN NF	Immune Globulin, intravenous, lyophilized (e.g powder), 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1640	PANHEMATIN	Hemin, 1 mg	THERAPEUTIC INJ	
J1576	PANZYGA	Immune globulin intravenous, human - ifas 10% Liquid Preparation	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2440	PAPAVERINE HCL	Papaverine hcl injection	THERAPEUTIC INJ	
J9045	PARAPLATIN	Carboplatin injection, 50 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0606	PARSABIV	Injection, etelcalcetide, 0.1 mg for intravenous use	THERAPEUTIC INJ	
90647	PEDVAX HIB	Hib vaccine, prp-omp, IM	THERAPEUTIC INJ	IMMUNIZATION
S0145	PEGASYS	Pegylated interferon alfa-2a, 180 mcg per mL	SELF-INJECTABLE	
J9314	PEMETREXED	Injection, pemetrexed (Teva) not therapeutically equivalent to J9305, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9294	Pemetrexed	Injection, pemetrexed (Hospira), not therapeutically equivalent to J9305, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9296	Pemetrexed	Injection, pemetrexed (Accord), not therapeutically equivalent to J9305, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9297	Pemetrexed	Injection, pemetrexed (Sandoz), not therapeutically equivalent to J9305, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9304	PEMFEXY®	Pemetrexed IV soln 500 mg/20ml	THERAPEUTIC INJ	CHEMOTHERAPY*
J9324	PEMRYDI RTU®	Injection, pemetrexed, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J2540	PENICILLIN G POT IN DEXTROSE	Penicillin G potassium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2540	PENICILLIN G POTASSIUM	Penicillin G potassium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2510	PENICILLIN G PROCAINE	Penicillin g procaine injection	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
90698	PENTACEL	Diphtheria, tetanus toxoids, acellular pertussis vaccine, haemophilus influenza Type B, and poliovirus vaccine, inactivated (DTaP - Hib - IPV), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
S0080	PENTAM 300 MG SOLUTION	Pentamidine isethionateper 300 mg	THERAPEUTIC INJ	
J2513	PENTASPAN 10%	Pentastarch 10% solution	THERAPEUTIC INJ	
S0028	PEPCID	Famotidine, 20 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9306	PERJETA	Pertuzumab Solution	THERAPEUTIC INJ	CHEMOTHERAPY*
J2798	PERSERIS	Risperidone for extended-release injectable suspension	THERAPEUTIC INJ	
J2540	PFIZERPEN-G	Penicillin g potassium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2550	PHENERGAN	Promethazine hcl injection	THERAPEUTIC INJ	
J2560	PHENOBARBITAL SODIUM	Phenobarbital sodium injection	THERAPEUTIC INJ	
J2760	PHENTOLAMINE MESYLATE	Phentolamine mesylate injection	THERAPEUTIC INJ	
J2372	Phenylephrine	Injection, phenylephrine HCl (Biorphen), 20 mcg	THERAPEUTIC INJ	
J2370	PHENYLEPHRINE HCL	Phenylephrine hcl injection	THERAPEUTIC INJ	
J1165	PHENYTOIN SODIUM	Phenytoin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
C9399	PHESGO	Pertuzumab-trastuz-hyaluron-zzxf inj	THERAPEUTIC INJ	CHEMOTHERAPY*
J9600	PHOTOFRIN	Porfimer sodium, 75 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3430	PHYTONADIONE	Vitamin K phytonadione injection	THERAPEUTIC INJ	
S0081	PIPERACILLIN	Piperacillin sodium	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2590	PITOCIN	Oxytocin injection	THERAPEUTIC INJ	
90727	PLAGUE VACCINE	Plague Vaccine, IM	THERAPEUTIC INJ	IMMUNIZATION
J9060	PLATINOL AQ	Cisplatin 10 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9062	PLATINOL AQ	Cisplatin 50 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
C9399, J3490	PLEGRIDY	Peginterferon beta-1a soln pen-inj	SELF-INJECTABLE	
90732	PNEUMOVAX 23	Pneumococcal polysaccharide vaccine, 23-valent (PPSV23), adult or immunosuppressed patient dosage, when administered to individuals 2 years or older, for subcutaneous or intramuscular use (	THERAPEUTIC INJ	IMMUNIZATION
J3590,J9999	POLIVY	Polatuzumab vedotin-piiq for Intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J0670	POLOCAINE	Mepivacaine HCL 10 ml	THERAPEUTIC INJ	
J0670	POLOCAINE-MPF	Mepivacaine HCL/10 ml	THERAPEUTIC INJ	
J1566	POLYGAM S/D	Immune Globulin, intravenous, lyophilized (e.g powder), 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3590	POMBILITI™	Cipaglucoisidase alfa-atga for IV solution	THERAPEUTIC INJ	
J9295	PORTRAZZA	Necitumumab	THERAPEUTIC INJ	CHEMOTHERAPY*
C9144	POSIMIR®	Bupivacaine infiltration soln 660 mg/5ml (132 mg/ml)	THERAPEUTIC INJ	
J3480	POTASSIUM CHLORIDE	Potassium chloride	THERAPEUTIC INJ	

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
S5012	POTASSIUM CHLORIDE in DEXTROSE SOLUTION	5% dextrose with potassium chloride, 1000 mL	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9204	POTELIGEO	Mogamulizumab-kpkc injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J3590	PRALUENT	Alirocumab Injection, for subcutaneous use	SELF-INJECTABLE	
C9399	PRAXBIND	Idarucizumab injection, for intravenous use	THERAPEUTIC INJ	
J3490	PRECEDEX	Dexmedetomidine hcl inj 200 mcg/2ml	THERAPEUTIC INJ	
J2650	PREDACORT 50	Prednisolone acetate injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2650	PRED-JECT-50	Prednisolone acetate injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2650	PREDNISOLONE ACETATE	Prednisolone acetate injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0725	PREGNYL	Chorionic gonadotropin/1000u	SELF-INJECTABLE	INFERTILITY
J1410	PREMARIN	Estrogen conjugate 25 MG	THERAPEUTIC INJ	CHEMO ADJUNCT*
S0195	PREVNAR 16 MCG/0.5ML SUSP	Pneumococcal conjugate vaccine, polyvalent, intramuscular, for children from five years to nine years of age who have not previously received the vaccine	THERAPEUTIC INJ	IMMUNIZATION
90677	PREVNAR 20™	Pneumococcal conjugate vaccine, 20 valent (PCV20), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J3490	PREVYMIS	Letermovir injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2278	PRIALT	Ziconotide injection	THERAPEUTIC INJ	
J2260	PRIMACOR	Milrinone lactate / 5 MG	THERAPEUTIC INJ	
J2260	PRIMACOR IN DEXTROSE	Milrinone lactate / 5 MG	THERAPEUTIC INJ	
J0743	PRIMAXIN IV	Cilastatin sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2670	PRISCOLINE	Totazoline hcl injection	THERAPEUTIC INJ	
J1459	PRIVIGEN	Immune globulin (Privigen), intravenous, non-lyophilized (e.g., liquid), 500 mg (Privigen)	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0570	PROBUPHINE IMPLANT KIT	Buprenorphine hcl subdermal implant 74.2 mg	THERAPEUTIC INJ	
J2690	PROCAINAMIDE HCL	Procainamide hcl injection	THERAPEUTIC INJ	
J0780	PROCHLORPERAZINE EDISYLATE	Prochlorperazine, up to 10 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0885	PROCRIT	Epoetin alfa, (for non-ESRD use), 1000 units	SELF-INJECTABLE	CHEMO ADJUNCT*
Q4081	PROCRIT	Epoetin Alfa, 100 Units (For ESRD On Dialysis) (For Renal Dialysis Facilities And Hospital Use)	SELF-INJECTABLE	
J0725	PROFASI	Chorionic gonadotropin/1000u	SELF-INJECTABLE	INFERTILITY
J0725	PROFASI HP	Chorionic gonadotropin/1000u	SELF-INJECTABLE	INFERTILITY
J7194	PROFILNINE SD	Factor IX, complex, per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J2675	PROGESTERONE	Progesterone per 50 MG	THERAPEUTIC INJ	CHEMO ADJUNCT*
J7525	PROGRAF	Tacrolimus injection	THERAPEUTIC INJ	TRANSPLANT*
J0256	PROLASTIN	Alpha 1 proteinase inhibitor	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9015	PROLEUKIN	Aldesleukin, per single use vial	THERAPEUTIC INJ	CHEMOTHERAPY*

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\*\* When administered by a nurse in a home setting

**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0897	PROLIA	Denosumab 60MG/ML	THERAPEUTIC INJ	
J2950	PROMAZINE HCL	Promazine hcl injection	THERAPEUTIC INJ	
J2550	PROMETH-50	Promethazine hcl injection	THERAPEUTIC INJ	
J2550	PROMETHAZINE HCL	Promethazine hcl injection	THERAPEUTIC INJ	
J7401	PROPEL	Mometasone furoate sinus implant, 10 micrograms	THERAPEUTIC INJ	
J1800	PROPRANOLOL HCL	Propranolol injection	THERAPEUTIC INJ	
90710	PROQUAD	Measles, Mumps, Rubella and Varicella (Oka/Merck) Virus Vaccine Live	THERAPEUTIC INJ	IMMUNIZATION
J0270	PROSTIN VR 500 MCG	Injection, alprostadil, 1.25 mcg (code may be used for Medicare when drug administered under direct physician supervision, not for use when drug is self-administered)	SELF-INJECTABLE	
J2720	PROTAMINE SULFATE	Protamine sulfate/10 MG	THERAPEUTIC INJ	
J3490	PROTONIX	Pantoprazole sodium, 40 mg	THERAPEUTIC INJ	
J2730	PROTOPAM CHLORIDE	Pralidoxime chloride injection	THERAPEUTIC INJ	
Q2043	PROVENGE	Sipuleucel-T, minimum of 50 million autologous CD54+ cells activated with PAP-GM-CSF, including leukapheresis and all other preparatory procedures, per infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J3415	PYRIDOXINE HCL	Pyridoxine hcl 100 mg	THERAPEUTIC INJ	
J1304	QALSODY	Tofersen Intrathecal Soln 100 MG/15ML (6.7 MG/ML)	THERAPEUTIC INJ	
90696	QUADRACEL	Diphtheria, tetanus toxoids, acellular pertussis vaccine and poliovirus vaccine, inactivated (DTaP-IPV)	THERAPEUTIC INJ	IMMUNIZATION
J0330	QUELICIN	Succinylcholine chloride injection	THERAPEUTIC INJ	
J1201	QUZYTIR	Cetirizine hydrochloride injection, for intravenous use	THERAPEUTIC INJ	
90675	RABAVERT	Rabies vaccine, for intramuscular use (Price is per 1 mL)	THERAPEUTIC INJ	IMMUNIZATION
J1301	RADICAVA	Edaravone injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q2026	RADIESSE	Calcium hydroxylapatite Implant	THERAPEUTIC INJ	
J2780	RANITIDINE	Ranitidine hydrochloride injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2547	RAPIVAB	Peramivir Injection	THERAPEUTIC INJ	
C9399, J3490	RASUVO	Methotrexate Injection	SELF-INJECTABLE	
Q3028	REBIF	Interferon beta-1a injection	SELF-INJECTABLE	
J7203	REBINYN	Coagulation Factor IX (Recombinant), GlycoPEGylated lyophilized powder for solution for intravenous injection	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J0896	REBLOZYL	Luspatercept-aamt for Subcutaneous inj	THERAPEUTIC INJ	
J0742	RECARBRIO	Imipenem, cilastatin, and relebactam for injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3489	RECLAST	Zoledronic acid 1 mg Injection (Reclast)	THERAPEUTIC INJ	CHEMO ADJUNCT*

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C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting



**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J7192	RECOMBINATE	Factor VIII (antihemophilic factor, recombinant) per IU, not otherwise specified	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
90743	RECOMBIVAX HB 10MCG/0.5ML	Hepatitis B vaccine, adolescent dosage (2-dose schedule), for intramuscular use (Price is per dose) (Recombivax HB 10mcg = one dose)	THERAPEUTIC INJ	IMMUNIZATION
90746	RECOMBIVAX HB 10MCG/ML	Hepatitis B vaccine, adult dosage, for intramuscular use 3 dose schedule	THERAPEUTIC INJ	IMMUNIZATION
90740	RECOMBIVAX HB 40MCG/ML	Hepatitis B vaccine, dialysis or immunosuppressed patient dosage (3-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90743	RECOMBIVAX HB 5MCG/0.5ML	Hepatitis B vaccine, adolescent dosage (2-dose schedule), for intramuscular use (Price is per dose) (Recombivax HB 10mcg = one dose)	THERAPEUTIC INJ	IMMUNIZATION
90746	RECOMBIVAX HB 5MCG/0.5ML	Hepatitis B vaccine, adult dosage, for intramuscular use 3 dose schedule	THERAPEUTIC INJ	IMMUNIZATION
J3490, C9399	REDITREX	Methotrexate injection, for subcutaneous use	SELF-INJECTABLE	
J7192	REFACTO	Factor VIII (antihemophilic factor, recombinant) per IU, not otherwise specified	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J1945	REFLUDAN	Lepirudin	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q0243	REGEN-COV	Injection, casirivimab and imdevimab, 2400 mg	THERAPEUTIC INJ	
J2765	REGLAN	Metoclopramide hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
Q5125	RELEUKO™	Filgrastim-ayow inj soln 300 mcg/ml	SELF-INJECTABLE	CHEMO ADJUNCT*
J2212	RELISTOR	Methylnaltrexone bromide injection	SELF-INJECTABLE	
J1745	REMICADE	Infliximab, 10mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3285	REMODULIN	Treprostinil injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q2004	RENACIDIN	Bladder calculi irrig sol	THERAPEUTIC INJ	
Q5104	RENFLXIS	Infliximab-abda for Injection, for Intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0130	REOPRO	Abciximab injection	THERAPEUTIC INJ	
C9399, J3590	REPATHA	Evolocumab subcutaneous soln	SELF-INJECTABLE	
S0122	REPRONEX	Menotropins 75 iu	SELF-INJECTABLE	INFERTILITY
Q5105	RETACRIT	Epoetin alfa-epbx, biosimilar, (retacrit) (for esrd on dialysis), 100 units	SELF-INJECTABLE	
Q5106	RETACRIT	Epoetin alfa-epbx, biosimilar, (retacrit) (for non-esrd use), 1000 units	SELF-INJECTABLE	CHEMO ADJUNCT*
J2993	RETAVASE	Injection, reteplase, 18.1 mg	THERAPEUTIC INJ	
J7311	RETISERT IMPLANT	Fluocinolone acetonide invitreal implant	THERAPEUTIC INJ	
J3485	RETROVIR	Zidovudine	THERAPEUTIC INJ	HIV/AIDS
J3490	REVATIO	Sildenafil Inj	THERAPEUTIC INJ	
C9399, J3590	REVCIVI	Elapegedemase-lvr injection, for intramuscular use	THERAPEUTIC INJ	
J3490	REZIPRES®	Ephedrine hydrochloride injection for intravenous use	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0349	REZZAYO™	Rezafungin for injection, for intravenous use	THERAPEUTIC INJ	
90386	RH Ig, IV	Rho(D) Immune Globulin (RhIgIV), human, for intravenous use (Effective 3/30/06 Price is per 100 IU - previously Price was per 1500 IU) (see also J2790, Q4089	THERAPEUTIC INJ	
J7100	RHEOMACRODEX IN NACL	Dextran 40 infusion	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90384	RHO(D) Ig (RHIG)	Rho(D) Immune Globulin (RhIg), human, full dose, for intramuscular use	THERAPEUTIC INJ	
J2790	RHOGAM (HUMAN)	Injection, Rho d immune globulin, human, full dose, 300 mcg (see also Q4089, 90384, 90386)	THERAPEUTIC INJ	
J2791	RHOPHYLAC	Rho d immune globulin injection	THERAPEUTIC INJ	
Q5123	RIABNI™	RITUXIMAB-ARRX IV SOLN 100 MG/10ML (10 MG/ML)	THERAPEUTIC INJ	CHEMOTHERAPY*
J7178	RIASTAP	Injection, human fibrinogen concentrate, 1 mg	THERAPEUTIC INJ	
J1212	RIMSO-50	Dimethyl sulfoxide 50% 50 ML	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2794	RISPERDAL CONSTA	Risperidone 0.5 mg, Injection	THERAPEUTIC INJ	
J9312	RITUXAN	Rituximab, 100 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9311	RITUXAN HYCELA	Rituximab and hyaluronidase human injection, for subcutaneous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J7200	RIXUBIS	Factor ix (antihemophilic factor, recombinant)	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J2800	ROBAXIN	Methocarbamol injection	THERAPEUTIC INJ	
J3490	ROBINUL	Glycopyrolate 0.2MG/ML	THERAPEUTIC INJ	
J0696	ROCEPHIN	Ceftriaxone sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0696	ROCEPHIN IN DEXTROSE	Ceftriaxone sodium injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1412	ROCKTAVIAN	Valoctocogene roxaparvovec-rvox	THERAPEUTIC INJ	
J1449	ROLVEDON	Eflapegrastim-xnst injection, for subcutaneous use	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3490	ROMAZICON	Flumazenil IV soln 0.5 mg/5ml	THERAPEUTIC INJ	
J9314	ROMIDEPSIN	Injection romidepsin non-lyophilized 1mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9318	ROMIDEPSIN	Romidepsin, non-lyophilized, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9319	ROMIDEPSIN	Romidepsin, lyophilized, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0596	RUCONEST	C1 esterase inhibitor (recombinant) for Intravenous Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q5119	RUXIENCE	Rituximab-pvvr (Rituxan biosimilar)	THERAPEUTIC INJ	CHEMOTHERAPY*
C9399, J3490	RYANODEX	Dantrolene sodium for injectable suspension, for intravenous use	THERAPEUTIC INJ	
J9061	RYBREVANT	Amivantamab-vmjw	THERAPEUTIC INJ	CHEMOTHERAPY*
J2794	RYKINDO	Risperidone for extended-release injectable suspension, for intramuscular use	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J9021	RYLAZE	Asparaginase erwinia chrysanthemi (recombinant)- rywn) injection, for intramuscular use	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2998	RYPLAZIM	Plasminogen, human-tvmh)	THERAPEUTIC INJ	
J9333	RYSTIGGO	Rozanolixizumab-noli injection, for subcutaneous use	THERAPEUTIC INJ	
J2941	SAIZEN	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J7131	SALINE BACTERIOSTATIC	Hypertonic Saline solution	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7130	SALINE FLUSH	Hypertonic Saline solution	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7516	SANDIMMUNE	Cyclosporine, parenteral, 250mg	THERAPEUTIC INJ	TRANSPLANT*
J2354	SANDOSTATIN	Octreotide injection, non-depot	SELF-INJECTABLE	CHEMO ADJUNCT*
J2353	SANDOSTATIN LAR DEPOT	Octreotide, depot form for intramuscular injection, 1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0491	SAPHNELO™	Anifrolumab-fnia) injection, for intravenous use	THERAPEUTIC INJ	
J9227	SARCLISA	Isatuximab-irfc iv soln 100 mg/5ml	THERAPEUTIC INJ	CHEMOTHERAPY*
J3490	SAXENDA	Liraglutide (weight management) soln pen-injector 6 mg/ml	SELF-INJECTABLE	
J3490	SCENESSE	Afamelanotide implant, 1 mg	THERAPEUTIC INJ	
Q2027	SCULPTRA	Poly-L-lactic acid Implant	THERAPEUTIC INJ	
J2850	SECRETIN SYNTHETIC HUMAN INJ	Secretin synthetic human	THERAPEUTIC INJ	
J2941	SEROSTIM	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
J7189	SEVENFACT	Factor VIIa (antihemophilic factor, recombinant)-jncw, 1 mcg	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J2561	SEZABY™	Phenobarbital injection, for intravenous use	THERAPEUTIC	
90750	SHINGRIX	Zoster Vaccine Recombinant Adjuvanted for IM Inj	THERAPEUTIC INJ	IMMUNIZATION
J3490/C9399	SIGNIFOR	Pasireotide injection, for subcutaneous use	SELF-INJECTABLE	
J2502	SIGNIFOR LAR	Pasireotide Injection	THERAPEUTIC INJ	
C9399, J3490	SILIQ	Brodalumab Injection	SELF-INJECTABLE	
C9399/J3590	SIMPONI	Golimumab 50 mg / 0.5ml Solution	SELF-INJECTABLE	
J1602	SIMPONI ARIA	Golimumab Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0480	SIMULECT	Basiliximab	THERAPEUTIC INJ	TRANSPLANT*
J2805	SINCALIDE	Sincalide injection	THERAPEUTIC INJ	
J7402	SINUVA	Mometasone furoate sinus implant, 10 micrograms	THERAPEUTIC INJ	
J3090	SIVEXTRO	Tedizolid phosphate Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3590	SKYRIZI®	Rosankizumab-rzaa injection, for subcutaneous use	SELF-INJECTABLE	
J2327	SKYRIZI®	Injection, risankizumab-rzaa, intravenous, 600 mg/10 mL (60 mg/mL) in each single-dose vial.. NDC 00074-5015-01	THERAPEUTIC INJ	
J3590	SKYSONA	Elivaldogene autotemcel IV suspension	THERAPEUTIC INJ	
J3590	SKYTROFA	lonapegsomatropin-tcgd) for injection, for subcutaneous use	SELF-INJECTABLE	GROWTH HORMONE

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J7030	SODIUM CHLORIDE	Infusion, normal saline solution, 1000 cc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7040	SODIUM CHLORIDE	Infusion, normal saline solution, sterile (500ml = 1 unit	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7042	SODIUM CHLORIDE	5% dextrose/normal saline	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7050	SODIUM CHLORIDE	Normal saline solution infus	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7130	SODIUM CHLORIDE BACTERIOSTATIC	Hypertonic saline solution	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7331	SODIUM HYALURONATE 1%	Sodium Hyaluronate 1% for Intra-articular injection	THERAPEUTIC INJ	
J2590	SODIUM PHOSPHATE	Oxytocin injection	THERAPEUTIC INJ	
J3490	SOGROYA®	Somapacitan-beco injection, for subcutaneous use	SELF-INJECTABLE	GROWTH HORMONE
J3490	SOLESTA	Dextranomer-sodium hyaluronate injection	THERAPEUTIC INJ	
J2910	SOLGANAL	Aurothioglucose injeciton	THERAPEUTIC INJ	
C9399, J3490	SOLIQUA	Insulin Glargine-Lixisenatide Solution	SELF-INJECTABLE	
J1300	SOLIRIS	Eculizumab 10 mg/ml Soln	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1720	SOLU-CORTEF	Hydrocortisone sodium succinate	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2920	SOLU-MEDROL	Methylprednisolone sodium succinate, up to 40 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2930	SOLU-MEDROL	Methlprednisolone sodium succinate, up to 125 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1094	SOLUREX LA	Dexamethasone acetate	THERAPEUTIC INJ	CHEMO ADJUNCT*
J1930	SOMATULINE DEPOT	Lanreotide acetate, 1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3590	SOMAVERT	Pegvisomant for injection	SELF-INJECTABLE	
J3490	Sotalol	Sotalol inj	THERAPEUTIC INJ	
J3490	SOTRADECOL	Sodium Tetradecyl Sulfate Injection	THERAPEUTIC INJ	
Q0247	SOTROVIMAB	Sotrovimab 500MG/8ML Solution	THERAPEUTIC INJ	
J1747	SPEVIGO®	Injection, spesolimab-sbzo, 1 mg , for intravenous use	THERAPEUTIC INJ	
J2326	SPINRAZA	Nusinersen injection, for intrathecal use -	THERAPEUTIC INJ	
J1835	SPORANOX	Itraconazole injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0595	STADOL NS	Butorphanol tartrate 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90717	STAMARIL	Yellow Fever Vaccine [Live]	THERAPEUTIC INJ	IMMUNIZATION
J3357	STELARA	Ustekinumab	SELF-INJECTABLE	
J3358	STELARA IV	Ustekinumab, for intravenous injection, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9165	STILPHOSTROL	Diethylstilbestrol injection, 250 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5127	STIMUFEND®	Injection, pegfilgrastim-fpgk , biosimilar, 0.5 mg		
C9399, J3590	STRENSIQ	Asfotase alfa subcutaneous injection	SELF-INJECTABLE	
J2995	STREPTASE	Streptokinase /250000 IU	THERAPEUTIC INJ	
J3000	STREPTOMYCIN SULFATE	Streptomycin injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3010	SUBLIMAZE	Fentanyl citrate injeciton	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q9991	SUBLOCADE	Buprenorphine extended-release less than or equal to 100	THERAPEUTIC INJ	
Q9992	SUBLOCADE	Buprenorphine extended-release over 100 mg	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J0330	SUCCINYLCHOLINE CHLORIDE	Succinylcholine chloride injection	THERAPEUTIC INJ	
S0039	SULFAMETHOXAZOLE	Sulfamethoxazole	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1961	SUNLENCA®	Lenacapavir injection, for subcutaneous use	THERAPEUTIC	HIV/AIDS
J7321	SUPARTZ	Sodium hyaluronate injection	THERAPEUTIC INJ	
J9226	SUPPRELIN LA, 50 MG	Histrelin implant	THERAPEUTIC INJ	
J1627	SUSTOL	Granisetron extended-release, 0.1 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2779	SUSVIMO	Ranibizumab injection) for intravitreal use (Ocular Implant)	THERAPEUTIC INJ	
J2781	SYFOVRE™	Pegcetacoplan injection, for intravitreal use	THERAPEUTIC	
J2860	SYLVANT	Siltuximab for Intravenous infusion	THERAPEUTIC INJ	
J3490	SYMLIN	Pramlintide acetate Injection	SELF-INJECTABLE	
90378	SYNAGIS	Respiratory syncytial virus, monoclonal antibody, recombinant, for intramuscular use, 50 mg	THERAPEUTIC INJ	
J2770	SYNERCID	Quinupristin/dalfopristin	THERAPEUTIC INJ	
J9262	SYNRIBO	Omacetaxine mepesuccinate for Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J7325	SYNVISC INJ	Hyaluronan or derivative, Synvisc or Synvisc-One, for intra-articular injection, 1 mg	THERAPEUTIC INJ	
J7325	SYNVISC-ONE INJ	Hyaluronan or derivative, Synvisc or Synvisc-One, for intra-articular injection, 1 mg	THERAPEUTIC INJ	
S0023	TAGAMET	Cimetidine hydroc	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0593	TAKHZYRO	Landelumab-flyo	SELF-INJECTABLE	
C9399, J3590	TALTZ	Ixekizumab	SELF-INJECTABLE	
J9999	TALVEY	Talquetamab-tgvs injection, for subcutaneous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J3070	TALWIN	Pentazocine injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9265	TAXOL	Paclitaxel injection, 30 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9171	TAXOTERE	Docetaxel, 20 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0713	TAZICEF	Ceftazidime per 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q2053	TECARTUS	Brexucabtagene Autoleucel Suspension for IV Infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
J9022	TECENTRIQ	Atezolizumab injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9380	TECVAYLI™	Teclistamab-cqyv injection, for subcutaneous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J0712	TEFLARO	Ceftaroline fosamil	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3490	TEGSEDI	Inotersen injection, for subcutaneous use	SELF-INJECTABLE	
J9328	TEMODAR 100 MG SOLR	Temozolomide, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
90714	TENIVAC	Tetanus and diphtheria toxoids (Td) adsorbed, preservative free, when administered to individuals 7 years or older, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J3241	TEPEZZA	Teprotumumab-trbw	THERAPEUTIC INJ	
J1590	TEQUIN	Gatifloxacin injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3105	TERBUTALINE SULFATE INJECTION USP	Terbutaline sulfate injection	THERAPEUTIC INJ	
J3490	TERLIVAZ	Terlipressin for injection, for intravenous use	THERAPEUTIC INJ	
J2460	TERRAMYCIN	Oxytetracycline injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
S0189	TESTOPEL 75MG PELLET	Testosterone pellet, 75 mg	THERAPEUTIC INJ	
J1080	TESTOSTERONE CYPIONATE	Testosterone cypionate 200 MG	THERAPEUTIC INJ	TRANSGENDER HORMONES
J3121	TESTOSTERONE ENANTHATE	Testosterone enanthate injection, Up to 100mg	THERAPEUTIC INJ	CHEMOTHERAPY*/ TRANSGENDER HORMONES+
90389	Tetanus Immune Globulin (Tig), human, IM	Tetanus IG (Tig), human, IM	THERAPEUTIC INJ	
90703	TETANUS TOXOID	Tetanus Toxoid Adsorbed USP	THERAPEUTIC INJ	IMMUNIZATION
90718	TETANUS-DIPHThERIA TOXOIDS TD	Tetanus and diphtheria toxoids (Td) adsorbed	THERAPEUTIC INJ	IMMUNIZATION
J0120	TETRACYCLINE	Tetracycline	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2356	TEZSPIRE®	Tezepelumab-ekko injection, subcutaneous Solution <b>AUTO-INJECTOR</b> 210 MG/1.91ML 55513-0123-01	SELF-INJECTABLE	
J2356	TEZSPIRE™	Tezepelumab-ekko injection, for <b>SYRINGE</b> subcutaneous use 55513-0112-01- intended for administration by a healthcare provider.	THERAPEUTIC INJ	
J2810	THEOPHYLLINE IN D5W	Theophylline per 40 MG	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90586	TheraCys 81 MG/VIAL SUSR	Bacillus Calmette-Guerin vaccine (BCG) for bladder cancer, live, for intravesical use	THERAPEUTIC INJ	CHEMOTHERAPY*
J3411	THIAMINE HCL	Thiamine hcl 100 mg	THERAPEUTIC INJ	
J9340	THIOTEPA	Thiotepa, 15 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3230	THORAZINE	Chlorpromazine hcl injection	THERAPEUTIC INJ	
J7197	THROMBATE III	Antithrombin III (human), per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J7511	THYMOGLOBULIN	Antithymocyte globulin rabbit	THERAPEUTIC INJ	
J2725	THYREL TRH	Protirelin per 250 mcg	THERAPEUTIC INJ	
J3240	THYROGEN	Injection, thyrotropin alpha, 0.9 mg, provided in 1.1 mg	THERAPEUTIC INJ	
S0040	TICARCILLIN	Ticarcillin disod	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
90585	Tice BCG 50 MG SUSR	Bacillus Calmette-Guerin vaccine (BCG) for tuberculosis, live, for percutaneous use (Price is per 50 mg)	THERAPEUTIC INJ	
90586	Tice BCG 50 MG SUSR	Bacillus Calmette-Guerin vaccine (BCG) for bladder cancer, live, for intravesical use	THERAPEUTIC INJ	CHEMOTHERAPY*
J9031	Tice BCG 50 MG SUSR	BCG (intravesical), per installation	THERAPEUTIC INJ	CHEMOTHERAPY*
J3250	TIGAN	Trimethobenzamide hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3244	TIGCYCLINE	Injection, tigecycline (Accord) not therapeutically equivalent to J3243, 1 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**

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\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J9273	TIVDAK®	Tisotumab vedotin-tftv for injection 40 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3101	TNKASE	Tenecteplase injection	THERAPEUTIC INJ	
J3260	TOBRAMYCIN SULFATE	Tobramycin sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3260	TOBRAMYCIN SULFATE IN SALINE	Tobramycin sulfate injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9181	TOPSAR	Etoposide 10 MG injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1885	TORADOL IM	Ketorolac tromethamine injection	THERAPEUTIC INJ	
J3280	TORECAN	Thiethylperazine maleate injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J9330	TORISEL	Temsirolimus, 1mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1190	TOTECT 500 MG SOLR	Dexrazoxane hydrochloride, per 250 mg	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0365	TRASYLOL	Aprotonin, 10,000 kiu	THERAPEUTIC INJ	
Q5116	TRAZIMERA	Trastuzumab-qyyp,C1220 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9033	TREANDA 100 MG SOLR	Bendamustine HCl, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3315	TRELSTAR®	Triptorelin Pamoate For IM Susp 3.75mg, 11.25mg, 22.5mg	THERAPEUTIC INJ	
J1628	TREMFYA	Guselkumab injection, for subcutaneous use	SELF-INJECTABLE	
J7181	TRETTEN	Factor XIII (antihemophilic factor, recombinant)	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J3301	TRIAMCINOLONE ACETONIDE USP	Triamcinolone acetonide injection	THERAPEUTIC INJ	
J3300	TRIESENCE 40 MG/ML SUSP	Triamcinolone acetonide injectable suspension	THERAPEUTIC INJ	
J1443	TRIFERIC	Ferric pyrophosphate citrate solution, 0.1 mg of iron	THERAPEUTIC INJ	
J1444	TRIFERIC (For use in dialasate)	Ferric pyrophosphate citrate powder, 0.1 mg of iron	THERAPEUTIC INJ	
J1445	TRIFERIC® AVNU	Ferric pyrophosphate citrate solution 0.1 mg of iron	THERAPEUTIC INJ	
90721	TRIHIBIT PRESERVATIVE FREE	Diphtheria, tetanus toxoids, and acellular pertussis vaccine and Hemophilus influenza B vaccine (DTP-Hib), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
J3310	TRILAFON	Perphenazine injeciton	THERAPEUTIC INJ	
J3250	TRIMETHOBENZAMIDE HCL	Trimethobenzamide hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J3316	TRIPTODUR	Triptorelin pamoate for IM ER susp 22.5 mg	THERAPEUTIC INJ	
J9017	TRISENOX	Arsenic trioxide, 1mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J7329	TRIVISC	Sodium hyaluronate for intra-articular injection	THERAPEUTIC INJ	
J3320	TROBICIN	Spectinomycin di-hcl injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9317	TRODELVY	Sacituzumab govitecan-hziy for injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J1746	TROGARZO	Ibalizumab-uiyk injection, for intravenous use	THERAPEUTIC INJ	
J0200	TROVAN	Alatrofloxacin mesylate	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
C9399, J3490	TRULICITY	Dulaglutide injection, for subcutaneous use	SELF-INJECTABLE	
90621	TRUMENBA	Meningococcal recombinant lipoprotein vaccine, serogroup B, 3 dose schedule, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
Q5115	TRUXIMA	Rituximab-abbs	THERAPEUTIC INJ	CHEMOTHERAPY*
90636	TWINRIX	Hep a/hep b vacc, adult IM	THERAPEUTIC INJ	IMMUNIZATION
J3243	TYGACIL 50MG	Tigecycline injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3490	TYMLOS	Abaloparatide injection, for subcutaneous use	SELF-INJECTABLE	
90691	TYPHIM VI	Typhoid Vi Polysaccharide Vaccine	THERAPEUTIC INJ	IMMUNIZATION
90692	TYPHOID VACCINE, H-P	Typhoid Vaccine, H-P, sc/ld	THERAPEUTIC INJ	IMMUNIZATION
J2323	TSABRI	Natalizumab 1 mg injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9381	TZIELD™	Teplizumab-mzww IV Soln 2 MG/2ML (1 MG/ML)	THERAPEUTIC INJ	
Q5111	UDENYCA	Pegfilgrastim-CBQV	SELF-INJECTABLE	CHEMO ADJUNCT*
Q5111	UDENYCA	Pegfilgrastim-cbqv, biosimilar, 0.5 mg	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)
J1303	ULTOMIRIS	Ravulizumab-cwvz injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0295	UNASYN 1.5GM	Ampicillin sodium per 1.5 gm	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1246	UNITUXIN®	Injection, Dinutuximab, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1823	UPLIZNA	Inebilizumab-cdon injection, for intravenous use	THERAPEUTIC INJ	
J3350	UREAPHIL	Urea injection	THERAPEUTIC INJ	
J0520	URECHOLINE	Bethanechol chloride inject	THERAPEUTIC INJ	
J2679	UZEDY	Risperidone Subcutaneous ER Susp Prefilled Syr 200 MG/0.56ML	THERAPEUTIC INJ	
J2185	VABOMERE	Meropenem and vaborbactam for injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2777	VABYSMO	Faricimab-svoa intravitreal inj 6 mg/0.05ml	THERAPEUTIC INJ	
90393	VACCINIA	Vaccinia IG, human, IM	THERAPEUTIC INJ	
J0900	VALERTEST #1	Testosterone enanthate and estradiol valerate	THERAPEUTIC INJ	CHEMOTHERAPY*
J3360	VALIUM	Diazepam, up to 5 mg	THERAPEUTIC INJ	
J9357	VALSTAR	Valrubicin, intravesical, 200 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J3370	VANCOICIN HCL	Vancomycin hcl injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3371	VANCOMYCIN	Injection, vancomycin HCl (Mylan) not therapeutically equivalent to J3370, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3370	VANCOMYCIN HCL	Vancomycin hcl injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9225	VANTAS IMPLANT	Histrelin implant	THERAPEUTIC INJ	CHEMOTHERAPY*
C9488	VAPRISOL	Conivaptan hydrochloride Injection	THERAPEUTIC INJ	
90632	VAQTA	Hepatitis A vaccine, adult dosage, for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90633	VAQTA	Hepatitis A vaccine, pediatric/adolescent dosage (2-dose schedule), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90396	VARICELLA-ZOSTER IMMUNE GLOB	Varicella-zoster IG, IM	THERAPEUTIC INJ	
C9399, J3490	VARITHENA	Polidocanol injectable foam	THERAPEUTIC INJ	
90716	VARIVAX	Chicken pox vaccine, SC	THERAPEUTIC INJ	IMMUNIZATION

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting



**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3490 / 90396	VARIZIG	Varicella-zoster Immune Globulin (VZIG), human, for intramuscular use	THERAPEUTIC INJ	
J1642	VASCEZE	Heparin sodium injection per 10 u	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2599	Vasopressin	Injection, vasopressin (American Regent) not therapeutically equivalent to J2598, 1 unit	THERAPEUTIC INJ	
J2598	Vasopressin	Injection, vasopressin, 1 unit	THERAPEUTIC INJ	
90697	VAXELIS™	Diphtheria, tetanus toxoids, acellular pertussis vaccine, inactivated poliovirus vaccine, Haemophilus influenzae type b PRP-OMP conjugate vaccine, and hepatitis B vaccine (DTaP-IPV-Hib-HepB), for intramuscular use	THERAPEUTIC INJ	IMMUNIZATION
90671	VAXNEUVANCE™	Pneumococcal 15-valent Conjugate Vaccine) Suspension for Intramuscular Injection	THERAPEUTIC INJ	IMMUNIZATION
J2370	VAZCULEP	Phenylephrine hydrochloride Injection for intravenous use	THERAPEUTIC INJ	
J9303	VECTIBIX	Panitumumab, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5129	VEGZELMA®	Injection, bevacizumab-adcd biosimilar, 10 mg	THERAPEUTIC	CHEMOTHERAPY*
J0248	VEKLURY	Remdesivir, 1 mg	THERAPEUTIC INJ	
J9041	VELCADE	Bortezomib, 0.1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J1756	VENOFER	Iron sucrose injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3590	VEOPOZ™	Pozelimab-bbfg inj soln	THERAPEUTIC INJ	
J2250	VERSED	Midazolam hydrochloride	THERAPEUTIC INJ	
J3400	VESPRIN	Triflupromazine hcl injection	THERAPEUTIC INJ	
J3465	VFEND IV	Voriconazole 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J9219	VIADUR	Leuprolide acetate implant	THERAPEUTIC INJ	CHEMOTHERAPY*
J3095	VIBATIV	Telavancin injection	THERAPEUTIC INJ	
J3490/C9399	VICTOZA 18 MG/3ML SOLN	Liraglutide [rDNAorigin] Injection	SELF-INJECTABLE	
J9025	VIDAZA	Azacitidine injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J1427	VILTEPSO	Viltolarsen	THERAPEUTIC INJ	
J1322	VIMIZIM	Elosulfase alfa	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
C9254	VIMPAT INJECTION	Iacosamide, 1 mg	THERAPEUTIC INJ	
J9360	VINBLASTINE SULFATE	Vinblastine sulfate inj 1 mg/ml	THERAPEUTIC INJ	CHEMOTHERAPY*
J9370	VINCRISTINE SULFATE	Vincristine sulfate, 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J9390	VINORELBINE TARTRATE	Vinorelbine tartrate, per 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J7333	VISCO-3	Hyaluronan or derivative for intra-articular injection, per dose	THERAPEUTIC INJ	
J3410	VISTARIL	Hydroxyzine hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0740	VISTIDE	Cidofovir 375 mg injection	THERAPEUTIC INJ	HIV/AIDS
J3396	VISUDYNE	Verteporfin 0.1 mg injection	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3420	VITAMIN B-12	Vitamin B-12 cyanocobalamin, up to 1,000mcg	THERAPEUTIC INJ	
J3430	VITAMIN K	Vitamin K phytionadione injection	THERAPEUTIC INJ	
J3470	VITRASE 200 UNIT/ML SOLN	Hyaluronidase, up to 150 units	THERAPEUTIC INJ	
J3471	VITRASE 200 UNIT/ML SOLN	Hyaluronidase, ovine, preservative free, per 1 USP unit (up to 999 USP units)	THERAPEUTIC INJ	
J3472	VITRASE 6200 UNIT SOLR	Hyaluronidase, ovine, preservative free, per 1000 USP units	THERAPEUTIC INJ	
J9056	VIVIMUSTA™	Injection, bendamustine HCl , 1 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J2315	VIVITROL	Naltrexone, Depot Form, 1 Mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J7179	VONVENDI	Von Willebrand factor Recombinant	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
C9293	VORAXAZE	Glucarpidase Injection	THERAPEUTIC INJ	
J3490	VOXZOGO	Vosoritide for injection, for subcutaneous	SELF-INJECTABLE	
J3385	VPRIV	Velaglugerace alfa, 100 units	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3032	VYEPTI	Eptinezumab-jjmr	THERAPEUTIC INJ	
J3490	VYLEESI	Bremelanotide for subcutaneous use	SELF-INJECTABLE	
J1429	VYONDYS 53	Golodirsen IV Solution	THERAPEUTIC INJ	
J9332	VYVGART®	Efgartigimod alfa-fcab injection, for intravenous use	THERAPEUTIC INJ	
J9334	VYVGART® HYTRULO	Efgartigimod alfa and hyaluronidase-qvfc injection, for subcutaneous use	THERAPEUTIC INJ	
J9153	VYXEOS	Daunorubicin-cytarabine liposome for IV inj	THERAPEUTIC INJ	CHEMOTHERAPY*
J3490	WEGOVY®	Semaglutide (weight mngmt) solution	SELF-INJECTABLE	
J7183	WILATE SOLUTION	Injection, von Willebrand factor /Coagulation Factor VIII Complex, human	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J2792	WINRHO	Injection, rho D immune globulin, intravenous, human, solvent detergent, 100 IU	THERAPEUTIC INJ	
J2510	WYCILLIN	Penicillin G procaine injection	THERAPEUTIC INJ	
J3490	XACDURO®	Sulbactam Sodium & Durlibactam Sodium co-packaged For IV Soln 1-1 GM	THERAPEUTIC INJ	
J3372	XELLIA	Injection, vancomycin HCl (Xellia) not therapeutically equivalent to J3370, 500 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1558	XEMBIFY	Immune Globulin Subcutaneous, human-klhw 20%	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0691	XENLETA	Lefamulin injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J0218	XENPOZYME™	Injection, olipudase alfa-rpcp, 1 mg	THERAPEUTIC	
J0588	XEOMIN	IncobotulinumtoxinA	THERAPEUTIC INJ	
J0122	XERAIVA	Eravacycline	THERAPEUTIC INJ	
J0897	XGEVA	Denosumab 120mg/ 1.7ml	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0775	XIAFLEX	Collagenase Clostridium Histolyticum Injection	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

**INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK**

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3299	XIPERE	triamcinolone acetonide injectable suspension), for suprachoroidal use	THERAPEUTIC INJ	
J2357	XOLAIR	Omalizumab, 5 mg	THERAPEUTIC INJ	DOFR Class change effective 2/14/08 as a result of FDA and Manufacturers recommendation due to black box warnings Re-reviewed Jan 11, 2022 no change
J3490	XULTOPHY	Insulin Degludec-Liraglutide Solution	SELF-INJECTABLE	
J2001	XYLOCAINE (CARDIAC)	Lidocaine injection	THERAPEUTIC INJ	
J7185	XYNTHA KIT	Factor VIII (antihemophilic Factor, recombinant), per IU	THERAPEUTIC INJ	BLOOD/BLOOD PRODUCTS FOR HEMOPHILIA
J3490	XYOSTED	Testosterone enanthate solution auto-injector	SELF-INJECTABLE	
J9228	YERVOY	Ipilimumab Injection, for intravenous infusion	THERAPEUTIC INJ	CHEMOTHERAPY*
Q2041	YESCARTA	Axicabtagene ciloleucal, up to 200 Million autologous Anti-CD 19 Car T Cells, including leukapheresis and dose preparation	THERAPEUTIC INJ	CHEMOTHERAPY*
90717	YF-VAX	Yellow Fever vaccine, SC	THERAPEUTIC INJ	IMMUNIZATION
J9352	YONDELIS	Trabectedin for injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J7314	YUTIQ	Fluocinolone acetonide, intravitreal implant, 0.01 mg	THERAPEUTIC INJ	
J9400	ZALTRAP	Ziv-aflibercept Injection	THERAPEUTIC INJ	CHEMOTHERAPY*
J9320	ZANOSAR	Streptozocin injection, 1 gm	THERAPEUTIC INJ	CHEMOTHERAPY*
J2780	ZANTAC	Ranitidine hydrochloride injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2780	ZANTAC IN NACL	Ranitidine hydrochloride injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q5101	ZARXIO	Filgrastim-sndz injection, biosimilar. 1 microgram	SELF-INJECTABLE	CHEMO ADJUNCT*
Q5101	ZARXIO	Filgrastim-sndz injection, biosimilar. 1 microgram	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)
J0256	ZEMAIRA	Alpha 1-proteinase inhibitor, human, 10 mg	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J3030	ZEMBRACE	Sumatriptan Succinate	SELF-INJECTABLE	
J0291	ZEMDRI	Plazomicin injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2501	ZEMPLAR	Paricalcitol 1 mcg	THERAPEUTIC INJ	
J7513	ZENAPAX	Daclizumab 25 mg, parenteral	THERAPEUTIC INJ	TRANSPLANT*
J3490	ZEPBOUND™	Tirzepatide (weight mngmt) solution	SELF-INJECTABLE	
J9223	ZEPZELCA	Lurbinectedin for injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J0695	ZERBAXA	Ceftolozane/tazobactam Injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
A9543	ZEVALIN Y-90 3.2 MG/2ML KIT	Ibritumomab Tiuxetan	THERAPEUTIC INJ	CHEMOTHERAPY*
Q5120	ZIEXTENZO	Pegfilgrastim-bmez injection, for Subcutaneous use	SELF-INJECTABLE	CHEMO ADJUNCT*
Q5120	ZIEXTENZO	Pegfilgrastim-bmez injection, for Subcutaneous use	THERAPEUTIC INJ	TRANSPLANT DRUGS-IF USED WITH (G-CSF WITH MOZOBIL)

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting

INJECTABLE MEDICATION HCPCS / DOFR CROSSWALK

HCPCS	DRUG NAME	GENERIC NAME	PRIMARY CATEGORY	SECONDARY CATEGORY
J3304	ZILRETTA	Triamcinolone acetonide, preservative-free, extended-release, microsphere formulation, 1 mg	THERAPEUTIC INJ	
J2310	ZIMHI™	Injection, naloxone HCl, 1 mg	SELF-INJECTABLE	
J0697	ZINACEF	Cefuroxime injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1190	ZINECARD	Dexrazoxane hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J0565	ZINPLAVA	Bezlotoxumab injection, for intravenous use	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
Q5118	ZIRABEV	Bevacizumab-bvzr, 10 mg	THERAPEUTIC INJ	CHEMOTHERAPY*
J0456	ZITHROMAX	Azithromycin injection	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J2405	ZOFRAN	Ondansetron hcl injection	THERAPEUTIC INJ	CHEMO ADJUNCT*
J9202	ZOLADEX	Goserelin acetate implant	THERAPEUTIC INJ	CHEMOTHERAPY*
J3399	ZOLGENSMA	Onasemnogene abeparvovec-xioi	THERAPEUTIC INJ	
J3489	ZOMETA	Zoledronic acid 1 mg Injection (Zometa)	THERAPEUTIC INJ	CHEMO ADJUNCT*
J2941	ZORBTIVE	Somatropin, 1 mg	SELF-INJECTABLE	GROWTH HORMONE
90736	ZOSTAVAX	Zoster (shingles) vaccine, live, for subcutaneous injection	THERAPEUTIC INJ	IMMUNIZATION
J2543	ZOSYN	Piperacillin/tazobactam	THERAPEUTIC INJ	HOME HEALTH / INFUSION**
J1632	ZULRESSO	Brexanolone injection, for intravenous use	THERAPEUTIC INJ	
J3590	ZYMFENTRA	Infliximab-dyyb injection, for subcutaneous use	SELF-INJECTABLE	
J9359	ZYNLONTA	Loncastuximab tesirine-lpyl) for injection, for intravenous use	THERAPEUTIC INJ	CHEMOTHERAPY*
J3590	ZYNTÉGLO	Betibeglogene autotemcel	THERAPEUTIC INJ	
J9345	ZYNYZ™	Retifanlimab-dlwr IV Soln 500 MG/20ML (25 MG/ML)	THERAPEUTIC INJ	CHEMOTHERAPY*
J3490	ZYPREXA	Olanzapine, 2.5 mg	THERAPEUTIC INJ	
J2358	ZYPREXA RELPREV	Olanzapine Extended Release Injection	THERAPEUTIC INJ	
J2020	ZYVOX	Linezolid 200 mg injection	THERAPEUTIC INJ	

\* If associated with a cancer diagnosis- ICD-10 codes between C00.0-C79.9, C7A.00-C7B.8, C80.0-C96.9, D00.0-D09.9

\*\* When administered by a nurse in a home setting





# INPATIENT CALIFORNIA HEALTHNET COMMERCIAL PRIOR AUTHORIZATION

Complete and Fax to: 1-844-694-9165

**Standard requests -** Determination within 5 business days of receiving all necessary information.

**Urgent requests -** I certify this request is urgent and medically necessary to treat an injury, illness or condition (not life threatening) within 72 hours to avoid complications and unnecessary suffering or severe pain.

HMO

POS

PPO

URGENT REQUESTS MUST BE SIGNED BY THE PHYSICIAN TO RECEIVE PRIORITY

**\*Indicates Required Field**

Last Name, First

\*Date of Birth

## MEMBER INFORMATION

\*Member ID

(MMDDYYYY)

## REQUESTING PROVIDER INFORMATION

Requesting Provider Contact Name

\*Requesting NPI

\*Requesting TIN

Phone

Requesting Provider Address

\*Fax



City, State, Zip

## SERVICING PROVIDER / FACILITY INFORMATION

Same as Requesting Provider

Servicing Provider Contact Name

\*Servicing NPI

\*Servicing TIN

Phone

Servicing Provider/Facility Name Address

Fax

City, State, Zip

## AUTHORIZATION REQUEST

\*Primary Procedure Code

Additional Procedure Code

\*Start Date OR Admission Date

\*Diagnosis Code

(CPT/HCPCS)

(Modifier)

(CPT/HCPCS)

(Modifier)

(MMDDYYYY)

(ICD-10)

Additional Procedure Code

Additional Procedure Code

Discharge Date (if applicable) otherwise Length of Stay will be based on Medical Necessity

Additional Diagnosis Code

(CPT/HCPCS)

(Modifier)

(CPT/HCPCS)

(Modifier)

(MMDDYYYY)

(ICD-10)

### \*INPATIENT SERVICE TYPE

#### Delivery

779 C-Section Delivery  
720 Vaginal Delivery

#### Inpatient Rehab

427 Rehab

#### Transplant

992 Transplant

(Enter the Service type number in the boxes)

#### Miscellaneous

121 Long Term Acute Care  
970 Medical  
414 Premature/False Labor  
402 Skilled Nursing Facility  
411 Surgical

490 Boarder Baby  
300 Neonate

#### Miscellaneous

121 Hospice Inpatient  
492 Sub Acute

#### Behavioral Health

528 BH Chemical Substance Abuse  
529 BH Psychiatric Admission

**ALL REQUIRED FIELDS MUST BE FILLED IN AS INCOMPLETE FORMS WILL BE REJECTED.**

**COPIES OF ALL SUPPORTING CLINICAL INFORMATION ARE REQUIRED. LACK OF CLINICAL INFORMATION MAY RESULT IN DELAYED DETERMINATION.**

**Disclaimer:** An authorization is not a guarantee of payment. Member must be eligible at the time services are rendered. Services must be a covered benefit and medically necessary with prior authorization as per the Plan policy and procedures. Health Net of California, Inc., Health Net Community Solutions, Inc. and Health Net Life Insurance Company are subsidiaries of Health Net, LLC and Centene Corporation. Health Net is a registered service mark of Health Net, LLC. All other identified trademarks/service marks remain the property of their respective companies. All rights reserved.

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Rev. 02242021  
XD-PAF-1653



# No-cost Interpreter Services

## USE TO HELP PROVIDE CARE FOR HEALTH NET\* MEMBERS

No-cost interpreter services are available 24 hours a day, seven days a week.

Phone interpreters are available in over 150 languages for immediate needs.

Request in-person or video interpreters a minimum of five business days before the appointment during regular business hours. Allow 10 business days for sign language interpreter requests.



Phone interpreters in over 150 languages!

Ask for no-cost interpreter services to help you effectively communicate with your Health Net patients.

### When asking for an interpreter, all you need are:



The member's Health Net identification (ID) number



The appointment date, time and place



Language needed

Please allow for a phone interpreter if that is the only interpreter available for the language, date and time of the appointment.

To request interpreter services for members, contact the Provider Services Center at:

Line of business	Phone number	Hours of availability
Large Employer Group	800-641-7761	Monday through Friday, 8 a.m. to 5 p.m., Pacific time (see below for after hours)
Small Employer Group (off exchange)	800-361-3366	
Small Employer Group (on exchange)	888-926-5133	
Individual & Family Plans (off exchange)	877-857-0701	Monday through Friday, 8 a.m. to 5 p.m., Pacific time (see below for after hours)
Individual & Family Plans (on exchange)	888-926-2164	
After-hours language assistance line for Commercial (HMO, PPO, EPO, POS) line of business	800-546-4570	Monday through Friday, 5 p.m. to 8 a.m., Pacific time; weekends and holidays
Medi-Cal	800-675-6110	Monday through Friday, 8 a.m. to 6 p.m., Pacific time. For after-hours select member option.
Cal MediConnect	<b>Los Angeles County:</b> 855-464-3571	Monday through Friday from 8 a.m. to 5 p.m., Pacific time (see below for after hours)
	<b>San Diego County:</b> 855-464-3572	
After-hours language assistance line for Cal MediConnect	800-546-4570	Monday through Friday from 5 p.m. to 8 a.m., weekends and holidays

**For office use only. Do NOT post in a patient area.**

**Phone numbers listed here are for provider use only. Members may contact the number listed on the back of their ID card for member services.**

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# Access interpretation services 24/7 at no cost.

## This chart includes languages commonly spoken in your community; additional languages are available.

**English**

Do you speak [language]? We will provide an interpreter at no personal cost to you.

**Amharic (አማርኛ)**

እማራኛ ይናገሩሉ? እርሶ በግልጽ ምንም ወጪ ሳያውቁ እስተርጓሚ እናቀርባለን።

**(اللغة العربية) Arabic**

هل تتحدث اللغة العربية؟ سوف نوفر لك مترجماً فورياً من دون أي تكلفة عليك.

**Armenian (հայերեն)**

Դուք հայերենն եք խոսում: Մենք քեզ անվճար թարգմանիչ կստանանք:

**Bengali (বাংলা)**

আপনি কি বাংলায় কথা বলেন? আমরা আপনাকে একজন দোভাষী দেবো যার জন্য আপনার ব্যক্তিগতভাবে অর্থ ব্যয় করতে হবে না।

**Burmese (မြန်မာ)**

သင် မြန်မာစကား ပြောပါသလား။ သင့်အတွက် ကုန်ကျစရိတ် မရှိစေဘဲ စကားပြန်တစ်ဦး ကျွန်ုပ်တို့ ပေးပါမည်။

**Cambodian (ភាសាខ្មែរ)**

តើអ្នកនិយាយភាសាខ្មែរដែរទេ? យើងខ្ញុំនឹងផ្តល់ជូនអ្នកបកប្រែភាសាដោយឥតគិតថ្លៃផ្ទាល់ខ្លួនដល់អ្នក។

**Cantonese (粵語)**

您講粵語嗎？我們將免費為您提供翻譯。

**(فارسی) Farsi**

فارسی صحبت می‌کنید؟ یک مترجم شفاهی رایگان در اختیار شما قرار خواهیم داد.

**French (Français)**

Vous parlez français ? Nous vous fournirons gratuitement un interprète.

**Greek (Ελληνικά)**

Μιλάτε ελληνικά; Θα σας παρέχουμε ένα διερμηνέα χωρίς καμία οικονομική επιβάρυνση για εσάς.

**Hindi (हिन्दी)**

क्या आप हिंदी बोलते हैं? हम आपके लिए बिना किसी लागत के एक दुभाषिया उपलब्ध कराएंगे।

**Hmong (Hmoob)**

Koj puas yog ib tus neeg uas hais tau lus Hmoob? Peb yuav nrhiav kom muaj ib tug kws txhais lus rau koj uas yeej tsis muaj nqi dab tsi rau koj them li.

**Japanese (日本語)**

日本語を話せますか？ 通訳が必要な場合、こちらで無料で手配させていただきます。

**Korean (한국어)**

한국어를 사용하십니까? 무료로 통역 서비스를 제공해 드리겠습니다.

**Lao (ພາສາລາວ)**

ທ່ານເວົ້າພາສາລາວ? ພວກເຮົາຈະຈັດງານແປພາສາໃຫ້ທ່ານໂດຍບໍ່ເສຍຄ່າ.

**Mandarin (中文)**

您講中文嗎？我們將免費為您提供翻譯。

**Mixteco**

¿Ka'an ndávi ni? Ná ke'eí un ña'a noo meni ta koo ya'avian.

**Navajo (Diné bizaad)**

Diné k'ehjíísh yánílti'? Ata' halne'ígíí náhóló t'áájíík'eh.

**Portuguese (Português)**

Você fala português? Nós lhe forneceremos um intérprete, sem qualquer custo adicional.

**Punjabi (ਪੰਜਾਬੀ)**

ਕੀ ਤੁਸੀਂ ਪੰਜਾਬੀ ਬੋਲਦੇ ਹੋ? ਅਸੀਂ ਤੁਹਾਡੇ ਲਈ ਬਿਨਾਂ ਕਿਸੇ ਨਿੱਜੀ ਲਾਗਤ ਦੇ ਇੱਕ ਦੁਬਾਸ਼ੀਆ ਉਪਲਬਧ ਕਰਾਂਗੇ।

**Russian (Русский)**

Вы говорите по-русски? Мы предоставим вам переводчика бесплатно.

**Spanish (Español)**

¿Habla español? Le proporcionaremos un intérprete sin costo alguno para usted.

**Tagalog**

Nakapagsasalita ka ba ng Tagalog? Magbibigay kami ng interpreter nang wala kang babayaran.

**Thai (ภาษาไทย)**

คุณพูดภาษาไทยใช่หรือไม่ เราจะจัดหาล่ามให้คุณโดยไม่มีค่าใช้จ่ายส่วนตัว

**Vietnamese (Tiếng Việt)**

Quý vị có nói tiếng Việt không? Chúng tôi sẽ cung cấp một thông dịch viên miễn phí cho quý vị.

**American Sign Language (ASL)**



Please call Provider Services using the number on the member's ID card or contact 800-929-9224.

For office use only. Do NOT post in a patient area.



# ADULT HEALTH MAINTENANCE CHECKLIST

Name: \_\_\_\_\_ D.O.B. \_\_\_\_\_  
 Age: \_\_\_\_\_ Sex: Male Female MR# \_\_\_\_\_  
 Immunizations current: Yes No TB Risk: Yes No  
 (See Immunization list below) (Every Periodic Physical Examination)  
 Advanced Directive discussed: Yes No Date Discussed: \_\_\_\_\_

Examination & Tests	Age Range	Frequency	DATE DONE	DATE DONE	DATE DONE
INITIAL HEALTH ASSESSMENT	18 yrs. and older	Within 120 days of effective date with Plan or effective date with the PCP. May be requested from Previous PCP if done within last year.			
IHEBA/"Staying Healthy"	18 yrs and Older	Within 120 days of effective date with Plan or effective date with the PCP. Reviewed at every Periodic Health Evaluation and re-administered every 3-5 years.	Record on Staying Healthy Form.		
Check-Up Visit	18 yrs. and older	Every 1-3 years			
	Age > 65	Annually			
Cholesterol	Male, 35 yrs. and older	Every 5 years			
	Female, 45 yrs. and older	Every 5 years			
Diabetes Mellitus Screening	As risk factors indicate	PRN			
Urinalysis	65 yrs. and older	PRN			
Breast Exam	Age > 40 yrs.	Annually			
Mammography	50-74 yrs.	Every 2 years			
Pelvic Exam	19-39 yrs.	Every 1-3 yrs.			
	40 and older	Annually			
Pap Smear	Onset of sexual activity or 21-65 yrs.	Every 1 to 3 yrs. At 65 discontinue routine screening if previous screenings negative. Discontinue at age 70 unless clinically indicated.			
Chlamydia	< age 25, all sexually active non-pregnant women > age 25, as risk factors indicate				
Bone Density	65 yrs. and older	At least once			
Vitamin D Deficiency	65 yrs. and older	At clinician's discretion			
TSH Screening	40 yrs. and older	Every 5 years			
Fecal Occult Blood	50-75 yrs., then at clinician's discretion	Annually			
Sigmoidoscopy	50 and older	3-5 yrs.			
	High Risk	PRN			
Colonoscopy	50 and older	Every 10 years			
Prostate Exam	Physician discretion and as clinically indicated	PRN			
PSA	50 and older or as clinically indicated	PRN			
<b>Adult Immunizations</b>					
Tetanus-Diphtheria-Pertussis(Tdap) Tetanus-Diphtheria (Td)	18 yrs. and older	1 dose only			
	18 yrs. and older	Every 10 yrs.			
HPV	Females, 18-26 yrs. (HPV2 or HPV4) Males, 18-26 yrs (HPV 4)	3 doses			
Varicella	18 yrs. and older	2 doses if no evidence of immunity			
Zoster	60 yrs. and older	1 dose			
MMR	Born 1957 or after Born before 1957	1-2 doses unless immunity documented Considered immune, unless documentation of immunity required			
Influenza	18 yrs. and older	Annually			
Pneumococcal	18 yrs. and older	1-2 doses, when clinically indicated			
Hepatitis A	18 yrs. and older	2 doses			
Hepatitis B	18 yrs. and older	3 doses			
Meningococcal	18 yrs. and older	1 dose, 2 <sup>nd</sup> dose if high risk			







**LAST NAME:**

**FIRST NAME:**

**MRN#**

**PLACE OF SCREENING:**

**CIRCLE ONE:** ANSI - # \_\_\_\_ ISO - # \_\_\_\_

**AUDIOMETER:**

**SCORING:** Child responds at 25 dB:  Child does not respond at 25 dB:

**DATE OF LAST CALIBRATION:**

**AGE:**

1st Screen      RIGHT      1000   2000   3000   4000  
 Date: \_\_\_\_\_ Ear      

--	--	--	--

LEFT      1000   2000   3000   4000  
 Ear      

--	--	--	--

2nd Screen      1000   2000   3000   4000  
 Date: \_\_\_\_\_ 

--	--	--	--

1000   2000   3000   4000  

--	--	--	--

Vision Test  
 Date: \_\_\_\_\_

	Right Eye	Left Eye
Without Glasses	20/	20/
With Glasses	20/	20/

Comments: \_\_\_\_\_  
 Referred To: \_\_\_\_\_  
 \_\_\_\_\_  
**Signature & Title of Person Performing Test**

**DATE OF LAST CALIBRATION:**

**AGE:**

1st Screen      RIGHT      1000   2000   3000   4000  
 Date: \_\_\_\_\_ Ear      

--	--	--	--

LEFT      1000   2000   3000   4000  
 Ear      

--	--	--	--

2nd Screen      1000   2000   3000   4000  
 Date: \_\_\_\_\_ 

--	--	--	--

1000   2000   3000   4000  

--	--	--	--

Vision Test  
 Date: \_\_\_\_\_

	Right Eye	Left Eye
Without Glasses	20/	20/
With Glasses	20/	20/

Comments: \_\_\_\_\_  
 Referred To: \_\_\_\_\_  
 \_\_\_\_\_  
**Signature & Title of Person Performing Test**

**DATE OF LAST CALIBRATION:**

**AGE:**

1st Screen      RIGHT      1000   2000   3000   4000  
 Date: \_\_\_\_\_ Ear      

--	--	--	--

LEFT      1000   2000   3000   4000  
 Ear      

--	--	--	--

2nd Screen      1000   2000   3000   4000  
 Date: \_\_\_\_\_ 

--	--	--	--

1000   2000   3000   4000  

--	--	--	--

Vision Test  
 Date: \_\_\_\_\_

	Right Eye	Left Eye
Without Glasses	20/	20/
With Glasses	20/	20/

Comments: \_\_\_\_\_  
 Referred To: \_\_\_\_\_  
 \_\_\_\_\_  
**Signature & Title of Person Performing Test**





# HISTORIA MEDICA Y EXAMEN FISICO

MRN # \_\_\_\_\_

NOMBRE:	ESTADO CIVIL: <input type="checkbox"/> S <input type="checkbox"/> C <input type="checkbox"/> V <input type="checkbox"/> D <input type="checkbox"/> SEP.	FECHA:
FECHA DE NACIMIENTO:	TEL (CASA):	TEL (TRABAJO):
OCUPACION/EMPLEADOR	Nº del Seg. Soc.:	Nº del SEGURO:

## HISTORIA MEDICA FAMILIAR

SI ALGUN PARIENTE SANGUINEO HA TENIDO CUALQUIERA DE LAS SIGUIENTES ENFERMEDADES, PONGA UN CIRCULO ALREDEDOR DEL NUMERO E INDIQUE QUE PARIENTE.

- |                      |                   |                         |                  |
|----------------------|-------------------|-------------------------|------------------|
| 1) ALCOHOLISMO       | 6) CANCER         | 11) ENFERMEDAD CARDIACA | 16) OSTEOPOROSIS |
| 2) ANEMIA            | 7) DIABETES       | 12) HIPERTENSION        | 17) APOPLEJIA    |
| 3) ASMA              | 8) EPILEPSIA      | 13) ENFERMEDAD RENAL    | 18) TIROIDES     |
| 4) ARTRITIS          | 9) GLAUCOMA       | 14) ENFERMEDAD MENTAL   | 19)              |
| 5) SANGRA FACILMENTE | 10) ASMA DEL HENO | 15) MIGRAÑA             | 20)              |

## INTERNACIONES EN HOSPITALES

(sin incluir embarazos)

AÑO                      ENFERMEDAD U OPERACION

Pasado:

Presente:

## ALERGIAS

**ANOTE TODOS LOS MEDICAMENTOS QUE TOMA AHORA:** (incluso los que se venden sin receta médica)

1) _____	7) _____	<b>VACUNA (Fecha de la última)</b>	<b>PRUEBA / EXAMEN (Fecha del último)</b>
2) _____	8) _____	Tétano / Difteria	Colesterol
3) _____	9) _____	Influenza	Dental
4) _____	10) _____	Neumocócica	Vista
5) _____	11) _____	Hepatitis	Oído
6) _____	12) _____		Rectal / Excremento
			Sigmoidoscopia
			Prueba cutánea de tuberculosis

## HISTORIA MEDICA

Marque con una palomita (☐) e indique la edad en la que tuvo cualquiera de los siguientes síntomas o enfermedades. MARQUE con una equis (X) los problemas actuales.

PROBLEMAS PRINCIPALES      1) \_\_\_\_\_      2) \_\_\_\_\_      3) \_\_\_\_\_

<input type="checkbox"/> Oído disminuido <input type="checkbox"/> Zumbido en el oído <input type="checkbox"/> Infecciones de oído - <i>frecuentes</i> <input type="checkbox"/> Mareos <input type="checkbox"/> Falla de la vista <input type="checkbox"/> Dolor del ojo <input type="checkbox"/> Visión doble o borrosa <input type="checkbox"/> Infecciones del ojo - <i>frecuentes</i> <input type="checkbox"/> Sangrado de la nariz - <i>recurrentes</i> <input type="checkbox"/> Problema del seno <input type="checkbox"/> Dolores de garganta - <i>frecuentes</i> <input type="checkbox"/> Asma del heno / Alergias <input type="checkbox"/> Ronquera - <i>prolongada</i> <input type="checkbox"/> Neumonía / Pleuresía <input type="checkbox"/> Bronquitis / Tos crónica <input type="checkbox"/> Asma / Jadeo Falta de aliento: <input type="checkbox"/> Haciendo esfuerzo <input type="checkbox"/> Estando acostado <input type="checkbox"/> Dolor del pecho <input type="checkbox"/> Presión sanguínea alta <input type="checkbox"/> Soplo cardíaco <input type="checkbox"/> Pulso irregular <input type="checkbox"/> Palpitaciones <input type="checkbox"/> Tobillos hinchados <input type="checkbox"/> Desmayos <input type="checkbox"/> Dolor de pierna - <i>caminando</i> <input type="checkbox"/> Venas varicosas / Flebitis <input type="checkbox"/> Pérdida del apetito - <i>reciente</i> <input type="checkbox"/> Dificultad para tragar	<input type="checkbox"/> Indigestión o acidez estomacal <input type="checkbox"/> Úlceras pépticas <input type="checkbox"/> Dolor abdominal - <i>crónico</i> <input type="checkbox"/> Problema de vesícula biliar <input type="checkbox"/> Ictericia / Hepatitis <input type="checkbox"/> Cambio de hábitos de evacuación intestinal <input type="checkbox"/> Diarrea <input type="checkbox"/> Estreñimiento <input type="checkbox"/> Diverticulosis <input type="checkbox"/> Enfermedad de Crohn / Colitis <input type="checkbox"/> Excrementos sanguinolentos o alquitranados <input type="checkbox"/> Hemorroides <input type="checkbox"/> Hernia <input type="checkbox"/> Infecciones urinarias - <i>frecuentes</i> <input type="checkbox"/> Sangre en la orina <input type="checkbox"/> Emisión de orina <input type="checkbox"/> Durante la noche más de dos veces <input type="checkbox"/> Dolorosa <input type="checkbox"/> Pérdida del control <input type="checkbox"/> Disminución de la Fuerza/Flujo <input type="checkbox"/> Cálculos renales <input type="checkbox"/> Enfermedad venérea <input type="checkbox"/> Derrame uretral <input type="checkbox"/> Fatiga crónica <input type="checkbox"/> Pérdida de peso - <i>reciente</i> <input type="checkbox"/> Anemia <input type="checkbox"/> Se magulla fácilmente	<input type="checkbox"/> Cancer <input type="checkbox"/> Diabetes <input type="checkbox"/> Dolor abdominal de tiroides <input type="checkbox"/> Convulsiones / Ataques epilépticos <input type="checkbox"/> Apoplejía <input type="checkbox"/> Temblor / Manos temblantes <input type="checkbox"/> Debilidad muscular <input type="checkbox"/> Adormecimiento / Sensaciones de hormigueo <input type="checkbox"/> Dolores de cabeza - <i>frecuentes</i> <input type="checkbox"/> Artritis / Reumatismo <input type="checkbox"/> Dolor de Espalda - <i>recurrente</i> <input type="checkbox"/> Fracturas óseas / Lesión de articulaciones <input type="checkbox"/> Gota <input type="checkbox"/> Osteoporosis <input type="checkbox"/> Dolor de pie <input type="checkbox"/> Pies fríos y adormecidos <input type="checkbox"/> Sarpullido <input type="checkbox"/> Ronchas <input type="checkbox"/> Psoriasis <input type="checkbox"/> Eczema <input type="checkbox"/> Sueño - <i>dificultad</i> <input type="checkbox"/> Nerviosismo <input type="checkbox"/> Depresión <input type="checkbox"/> Pérdida de la memoria <input type="checkbox"/> Mal humor - <i>excesivo</i> <input type="checkbox"/> Fobias	<input type="checkbox"/> Enfermedad mental <input type="checkbox"/> Varicela <input type="checkbox"/> Poliomielitis <input type="checkbox"/> Paperas <input type="checkbox"/> Sarampión <input type="checkbox"/> Rubéola <input type="checkbox"/> Fiebre reumática <input type="checkbox"/> Escarlatina <input type="checkbox"/> Tuberculosis <input type="checkbox"/> Herpes <input type="checkbox"/> Contacto con sangre o fluidos corporales <input type="checkbox"/> Alcohol _____ onzas por semana <input type="checkbox"/> Fuma _____ cig. por día Número de años _____ <input type="checkbox"/> Café / Té Nº de tazas por día _____ <input type="checkbox"/> Directivas con adelanto	<b>MUJERES - Favor de completar</b> Flujo Menstrual: <input type="checkbox"/> Reg. <input type="checkbox"/> Irreg. <input type="checkbox"/> Dolor / Cólico Días de flujo _____ Duraciones del ciclo _____ Fecha del último período _____ <input type="checkbox"/> Dolor / Sangramiento durante o después del coito Número de: Embarazos _____ Abortos provocados _____ Abortos espontáneos _____ Nacimientos con vida _____ Método de control de la natalidad _____ Píldora de control de la natalidad (nombre) _____ <input type="checkbox"/> Calores súbitos / Menopausia Fecha del último examen pélvico _____ Fecha de la última prueba de Papanicolaou _____ <input type="checkbox"/> Normal <input type="checkbox"/> Anormal Fecha del último examen de senos _____ Fecha del último mamograma _____ <input type="checkbox"/> Normal <input type="checkbox"/> Anormal
--	---	--	---	--

**SINOPSIS PARA USO DE OFICINA SOLAMENTE:**      Directivas Anticipadas:  Si     No    Educativo de Directivas Anticipadas:  Cuestionario "Mantengase Saludable" Fecha: \_\_\_\_\_

Firma: Dr./Dra. \_\_\_\_\_













**Health Net of California, Inc**

**Confidential - Protected Health Information**

**HEALTH NET MEMBER GRIEVANCE FORM**

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Subscriber Identification Number: \_\_\_\_\_ Group Number: \_\_\_\_\_

Address:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Daytime Telephone No. \_\_\_\_\_

Participating Physician Group: \_\_\_\_\_  
\_\_\_\_\_

Please explain in detail the circumstances that led to your dissatisfaction with Health Net of California, Inc. (Health Net). It is essential that you list the dates, persons and facilities involved, as completely as possible. Please include the original copy of any claims or bills received which are related to your issue. (Be sure to make a copy for your records.) Use reverse side or additional paper if necessary. Mail this form and documents to: Health Net, Appeals and Grievances Department, P.O. Box 10348, Van Nuys, CA 91410-0348 or fax to (877) 831-6019.

**Problem Statement:** Date of Occurrence: \_\_\_\_\_ Location: \_\_\_\_\_  
Provider Name: \_\_\_\_\_

Describe the problem/complaint in detail:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Use back of this form if additional space is needed.



Health Net's desire is to provide high quality medical care in the most satisfactory manner possible. To do this, we must be aware of any service difficulties you experience. By filling out this form, you are providing us with necessary information to continually maintain our high standards. We will respond to you in no later than 30 days. If you believe a delay in the decision making may impose an imminent and serious threat to your health, please contact our customer service department at 1-800-522-0088 to request an expedited review.

The California Department of Managed Health Care is responsible for regulating health care service plans. If you have a grievance against your health plan, you should first telephone your health plan at **1-800-522-0088** and use your health plan's grievance process before contacting the department. Utilizing this grievance procedure does not prohibit any potential legal rights or remedies that may be available to you. If you need help with a grievance involving an emergency, a grievance that has not been satisfactorily resolved by your health plan, or a grievance that has remained unresolved for more than 30 days, you may call the department for assistance. You may also be eligible for an Independent Medical Review (IMR). If you are eligible for IMR, the IMR process will provide an impartial review of medical decisions made by a health plan related to the medical necessity of a proposed service or treatment, coverage decisions for treatments that are experimental or investigational in nature and payment disputes for emergency or urgent medical services. The department also has a toll-free telephone number **(1-888-HMO-2219)** and a TDD line **(1-877-688-9891)** for the hearing and speech impaired. The department's Internet Web site [\*\*http://www.hmohelp.ca.gov\*\*](http://www.hmohelp.ca.gov) has complaint forms, IMR application forms and instructions online.

6003757 (8/2013), (9/2019)



## ALERE LEVEL OF CARE CRITERIA

### NICU – Revenue Code 174

1. Ventilator/Intubated
2. Extracorporeal Membrane Oxygenation (ECMO) / Nitric Oxide (NO)
3. Any nasal flow delivered at > 2 lpm. For infants < 1kg, any nasal flow delivered at > 1 lpm.
4. Chest Tube
5. Exchange transfusion, dialysis
6. IV bolus or continuous drip therapy for severe physiologic/metabolic instability
7. Apnea/bradycardia > 10 day all requiring tactile stimulation or any episodes requiring Positive Pressure Ventilation (PPV)
8. Unstable vital signs requiring therapy or conditions requiring frequent Vital Signs. (Medical Director consultation required prior to assignment).

### TRANSITIONAL – Revenue Code 173

1. Isolette/Warmer for thermoregulation
2. Static ↓ oxygen requirement via nasal cannula (less than or equal to 2 lpm or hood)
3. Enteral nutrition delivered by methods other than p.o.
4. Intravenous fluids/blood transfusion
5. Initial sepsis evaluation (CBC, blood culture and treatment in an asymptomatic patient on the first day of evaluation)
6. Apnea/bradycardia not meeting criteria in NICU level of care
7. Neonatal abstinence syndrome when (NAS) score are: greater than or equal to 8 on three consecutive scores or greater than or equal to 12 on two consecutive scores. (Medical Director consult required prior to assignment)

### CONVALESCENT – Revenue Code 172

1. Phototherapy – intensive (double phototherapy or greater)
2. IV heparin meds
3. Neonatal Abstinence Syndrome when (NAS) scores are < 8
4. No Apnea/Bradycardia (greater than 48 hours since last episode, and otherwise meeting detained Level of Care I criteria.)
5. Diagnostic work-up/surveillance, otherwise stable using >1 consultant and/or diagnostic test.
6. Temperature instability
7. Advancing to full volume feeds
8. Stable with sub-optimal PO
9. Apnea countdown
10. Post hemorrhagic hydrocephalus

11. Growing preemie
12. Growing preemie who is stable except O<sub>2</sub> with feeds

**DETAINED/NORMAL – Revenue Code 171**

1. Diagnostic work-up/surveillance otherwise stable using 1 consultant or diagnostic test
2. Routine well baby care
3. Phototherapy (single source phototherapy)

Neonatal level of care determinations are subject to interpretation by the Alere Care Manager and Medical Director. The hospital representative has the right to discuss a determination with the Alere Care Manager and/or Medical Director and is provided the right to appeal levels of care assigned.



## *Nondiscrimination Notice*

In addition to the State of California nondiscrimination requirements (as described in benefit coverage documents), Health Net of California, Inc. and Health Net Life Insurance Company (Health Net) comply with applicable federal civil rights laws and do not discriminate, exclude people or treat them differently on the basis of race, color, national origin, ancestry, religion, marital status, gender, gender identity, sexual orientation, age, disability, or sex.

### **Health Net:**

- Provides free aids and services to people with disabilities to communicate effectively with us, such as qualified sign language interpreters and written information in other formats (large print, accessible electronic formats, other formats).
- Provides free language services to people whose primary language is not English, such as qualified interpreters and information written in other languages.

If you need these services, contact Health Net's Customer Contact Center at:

**Individual & Family Plan (IFP) Members On Exchange/Covered California** 1-888-926-4988 (TTY: 711)

**Individual & Family Plan (IFP) Members Off Exchange** 1-800-839-2172 (TTY: 711)

**Individual & Family Plan (IFP) Applicants** 1-877-609-8711 (TTY: 711)

**Group Plans through Health Net** 1-800-522-0088 (TTY: 711)

If you believe that Health Net has failed to provide these services or discriminated in another way based on one of the characteristics listed above, you can file a grievance by calling Health Net's Customer Contact Center at the number above and telling them you need help filing a grievance. Health Net's Customer Contact Center is available to help you file a grievance. You can also file a grievance by mail, fax or email at:

Health Net of California, Inc./Health Net Life Insurance Company Appeals & Grievances  
PO Box 10348, Van Nuys, CA 91410-0348

Fax: 1-877-831-6019

Email: [Member.Discrimination.Complaints@healthnet.com](mailto:Member.Discrimination.Complaints@healthnet.com) (Members) or  
[Non-Member.Discrimination.Complaints@healthnet.com](mailto:Non-Member.Discrimination.Complaints@healthnet.com) (Applicants)

For HMO, HSP, EOA, and POS plans offered through Health Net of California, Inc.: If your health problem is urgent, if you already filed a complaint with Health Net of California, Inc. and are not satisfied with the decision or it has been more than 30 days since you filed a complaint with Health Net of California, Inc., you may submit an Independent Medical Review/Complaint Form with the Department of Managed Health Care (DMHC). You may submit a complaint form by calling the DMHC Help Desk at 1-888-466-2219 (TDD: 1-877-688-9891) or online at [www.dmhc.ca.gov/FileaComplaint](http://www.dmhc.ca.gov/FileaComplaint).

For PPO and EPO plans underwritten by Health Net Life Insurance Company: You may submit a complaint by calling the California Department of Insurance at 1-800-927-4357 or online at <https://www.insurance.ca.gov/01-consumers/101-help/index.cfm>.

If you believe you have been discriminated against because of race, color, national origin, age, disability, or sex, you can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights (OCR), electronically through the OCR Complaint Portal, at <https://ocrportal.hhs.gov/ocr/portal/lobby.jsf>, or by mail or phone at: U.S. Department of Health and Human Services, 200 Independence Avenue SW, Room 509F, HHH Building, Washington, DC 20201, 1-800-368-1019 (TDD: 1-800-537-7697).

Complaint forms are available at <http://www.hhs.gov/ocr/office/file/index.html>.

## English

No Cost Language Services. You can get an interpreter. You can get documents read to you and some sent to you in your language. For help, call the Customer Contact Center at the number on your ID card or call Individual & Family Plan (IFP) Off Exchange: 1-800-839-2172 (TTY: 711). For California marketplace, call IFP On Exchange 1-888-926-4988 (TTY: 711) or Small Business 1-888-926-5133 (TTY: 711). For Group Plans through Health Net, call 1-800-522-0088 (TTY: 711).

## Arabic

خدمات لغوية مجانية. يمكننا أن نوفر لك مترجم فوري. ويمكننا أن نقرأ لك الوثائق بلغتك. للحصول على المساعدة اللازمة، يرجى التواصل مع مركز خدمة العملاء عبر الرقم المبين على بطاقتك أو الاتصال بالرقم الفرعي لخدمة الأفراد والعائلة: 1-800-839-2172 (TTY: 711). للتواصل في كاليفورنيا، يرجى الاتصال بالرقم الفرعي لخدمة الأفراد والعائلة عبر الرقم: 1-888-926-4988 (TTY: 711) أو المشروعات الصغيرة 1-888-926-5133 (TTY: 711). لخطط المجموعة عبر Health Net، يرجى الاتصال بالرقم 1-800-522-0088 (TTY: 711).

## Armenian

Անվճար լեզվական ծառայություններ: Դուք կարող եք բանավոր թարգմանիչ ստանալ: Փաստաթղթերը կարող են կարդալ ձեր լեզվով: Օգնության համար զանգահարեք Հաճախորդների սպասարկման կենտրոն ձեր ID քարտի վրա նշված հեռախոսահամարով կամ զանգահարեք Individual & Family Plan (IFP) Off Exchange՝ 1-800-839-2172 հեռախոսահամարով (TTY՝ 711): Կալիֆորնիայի համար զանգահարեք IFP On Exchange՝ 1-888-926-4988 հեռախոսահամարով (TTY՝ 711) կամ Փոքր բիզնեսի համար՝ 1-888-926-5133 հեռախոսահամարով (TTY՝ 711): Health Net-ի Խմբային ծրագրերի համար զանգահարեք 1-800-522-0088 հեռախոսահամարով (TTY՝ 711):

## Chinese

免費語言服務。您可使用口譯員服務。您可請人將文件唸給您聽並請我們將某些文件翻譯成您的語言寄給您。如需協助，請撥打您會員卡上的電話號碼與客戶聯絡中心聯絡或者撥打健康保險交易市場外的 Individual & Family Plan (IFP) 專線：1-800-839-2172（聽障專線：711）。如為加州保險交易市場，請撥打健康保險交易市場的 IFP 專線 1-888-926-4988（聽障專線：711），小型企業則請撥打 1-888-926-5133（聽障專線：711）。如為透過 Health Net 取得的團保計畫，請撥打 1-800-522-0088（聽障專線：711）。

## Hindi

बिना शुल्क भाषा सेवाएं। आप एक दुभाषिया प्राप्त कर सकते हैं। आप दस्तावेजों को अपनी भाषा में पढ़वा सकते हैं। मदद के लिए, अपने आईडी कार्ड में दिए गए नंबर पर ग्राहक सेवा केंद्र को कॉल करें या व्यक्तिगत और फैमिली प्लान (आईएफपी) ऑफ एक्सचेंज: 1-800-839-2172 (TTY: 711) पर कॉल करें। कैलिफोर्निया बाजारों के लिए, आईएफपी ऑन एक्सचेंज 1-888-926-4988 (TTY: 711) या स्मॉल बिजनेस 1-888-926-5133 (TTY: 711) पर कॉल करें। हेल्थ नेट के माध्यम से ग्रुप प्लान के लिए 1-800-522-0088 (TTY: 711) पर कॉल करें।

## Hmong

Tsis Muaj Tus Nqi Pab Txhais Lus. Koj tuaj yeem tau txais ib tus kws pab txhais lus. Koj tuaj yeem muaj ib tus neeg nyeem cov ntaub ntawv rau koj ua koj hom lus hais. Txhawm rau pab, hu xovtooj rau Neeg Qhua Lub Chaw Tiv Toj ntawm tus npawb nyob ntawm koj daim npav ID lossis hu rau Tus Neeg thiab Tsev Neeg Qhov Kev Npaj (IFP) Ntawm Kev Sib Hloov Pauv: 1-800-839-2172 (TTY: 711). Rau California qhov chaw kiab khw, hu rau IFP Ntawm Qhov Sib Hloov Pauv 1-888-926-4988 (TTY: 711) lossis Lag Luam Me 1-888-926-5133 (TTY: 711). Rau Cov Pab Pawg Chaw Npaj Kho Mob hla Health Net, hu rau 1-800-522-0088 (TTY: 711).

**Japanese**

無料の言語サービスを提供しております。通訳者もご利用いただけます。日本語で文書をお読みすることも可能です。ヘルプが必要な場合は、IDカードに記載されている番号で顧客連絡センターまでお問い合わせいただくか、Individual & Family Plan (IFP) (個人・家族向けプラン) Off Exchange: 1-800-839-2172 (TTY: 711) までお電話ください。カリフォルニア州のマーケットプレイスについては、IFP On Exchange 1-888-926-4988 (TTY: 711) または Small Business 1-888-926-5133 (TTY: 711) までお電話ください。Health Netによるグループプランについては、1-800-522-0088 (TTY: 711) までお電話ください。

**Khmer**

សេវាភាសាដោយឥតគិតថ្លៃ។ លោកអ្នកអាចទទួលបានអ្នកបកប្រែផ្ទាល់មាត់។ លោកអ្នកអាចស្តាប់គេអានឯកសារឱ្យលោកអ្នកជាភាសារបស់លោកអ្នក។ សម្រាប់ជំនួយ សូមហៅទូរស័ព្ទទៅកាន់មជ្ឈមណ្ឌលទំនាក់ទំនងអតិថិជនតាមលេខដែលមាននៅលើប័ណ្ណសម្គាល់ខ្លួនរបស់លោកអ្នក ឬហៅទូរស័ព្ទទៅកាន់កម្មវិធី Off Exchange របស់គម្រោងជាលក្ខណៈបុគ្គល និងក្រុមគ្រួសារ (IFP) តាមរយៈលេខ៖ 1-800-839-2172 (TTY: 711)។ សម្រាប់ទីផ្សាររដ្ឋ California សូមហៅទូរស័ព្ទទៅកាន់កម្មវិធី On Exchange របស់គម្រោង IFP តាមរយៈលេខ 1-888-926-4988 (TTY: 711) ឬក្រុមហ៊ុនអាជីវកម្មខ្នាតតូចតាមរយៈលេខ 1-888-926-5133 (TTY: 711)។ សម្រាប់គម្រោងជាក្រុមតាមរយៈ Health Net សូមហៅទូរស័ព្ទទៅកាន់លេខ 1-800-522-0088 (TTY: 711)។

**Korean**

무료 언어 서비스입니다. 통역 서비스를 받으실 수 있습니다. 문서 낭독 서비스를 받으실 수 있으며 일부 서비스는 귀하가 구사하는 언어로 제공됩니다. 도움이 필요하시면 ID 카드에 수록된 번호로 고객센터 센터에 연락하시거나 개인 및 가족 플랜(IFP)의 경우 Off Exchange: 1-800-839-2172(TTY: 711)번으로 전화해 주십시오. 캘리포니아 주 마켓플레이스의 경우 IFP On Exchange 1-888-926-4988(TTY: 711), 소규모 비즈니스의 경우 1-888-926-5133(TTY: 711)번으로 전화해 주십시오. Health Net을 통한 그룹 플랜의 경우 1-800-522-0088(TTY: 711)번으로 전화해 주십시오.

**Navajo**

Doo bą́ą́h ílinígóó saad bee háká ada'íiyeed. Ata' halne'ígíí da ła' ná hádídóot'íí. Naaltsoos da t'áá shí shizaad k'ehjí shichí' yídooltah nínízingo t'áá ná ákódoolníít. Ákót'éego shíká a'doowo' nínízingo Customer Contact Center hoolyéhíjí' hodíílnih ninaaltsoos nanítingo bee néého'dolzinígíí hodoonihjí' bikáá' éí doodago kojí' hólne' Individual & Family Plan (IFP) Off Exchange: 1-800-839-2172 (TTY: 711). California marketplace báhígíí kojí' hólne' IFP On Exchange 1-888- 926-4988 (TTY: 711) éí doodago Small Business báhígíí kojí' hólne' 1-888-926-5133 (TTY: 711). Group Plans through Health Net báhígíí éí kojí' hólne' 1-800-522-0088 (TTY: 711).

**Persian (Farsi)**

خدمات زبان بدون هزینه. می توانید یک مترجم شفاهی بگیرید. می توانید درخواست کنید اسناد به زبان شما برایتان خوانده شوند. برای دریافت کمک، با مرکز تماس مشتریان به شماره روی کارت شناسایی یا طرح فردی و خانوادگی (IFP) Off Exchange) به شماره: 1-800-839-2172 (TTY:711) تماس بگیرید. برای بازار کالیفرنیا، با IFP On Exchange شماره 1-888-926-4988 (TTY:711) یا کسب و کار کوچک (TTY:711) 1-888-926-5133 (TTY:711) تماس بگیرید. برای طرح های گروهی از طریق Health Net، با 1-800-522-0088 (TTY:711) تماس بگیرید.



### **Panjabi (Punjabi)**

ਬਿਨਾਂ ਕਿਸੇ ਲਾਗਤ ਵਾਲੀਆਂ ਭਾਸ਼ਾ ਸੇਵਾਵਾਂ। ਤੁਸੀਂ ਇੱਕ ਦੁਬਾਸ਼ੀਏ ਦੀ ਸੇਵਾ ਹਾਸਲ ਕਰ ਸਕਦੇ ਹੋ। ਤੁਹਾਨੂੰ ਦਸਤਾਵੇਜ਼ ਤੁਹਾਡੀ ਭਾਸ਼ਾ ਵਿੱਚ ਪੜ੍ਹ ਕੇ ਸੁਣਾਏ ਜਾ ਸਕਦੇ ਹਨ। ਮਦਦ ਲਈ, ਆਪਣੇ ਆਈਡੀ ਕਾਰਡ ਤੇ ਦਿੱਤੇ ਨੰਬਰ ਤੇ ਗਾਹਕ ਸੰਪਰਕ ਕੇਂਦਰ ਨੂੰ ਕਾਲ ਕਰੋ ਜਾਂ ਵਿਅਕਤੀਗਤ ਅਤੇ ਪਰਿਵਾਰਕ ਯੋਜਨਾ (IFP) ਐਂਡ ਐਕਸਚੇਂਜ 'ਤੇ ਕਾਲ ਕਰੋ: 1-800-839-2172 (TTY: 711)। ਕੈਲੀਫੋਰਨੀਆ ਮਾਰਕਿਟਪਲੇਸ ਲਈ, IFP ਐਂਡ ਐਕਸਚੇਂਜ ਨੂੰ 1-888-926-4988 (TTY: 711) ਜਾਂ ਸਮੇਲ ਬਿਜਨੇਸ ਨੂੰ 1-888-926-5133 (TTY: 711) 'ਤੇ ਕਾਲ ਕਰੋ। ਹੈਲਥ ਨੈੱਟ ਰਾਹੀਂ ਸਾਮੂਹਿਕ ਪਲੈਨਾਂ ਲਈ, 1-800-522-0088 (TTY: 711) 'ਤੇ ਕਾਲ ਕਰੋ।

### **Russian**

Бесплатная помощь переводчиков. Вы можете получить помощь переводчика. Вам могут прочитать документы на Вашем родном языке. Если Вам нужна помощь, звоните по телефону Центра помощи клиентам, указанному на вашей карте участника плана. Вы также можете позвонить в отдел помощи участникам не представленных на федеральном рынке планов для частных лиц и семей (IFP) Off Exchange 1-800-839-2172 (TTY: 711). Участники планов от California marketplace: звоните в отдел помощи участникам представленных на федеральном рынке планов IFP (On Exchange) по телефону 1-888-926-4988 (TTY: 711) или в отдел планов для малого бизнеса (Small Business) по телефону 1-888-926-5133 (TTY: 711). Участники коллективных планов, предоставляемых через Health Net: звоните по телефону 1-800-522-0088 (TTY: 711).

### **Spanish**

Servicios de idiomas sin costo. Puede solicitar un intérprete, obtener el servicio de lectura de documentos y recibir algunos en su idioma. Para obtener ayuda, comuníquese con el Centro de Comunicación con el Cliente al número que figura en su tarjeta de identificación o llame al plan individual y familiar que no pertenece al Mercado de Seguros de Salud al 1-800-839-2172 (TTY: 711). Para planes del mercado de seguros de salud de California, llame al plan individual y familiar que pertenece al Mercado de Seguros de Salud al 1-888-926-4988 (TTY: 711); para los planes de pequeñas empresas, llame al 1-888-926-5133 (TTY: 711). Para planes grupales a través de Health Net, llame al 1-800-522-0088 (TTY: 711).

### **Tagalog**

Walang Bayad na Mga Serbisyo sa Wika. Makakakuha kayo ng interpreter. Makakakuha kayo ng mga dokumento na babasahin sa inyo sa inyong wika. Para sa tulong, tumawag sa Customer Contact Center sa numerong nasa ID card ninyo o tumawag sa Off Exchange ng Planong Pang-indibidwal at Pampamilya (Individual & Family Plan, IFP): 1-800-839-2172 (TTY: 711). Para sa California marketplace, tumawag sa IFP On Exchange 1-888-926-4988 (TTY: 711) o Maliliit na Negosyo 1-888-926-5133 (TTY: 711). Para sa mga Planong Pang-grupo sa pamamagitan ng Health Net, tumawag sa 1-800-522-0088 (TTY: 711).

### **Thai**

ไม่มีค่าบริการด้านภาษา คุณสามารถใช้ล่ามได้ คุณสามารถให้อ่านเอกสารให้ฟังเป็นภาษาของคุณได้ หากต้องการความช่วยเหลือ โทรหาศูนย์ลูกค้าสัมพันธ์ได้ที่หมายเลขบัตรประจำตัวของคุณ หรือโทรหาฝ่ายแผนบุคคลและครอบครัวของเอกชน (Individual & Family Plan (IFP) Off Exchange) ที่ 1-800-839-2172 (โทรมา TTY: 711) สำหรับเขตแคลิฟอร์เนีย โทรหาฝ่ายแผนบุคคลและครอบครัวของรัฐ (IFP On Exchange) ได้ที่ 1-888-926-4988 (โทรมา TTY: 711) หรือ ฝ่ายธุรกิจขนาดเล็ก (Small Business) ที่ 1-888-926-5133 (โทรมา TTY: 711) สำหรับแผนแบบกลุ่มผ่านทาง Health Net โทร 1-800-522-0088 (โทรมา TTY: 711)

**Vietnamese**

Các Dịch Vụ Ngôn Ngữ Miễn Phí. Quý vị có thể có một phiên dịch viên. Quý vị có thể yêu cầu được đọc cho nghe tài liệu bằng ngôn ngữ của quý vị. Để được giúp đỡ, vui lòng gọi Trung Tâm Liên Lạc Khách Hàng theo số điện thoại ghi trên thẻ ID của quý vị hoặc gọi Chương Trình Bảo Hiểm Cá Nhân & Gia Đình (IFP) Phi Tập Trung: 1-800-839-2172 (TTY: 711). Đối với thị trường California, vui lòng gọi IFP Tập Trung 1-888-926-4988 (TTY: 711) hoặc Doanh Nghiệp Nhỏ 1-888-926-5133 (TTY: 711). Đối với các Chương Trình Bảo Hiểm Nhóm qua Health Net, vui lòng gọi 1-800-522-0088 (TTY: 711).

CA Commercial On and Off-Exchange Member Notice of Language Assistance

FLY017549EH00 (12/17)



## Offshore Subcontracting Attestation: Participating Provider

<b>If you are a Health Net of California, Inc., Health Net Community Solutions, Inc. and/or Health Net Life Insurance Company (Health Net) participating provider (also referred to as first-tier, downstream or related entities) using offshore subcontractors, indicate your business name and tax identification (ID) number below.</b>	
<b>Name of participating provider (if applicable):</b>	
<b>Tax ID:</b>	
<b>If you manage multiple participating providers, list the name(s) and tax IDs for whom you are completing this attestation or attach a separate sheet.</b>	
<b>Enter your name, title, phone number, signature, and date that you completed this attestation.</b>	
<b>Name:</b>	<b>Title:</b>
<b>Phone number:</b>	
<b>Signature:</b>	
<b>Date:</b>	
<b>Do you utilize offshore subcontractors?</b> The Centers for Medicare & Medicaid Services (CMS) defines <i>offshore subcontractor</i> as follows: “The term subcontractor refers to any organization that a Medicare Advantage Organization or Part D sponsor contracts with to fulfill or help fulfill requirements in their Part C and/or Part D contracts. Subcontractors include all first-tier, downstream and/or related entities. The term offshore refers to any country that is not within the United States or one of the United States territories (American Samoa, Guam, Northern Marianas, Puerto Rico, and Virgin Islands). Examples of countries that meet the definition of ‘offshore’ include Mexico, Canada, India, Germany, and Japan. Subcontractors that are considered offshore can be either American-owned companies with certain portions of their operations performed outside the United States or foreign-owned companies with their operations performed outside the United States. Offshore subcontractors provide services that are performed by workers located in offshore countries, regardless of whether the workers are employees of American or foreign companies.” Health Net policy prohibits the transfer or storage of data outside the United States.	<b>Response:</b> Yes  No
<b>Do you engage in offshore subcontracting that involves processing, handling or accessing protected health information (PHI)?</b> If “No,” the survey is complete and you do not need to complete or submit the attestation. If “Yes,” continue completing the form and submit a copy via mail or fax to:  Health Net Kristina Rodriguez Director, Provider Network Management Operations Email: Kristina.M.Rodriguez@healthnet.com  This form must be completed in full for each new offshore subcontractor, and sent to Health Net within 20 calendar days from the date the contract is signed with the offshore subcontractor to the address or fax number provided above.	<b>Response:</b> Yes  No

## Offshore Subcontracting Attestation: Participating Provider

<b>Part I. Offshore subcontractor information</b>	
Offshore subcontractor name:	
Offshore subcontractor country:	
Offshore subcontractor address:	
Describe offshore subcontractor functions:	
State proposed or actual effective date for offshore subcontractor (Month, day, year):	

<b>Part II. Precautions for PHI</b>	
Describe the PHI that will be provided to the offshore subcontractor:	
Discuss why providing PHI is necessary to accomplish the offshore subcontractor objectives:	
Describe alternatives considered to avoid providing PHI and why each alternative was rejected:	

## Offshore Subcontracting Attestation: Participating Provider

<b>Part III. Attestation of safeguards to protect beneficiary information in the offshore subcontract</b>		
<b>Item</b>	<b>Attestation</b>	<b>Response: Yes No</b>
<b>III.1</b>	Offshore subcontracting arrangement has policies and procedures in place to ensure that beneficiary PHI and other personal information remain secure.	
	Participating provider to provide a copy of the policies and procedures that document the process used to ensure the security of beneficiary PHI and other personal information. Copies are provided to Health Net along with this completed attestation.	
<b>III.2</b>	Offshore subcontracting arrangement prohibits subcontractor's access to data not associated with the sponsor's contract with the offshore subcontractor.	
<b>III.3</b>	Offshore subcontracting arrangement has policies and procedures in place that allow for immediate termination of the subcontract upon discovery of a significant security breach.	
	Participating provider to provide a copy of the policies and procedures that document the process used for the immediate termination of the subcontract upon discovery of a significant security breach. Copies are provided to Health Net along with this completed attestation.	
<b>III.4</b>	Offshore subcontracting arrangement includes all required Medicare Part C and Part D language, such as record retention requirements, compliance with all Medicare Part C and Part D requirements, etc.	
	Applicable to participating providers contracting with Health Net for the Medicare Advantage line of business – Participating provider to provide a copy of the provider's agreement (proprietary information removed) with the offshore subcontractor. A copy is provided to Health Net along with this completed attestation.	

<b>Part IV. Attestation of audit requirements to ensure protection of PHI</b>		
<b>Item</b>	<b>Attestation</b>	<b>Response: Yes No</b>
<b>IV.1</b>	Participating provider will conduct an annual audit of the offshore subcontractor.	
	Participating provider to provide a copy of the policies and procedures documenting the process used for conducting annual audits, for monitoring and tracking results, and resolving any identified deficiencies. Copies are provided to Health Net along with this completed attestation.	
<b>IV.2</b>	Audit results are used by the participating provider to evaluate the continuation of its relationship with the offshore subcontractor.	
<b>IV.3</b>	Participating provider agrees to share offshore subcontractors' audit results with Health Net or CMS upon request.	



## ORDER OF BENEFITS FOR DEPENDENT CHILDREN

This chart illustrates the order in which benefits are applied when a dependent child is covered by more than one group health plan.

It assumes all parents and stepparents have coverage for the dependent children. If a parent or stepparent has not covered the dependent children or there is no stepparent, skip to the next individual listed.

PARENTS	PRIMARY	SECONDARY	THIRD	FOURTH
Married or never married, living together	Parent with birthday (month and day only) earlier in the year	Parent with birthday (month and day only) later in the year		
Married or never married, same birthday	Plan with earlier effective date	Plan with later effective date		
Married or never married, same birthday and same effective day	Charges are split equally among the plans.			
Joint custody, legally separated or divorced - no court decree	Parent with custody of the dependent	Spouse of parent (stepparent) with custody	Parent without custody	Spouse of parent (stepparent) without custody
Legally separated or divorced - with court decree	Parent with health care coverage responsibility	Spouse of parent (stepparent) with health care coverage responsibility	Other parent	Spouse of other parent (stepparent)





# OUT-OF-POCKET MAXIMUM - BACK

The following are examples of Member-level and Family-level Out-Of-Pocket Maximum calculations.

## Example 1

The Jones family is a five-member family. They have paid the following amounts in copayments in a calendar year:

Member-level Out-Of-Pocket Maximum = \$1500  
Family-level Out-Of-Pocket Maximum = \$4500

<u>MEMBER</u>	<u>COPAYMENTS</u>
---------------	-------------------

Member A	\$1000.00
Member B	\$ 60.00
Member C	\$1500.00
Member D	\$1500.00
Member E	\$ 440.00

As of the date that the Member-level Out-Of-Pocket Maximum (\$1500) is satisfied by Members C and D, no additional copayments will be required from these Members for the remainder of the calendar year.

Total amount paid by this family =	\$4500.00
------------------------------------	-----------

As of the date that the Family-level Out-Of-Pocket Maximum is satisfied (\$4500), no additional copayments will be required from any Member of the family for the remainder of the calendar year.

## Example 2

The Smith family is a four-member family. They have paid the following amounts in copayments in a calendar year:

Member-level Out-Of-Pocket Maximum = \$1500  
Family-level Out-Of-Pocket Maximum = \$4500

<u>MEMBER</u>	<u>COPAYMENTS</u>
---------------	-------------------

Member A	\$1400.00
Member B	\$1300.00
Member C	\$1200.00
Member D	\$ 600.00

As of the date that the Family-level Out-Of-Pocket Maximum is satisfied (\$4500), no additional copayments will be required from any member of the family for the remainder of the calendar year.

Total amount paid by this family =	\$4500.00
------------------------------------	-----------

## Example 3

The Johnson family is a five-member family. They have paid the following amounts in copayments in a calendar year:

Member-level Out-Of-Pocket Maximum = \$1500  
Family-level Out-Of-Pocket Maximum = \$4500

<u>MEMBER</u>	<u>COPAYMENTS</u>
---------------	-------------------

Member A	\$1500.00
Member B	\$ 0.00
Member C	\$1500.00
Member D	\$1500.00
Member E	\$ 0.00

As of the date that the Member-level Out-Of-Pocket Maximum (\$1500) is satisfied by Member A, C and D, no additional copayments will be required from these Members for the remainder of the calendar year.

Total amount paid by this family =	\$4500.00
------------------------------------	-----------

As of the date that the Family-level Out-Of-Pocket Maximum is satisfied (\$4500), no additional copayments will be required from any Member of the family for the remainder of the calendar year.



## OUT-OF-POCKET MAXIMUM EXAMPLE

This out-of-pocket maximum (OOPM) example applies to *most* plans.

An individual member, regardless of the contract type, is only required to satisfy the member-level copayment liability per calendar year. No additional copayments are required for a member as of the date the member-level copayment liability is satisfied.

A family-level OOPM is satisfied by the accumulation of all family members' copayments as illustrated below.

### Example

The Jones family is a 5-member family. They are covered by Plan Y and have paid the following amounts in copayments in a calendar year:

Plan Y: Member-Level OOPM = \$1500      Family-Level OOPM = \$4500

MEMBER	COPAYMENTS PAID	MEMBER-LEVEL OOPM SATISFIED
Member 1	\$ 1000.00	No
Member 2	\$ 60.00	No
Member 3	\$ 1500.00	Yes
Member 4	\$ 1500.00	Yes
Member 5	\$ 440.00	No
Total amount paid by this family =	\$ 4500.00	

No additional copayments will be required from any member of the family as of the date that the family-level maximum copayment (\$4500) is satisfied.





# OUTPATIENT CALIFORNIA HEALTHNET COMMERCIAL AUTHORIZATION FORM

Complete and **Fax** to: 1-844-694-9165  
Transplant **Fax** to: 1-833-769-1142

HMO

Request for additional units. Existing Authorization Units

POS

**Standard requests -** Determination within 5 business days of receiving all necessary information.

PPO

**Urgent requests -** I certify this request is urgent and medically necessary to treat an injury, illness or condition (not life threatening) within 72 hours to avoid complications and unnecessary suffering or severe pain.

\* INDICATES REQUIRED FIELD

URGENT REQUESTS MUST BE SIGNED BY THE REQUESTING PHYSICIAN TO RECEIVE PRIORITY.

Last Name, First

\*Date of Birth

## MEMBER INFORMATION

\*Member ID

(MMDDYYYY)

## REQUESTING PROVIDER INFORMATION

Requesting Provider Contact Name

\*Requesting NPI

\*Requesting TIN

Phone

Requesting Provider Address

\*Fax

City, State, Zip

## SERVICING PROVIDER / FACILITY INFORMATION

Same as Requesting Provider Servicing Provider Contact Name -

\*Servicing NPI

\*Servicing TIN -

Phone

Servicing Provider/Facility Name Address

Fax

City, State, Zip

## AUTHORIZATION REQUEST

\*Primary Procedure Code

Additional Procedure Code

\*Start Date OR Admission Date

\*Diagnosis Code

(CPT/HCPCS)

(Modifier)

(CPT/HCPCS)

(Modifier)

(MMDDYYYY)

(ICD-10)

Additional Procedure Code

Additional Procedure Code

End Date OR Discharge Date

Total Units/Visits/Days

(CPT/HCPCS)

(Modifier)

(CPT/HCPCS)

(Modifier)

(MMDDYYYY)

(Enter the Service type number in the boxes)

### \*OUTPATIENT SERVICE TYPE

412 Auditory  
422 Biopharmacy  
712 Cochlear Implants & Surgery  
299 Drug Testing  
922 Experimental and Investigational Services  
205 Genetic Testing & Counseling  
249 Home Health  
390 Hospice Services  
290 Hyberbaric Oxygen Therapy  
395 Infertility Diagnosis or Treatment  
211 OB Ultrasound

410 Observation  
997 Office Visit/Consult  
210 Orthotics  
794 Outpatient Services  
171 Outpatient Surgery  
202 Pain Management  
147 Prosthetics  
428 Second Opinion  
201 Sleep Study  
993 Transplant Evaluation  
209 Transplant Surgery  
724 Transportation

### Behavioral Health

533 BH Applied Behavioral Analysis  
512 BH Community Based Services  
515 BH Electroconvulsive Therapy  
516 BH Intensive Outpatient Therapy  
510 BH Medical Management  
518 BH Mental Health /Chemical Dependency Observation  
519 BH Outpatient Therapy  
530 BH PHP  
520 BH Professional Fees  
522 BH Psychiatric Evaluation  
521 BH Psychological Testing

### DME

417 Rental  
120 Purchase  
(Purchase Price)

ALL REQUIRED FIELDS MUST BE FILLED IN AS INCOMPLETE FORMS WILL BE REJECTED.

COPIES OF ALL SUPPORTING CLINICAL INFORMATION ARE REQUIRED. LACK OF CLINICAL INFORMATION MAY RESULT IN DELAYED DETERMINATION.

**Disclaimer:** An authorization is not a guarantee of payment. Member must be eligible at the time services are rendered. Services must be a covered benefit and medically necessary with prior authorization as per the Plan policy and procedures. Health Net of California, Inc., Health Net Community Solutions, Inc. and Health Net Life Insurance Company are subsidiaries of Health Net, LLC and Centene Corporation. Health Net is a registered service mark of Health Net, LLC. All other identified trademarks/service marks remain the property of their respective companies. All rights reserved.

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Rev.02242021

XD-PAF-1654





### Health Net Contracted Palliative Care Providers

Ancillary Name	Address	Phone	Service Type
Assisted Home Hospice – North Hills	10550 Sepulveda Blvd, Suite 101 Mission Hills, CA 91345	818-830-5003	Hospice
Assisted Home Hospice – Ventura	4450 Westinghouse Street, Suite 103 Ventura, CA 93003	805-677-7405	Hospice
Assisted Home Hospice – Los Angeles	3731 Wilshire Blvd, Suite 518 Los Angeles, CA 90010	213-355-3511	Hospice
Assisted Home Hospice – Santa Barbara	115 E Micheltorena St, Suite 100 Santa Barbara, CA 93101	805-569-2000	Hospice
Carechoices Hospice and Palliative Services, Inc.	20 Corporate Park, Suite 300 Irvine, CA 92606	949-777-8600	Hospice
Community Hospice, Inc.	4368 Spyres Way Modesto, CA 95356	209-578-6300	Hospice
Hinds Hospice	2490 W Shaw Ave, Suite 101 Fresno, CA 93711	559-226-5683	Hospice
Hinds Hospice	1416 W Twain Ave Fresno, CA 93711	559-222-0793	Hospice
Hinds Hospice	410 W Main St, Suite A Merced, CA 95340	209-383-3123	Hospice
Hoffman Hospice Of The Valley, Inc.	4325 Buena Vista Rd Bakersfield, CA 93311	661-410-1010	Hospice
Hospice of East Bay	3470 Buskirk Ave Pleasant Hill, CA 94523	925-887-5678	Hospice
Hospice of East Bay	2849 Miranda Ave Alamo, CA 94507	925-945-8924	Hospice
Hospice of The Foothills	11270 Rough and Ready Hwy Grass Valley, CA 95945	530-272-5739	Hospice
Libertana Home Health	5805 Sepulveda Blvd, Suite 605 Sherman Oaks, CA 91411	800-750-1444	Hospice
MedZed Palliative Care – California	300 Corporate Pointe, Suite 465 Culver City, CA 90230	323-203-0070	Home Health

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**Health Net Contracted Palliative Care Providers, continued**

<b>Ancillary Name</b>	<b>Address</b>	<b>Phone</b>	<b>Service type</b>
Lightbridge Hospice, LLC	6155 Cornerstone Ct E, Suite 220 San Diego, CA 92121	858-458-2992	Hospice
Noble Hospice Care, Inc.	41305 Albrae St., Suite A Fremont, CA 94538	510-683-9100	Hospice
Prohealth Home Care	2700 Zanker Rd, Suite 180 San Jose, CA 95134	408-451-9055	Home Health
Prohealth Home Care – Sacramento	1375 Exposition Blvd, Suite 250A&B Sacramento, CA 95815	877-667-8770	Home Health
Prohealth Home Care, Inc.	1776 W. March Ln, Suite 400A&B Stockton, CA 95207	877-311-5001	Home Health
Prohealth Home Care – Walnut Creek	2125 Oak Grove Rd, Suite 124A&B Walnut Creek, CA 94598	925-933-2565	Home Health
Providence TrinityCare Hospice	17315 Studebaker Rd, Suite 101 Cerritos, CA 90703	562-402-3336	Hospice
ResolutionCare, Pc	517 3rd St, Suite 2 Eureka, CA 95501	707-442-5683	Hospice
Roze Room Hospice	5000 Overland Ave, Suite 101 Culver City, CA 90230	310-202-7693	Hospice
Roze Room Hospice	2700 E Foothill Blvd, Suite 200 Pasadena, CA 91107	626-446-7673	Hospice
Roze Room Hospice	4510 E Pacific Coast Hwy, Suite 320 Long Beach, CA 90804	562-597-8273	Hospice
Roze Room Hospice	18107 Sherman Way, Suite 100 Reseda, CA 91335	818-783-1002	Hospice
Roze Room Hospice	5675 Ralston St., Suite C Ventura, CA 93003	805-654-0191	Hospice
Snowline Hospice of El Dorado County, Inc.	6520 Pleasant Valley Rd Diamond Springs, CA 95619	530-621-7820	Hospice
The Elizabeth Hospice	500 La Terraza Blvd, Suite 130 Escondido, CA 92025	760-737-2050	Hospice





**Request for Necessary Medical Information for Prior Authorization**

**URGENT REQUEST FOR CONTINUING OCCUPATIONAL, PHYSICAL or SPEECH THERAPY**

**WARNING: THIS FAX CONTAINS PRIVATE AND CONFIDENTIAL INFORMATION**

The personal or medical information contained in the fax message is confidential, private and privileged. It is unlawful for unauthorized persons to review, copy, disclose or disseminate confidential medical information. If the reader of this warning is not the intended fax message recipient or the intended recipient's agent, you are hereby notified that you have received the fax message in error and that review or further disclosure of the information contained therein to any other unauthorized person is strictly prohibited. If you have received this fax message in error, please notify us immediately at the telephone number indicated above and return the original to us by mail.

**Patient Information**

Patient Name	Subscriber ID #
Date of Birth	Today's Date

**Provider Information**

Facility Name	Facility Tax ID #
Telephone #	Fax
Requesting Physician Name	ICD-9 Code
Facility Contact Person	Telephone # of Contact Person

In order to process the prior authorization request for occupational, physical or speech therapy regarding the above patient, complete the information requested below and return this form to the Health Net Prior Authorization Department by fax at (800) 672-2135.

**Please ensure that all information is legible and that only standard abbreviations are used. The information regarding dates of visits is very important in order to calculate benefits and availability of additional visits.**

<b>Occupational and Physical Therapy</b>
1. What is the patient's diagnosis (describe in detail)?
2. What is the patient's dominant hand? Right or left?
3. What was the exact date of surgery and the exact type of surgery?
4. How many physical or occupational therapy visits has the patient had since original date of injury or surgery through last December 31?
5. How many physical or occupational therapy visits has the patient had since January 1 of this year and when was the last visit?
6. How many additional visits are being requested at this time and what will be the start date of the requested additional visits?

7. What are the exact physical or occupational therapy modalities being utilized at this time?	
8. What was the patient's range of motion at the onset of physical or occupational therapy?	
9. What was the patient's range of motion four weeks ago?	Date:
10. What was the patient's range of motion two weeks ago?	Date:
11. What is the patient's range of motion now?	Date:
12. What exercises has the patient been performing?	
13. How many repetitions and at what weight was the patient able to perform at the start of therapy?	Date:
14. How many repetitions and at what weight was the patient able to perform four weeks ago?	Date:
15. How many repetitions and at what weight was the patient able to perform two weeks ago?	Date:
16. How many repetitions and at what weight is the patient able to perform now?	Date:
17. What is the goal range of motion and goal strength?	
18. When do you anticipate the member will reach this goal?	
19. When do you anticipate the member will be transitioned to a home exercise program?	

<b>Speech Therapy</b>	
1. Please provide the plan of care addressing the following: <ul style="list-style-type: none"> <li>a. The date of onset or exacerbation of the disorder/diagnosis:</li> <li>b. Specific statements of long-term and short-term goals:</li> <li>c. Quantitative objectives measuring current age-adjusted level of functioning:</li> <li>d. A reasonable estimate of when the goals will be reached:</li> <li>e. The specific treatment techniques or exercises to be used in treatment:</li> <li>f. The frequency and duration of treatment:</li> </ul>	
2. How many speech therapy sessions have been provided this calendar year prior to this request?	
3. Is there progress or improvement with the therapy?	

**Please attach any additional documentation supporting this request to the back of this form.**

Fax the requested information to:

Health Net Prior Authorization Department  
**(800) 672-2135**



# Potential Quality Issue (PQI) Referral Form

(Includes HACs/HCACs, OPPCs and SRAEs)

**Do not photocopy this form. The information contained is confidential and peer-review protected.  
Complete all fields and forward immediately to Health Net\* via secure fax: (877) 808-7024.**



## PURPOSE

The Potential Quality Issue (PQI) Referral Form is to be used to report any potential or suspected deviation from the standard of care that cannot be determined to be justified without additional review. It should also be used for hospital-acquired conditions (HACs), health care-acquired conditions (HCACs), other provider preventable conditions (OPPCs), and serious reportable adverse events (SRAEs).

## IMPORTANT

The PQI Referral Form is a confidential document used by the Health Net Quality Management Program to aid in the evaluation and improvement of the overall quality of care delivered to Health Net enrollees. PQI referral forms are reviewed and evaluated confidentially in a separate and secure manner.

Refer issues identified as *member appeals* or *member grievances* to Health Net's Member Appeals and Grievances Department for appropriate case handling and resolution.

To protect the confidentiality and privilege of this PQI referral, follow the guidelines outlined below:

1. Never discuss the details of this referral reporting with anyone (including the enrollee) other than those to whom you have been specifically directed to communicate with by your supervisor or a representative of the PQI review entity.
2. Although you must never refer to the referral reporting itself within the member's medical records, you should objectively record pertinent facts of the incident (for example, injury or medication reaction) within the record whenever appropriate.
3. Never make or retain photocopies of this PQI referral reporting under any circumstances.
4. Never use or refer to this report in associate disciplinary action of any kind or any time.

## REFERRAL CONTENT

1. All the fields on the PQI form are **required** fields.
2. Use the fillable PDF form to complete the PQI referral. Do not fax a handwritten PQI referral form. Handwritten PQI forms will be returned to originator for proper re-submission.
3. All sections of the PQI referral must be completed.
4. The form should be completed as follows:
  - a) Referral source – Include referral date, first and last name of the associate completing the referral, contact information (telephone number, fax number) and the name of the associate who identified the PQI. If same as the referred by, enter *same as referred by* in this section.
  - b) Member demographics – Include member first and last name, member ID, member's current primary care physician (PCP) and the associated participating physician group (PPG).
  - c) PQI Event Dates / Filed Against Details – Include date of event, first and last name of practitioner that PQI is filed against (if same as PCP, re-enter PCP and PPG name here) and practitioner's office location. If hospital, please include name of hospital and location. Provide an admission date. Indicate the type of PQI using the check box items provided on the PQI referral. In the description of event field, describe event(s) chronologically, including dates, provider or practitioner names, specify any equipment or medication involved, quote relevant statements made by the provider or others and provide a complete explanation describing the potential deviation in the standard of care.
5. Complete and submit this report directly via secure fax at (877) 808-7024 within one business day of the event/occurrence. The case will be forwarded for clinical evaluation and/or review.
6. Incomplete referral forms are returned to the Health Net associate, such as the registered nurse (RN), who initiated the referral and/or his or her supervisor via email.

# Potential Quality Issue (PQI) Referral Form

(Includes HACs/HCACs, OPPCs and SRAEs)



**Do not photocopy this form. The information contained is confidential and peer-review protected.**  
**Complete all fields and forward immediately to Health Net\* via secure fax: (877) 808-7024.**

REFERRAL SOURCE	MEMBER DEMOGRAPHICS
Referral date: _____	Member name (Last, First, MI): _____
Referred by (First, Last Name): _____	ID#: _____
Identified by (First, Last Name): _____	Current Primary care physician (PCP): _____
Telephone number: _____	Current participating physician group (PPG): _____
Fax number: _____	

PQI EVENT DATES	FILED AGAINST DETAILS:
Date(s) of PQI event: _____	Provider/Practitioner Name: (First, Last or name of facility): _____
Admission date: _____	
Prior admission dates (if applicable): _____	Associated Provider/Practitioner PPG: _____
_____	Provider/Practitioner Location: _____
_____	Provider/Practitioner NPI#: _____

**HAC/HCAC, OPPC, SRAE, & AND OTHER PQI INDICATORS (Bolded text indicates HAC/HCAC, OPPC OR SRAE)**

<p><b>Surgical events:</b></p> <ul style="list-style-type: none"><li><input type="checkbox"/> <b>Surgery on wrong body part</b></li><li><input type="checkbox"/> <b>Surgery on wrong patient</b></li><li><input type="checkbox"/> <b>Wrong surgical procedures on a patient</b></li><li><input type="checkbox"/> <b>Foreign object retained after surgery</b></li><li><input type="checkbox"/> Anesthesia adverse event</li><li><input type="checkbox"/> <b>Surgery with post-operative/intra-operative death in a normal healthy patient</b></li><li><input type="checkbox"/> Acute MI or CVA within 48 hours after elective surgery</li><li><input type="checkbox"/> Cardiac or respiratory arrest in the operating room (OR)</li><li><input type="checkbox"/> Unplanned return to OR, unplanned removal, injury or repair of an organ</li><li><input type="checkbox"/> Other (explain) _____</li></ul>	<p><b>Patient death/disability:</b></p> <ul style="list-style-type: none"><li><input type="checkbox"/> Maternal death or serious disability associated with labor or delivery in a low-risk pregnancy while being cared for in a health care facility</li><li><input type="checkbox"/> Patient death or serious disability associated with the use of contaminated drugs, devices, or biologics</li><li><input type="checkbox"/> Patient death or serious disability associated with use or function of a device in patient care in which the device is used or functions other than as intended</li><li><input type="checkbox"/> Patient death or serious disability associated with a medication error (e.g., errors involving the wrong drug, wrong dose, wrong patient, wrong time, wrong rate, wrong preparation or wrong route of administration)</li><li><input type="checkbox"/> Unexpected death (Please explain) _____</li></ul>
<p><b>Surgical site/post-operative infections:</b></p> <ul style="list-style-type: none"><li><input type="checkbox"/> <b>Mediastinitis after coronary artery bypass graft (CABG)</b></li><li><input type="checkbox"/> <b>Bariatric surgery for obesity (laparoscopic gastric bypass, gastroenterostomy, laparoscopic gastric restrictive surgery)</b></li><li><input type="checkbox"/> <b>Orthopedic procedures on spine, neck, shoulder, elbow, knee or hip</b></li><li><input type="checkbox"/> Other (explain) _____</li></ul>	<p><b>Patient issue:</b></p> <ul style="list-style-type: none"><li><input type="checkbox"/> Member leaves against medical advice (AMA) when there is a potential for serious adverse event(s)</li><li><input type="checkbox"/> Patient suicide attempt or serious injury to self while in treatment</li><li><input type="checkbox"/> Other (explain) _____</li></ul>

# Potential Quality Issue (PQI) Referral Form

(Includes HACs/HCACs, OPPCs and SRAEs)



**Do not photocopy this form. The information contained is confidential and peer-review protected. Complete all fields and forward immediately to Health Net\* via secure fax: (877) 808-7024.**

**HAC/HCAC, OPPC, SRAE, & AND OTHER PQI INDICATORS (Bolded text indicates HAC/HCAC, OPPC OR SRAE)**

**Hospital-acquired (nosocomial) infections:**

- Catheter-associated urinary tract infection (UTI)**
- Vascular catheter-associated Infection**
- Other (explain) \_\_\_\_\_

**Deep vein thrombosis or pulmonary embolism following orthopedic procedures:**

- Total knee replacement**
- Total hip replacement**
- Other (explain) \_\_\_\_\_

**Falls (with trauma):**

- Fractures**
- Dislocations**
- Intracranial injuries**
- Other (explain) \_\_\_\_\_

**Injury:**

- Crushing injuries**
- Burns**
- Electric shock**
- Other (explain) \_\_\_\_\_

**Manifestations of poor glycemic control:**

- Diabetic ketoacidosis**
- Nonketotic hyperosmolar coma**
- Hypoglycemic coma**
- Secondary diabetes with ketoacidosis**
- Secondary diabetes with hyperosmolarity**

**Obstetrics:**

- Nonmedically indicated (elective) delivery less than 39 weeks gestational age
- Newborn Apgar < 4 at 1 minute or < 6 at 5 minutes

**Admission/readmission/discharge:**

- Unexpected / unanticipated readmission within 30 days to acute level of care with same or similar diagnosis or as a complication of the previous admission
- Unplanned admission following diagnostic test or outpatient procedure
- Neurological deficit present at discharge not present on admit
- Delay in transfer/treatment or discharge - which results in a poor outcome to the member or additional costs to the plan
- Delayed diagnosis or missed diagnosis - resulting in adverse member outcome or extended hospital stay
- Infant discharged to the wrong person**

**Outpatient/ambulatory care:**

- Breach of member confidentiality or ethics concern/violation
- Abnormal diagnostic study not followed up appropriately where the potential for adverse outcome exists
- Inattention to or lack of appropriate follow-up of consultant's major recommendations without appropriate rationale
- Practitioner's failure to follow-up on any member's significant complaint or physical finding within a reasonable period of time
- Members with a disease process requiring follow-up with no evidence of follow-up and no documentation in the medical records of member contact for follow-up
- Hospitalization resulting from inappropriate drug therapy

**Other:**

- Pressure ulcer stages III & IV occurring after hospital admission**
- Air embolism**
- Blood transfusion incompatibility**
- Any substandard care with the potential for harm to the member (please explain fully) \_\_\_\_\_
- Member refused to file a grievance
- Grievance withdrawal
- Other (select only when no other selection is applicable and explain fully) \_\_\_\_\_



# Potential Quality Issue (PQI) Referral Form

(Includes HACs/HCACs, OPPCs and SRAEs)

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Complete all fields and forward immediately to Health Net\* via secure fax: (877) 808-7024.**



**Description of event:**

**Based on my judgment, I believe there was a deviation in the standard of care resulting in a potential quality of care issue for the following reasons  
(please provide complete and detailed summary - must be typed, not handwritten):**

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## PPG OOPM NOTIFICATION LETTER (HOSPITALIZATION)

(Current Date)

(Inside Address)

Attention: PPG Administrator

Regarding: \_\_\_\_\_

Subscriber ID: \_\_\_\_\_

Group No: \_\_\_\_\_

Contract Type: \_\_\_\_\_

Dear \_\_\_\_\_:

We have been notified that the above member has met his/her Out-of-Pocket Maximum for 20 \_\_\_\_\_.

This member met the maximum copayment of \$ \_\_\_\_\_ while hospitalized on \_\_\_\_\_. Health Net has reimbursed your member for copayments in excess of the maximum. Please be advised that you should not collect any additional copayments for the above-stated year from this member. If you have, please refund the member any copayments collected after \_\_\_\_\_.

If you have any questions, please call Health Net Member Services at (818) 719-6810 or (800) 522-0088.

Sincerely,

Health Net



# Provider Dispute Resolution Request

## Commercial and Medi-Cal

### INSTRUCTIONS

- Please complete the form fields below. Fields with an asterisk (\*) are required. Forms with incomplete fields may be returned and delay processing.
- Be specific when completing the DESCRIPTION OF DISPUTE and EXPECTED OUTCOME.
- Provide additional information to support the description of the dispute. Do not include a copy of a claim that was previously processed.
- For routine follow-up status, please call the appropriate telephone number below.
- Mail the completed form to the following address. Please note the specific address for all Medi-Cal appeals.

**Health Net Commercial Provider Appeals Unit**  
**PO Box 9040 Farmington, MO 63640-9040**  
**Commercial Provider Services Center 1-800-641-7761**

**Health Net Medi-Cal Provider Appeals Unit**  
**PO Box 989881 West Sacramento, CA 95798-9881**  
**Medi-Cal Provider Services Center 1-800-675-6110**

*Provider name:		*Provider tax ID #:	
*Provider address			Contracted? <input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Provider type:</b> <input type="checkbox"/> Physician <input type="checkbox"/> Mental health <input type="checkbox"/> Hospital <input type="checkbox"/> ASC/outpatient services <input type="checkbox"/> SNF <input type="checkbox"/> DME <input type="checkbox"/> Rehab <input type="checkbox"/> Home health <input type="checkbox"/> Ambulance <input type="checkbox"/> Other professional (please specify type of other) _____			
<b>*Claim information:</b> <input type="checkbox"/> Single <input type="checkbox"/> Multiple <b>"LIKE"</b> claims (complete attached spreadsheet) Number of claims _____			
*Patient name:			Date of birth:
*Health Plan ID number:	*Subscriber ID/CIN number:	*Original claim ID/Submission ID number: (If multiple claims, use attached spreadsheet)	
*Service from/to date:	Original claim amount billed:	Original claim amount paid:	
<b>Dispute type:</b> <input type="checkbox"/> Claim <input type="checkbox"/> Appeal of medical necessity/utilization management decision <input type="checkbox"/> Contract dispute <input type="checkbox"/> Seeking resolution of a billing determination <input type="checkbox"/> Disputing a request for reimbursement of overpayment <input type="checkbox"/> Other			
<b>*Description of dispute:</b> Indicate reason for dispute, provider's position and reasoning: (Additional paper can be attached if necessary)			
<b>*Expected outcome:</b> (Please provide by claim if multiple.)			

_____	_____	( ) _____
Contact name (please print)	Title	Area code and phone number
_____	_____	( ) _____
Signature and date	Email address	Area code and fax number

**Check here if additional information is attached:**  
**(Please do not staple information.)**

Page \_\_\_ of \_\_\_

**For Health Plan Use Only**  
**Case#** \_\_\_\_\_  
**Provider#** \_\_\_\_\_

**Commercial and Medi-Cal Provider Dispute Resolution Request, *continued***

**INSTRUCTIONS (for use with multiple like claims only)**

- Please complete the form fields below. Fields with an asterisk (\*) are required. Forms with incomplete fields may be returned and delay processing.
- Be specific when completing the DESCRIPTION OF DISPUTE and EXPECTED OUTCOME.
- Provide additional information to support the description of the dispute. Do not include a copy of a claim that was previously processed.
- For routine follow-up status, please call the appropriate telephone number below.
- Mail the completed form to the following address. Please note the specific address for all Medi-Cal appeals.

**Health Net Commercial Provider Appeals Unit**  
**PO Box 9040 Farmington, MO 63640-9040**  
**Commercial Provider Services Center 1-800-641-7761**

**Health Net Medi-Cal Provider Appeals Unit**  
**PO Box 989881 West Sacramento, CA 95798-9881**  
**Medi-Cal Provider Services Center 1-800-675-6110**

Number	*Patient name		Date of birth	*Subscriber ID/CIN number	*Original claim ID/Submission ID number	*Service from/to date	Original claim amount billed	Original claim amount paid	*Expected outcome
	Last	First							
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									

Check here if additional information is attached:  
 (Please do not staple information.)

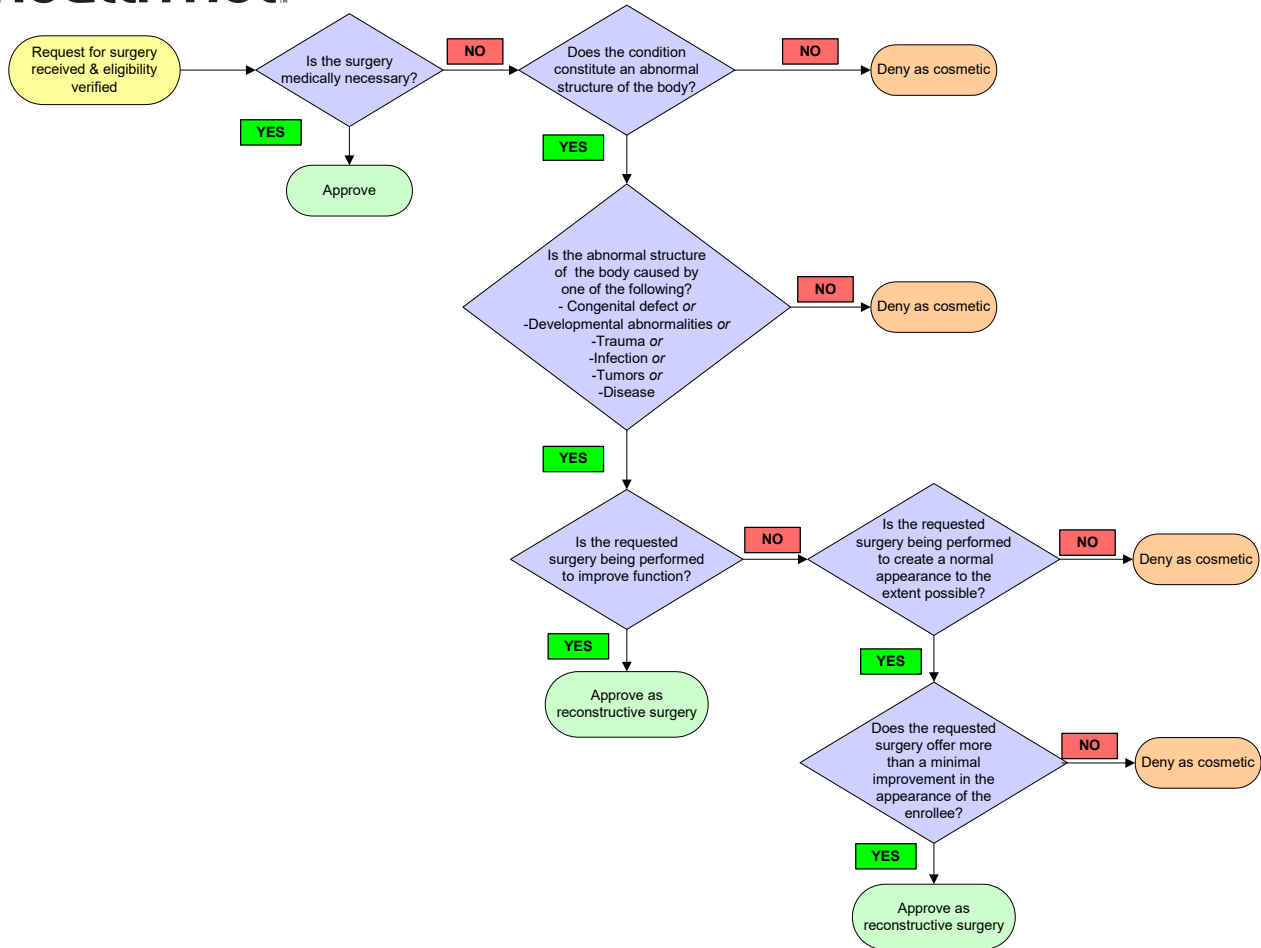
<p><b>For Health Plan Use Only</b>                  Case# _____                  Provider# _____</p>
--

Page \_\_\_ of \_\_\_





# Reconstructive Surgery Decision Tree







**2010 FINAL MEDICARE PHARMACY SETTLEMENT EXAMPLE  
PPA 1998 PLUS**

PARENT PPG NAME: ABC Medical Group, Inc.  
 PARENT PPG #: 1177  
 SETTLEMENT PERIOD: 01/2010 THROUGH 12/2010

**CONTRACT TERMS**

**Footnotes:**

Is the prescription cost target based on CAB model?	YES	(1)
If not on CAB model:		
A. Target as a % of medicare revenue, or	N/A	(2)
B. Target as a flat per member per month (PMPM):	N/A	(3)
Target prescription cost (PMPM)	\$ 64.6139	(4)

**2010 UNADJUSTED PRESCRIPTION EXPERIENCE**

Total pharmacy prescriptions	21,346	}	(5)
Unadjusted prescription cost PMPM	\$ 158.84		
Member months (pharmacy eligible member months)	x 7,028		
Total paid claims	\$ 1,116,345.63		
Average unadjusted cost per prescription	\$ 52.30		

**2010 PRESCRIPTION COST ADJUSTMENTS**

Injectable medications			
Total injectable medication prescriptions	22	}	(6)
Average injectable medication cost per prescription	\$ 857.77		
Total amount paid for injectable medications	\$ 18,870.92		
Injectable prescription cost PMPM	\$ 2.69		

**2010 ADJUSTED PRESCRIPTION EXPERIENCE**

Adjusted prescriptions	21,324	}	(7)
Adjusted prescription cost PMPM	\$ 156.16		

**PHARMACY SETTLEMENT (DEFICIT)** \$ -321,684.14 (8)

**APPLIED SHARING SPLITS AND UPSIDE/DOWNSIDE LIMITS:**

Downside sharing split:	50%	}	(9)
Downside settlement limit (% of shared risk budget):	N/A		
Downside settlement limit (PMPM):	N/A		
Downside settlement limit (% of commercial professional capitation):	N/A		

**PPG Satellites Included in this Settlement:**

1177	1178	1179	1180	1694	1695	1799	1909	}	(10)
5131									

- (1) Revenue funding method flags. Options are YES for percent of CMS payment as shown on Addendum C.2; NO for PMPM rate.
- (2) If revenue funding method is NO, and Rx budget is a percentage of CMS revenue, than percentage is shown. Currently not applicable.
- (3) If revenue funding method is NO, and the Rx budget is a PMPM rate, than the PMPM rate is shown.
- (4) If revenue funding method is YES, than PMPM of the percentage of CMS payment as shown on Addendum C.2.
- (5) Gross pharmacy data.
- (6) Injectable medications are excluded from the pool.
- (7) Net pharmacy prescriptions and PMPM.
- (8) The settlement/deficit equals the revenue less adjusted prescription cost with the sharing splits applied.
- (9) Upside or downside sharing split and settlement limits, if applicable.
- (10) The pharmacy is reported on the parent level; this shows the individual satellites that are included.

# SHARED RISK PHARMACY SETTLEMENT EXAMPLE

**2007 FINAL COMMERCIAL PHARMACY SETTLEMENT  
PSA 1998 PLUS**

**PARENT PPG NAME:** ABC Medical Group, Inc.  
**PARENT PPG #:** 1234  
**SETTLEMENT MONTHS:** 01/2007 THROUGH 12/2007



CONTRACT TERMS		<b>Footnotes:</b>
Pharmacy Shared Risk Budget (PMPM)	\$ 15.00	<b>(1)</b>
Demo/Plan Factor (Not Applicable)		<b>(2)</b>
Adjusted Target Prescription Cost (PMPM)	\$ 15.00000	<b>(3)</b>
<b>2007 UNADJUSTED PRESCRIPTION EXPERIENCE</b>		
Total Pharmacy Prescriptions	2,092	<b>(4)</b>
Unadjusted Prescription Cost Per Member Per Month (PMPM)	\$ 25.89	
Member Months (Pharmacy Eligible Member Months)	x 2,975	
Total Paid Claims	\$ 77,015.60	
Average Unadjusted Cost Per Prescription	\$ 36.81	
<b>2007 PRESCRIPTION COST ADJUSTMENTS</b>		
<b>HIV Drugs</b>		
Total HIV Drug Prescriptions	12	<b>(5)</b>
Average HIV Drug Cost Per Prescription	\$ 455.21	
Total Amount Paid for HIV Drugs	\$ 5,462.50	
HIV Prescription Cost PMPM	\$ 1.84	
<b>Injectable Drugs</b>		
Total Injectable Drug Prescriptions	2	<b>(6)</b>
Average Injectable Drug Cost Per Prescription	\$ 121.65	
Total Amount Paid for Injectable Drugs	\$ 243.30	
Injectable Prescription Cost PMPM	\$ 0.08	
<b>2007 ADJUSTED PRESCRIPTION EXPERIENCE</b>		
Adjusted Prescriptions	2,078	<b>(6)</b>
Adjusted Prescription Cost PMPM	\$ 23.96968	
<b>PHARMACY SETTLEMENT (DEFICIT)</b>	<b>\$ (13,342.40)</b>	
<b>APPLIED SHARING SPLITS AND UPSIDE/DOWNSIDE LIMITS:</b>		
Downside Sharing Split:	50%	<b>(7)</b>
Downside Settlement Limit (% of Shared Risk Budget): (if applicable)	N/A	
Downside Settlement Limit (PMPM): (if applicable)	N/A	
Downside Settlement Limit (% of Commercial Professional Capitation): (if applicable)	N/A	

PPG Satellites Included in this Settlement:  
 1234      456      789      **(8)**

- (1)** Shared Risk PMPM as stated in contract.
- (2)** Age, Sex, and benefit plan adjustment if applicable.
- (3)** Shared Risk Age, Sex, and benefited adjusted PMPM.
- (4)** Gross Pharmacy Data.
- (5)** HIV and Injectable Adjusted.
- (6)** Net Pharmacy Prescriptions and PMPM.
- (7)** Upside or Downside Sharing Spilt and Settlement Limits if applicable.
- (8)** The Pharmacy is reported on the Parent level, this show the individual satellites that are included.

## SHARED RISK HMO SETTLEMENT EXAMPLE

STANDARD HMO 2007 FINAL SHARED RISK SETTLEMENT  
 PSA 1998 PLUS  
 SETTLEMENT MONTHS: 01/2007 THROUGH 12/2007  
 PPG # 1234  
 ABC Medical Group - Alpha City



**Footnotes:**

Gross Shared Risk Revenue PMPM		\$	87.40	(1)
Demo/Plan Adjustment			x 1,084,547	(2)
Member Months			x 5,849	(3)
<b>Gross Shared Risk Revenue</b>			<u>\$ 554,423.41</u>	
Less:	Reinsurance Cost (1)		-	80,446.84
	Transfer Reinsurance Cost (4)		-	0.00
	Out of Area Service Adjustment (2)		-	<u>1,525.92</u>
<b>Net Shared Risk Revenue</b>			<u>\$ 472,450.65</u>	
Less Incurred Claims:				
	Prior Years' Claims Paid 4/2007 - 3/2008		-	30,327.55
	Current Claims: Claims Incurred 1/2007 - 12/2007, Paid 1/2007 - 12/2007		-	299,701.14
	Future Claims: Claims Incurred 1/2007 - 12/2007, Paid 1/2008 - 3/2008		-	97,845.84
	ESRD Claims (If Applicable)		-	0.00
	Additional Claims		-	0.00
	Home Infusion/DME Service Adjustment		-	7,135.78
	Claims over Stop Loss (Deducted from Incurred Claims)		+	<u>0.00</u>
<b>Net SR Revenue Less Incurred Claims:</b>			<u>\$</u>	<u>37,440.34</u>
PPG's Share of Gain:	50.00% Subject to:	20.00% Capitation Limit (3a)		
PPG's Share of Loss:	50.00% Subject to:	20.00% Capitation Limit (3b)		
<b>Shared Risk Settlement (Deficit)</b>			<u>\$</u>	<u>18,720.17</u>

(1) Reinsurance Cost	=	Gross Shared Risk x Reinsurance Rate		
	=	\$554,423.41 x	14.51%	
	=		\$80,446.84	
(2) OOA Service Adjustment	=	OOA Service Cost x Adjustment Rate		
	=	\$7,629.62 x	20.00%	
	=		\$1,525.92	
(3) Settlement Limits	(a) Max Gain: =	\$83,522.19		(5)
	(b) Max Loss: =	N/A		
(4) Transfer Reinsurance	=	Member Months x PMPM Transfer Reinsurance Rate		
	=	5,849 x	0.00	
	=		\$0.00	
(5) Professional Capitation	=	\$417,610.93		

- (1) Shared Risk PMPM as stated in contract.
- (2) Age, Sex, and benefit plan adjustment if applicable.
- (3) Member months for the settlement months being reported.
- (4) Any claims over the stop loss threshold are added back, usually 80% since 100% of the claim amount is deducted in the incurred claims buckets. By adding the 80% back, the shared risk claims pool is only charged 20% of the claim as indicated in the contract.
- (5) Detail calculation of deductions, and Total Professional Capitation for the reporting period.

## SHARED RISK POS SETTLEMENT EXAMPLE

**SMALL GROUP POS** 2007 FINAL SHARED RISK SETTLEMENT

PSA 1998 PLUS

SETTLEMENT MONTHS: 01/2007 THROUGH 12/2007

PPG # 2345

ABC Medical Group - Zada City



INSTITUTIONAL POOL	PMPM Budget Before Multiplier	x	Institutional Multiplier	=	PMPM Budget After Multiplier	Footnotes:
Gross Institutional Shared Risk Revenue PMPM (Before Demo/Plan Adjustment)	72.71		1.100		79.98	(1)
Demo/Plan Factor					x 0.710809	(2)
Member Months					x 1,308	(3)
<b>Gross Institutional Shared Risk Revenue Adjusted for Multiplier and Demo/Plan</b>					<b>\$ 74,360.42</b>	
Less: Reinsurance Cost (1)					-	
<b>Institutional Shared Risk Revenue</b>					<b>\$ 62,455.32</b>	

<i>Less Institutional Incurred Claims:</i>						
Prior Years' Claims Paid 4/2007 - 3/2008					-	1,730.65
Tier 1 Claims Incurred 1/2007 - 12/2007, Paid 1/2007 - 3/2008					-	178,297.88
Tier 2 Claims Incurred 1/2007 - 12/2007, Paid 1/2007 - 3/2008					-	5,009.93
Tier 3 Claims Incurred 1/2007 - 12/2007, Paid 1/2007 - 3/2008					-	0.00
Additional Claims					-	0.00
ESRD Claims (If Applicable)					-	0.00
Out-of-Area Claims Adjustment (2)					-	73.10
Claims over Stop Loss (Deducted from Incurred Claims)					+	0.00 (4)
<b>Institutional SR Revenue Less Incurred Claims:</b>						<b>\$ (122,656.24)</b>

PPG's Share of Gain: 50% Subject to: 20% Capitation Limitation  
 PPG's Share of Loss: 50% Subject to: 20% Capitation Limitation

**Institutional Shared Risk Settlement (Deficit)** \$ (14,284.17)

PROFESSIONAL POOL						
100% of Professional Capitation			\$ 71,420.85			(5)
Professional Multiplier			x 1.100			(6)
100% of the Professional Capitation adjusted by Multiplier			\$ 78,562.94			(7)
Less Upfront Capitation Paid			-		58,922.20	(8)
<b>Gross Professional OON Revenue</b>					<b>\$ 19,640.73</b>	
Less: Transplant Adjustment (4)			-		0.00	
AIDS Adjustment (5)			-		300.84	
OON Professional Stoploss (6)			-		91.56	
<b>Net Professional OON Revenue</b>					<b>\$ 19,248.33</b>	

<i>Less OON Professional Incurred Claims:</i>						
Prior Years' Claims Paid 4/2007 - 3/2008					-	362.12
Tier 2 Claims Incurred 1/2007 - 12/2007, Paid 1/2007 - 3/2008					-	5,837.43
Tier 3 Claims Incurred 1/2007 - 12/2007, Paid 1/2007 - 3/2008					-	462.00
Additional Claims					-	0.00
Claims Over Stop Loss (Deducted from Incurred Claims)					+	0.00 (4)
<b>Net Professional OON Revenue Less Incurred Claims:</b>						<b>\$ 12,586.78</b>

PPG's Share of Gain: 50% Subject to: 100% Capitation Limitation

**Professional OON Shared Risk Settlement (Deficit)** \$ 6,293.39

(1) Reinsurance Cost	=	Gross Shared Risk Adjusted for Multiplier x Reinsurance Rate		=		
	=	\$74,360.42 x 16.01%		=		\$11,905.10
(2) OOA Service Adjustment	=	Out-Of-Area Service Cost x Adjustment Rate		=		
	=	\$365.50 x 20.00%		=		73.10
(3) Gross Capitation Available	=	Paid Cap / Percent of Cap Upfront - Paid Cap		=		
	=	\$58,922.20 / 82.50%		=		\$58,922.20
	=	less Aids and Trans Out of Cap		=		
	=	\$11,635.37 less \$863.28		=		
(4) Transplant Adjustment	=	Transplant Rate (for OON Revenue) x Member-Months		=		
	=	\$0.00 x 1,308		=		\$0.00
(5) AIDS Adjustment	=	AIDS Rate (for OON Revenue) x Member-Months		=		
	=	\$0.23 x 1,308		=		\$300.84
(6) OON Professional Stoploss	=	OON Prof. Rate x Member-Months		=		
	=	\$0.07 x 1,308		=		\$91.56

- (1) Shared Risk PMPM as stated in contract.
- (2) Age, Sex, and benefit plan adjustment if applicable.
- (3) Member months for the settlement months being reported.
- (4) Any claims over the stop loss threshold are added back, usually 80% since 100% of the claim amount is deducted in the incurred claims buckets. By adding the 80% back, the shared risk claims pool is only charged 20% of the claim as indicated in the contract.
- (5) Net POS Capitation paid to PPG after deducting withhold.
- (6) Professional POS multiplier.
- (7) Gross POS Capitation before withhold.
- (8) 82.5% to bring withhold to equal 17.5% of Small Group POS Professional POS capitation.
- (9) Detail calculation of deductions.

## SHARED RISK MEDICARE SETTLEMENT EXAMPLE

**MEDICARE HMO** 2007 FINAL SHARED RISK SETTLEMENT  
 PSA 1998 PLUS  
 SETTLEMENT MONTHS: 01/2007 THROUGH 12/2007  
 PPG # 456  
 ABC Medical Group - Alpha City



Footnotes:

Member Months		163	<b>(1)</b>
Shared Risk Revenue as a Percent of Premium		43.88%	<b>(2)</b>
Applicable Medical Revenue	(Gross Revenue Model)	x 159,214.24	<b>(3)</b>
<b>Gross Shared Risk Revenue</b>		<b>\$ 69,863.21</b>	<b>(4)</b>
Less:	Reinsurance Cost (1)	-	3,582.32
	Out-of-Area Service Adjustment (2)	-	0.00
<b>Net Shared Risk Revenue</b>		<b>\$ 66,280.89</b>	
Less Incurred Claims:	Prior Years' Claims Paid 4/2007 - 3/2008	-	(627.97)
	Current Claims: Claims Incurred 1/2007 - 1/2007, Paid 1/2007 - 12/2007	-	84,410.49
	Future Claims: Claims Incurred 1/2007 - 1/2007, Paid 1/2008 - 3/2008	-	653.09
	Additional Claims	-	0.00
	Home infusion/DME Service Adjustment	-	1,670.75
	ESRD Claims (if applicable)	-	0.00
	Claims over Stop Loss (Deducted from Incurred Claims)	+	0.00 <b>(5)</b>
<b>Net SR Revenue Less Incurred Claims:</b>		<b>\$ (19,825.47)</b>	
PPG's Share of Gain:	50% Subject to:	20% Capitation Limit (3a)	
PPG's Share of Loss:	50% Subject to:	20% Capitation Limit (3b)	
<b>Shared Risk Settlement (Deficit):</b>		<b>\$ (9,912.74)</b>	

(1) Reinsurance Cost	=	Gross Medical Revenue x Reinsurance Rate		
	=	\$159,214.22 x	2.25%	}
	=		\$3,582.32	
(2) OOA Service Adjustment	=	OOA Service Cost x Adjustment Rate		
	=	\$0.00 x	20.00%	
	=		\$0.00	}
(3) Settlement Limits	=	(a) Max Gain:	N/A	
	=	(b) Max Loss:	(\$12,074.16)	
(4) Professional Capitation	=	\$60,370.80		

- (1)** Member months for the settlement months being reported.
- (2)** Shared Risk Budget as a percentage of Medicare Revenue.
- (3)** Medicare Revenue received from CMS
- (4)** Net Shared Risk Revenue
- (5)** Any claims over the stop loss threshold are added back, usually 80% since 100% of the claim amount is deducted in the incurred claims buckets. By adding the 80% back, the shared risk claims pool is only charged 20% of the claim as indicated in the contract.
- (6)** Detail calculation of deductions, and Total Professional Capitation for the reporting period.





## SUBSCRIBER OOPM NOTIFICATION LETTER

(Current Date)

(Inside Address)

Dear \_\_\_\_\_:

We have reviewed your out-of-pocket maximum claim and have determined that you met your out-of-pocket maximum of \$\_\_\_\_\_ for 20\_\_\_\_\_ on\_\_\_\_\_.

If you have paid copayments for professional services in excess of the maximum required, reimbursement should be refunded to you by your participating physician group (PPG). If you have paid copayments for hospital admissions in excess of the maximum required, you will receive reimbursement from Health Net of California.

If your contract should change during the year, you will be responsible for the additional copayment amount up to the maximum of the new contract. If you have any questions, please call Health Net Member Services at (818) 719-6800 or (800) 522-0088.

Sincerely,

Health Net



## TRANSFER / TERMINATION INCIDENT REPORT

First	MI	Health Net Identification #
PPG/IPA Name		PPG / IPA #
Counselor:		Date
<b>INCIDENT REPORT DATES / TYPE</b>		
1 <sup>st</sup> INCIDENT DATE	LEVEL	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
2nd INCIDENT DATE	LEVEL	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
3rd INCIDENT DATE	LEVEL	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
<b>DESCRIPTION OF INCIDENTS</b>		
<b>FOR HEALTH NET USE ONLY</b>		
DATE REPORT RECEIVED FROM PPG: * WITNESS REPORT REQUIRED	DATE OF WARNING LETTER TO MEMBER:	COMMENTS:



Health Net Transplant Performance Centers

Center	Transplant	Type	Line of Business				EC PPO
			HMO	Medicare	PPO/EPO	MEDI-CAL	
California Pacific Medical Center - San Francisco	Kidney	Adult	X	X	X	X*	Enhanced Care PPO utilizes OptumHealth Transplant Network
	Kidney-Pancreas	Adult	X	X	X	X	
	Liver	Adult	X	X	X	X	
	Pancreas	Adult	X	X	X	X	
	Liver-Kidney	Adult	X	X	X	X	
	Heart	Adult	X	X	X	X	
Cedars-Sinai Medical Center - Los Angeles	Heart	Adult	X		X		
	Kidney	Adult	X		X		
	Liver	Adult	X		X		
	Stem Cell	Autologous	X		X		
		Allogeneic Related & Unrelated	X		X		
Children's Hospital and Research Ctr at Oakland "Publicly know as UCSF Benioff Children's Hospital Oakland"	Stem Cell	Pediatric	X		X		
		Autologous	X		X		
		Allogeneic Related	X		X		
Children's Hospital of Los Angeles	Heart	Pediatric	X		X		
	Liver	Pediatric	X		X		
	Kidney	Pediatric	X		X		
	Stem Cell	Pediatric	X		X		
		Autologous	X		X		
Children's Hospital of Orange County - Orange	Stem Cell	Allogeneic Related & Unrelated	X		X		
		Pediatric	X		X		
		Autologous	X		X		
Loma Linda University Medical Center - Loma Linda	Heart	Pediatric	X	X	X		
	Kidney	Adult	X	X	X		
		Pediatric	X	X	X		
	Kidney-Pancreas	Adult	X	X	X		
		Pediatric	X	X	X		
	Liver	Adult	X	X	X		
		Pediatric	X	X	X		
	Pancreas	Adult	X	X	X		
		Pediatric	X	X	X		
	Lucile Packard Children's Hospital	Heart	Pediatric	X		X	
Heart-Lung		Pediatric	X		X	X	
Kidney		Pediatric	X		X	X	
Kidney-Pancreas		Pediatric				X	
Liver		Pediatric	X		X	X	
Lung		Pediatric	X		X	X	
Pancreas		Pediatric				X	
		Pediatric	X		X	X	
Stem Cell		Autologous			X	X	
		Allogeneic Related & Unrelated	X		X	X	
Rady Childrens Hospital	Kidney	Pediatric	X		X		
	Stem Cell	Pediatric	X		X		
		Autologous	X		X		
		Allogeneic Related & Unrelated	X		X		
	Scripps Health - San Diego	Kidney	Adult	X	X	X	
Liver		Adult	X	X	X		
		Adult	X	X	X		
Stem Cell		Autologous	X	X	X		
		Allogeneic Related & Unrelated	X	X	X		

Health Net Transplant Performance Centers

Center	Transplant	Type	Line of Business				EC PPO
			HMO	Medicare	PPO/EPO	MEDI-CAL	
Sharp Healthcare System	Heart	Adult	X	X	X	X	Enhanced Care PPO utilizes OptumHealth Transplant Network
	Kidney	Adult	X		X	X	
Stanford University Hospital - Palo Alto	Heart	Adult	X	X	X	X	
	Heart-Lung	Adult	X	X	X	X	
	Kidney	Adult	X	X	X	X	
	Kidney-Pancreas	Adult	X	X	X	X	
	Liver	Adult	X	X	X	X	
	Lung	Adult	X	X	X	X	
	Pancreas after Kidney TP	Adult	X	X	X	X	
	Stem Cell	Adult	X	X	X	X	
		Autologous	X	X	X	X	
		Allogeneic Related & Unrelated	X	X	X	X	
Sutter Medical Center Sacramento	Heart	Adult	X	X	X	X	
	Stem Cell	Adult Allogeneic	X	X	X	X	
		Adult Autologous	X	X	X	X	
UC Davis - Sacramento	Kidney	Adult Cadaveric & Adult	X	X	X	X	
	Stem Cell	Adult	X	X	X		
		Autologous	X	X	X		
		Allogeneic Related & Unrelated	X	X	X		
UC San Diego - San Diego	Kidney	Adult	X	X	X	X	
	Heart	Adult	X	X	X		
	Liver	Adult	X	X	X		
	Lung	Adult	X	X	X		
	Stem Cell	Adult	X	X	X		
		Autologous	X	X	X		
		Allogeneic Related & Unrelated	X	X	X		
UCSF - SAN FRANCISCO	Heart	Adult	X	X	X		
		Pediatric	X		X		
	*Heart-Lung	Adult	*	**	*		
		Pediatric	*		*		
	Kidney	Adult	X	X	X		
		Pediatric	X		X		
	Kidney-Pancreas	Adult	X	X	X		
		Pediatric	X		X		
	Liver	Adult	X	X	X		
	Lung	Adult	X	X	X		
	Pancreas	Adult	X	X	X		
	*Pancreas Autologous Islet Cell	Adult	*	**	*		
	Stem Cell	Adult	X	X	X		
		Pediatric	X		X		
Autologous		X	X	X			
Allogeneic Related & Unrelated		X	X	X			

Health Net Transplant Performance Centers

Center	Transplant	Type	Line of Business				EC PPO
			HMO	Medicare	PPO/EPO	MEDI-CAL	
Ronald Reagan UCLA Medical Center.	Heart	Adult	X		X		Enhanced Care PPO utilizes OptumHealth Transplant Network
		Pediatric	X		X		
	Kidney	Adult	X		X		
		Pediatric	X		X		
	Kidney-Pancreas	Adult	X		X		
		Pediatric	X		X		
	Liver	Adult	X		X		
		Pediatric	X		X		
	Lung	Adult	X		X		
		Pediatric	X		X		
	Pancreas	Adult	X		X		
		Pediatric	X		X		
	Small Bowel	Adult	X		X		
		Pediatric	X		X		
	Stem Cell	Adult	X		X		
		Pediatric	X		X		
		Autologous	X		X		
		Allogeneic Related & Unrelated	X		X		
Liver-Kidney	Adult	X		X			
	Pediatric	X		X			
Keck Hospital of USC.	Heart	Adult	X		X		
	Heart-Lung	Adult	X		X		
	Kidney	Adult	X		X		
	Liver	Adult	X		X		
	Lung	Adult	X		X		
	Kidney-Pancreas	Adult	X		X		
	Stem Cell	Adult	X		X		

Updated 1.27.22

X = Participating and Blank = Non Par

* Transplant is individually negotiated by Letter of Agreement
** Medicare LOB- Transplant is individually negotiated by Letter of Agreement
*** Medi-Cal LOB- Transplant is individually negotiated by Letter of Agreement







**Request for Necessary Medical Information for Prior Authorization**

**URGENT REQUEST FOR CONTINUING OCCUPATIONAL, PHYSICAL or SPEECH THERAPY**

**WARNING: THIS FAX CONTAINS PRIVATE AND CONFIDENTIAL INFORMATION**

The personal or medical information contained in the fax message is confidential, private and privileged. It is unlawful for unauthorized persons to review, copy, disclose or disseminate confidential medical information. If the reader of this warning is not the intended fax message recipient or the intended recipient's agent, you are hereby notified that you have received the fax message in error and that review or further disclosure of the information contained therein to any other unauthorized person is strictly prohibited. If you have received this fax message in error, please notify us immediately at the telephone number indicated above and return the original to us by mail.

**Patient Information**

Patient Name	Subscriber ID #
Date of Birth	Today's Date

**Provider Information**

Facility Name	Facility Tax ID #
Telephone #	Fax
Requesting Physician Name	ICD-9 Code
Facility Contact Person	Telephone # of Contact Person

In order to process the prior authorization request for occupational, physical or speech therapy regarding the above patient, complete the information requested below and return this form to the Health Net Prior Authorization Department by fax at (800) 672-2135.

**Please ensure that all information is legible and that only standard abbreviations are used. The information regarding dates of visits is very important in order to calculate benefits and availability of additional visits.**

<b>Occupational and Physical Therapy</b>
1. What is the patient's diagnosis (describe in detail)?
2. What is the patient's dominant hand? Right or left?
3. What was the exact date of surgery and the exact type of surgery?
4. How many physical or occupational therapy visits has the patient had since original date of injury or surgery through last December 31?
5. How many physical or occupational therapy visits has the patient had since January 1 of this year and when was the last visit?
6. How many additional visits are being requested at this time and what will be the start date of the requested additional visits?

7. What are the exact physical or occupational therapy modalities being utilized at this time?	
8. What was the patient's range of motion at the onset of physical or occupational therapy?	
9. What was the patient's range of motion four weeks ago?	Date:
10. What was the patient's range of motion two weeks ago?	Date:
11. What is the patient's range of motion now?	Date:
12. What exercises has the patient been performing?	
13. How many repetitions and at what weight was the patient able to perform at the start of therapy?	Date:
14. How many repetitions and at what weight was the patient able to perform four weeks ago?	Date:
15. How many repetitions and at what weight was the patient able to perform two weeks ago?	Date:
16. How many repetitions and at what weight is the patient able to perform now?	Date:
17. What is the goal range of motion and goal strength?	
18. When do you anticipate the member will reach this goal?	
19. When do you anticipate the member will be transitioned to a home exercise program?	

<b>Speech Therapy</b>	
1. Please provide the plan of care addressing the following: <ul style="list-style-type: none"> <li>a. The date of onset or exacerbation of the disorder/diagnosis:</li> <li>b. Specific statements of long-term and short-term goals:</li> <li>c. Quantitative objectives measuring current age-adjusted level of functioning:</li> <li>d. A reasonable estimate of when the goals will be reached:</li> <li>e. The specific treatment techniques or exercises to be used in treatment:</li> <li>f. The frequency and duration of treatment:</li> </ul>	
2. How many speech therapy sessions have been provided this calendar year prior to this request?	
3. Is there progress or improvement with the therapy?	

**Please attach any additional documentation supporting this request to the back of this form.**

Fax the requested information to:

Health Net Prior Authorization Department  
**(800) 672-2135**



